

## 5paisa Capital Limited

Sun Infotech Park, 1st Floor, Plot No.B-23, Road No 16V, MIDC, Thane Industrial Area, Wagle Estate,  
Thane, Maharashtra 400604 • Tel: + 91 89766 89766 • E-mail: support@5paisa.com

CDSL DP ID: 12082500

### Transmission Request Form

(In case of death of the sole holder)



(Please fill all the details in **BLOCK LETTERS** in English)

Annexure 7.1

To,

**5paisa Capital Limited**

Andheri (East), Mumbai - 400 069

Application No.: \_\_\_\_\_

Date: / /

### PART - I (where nomination is recorded)

Dear Sir/ Madam,

I, Nominee/ Successor/ Guardian of the successor or nominee (in case of Minor) request you to **transmit** the following securities due to the death of the sole account holder. Original Death Certificate/ copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

**Name of the deceased BO:** \_\_\_\_\_

Account Number of the deceased BO:

DP ID	1	2	0	8	2	5	0	0	Client ID								
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--

Kindly transmit all securities in the deceased BO's account mentioned above to the BO account mentioned below.

#### Successor BO Account Number

DP ID									Client ID								
Name																	

#### Details of Transmission

Sr. No.	Name of the Security	ISIN	Quantity of securities to be transmitted
1.		IN	
2.		IN	
3.		IN	
4.		IN	

Attach an annexure duly signed by the Nominee/ Successor/ Guardian of the successor or nominee (in case of Minor), if the space above is insufficient.

#### Nominees/ Successor/ Guardian of successor or nominee (in case of Minor)

	First/ Sole Holder	Second Holder	Third Holder
Name			
Signature			

(P.T.O.)

Depository Participant Seal & Signature

#### 5paisa Capital Limited

#### Acknowledgment Receipt

Application No.:

Date: / /

We hereby acknowledge receipt of the instructions for transmission of securities from the deceased BO account to the account of the Nominee/ Successor/ Guardian of the successor or nominee (in case of Minor), as per details given on the transmission form.

Account number of the deceased BO:

Successor BO Name		
First/ Sole Holder	Second Holder	Third Holder
Documents Submitted		

Subject to verification

Depository Participant Seal & Signature

## **PART - II (where nomination is not recorded)**

### **NO OBJECTION STATEMENT FROM OTHER HEIRS/SUCCESSORS WHO ARE NON-APPLICANTS**

1. I/We, the undersigned, residing at \_\_\_\_\_  
\_\_\_\_\_, am/are legal heir(s) of the said deceased.
2. I/We do not desire to make any claim of title of the said securities and have no objection whatsoever in transmitting the said securities in the name(s) of Mr. / Mrs. \_\_\_\_\_ who has/have opened a beneficial owner account(s) under Client ID \_\_\_\_\_ and DP ID \_\_\_\_\_.
3. In consideration of registration of the aforesaid securities in the client account of Mrs. / Mrs. \_\_\_\_\_  
\_\_\_\_\_ under DP ID \_\_\_\_\_ Client ID \_\_\_\_\_ at my request, I/We hereby renounce all my/our rights existing as well as those that may accrue to me/us in future in respect of the aforesaid securities.

### **SIGNED IN THE PRESENCE OF**

#### **BANK MANAGER**

#### **SIGNATURE OF THE LEGAL HEIR**

#### **Full Name and Address of Bank Manager:**

Name \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

### **NOTE FOR ALL LEGAL HEIRS/SUCCESSORS WHO ARE APPLICANTS/ NON-APPLICANTS**

Only one Transmission Request Form is to be submitted by claimants/ non-claimants to the DP of the deceased BO for the transmission of securities wherein the intentions of the legal heirs/ successors are collectively stipulated.