# Learned Low Bit-rate Image Compression with Adversarial Mechanism

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# Abstract

Adversarial mechanism is introduced to learned image compression system in this paper. Our motivation is that the number of quantization levels is limited with the constraint of low bit-rate, resulting in severe distortion in details after reconstruction. The adversarial training manner enhances the ability of Decoder/Generator to enrich textures and details in the reconstructed image. Channel-spatial attention mechanism is used to refine the intermediate features implicitly to boost the representation power of CNNs. As for entropy model, we jointly take hyperpriors and autoregressive priors for accurate probability estimation. Moreover, an EDSR-like post-processing subnetwork is concatenated after Decoder for further quality enhancement. The proposed approach demonstrates competitive performance when evaluated with multi-scale structural similarity (MS-SSIM) and favorably visual quality at low bit-rate.

## 1. Introduction

Deep learning has been widely applied in image compression tasks and achieves a promising performance in recent years. Many image compression works based on deep learning have been proposed, which can be roughly divided into two categories. The first kind is to use deep learning to enhance tools of traditional image compression codecs or add post-processing modules, such as the approach of Prakash et al. [18]. The other completely uses deep learning in an end-to-end optimized manner for image compression. In [21, 22, 15, 6], Recurrent Neural Network (RNN) is applied to image compression. In each iteration, the encoder generates a binary latent representation. By increasing the number of iterations, the bits streams become larger and the quality of the reconstructed images can be enhanced. Though the recurrent manner can naturally handle the problem of variable-rate compression, it usually takes more time

in practical application. Different from these recurrent models that usually need to be executed more than once, the following methods compress images in a feed-forward manner. In [2, 20, 16], entropy models with fixed parameters are studied in the compression framework, which are optimized for the rate estimation and entropy coding to improve the effect of image compression. After that, Ballé et al. [3] design a hyperprior network based on the entropy model to estimate the scales of latent representations so that the bit-rate can be estimated more accurately. Lee *et al.* [7] and Minnen et al. [14] also propose approaches that combine autoregression with hyperprior to estimate the entropy of the latent representation, which demonstrate better performance than BPG [4]. In [8, 13], importance maps of image content are introduced, according to which the number of bits is allocated, making the important areas of the images in better reconstructed quality. Moreover, Rippel et al. [19] and Agustsson et al. [1] adopt generative adversarial model to enhance the quality of reconstructed images, which shows better subjective quality with bit-rate greatly reduced. In our framework, we leverage this capability at the decoder side and design a powerful encoder to extract saliency feature for better reconstruction quality.

In this paper, we propose an image compression framework based on variational autoencoder. A channel-spatial attention block (CSAB) is introduced as the basic block in our compression framework, guiding the convolutional neural network (CNN) to allocates more bits to salient features implicitly. Thus, the *Main Decoder* can reconstruct images in better quality with limited bit-rate constraints. In addition, we introduce an adversarial mechanism for our compression framework, which enriches details of reconstruction images and enhances the subjective quality. The adversarial loss is incorporated with rate-distortion loss, formulating a multi-task learning problem. We also introduce an EDSR-like post-processing module[9], an image enhancement network for super-resolution tasks, to further improve the quality of reconstruction. With the proposed pipeline, our image compression framework demonstrates competitive performance in terms of multi-scale structural similarity (MS-SSIM) and pleasing visual quality.

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Figure 1. Network architecture of proposed model. AE and AD represent arithmetic encoder and arithmetic decoder. Q stands for *round* quantization. Details about parameter settings are shown on the right. "Conv" denotes a convolutional layer, "k" represents the kernel size, "c" denotes the number of channels and "s" is the stride. "CSAB" represents proposed channel-spatial attention block, details of which can be seen in Figure 2. *Main Decoder* and *Hyper Decoder* have a symmetrical structure with *Main Encoder* and *Hyper Encoder*, except that convolutional layers with stride 2 for down-sampling are replaced with transposed convolutional layer with stride 2 for up-sampling.

### 2. Approach

Figure 1 provides a high-level overview of our proposed method. An autoencoder learns a compact latent representation of input images (*Main Encoder* and *Main Decoder* blocks), followed by an entropy model for conditional probability estimation over the quantized latent representation (*Hyper Encoder*, *Hyper Decoder* and *Context Model*). Then, both reconstructed image and input image are fed into a *Discriminator* for adversarial training. Parameter settings of proposed model are demonstrated on the right of Figure 1. The *Main Decoder* and *Hyper Decoder* have a symmetrical architecture with *Main Encoder* and *Hyper Encoder*.

#### 2.1. Channel-spatial Attention Block

We introduce a channel-spatial attention block (CSAB) as the basic block of the model, which is stacked in both main and hyper autoencoder. The architecture of proposed CSAB is shown in Figure 2. Piped residual blocks maintain the network's capacity for powerful feature extraction. The batch normalization and non-linear activation function after residual connection in the residual block are removed, as that in EDSR[9], due to the fact that the decoding procedure is somewhat similar to super-resolution task since both are dense prediction tasks involving spatial upsampling [12]. GDN/IGDN is used as non-linear activation, which implements local divisive normalization transformation and is proven to be particularly suitable for density modeling and image compression[2].



Figure 2. Architecture of channel-spatial attention block (CSAB). Channel-spatial attention module refines the intermediate features.

Inspired by the characteristic of distributed representations of representation learning [5], a simple but effective channel-spatial attention module [23] is used to refine intermediate features and allocate more bits to salient features implicitly which are critical for reconstruction. The channel attention focuses on 'what' is meaningful in the input image while the spatial attention focuses on 'where' is an informative part, thus they are complementary to boost representation power of CNNs. Let  $\mathbf{F} \in \mathbb{R}^{C \times H \times W}$  denotes the intermediate feature map, channel attention module infers a 1D channel attention map  $\mathbf{M}_{\mathbf{c}} \in \mathbb{R}^{C \times 1 \times 1}$  and spatial attention module infers a 2D spatial attention map  $\mathbf{M}_{\mathbf{s}} \in \mathbb{R}^{1 \times H \times W}$ :

$$\begin{aligned} \mathbf{M}_{\mathbf{c}}(\mathbf{F}) &= \sigma(\mathbf{W}_{\mathbf{1}}(\mathbf{W}_{\mathbf{0}}(AvgPool(\mathbf{F})))) & (1) \\ &+ \mathbf{W}_{\mathbf{1}}(\mathbf{W}_{\mathbf{0}}(MaxPool(\mathbf{F})))) \end{aligned}$$

$$\mathbf{M}_{\mathbf{s}}(\mathbf{F}) = \sigma \left( f^{5 \times 5}([AvgPool(\mathbf{F}); MaxPool(\mathbf{F})]) \right)$$
(2)

where  $\sigma$  denotes the sigmoid function,  $W_0$  and  $W_1$  are shared weights for different input. *AvgPool* and *MaxPool* stand for *Average Pooling* and *Max Pooling* operations.  $f^{5\times 5}$  represents a convolution layer with kernel size of  $5\times 5$ . Finally, the channel attention maps  $M_c$  and spatial attention maps  $M_s$  refine F by element-wise multiplication:

$$\begin{aligned} \mathbf{F}' &= \mathbf{M}_{\mathbf{c}}(\mathbf{F}) \otimes \mathbf{F} \\ \mathbf{F}'' &= \mathbf{M}_{\mathbf{s}}\left(\mathbf{F}'\right) \otimes \mathbf{F}' \end{aligned}$$

#### 2.2. Enriching Details by Adversarial Training

The range of quantization levels for latent representations learned by *Encoder* are limited under the constraints of low bit-rate, making the reconstructed images hardly restore details and suffer from strong distortion. Hence, an adversarial training manner is introduced to fill the gap between the reconstructed image and the input image. Specifically, the reconstructed image  $\hat{x}$  and input image x are fed into a *Discriminator*, yielding powerful generator (*Decoder*) which captures both local texture and global semantic information under the guidance of adversarial loss.

The adversarial training manner is formulated as a binary classification problem, *i.e.*, our adversarial loss operates on classifying the 'real one' from pairs of real/fake images, as that in [19], and is formulated as,

$$L_D = \frac{1}{N} \sum_{n=1}^{N} \left( L_{BCE} \left( x, 1 \right) + L_{BCE} \left( \hat{x}, 0 \right) \right)$$
(4)

$$L_{G} = \frac{1}{N} \sum_{n=1}^{N} L_{BCE}(\hat{x}, 1)$$
 (5)

where  $L_{BCE}$  is binary cross entropy loss.

### 2.3. Quantization

The low-dimension representation of image, *i.e.*, latent representations, shall be quantized then coded. Usually we use the *round* function for quantization. However, the quantization leads to zero gradient almost everywhere, making it ineffective to train the network via gradient descent. Following the work of Ballé *et al.* [2], we replace the quantizer with additive i.i.d uniform noise during training:

$$\hat{y}_i = y_i + noise \sim U(-\frac{1}{2}, \frac{1}{2})$$
 (6)

where  $\hat{y}_i$  represents elements of quantized latent features.

#### 2.4. Conditional Probability Estimation

We jointly leverage autoregressive priors and hyperpriors for probability estimation by concatenating features from *Context Model* and *Hyper Decoder*. The architecture of *Context Model* for autoregressive priors is shown in Figure 1. Inspired by the idea of PixelCNN[17], we predict the current pixel by leveraging the neighboring decoded pixels to make full use of the spatial and cross-channel correlation, which is implemented by a 3D masked convolution. Besides, a parallel manner for 3D masked convolution[11] is used to further accelerate the predicting procedure. Following Minnen *et al.* [14], we model the distribution of each element  $\hat{y}_i$  in quantized latent features  $\hat{y}$  as a conditional Gaussian distribution with mean value  $\mu_i$  and standard deviation  $\sigma_i$ :

$$p_{\hat{y}}(\hat{y}_{i}|\hat{y}_{1},...,\hat{y}_{i-1},\hat{z}) = (7)$$

$$\prod_{i} (\mathcal{N}(\mu_{i},\sigma_{i}^{2}) * \mathcal{U}(-\frac{1}{2},\frac{1}{2}))(\hat{y}_{i})$$

where  $\mu_i$  and  $\sigma_i$  are predicted conditioned on hyperprior  $\hat{z}$ and causal (and possibly reconstructed) pixels prior to  $\hat{y}_i$ . The casual context is denoted as  $\hat{y}_1, \hat{y}_2, ..., \hat{y}_{i-1}$ .

Hyperpriors  $\hat{z}$ , which is used to capture the spatial dependencies of latent representations [3], can be modeled by a non-parametric, fully factorized density model:

$$p_{\hat{z}|\psi}(\hat{z}|\psi) = \prod_{i} (p_{z_{i}|\psi^{(i)}}(\psi^{(i)}) * \mathcal{U}(-\frac{1}{2}, \frac{1}{2}))(\hat{z}_{i})$$
(8)

where  $\psi^{(i)}$  represents the parameters of each univariate distribution  $p_{\hat{z}|\psi^{(i)}}$ . Therefore, bit rate of  $\hat{y}$  and  $\hat{z}$  can be evaluated as:

$$R_{\hat{y}} = -\sum_{i} \log_2(p_{\hat{y}_i|\hat{z}_i}(\hat{y}_i|\hat{z}_i))$$
(9)

$$R_{\hat{z}} = -\sum_{i} \log_2(p_{\hat{z}_i|\psi^{(i)}}(\hat{z}_i|\psi^{(i)}))$$
(10)

### 2.5. Multi-task Learning

We introduce adversarial loss to the general Rate-Distortion optimization, formulating a multi-task learning problem. The joint objective is to minimize the combination of the distortion loss, rate loss as well as adversarial loss with  $\lambda_1$  and  $\lambda_2$  as trade-off parameters to balance different loss. Thus, the objective function is defined as

$$L = R + \lambda_1 \left( D + \lambda_2 L_G \right) \tag{11}$$

where  $R = R_{\hat{y}} + R_{\hat{z}}$  denotes the rate loss, and D = 1 - MS-SSIM denotes the distortion loss.

### 2.6. Post-processing

By observing the reconstructed images, we find that some details of the reconstructed image are blurred, so we introduce an enhanced sub-network oriented to superresolution tasks as post-processing module to enrich the details of reconstructed images. The architecture of proposed EDSR-like post-processing sub-network composes of convolutional layers and 20 residual blocks, as is shown in Figure 3. In the sub-network, skip connection maintains the efficiency of deep networks. The batch normalization layers are removed from the residual blocks so that the postprocessing network can contain more residual blocks and extract more useful features. Meanwhile, we introduce a constant scaling layer in the residual block, with which our post-processing sub-network can be trained more steadily.



Figure 3. Architecture of post-processing sub-network, where "k" represents kernel size, "c" denotes number of filters, and "s" is stride of a convolutional layer. "n20" represents 20 residual blocks.



(a) Proposed method without adversarial mechanism, 0.169bpp
 (b) Proposed method, 0.174bpp
 Figure 4. Comparison on visual quality. Sampled patches are listed by the right. The adversarial manner enriches textures and details.

Methods	PSNR(dB)	MS-SSIM	Bit Rate(BPP)	Decoder size(Byte)	Decoding time(s)
Proposed	29.220	0.9729	0.149	220378325	11414
W/O Post-processing	29.118	0.9725	0.149	214865170	11988
MIATLSSIM	30.170	0.9781	0.15	475395523	14508
VIP-ICT-Codec	32.625	0.9635	0.15	287490775	1703
BPG444	31.049	0.9514	0.15	377869	71
JPEG420	26.488	0.8696	0.15	208	33

Table 1. Evaluation results on CLIC 2020 validation datasets.

# 3. Experiment

CLIC2020 training set and COCO dataset[10] are used as training set, in which all the images are random cropped into patches with size 256x256. All the modules in our approach are trained in an end-to-end manner with an ADAM optimizer. Different values of hyper parameter  $\lambda_1$  in range [4, 8] are chosen to reach different bit rate, and  $\lambda_2$  is set as  $1e^{-4}$ . The learning rate decreases from  $1e^{-4}$  to  $1e^{-6}$  by 0.1 after every 100,000 iterations.

Our results in valid phase are shown in Table 1, which achieve competitive performance in MS-SSIM with smaller decoder size compared with other learned image compression methods. *W/O Post-processing* denotes our results with post-processing sub-network removed, which proves the effectiveness of the introduced post-processing sub-network.

An ablation study on our proposed framework is shown in Figure 4 to investigate the effectiveness of adversarial mechanism. As is observed, the reconstructed image with adversarial mechanism expresses more natural textures and details, leading to better visual quality with limited bit-rate.

#### 4. Conclusion

Adversarial loss is introduced in this paper to compensate severe distortion in details for date-driven image compression under the constraint of low bit-rate. Besides, motivated by the distributed representation characteristic of autoencoders, channel-spatial attention module is used to emphasize the salient features. Moreover, an EDSR-like post-processing sub-network enhances the quality of reconstructed image. The experiments and ablation study show the superiority of our approach in enriching details of the image, leading to pleasing visual quality.

For future work, a more efficient entropy model will be explored to reduce the bit-rate and accelerate the encoding as well as decoding procedure. Though combining hyperpriors with autoregressive priors for conditional probability estimation shows state-of-the-art performance on entropy estimation, it is time-consuming due to the sequential nature of autoregressive model.

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