January 13, 2020

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.
Tel no.: 22722133
Fax No.: 22723719/ 22723121/ 22722037
BSE Scrip Code: 540776

The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
Tel No.: 2659 8235
Fax No.: 26598237/ 26598238
NSE Symbol: 5PAISA

Dear Sir/Madam,

Sub: Statement of Grievance Redressal Mechanism for the quarter ended December 31, 2019

Pursuant to Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith quarterly statement of Grievance Redressal Mechanism for the quarter ended December 31, 2019.

Kindly take the above on record and oblige.

Thanking You,

Yours faithfully,

For 5paisa Capital Limited

Namita Godbole
Company Secretary
Email: csteam@5paisa.com

Encl: as above

Spalsa Capital Limited
Corporate Identity Number: L67190MH2007PLC289249
E-mail: csteam@5paisa.com • Website: www.5paisa.com
## Statement of Grievance Redressal Mechanism for the quarter ended December 31, 2019

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Description of Subjects</th>
<th>Opening Balance</th>
<th>Received</th>
<th>Attended</th>
<th>Pending</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Non Receipt of dividend/Interest/Redemption Warrant.</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>Non Receipt of Annual Reports</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>Non Receipt of Securities</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>4</td>
<td>Non Receipt of Refund Order</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>5</td>
<td>SEBI-Scores</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td><strong>0</strong></td>
<td><strong>0</strong></td>
<td><strong>0</strong></td>
<td><strong>0</strong></td>
</tr>
</tbody>
</table>

For Spaisa Capital Limited

Namita Godbole  
Company Secretary  
Email: csteam@Spaisa.com