March 03, 2023

To,
The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower, Dalal Street,
Mumbai 400 001
Tel No.: 22721233
Fax No.: 22723719/22723121/22722037
BSE Scrip Code: 540776

To,
The Manager,
Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5th Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051
Tel No.: 2659 8235 Fax No.: 26598237
NSE Symbol: 5PAISA

Sub: Intimation under Regulation 44 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for Voting Results in respect of the Postal Ballot through remote e-voting and Scrutinizer's Report.

Dear Sir/Madam,

This has reference to our letter dated January 30, 2023, whereby, we had informed the stock exchanges that the Company intends to seek the approval of the shareholders by way of Postal Ballot through e-voting facility in respect of the following resolution to be passed as Special Resolution as set out in the Postal Ballot Notice dated January 11, 2023:

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Item Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>To approve increase in ESOP Pool for grant of options and amendment in 5paisa Employees Stock Option Scheme, 2017.</td>
</tr>
</tbody>
</table>

The remote e-voting for Postal Ballot commenced on Tuesday, January 31, 2023, 9:00 a.m. and concluded on Wednesday, March 01, 2023, 5:00 p.m.

The Company had appointed CS Aparna Joshi, failing her CS Vaibhav Velankar of M/s. Joshi Velankar and Associates, a Practicing Company Secretary firm, Mumbai as the Scrutinizer for conducting postal ballot and e-voting process in a fair and transparent manner.

Accordingly, the Scrutinizer has submitted his Report on the remote e-voting dated March 03, 2023 for the results of the Postal Ballot. The copy of the Report is attached.

Based on the afore-mentioned Report, a statement containing voting results of the Postal Ballot in the prescribed format indicated in Circular (Ref No. CIR/CFD/CMD/8/2015 dated 4th November 2015) issued by SEBI is attached.

As per the Report, the Resolution is passed with requisite majority.

The above is in compliance with Regulation 44(3) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015.
The same will also be made available on the Company’s website at www.5paisa.com.

We request you to take the above on your records and acknowledge receipt.

Thanking You,
Yours faithfully,

For 5paisa Capital Limited

Namita Godbole
Company Secretary
Membership No.: A21056
## POSTAL BALLOT VOTING RESULTS

<table>
<thead>
<tr>
<th>Sr. No.</th>
<th>Particulars</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Date of declaration of postal ballot voting results</td>
<td>Friday, March 03, 2023</td>
</tr>
<tr>
<td>2.</td>
<td>Voting Start Date</td>
<td>Tuesday, January 31, 2023</td>
</tr>
<tr>
<td>3.</td>
<td>Voting End Date</td>
<td>Wednesday, March 01, 2023</td>
</tr>
<tr>
<td>4.</td>
<td>Total Number of shareholders on record date</td>
<td>26,166 (as on cut-off date i.e. January 20, 2023)</td>
</tr>
<tr>
<td>5.</td>
<td>Number of shareholders present in person or through proxy:</td>
<td>Promoter and Promoter Group: N.A.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Public: N.A.</td>
</tr>
<tr>
<td>6.</td>
<td>Number of shareholders attended the meeting through video conferencing Promoter and Promoter Group</td>
<td>Promoter and Promoter Group: N.A.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Public: N.A.</td>
</tr>
</tbody>
</table>

### Resolution Required: (Special)

1 - To approve increase in ESOP Pool for grant of options and amendment in 5paisa Employees Stock Option Scheme, 2017.

<table>
<thead>
<tr>
<th>Category</th>
<th>Mode of Voting</th>
<th>No. of shares held</th>
<th>No. of votes polled on outstanding shares</th>
<th>% of Votes in favour on votes polled</th>
<th>% of Votes against on votes polled</th>
</tr>
</thead>
<tbody>
<tr>
<td>Promoter and Promoter Group</td>
<td>E-Voting</td>
<td>10010698</td>
<td>9834698</td>
<td>98.2419</td>
<td>9834698</td>
</tr>
<tr>
<td></td>
<td>Poll</td>
<td></td>
<td>0</td>
<td>0.0000</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Postal Ballot</td>
<td></td>
<td>0</td>
<td>0.0000</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td>9834698</td>
<td>98.2419</td>
<td>9834698</td>
</tr>
<tr>
<td>Public Institutions</td>
<td>E-Voting</td>
<td>7291919</td>
<td>4153762</td>
<td>56.9639</td>
<td>4153762</td>
</tr>
<tr>
<td></td>
<td>Poll</td>
<td></td>
<td>0</td>
<td>0.0000</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Postal Ballot</td>
<td></td>
<td>0</td>
<td>0.0000</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td>4153762</td>
<td>56.9639</td>
<td>4153762</td>
</tr>
<tr>
<td>Public Non-Institutions</td>
<td>E-Voting</td>
<td>13322951</td>
<td>8813244</td>
<td>66.1508</td>
<td>8812835</td>
</tr>
<tr>
<td></td>
<td>Poll</td>
<td></td>
<td>0</td>
<td>0.0000</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Postal Ballot</td>
<td></td>
<td>0</td>
<td>0.0000</td>
<td>0</td>
</tr>
</tbody>
</table>
For Spaisa Capital Limited

Namita Godbole
Company Secretary
Membership No.: A21056

Digitally signed by Namita Amod Godbole
Date: 2023.03.03 13:53:06 +05'30''
To,
The Chairperson,
5paisa Capital Limited
(CIN: L67190MH2007PLC289249)
IIIFL House, Sun Infotech Park,
Road No. 16V, B-23, MIDC,
Thane Industrial Area, Wagle Estate,
Thane – 400604.

SCUTINIZER’S REPORT

Scrutinizer’s Report on postal ballot process conducted through electronic voting system in accordance with the provisions of section 108 and 110 and other applicable provisions, if any of the Companies Act, 2013 read with rule 20 and rule 22 of Companies (Management and Administration) Rules, 2014.

Dear Sir,

We are pleased to present the report on the Postal Ballot conducted by 5paisa Capital Limited ("the Company") seeking consent of its members for the Special Resolution as contained in the Notice of Postal Ballot dated 11th January, 2023.

1. In terms of provisions 110 of the Companies Act, 2013 read with Companies (Management and Administration) Rules, 2014, we, M/s. Joshi Velankar & Associates, Practicing Company Secretaries were appointed as the Scrutinizer by the Company on 11th January, 2023 to conduct the Postal Ballot in respect of Special Resolution as contained in the Notice dated 11th January, 2023.

3. Physical copies of the notice, Postal Ballot forms and other requisite documents were not dispatched to the members for this Postal Ballot. Accordingly, the communication of the assent and dissent of the members had taken place through the remote e-voting system only.

4. The members of the Company holding equity shares as on the 'cut-off date' i.e.Friday, 20th January, 2023 were entitled to vote on the proposed resolutions as set out in the Notice of Postal Ballot dated 11th January, 2023, through remote e-voting only.


6. The Company has appointed Central Depository Services Limited (CDSL) for facilitating e-voting to enable the Members to cast their votes electronically. The remote e-voting facility was kept open from Tuesday, 31st January, 2023 (9.00 a.m.) to Wednesday, 1st March, 2023 (5.00 p.m.). The e-voting facility was blocked forthwith thereafter.

7. The votes cast by the members electronically were unblocked on Wednesday, 1st March, 2023. The report of the members' e-voting was downloaded thereafter.

8. The electronic votes recorded from Tuesday, 31st January, 2023 (9.00 a.m.) to Wednesday, 1st March, 2023 (5.00 p.m.), being last date and time fixed by the Company for remote e-voting received as available in the CDSL website have been considered in our scrutiny.

9. The summary of remote e-voting received of the following resolutions are as under:-

**Item No. 1 – as a Special Resolution**: To approve increase in ESOP Pool for grant of options and amendment in 5paisa Employees Stock Option Scheme, 2017.

<table>
<thead>
<tr>
<th>Particulars</th>
<th>Number of Valid Votes</th>
<th>Percentage (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Remote e-voters</td>
<td>Remote e-votes</td>
</tr>
<tr>
<td>Assent</td>
<td>98</td>
<td>2,28,01,295</td>
</tr>
<tr>
<td>Dissent</td>
<td>21</td>
<td>409</td>
</tr>
<tr>
<td>Not Voted</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td>119</td>
<td>2,28,01,704</td>
</tr>
</tbody>
</table>


10. You may accordingly declare the results of the postal ballot process conducted through electronic voting system.

11. Based on the aforesaid result, we report that, the Special Resolutions contained in the Notice of Postal Ballot dated 11th January, 2023 has been passed with requisite majority.

Thanking you,

For Joshi Velankar & Associates  
Practising Company Secretaries

CS Aparna Joshi  
Partner  
FCS-7172 CP-6738  
Scrutinizer for postal ballot process conducted through electronic voting system

UDIN: F007172D003230821

Place: Thane  
Date: 3rd March 2023

Witness:  

Counter signed and received the report

1. Snehal Vichare

2. Swati Kulkarni

Namita Godbole  
(Company Secretary, 5paisa Capital Limited)