

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: 5Paisa Capital Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540776
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :03/10/2022 NSDL : 30/09/2022 CDSL :30/09/2022

For 5paise Capital Limited



Namita Godbole  
Company Secretary



5paise Capital Limited

Corporate Identity Number: L67190MH2007PLC289249

**5Paisa Capital Limited**
**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	7	10010698	0	0	10010698	32.6874	10010698	0	10010698	32.6874	0	32.6874	9114698	91.0495	0	0.0000	10010698
(B)	Public	24331	20611870	0	0	20611870	67.3028	20611870	0	20611870	67.3028	0	67.3028	0	0.0000	NA	NA	20610451
(C)	Non Promoter - Non Public				0				0		0	0		0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	1	3000	0	0	3000	0.0098	0	0	0	0.0000	0	0.0098	0	0.0000	NA	NA	3000
	Total	24339	30625568	0	0	30625568	100.0000	30622568	0	30622568	100.0000	0	100.0000	9114698	29.7617	0	0.0000	30624149

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Company Secretary

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Corporate Office/ Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane – 400604. E-mail: csteam@5paise.com • Website: www.5paise.com

**5Paisa Capital Limited**
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	Entity Type	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class eg: y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
1	Indian																		
(a)	Individuals / Hindu Undivided Family		5	9850698	0	0	9850698	32.1649	9850698	0	9850698	32.1649	0	32.1649	9114698	92.5284	0	0.0000	9850698
	Nirmal Bhanwarlal Jain	Promoters	1	6080088	0	0	6080088	19.8530	6080088	0	6080088	19.8530	0	19.8530	6080088	100.0000	0	0.0000	6080088
	Madhu N Jain	Promoters	1	1845016	0	0	1845016	6.0244	1845016	0	1845016	6.0244	0	6.0244	1845016	100.0000	0	0.0000	1845016
	Venkataraman Rajamani	Promoters	1	1189594	0	0	1189594	3.8843	1189594	0	1189594	3.8843	0	3.8843	1189594	100.0000	0	0.0000	1189594
	Aditi Avinash Athavankar	Promoters	1	720000	0	0	720000	2.3510	720000	0	720000	2.3510	0	2.3510	0	0.0000	0	0.0000	720000
	Aditi Athavankar	Promoters	1	16000	0	0	16000	0.0522	16000	0	16000	0.0522	0	0.0522	0	0.0000	0	0.0000	16000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		2	160000	0	0	160000	0.5224	160000	0	160000	0.5224	0	0.5224	0	0.0000	0	0.0000	160000
	Persons Acting In Concert		2	160000	0	0	160000	0.5224	160000	0	160000	0.5224	0	0.5224	0	0.0000	0	0.0000	160000
	Ardent Impex Pvt Ltd	Promoter Group	1	108000	0	0	108000	0.3526	108000	0	108000	0.3526	0	0.3526	0	0.0000	0	0.0000	108000
	Orpheus Trading Pvt Ltd	Promoter Group	1	52000	0	0	52000	0.1698	52000	0	52000	0.1698	0	0.1698	0	0.0000	0	0.0000	52000
	<b>Sub Total (A)(1)</b>		<b>7</b>	<b>10010698</b>	<b>0</b>	<b>0</b>	<b>10010698</b>	<b>32.6874</b>	<b>10010698</b>	<b>0</b>	<b>10010698</b>	<b>32.6874</b>	<b>0</b>	<b>32.6874</b>	<b>9114698</b>	<b>91.0496</b>	<b>0</b>	<b>0.0000</b>	<b>10010698</b>
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Sub Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>7</b>	<b>10010698</b>	<b>0</b>	<b>0</b>	<b>10010698</b>	<b>32.6874</b>	<b>10010698</b>	<b>0</b>	<b>10010698</b>	<b>32.6874</b>	<b>0</b>	<b>32.6874</b>	<b>9114698</b>	<b>91.0496</b>	<b>0</b>	<b>0.0000</b>	<b>10010698</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Narmita Godbole  
Company Secretary

5paise Capital Limited

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**Spaia Capital Limited**  
**Table III - Statement showing shareholding pattern of the Public shareholder**

Table with columns: Category & Name of the shareholders, PAN, Nos. of shareholders, No. of fully paid up equity shares held, Partly paid-up equity shares held, No. of shares under Depository Receipts, Total nos. shares held, Shareholding % (calculated as per SCRR, 1957 as % of (A+B+C)), etc. Includes rows for Institutions (Domestic and Foreign), Central Government / President of India, Non-institutions, and Associates.

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %)  
No. of Shareholders: 16000  
%: 0.5224

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

- Notes:  
(1) PAN would not be displayed on website of Stock Exchange(s).  
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity.  
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.  
(4) Categorisation and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category.  
(5) Sub-categorization of shares under column no.(XV) will be based on shareholding no. of shares/under the following sub-categories.  
(6) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director) on the board of the listed entity.  
(7) Shareholder who have entered into shareholder agreement with the listed entity.  
(8) Shareholders acting as persons in concert with promoters.

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**5Paisa Capital Limited**
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021		1	3000	0	0	3000	0.0098	3000	0	3000	0.0098	0	0.0098	0	0.0000	NA	NA	3000
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		<b>1</b>	<b>3000</b>	<b>0</b>	<b>0</b>	<b>3000</b>	<b>0.0098</b>	<b>3000</b>	<b>0</b>	<b>3000</b>	<b>0.0098</b>	<b>0</b>	<b>0.0098</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>3000</b>

**Note :**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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Namrita Godbole  
Company Secretary

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**Table VI - Statement showing foreign ownership limits**

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	50.57
As on the end of previous 1st quarter	100	52.55
As on the end of previous 2nd quarter	100	52.55
As on the end of previous 3rd quarter	100	52.57
As on the end of previous 4th quarter	100	52.74

For 5paise Capital Limited

  
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Company Secretary

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