ANNEXURE I

Compliance Report on Corporate Governance

1. Name of Listed Entity : 5paisa Capital Limited (NSE SYMBOL: 5PAISA, BSE Scrip Code: 540776)
2. Quarter ending on : September 30, 2022

I. Composition of Board of Directors

Title (Mr. / Ms.)	Name of the Director	PAN & DIN	Category (Chairperson /Executive/Non-Executive/independent/Nominee)	Date of Birth	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure in Months	No. of Directorship in listed entities including this listed entity. [in reference to proviso to Regulation 17A(1)]	No. of Independent Directorship in listed entities including this listed entity. [in reference to proviso to Regulation 17A(1)]	Number of memberships in Audit & Stakeholder Committee(s) including this listed entity. (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity. (Refer Regulation 26(1) of Listing Regulations)
Mr.	Prakarsh Gagdani	PAN: AEOPG0698A DIN: 07376258	Executive Director & CEO	15-09-1981	22/12/2015	22/12/2021	-	NA	1	0	1	0
Dr.	Archana Hingorani	PAN: ABLPH8227Q DIN: 00028037	Chairperson-Independent Director-Non Executive Director	09-09-1965	07/06/2017	07/06/2022	-	64	5	5	8	2
Ms.	Nirali Sanghi	PAN: ABBPS3268G DIN: 00319389	Independent Director-Non-Executive Director	14-05-1968	11/01/2018	11/01/2018	-	57	1	1	2	1
Mr.	Milin Mehta	PAN: AAUPM0034G DIN: 01297508	Independent Director-Non-Executive Director	08-09-1964	01/04/2020	01/04/2020	-	30	3	3	5	4

Mr.	Gourav Munjal	PAN: BIHPM1896A DIN: 06360031	Executive Director & CFO	16-06-1988	16/01/2020	16/01/2020	-	NA	1	0	0	0
Mr.	Ravindra Garikipa ti	PAN: AIJPG2834K DIN: 00984163	Independent Director-Non Executive Director	01-07-1965	03/09/2020	03/09/2020	-	25	2	2	1	0

Whether Regular chairperson appointed - Yes
Whether Chairperson is related to managing director or CEO - No
PAN number of any director would not be displayed on the website of Stock Exchange
Category of directors means Executive/Non-Executive/Independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.
In the column of Membership of Director, the total membership of Director as a member of the Audit and Stakeholders Relationship Committee is considered irrespective of him/her being a Chairperson of that particular Committee. Similarly in the column of Chairperson, the Director is counted as a Chairperson irrespective of being him/her considered as member of the Committee.
Listed entities also include Companies having debt securities listed on recognized Stock Exchange.

II. Composition of Committees:

Name of Committee	Name of Committee members	Date of Appointment	Category (Chairperson/Executive/Non-Executive/independent/Nominee)
Audit Committee	Mr. Milin Mehta	03-09-2020	Chairman-Independent Director-Non-Executive Director
	Ms. Nirali Sanghi	11-01-2018	Independent Director-Non-Executive Director
	Dr. Archana Hingorani	03-09-2020	Independent Director-Non-Executive Director
Nomination & Remuneration Committee	Ms. Nirali Sanghi	11-01-2018	Chairperson-Independent Director- Non-Executive Director
	Dr. Archana Hingorani	07-06-2017	Independent Director- Non-Executive Director
	Mr. Milin Mehta	01-04-2020	Independent Director-Non-Executive Director
	Mr. Ravindra Garikipati	03-09-2020	Independent Director-Non-Executive Director
Risk Management Committee	Dr. Archana Hingorani	20-04-2021	Chairperson -Independent Director-Non-Executive Director
	Mr. Prakarsh Gagdani	20-04-2021	Executive Director
	Mr. Gourav Munjal	20-04-2021	Executive Director

Stakeholders Relationship Committee	Ms. Nirali Sanghi	11-01-2018	Chairperson-Independent Director-Non-Executive Director
	Dr. Archana Hingorani	13-10-2017	Independent Director-Non-Executive Director
	Mr. Prakarsh Gagdani	13-10-2017	Executive Director
Corporate Social Responsibility Committee	Mr. Milin Mehta	20-04-2021	Chairman-Independent Director-Non-Executive Director
	Mr. Prakarsh Gagdani	20-04-2021	Executive Director
	Mr. Gourav Munjal	20-04-2021	Executive Director

Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.

III. Meeting of Board of Directors:

Date (s) of Meeting (if any) in the previous quarter	Date (s) of Meeting (if any) in the relevant quarter ended September 30, 2022	Maximum gap between any two consecutive meetings (in numbers of days)
27/04/2022	July 11, 2022	74 days

IV. Meeting of Committees:

Name of Committee: Audit Committee

Date(s) of meeting of the committee in the relevant quarter.	Whether requirement of Quorum met (details)	Date (s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in numbers of days.
Audit Committee July 11, 2022	All the members of the Audit Committee were present in the Meeting	April 27, 2022	74 days
Nomination & Remuneration Committee July 11, 2022	All the members of the Nomination & Remuneration Committee were present in the Meeting	April 27, 2022	74 days
Stakeholders Relationship Committee -	-	-	-

Corporate Social Responsibility Committee -	-	-	-
Risk Management Committee September 05, 2022	All the members of the Risk Management Committee were present in the Meeting	March 23, 2022	165 Days

* This information has to mandatorily be given for audit committee, for rest of the committees giving this information is optional.

V. Related Party Transactions:

Subject	**Compliance Status (Yes/No/NA)** refer note below
Whether prior approval of audit committee obtained	**Yes**
Whether shareholders' approval obtained to material RPT	**Yes**
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	**Yes**

Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.

VI. Affirmations

Sr. No.	Affirmations	Any comments/observations/advice of Board of Directors may be mentioned here:
1.	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	**Yes**
2.	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 a) Audit Committee b) Nomination & Remuneration Committee c) Stakeholders relationship Committee d) Risk management committee (applicable to the top 500 listed entities)	**Yes**
3.	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	**Yes**
4.	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	**Yes**
5.	This report and/or the report submitted in the previous quarter have been placed before Board of Directors.	**Yes**

For **5paisa Capital Limited**

5paisa Capital Ltd.

Namita Godbole
Company Secretary
Date: November 07, 2022
Place: Mumbai