

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: 5Paisa Capital Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540776
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :03/10/2023 NSDL : 30/09/2023 CDSL :30/09/2023

5paisa Capital Limited

Registered Office: IIFL House, Sun Infotech Park, Road No.16V, Plot No. B-23, Wagle Estate, Thane - 400604
Tel.: +91 22 41035000 | E-mail: support@5paisa.com | Website: www.5paisa.com | CIN: L67190MH2007PLC289249

5Paisha Capital Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
								(I)	(II)									
(A)	Promoter & Promoter Group	8	10230698	0	0	10230698	33.2696	10230698	0	10230698	33.2696	0	33.2696	1200000	11.7294	0	0.0000	10230698
(B)	Public	32040	20517170	0	0	20517170	66.7206	20517170	0	20517170	66.7206	0	66.7206	0	0.0000	NA	NA	20515852
(C)	Non Promoter - Non Public			0	0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	1	3000	0	0	3000	0.0098	3000	0	3000	0.0000	0	0.0098	0	0.0000	NA	NA	3000
	Total	32049	30750868	0	0	30750868	100.0000	30750868	0	30750868	100.0000	0	100.0000	1200000	3.9023	0	0.0000	30749550

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5Paise Capital Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)		(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a											
1	Indian																		
(a)	Individuals / Hindu Undivided Family		6	10070698	0	0	10070698	32.7493	10070698	0	10070698	32.7493	0	32.7493	1200000	11.9158	0	0.0000	10070698
	Nirmal Bhanwarlal Jain	Promoters	1	6205088	0	0	6205088	20.1786	6205088	0	6205088	20.1786	0	20.1786	480000	7.7356	0	0.0000	6205088
	Madhu N Jain	Promoters	1	1845016	0	0	1845016	5.9999	1845016	0	1845016	5.9999	0	5.9999	480000	26.0160	0	0.0000	1845016
	Venkataraman Rajamani	Promoters	1	1269594	0	0	1269594	4.1286	1269594	0	1269594	4.1286	0	4.1286	240000	18.9037	0	0.0000	1269594
	Aditi Avinash Athavankar	Promoters	1	720000	0	0	720000	2.3414	720000	0	720000	2.3414	0	2.3414	0	0.0000	0	0.0000	720000
	Aditi Athavankar	Promoters	1	16000	0	0	16000	0.0520	16000	0	16000	0.0520	0	0.0520	0	0.0000	0	0.0000	16000
	Nirmal Madhu Family Private Trust	Promoters	1	15000	0	0	15000	0.0488	15000	0	15000	0.0488	0	0.0488	0	0.0000	0	0.0000	15000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		2	160000	0	0	160000	0.5203	160000	0	160000	0.5203	0	0.5203	0	0.0000	0	0.0000	160000
	Persons Acting In Concert		2	160000	0	0	160000	0.5203	160000	0	160000	0.5203	0	0.5203	0	0.0000	0	0.0000	160000
	Ardent Impex Pvt Ltd	Promoter Group	1	108000	0	0	108000	0.3512	108000	0	108000	0.3512	0	0.3512	0	0.0000	0	0.0000	108000
	Orpheus Trading Pvt Ltd	Promoter Group	1	52000	0	0	52000	0.1691	52000	0	52000	0.1691	0	0.1691	0	0.0000	0	0.0000	52000
	Sub Total (A)(1)		8	10230698	0	0	10230698	33.2696	10230698	0	10230698	33.2696	0	33.2696	1200000	11.7294	0	0.0000	10230698
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		8	10230698	0	0	10230698	33.2696	10230698	0	10230698	33.2696	0	33.2696	1200000	11.7294	0	0.0000	10230698

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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5Paisa Capital Limited
Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including shareholding, as a % assuming full conversion of convertible securities)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	Sub-categorization of shares				
							Class eg: X	Class eg: Y	Total as a % of Total Voting Rights			No. (a)	As a % of total Shares held(b)		No. (a)	As a % of total Shares held(b)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
1	Institutions (Domestic)	(II)	(IV)	(V)	(VI)	(VII) As a % of (A+B+C2)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)	(XIX)	(XX)	
(a)	Mutual Fund	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(b)	Venture Capital Funds	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(c)	Alternate Investment Funds	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(d)	Banks	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(e)	Insurance Companies	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(f)	Provident Funds/ Pension Funds	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(g)	Asset Reconstruction Companies	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(h)	Sovereign Wealth Funds	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(i)	NBFCs registered with RBI	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(j)	Other Financial Institutions	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(k)	Any Other (Specify)	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
	Sub Total (B)(1)	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
2	Institutions (Foreign)																		
(a)	Foreign Direct Investment	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(b)	Foreign Venture Capital Investors	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(c)	Sovereign Wealth Funds	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(d)	Foreign Portfolio Investors Category I	4	5631959	0	5631959	18.3148	5631959	0	5631959	18.3148	0	0.0000	NA	NA	5631959	0	0	0	
	WT Asian Reconnaissance Fund Limited	1	2905906	0	2905906	9.4498	2905906	0	2905906	9.4498	0	0.0000	NA	NA	2905906				
	Hwac Asia Fund Class A Shares	1	2570186	0	2570186	8.3581	2570186	0	2570186	8.3581	0	0.0000	NA	NA	2570186				
(e)	Foreign Portfolio Investors Category II	4	1468101	0	1468101	4.7742	1468101	0	1468101	4.7742	0	0.0000	NA	NA	1468101	0	0	0	
	Bank Muscat India Fund	1	1007856	0	1007856	3.2775	1007856	0	1007856	3.2775	0	0.0000	NA	NA	1007856				
(f)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(g)	Any Other (Specify)	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
	Sub Total (B)(2)	9	7100060	0	7100060	23.0890	7100060	0	7100060	23.0890	0	0.0000	NA	NA	7100060	0	0	0	
3	Central Government/ State Government(s)																		
(a)	Central Government / President of India	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(b)	State Government / Governor	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
	Sub Total (B)(3)	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
4	Non-Institutions																		
(a)	Associate companies / Subsidiaries	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(b)	Directors and their relatives (excluding Independent Directors and nominee Directors)	1	800	0	800	0.0026	800	0	800	0.0026	0	0.0000	NA	NA	800	0	0	0	
(c)	Key Managerial Personnel	2	48631	0	48631	0.1581	48631	0	48631	0.1581	0	0.0000	NA	NA	48631	0	0	0	
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	4	247649	0	247649	0.8053	247649	0	247649	0.8053	0	0.0000	NA	NA	247649	0	0	0	
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(f)	Investor Education and Protection Fund (IEPF)	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(g)	i. Resident individual holding nominal share capital up to Rs. 2 lakhs.	31062	3014798	0	3014798	9.8039	3014798	0	3014798	9.8039	0	0.0000	NA	NA	3013480	0	0	0	
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	14	1152936	0	1152936	3.7493	1152936	0	1152936	3.7493	0	0.0000	NA	NA	1152936	0	0	0	
(i)	Non Resident Indians (NRIs)	499	800107	0	800107	2.6019	800107	0	800107	2.6019	0	0.0000	NA	NA	800107	0	0	0	
(j)	Parajay Bharat Memorial	1	615471	0	615471	2.0015	615471	0	615471	2.0015	0	0.0000	NA	NA	615471	0	0	0	
(k)	Foreign Nationals	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0					
(l)	Foreign Companies	1	7670130	0	7670130	24.9428	7670130	0	7670130	24.9428	0	0.0000	NA	NA	7670130	0	0	0	
	Fin Mauritius Investments Ltd	1	7670130	0	7670130	24.9428	7670130	0	7670130	24.9428	0	0.0000	NA	NA	7670130	0	0	0	
(m)	Bodies Corporate	116	893031	0	893031	2.7981	893031	0	893031	2.7981	0	0.0000	NA	NA	893031	0	0	0	
	Any Other (Specify)	353	69028	0	69028	0.2365	69028	0	69028	0.2365	0	0.0000	NA	NA	69028	0	0	0	
	Body Corp-Ltd Liability Partnership	6	12489	0	12489	0.0406	12489	0	12489	0.0406	0	0.0000	NA	NA	12489	0	0	0	
	Hindu Undivided Family	344	76489	0	76489	0.2487	76489	0	76489	0.2487	0	0.0000	NA	NA	76489	0	0	0	
	Clearing Member	3	50	0	50	0.0002	50	0	50	0.0002	0	0.0000	NA	NA	50	0	0	0	
	Sub Total (B)(4)	32032	13417110	0	13417110	43.6316	13417110	0	13417110	43.6316	0	0.0000	NA	NA	13415792	0	0	0	
	Total Public Shareholding (B)-(B)(1)-(B)(2)-(B)(3)-(B)(4)	32040	20517170	0	20517170	66.7206	20517170	0	20517170	66.7206	0	0.0000	NA	NA	20515852	0	0	0	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares	%
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1	160000	0.5203
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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
0	0

Note :-

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the
- (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares) under the following sub-categories
 - (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director) on the board of the listed entity
 - (ii) Shareholder who have entered into shareholder agreement with the listed entity.
 - (iii) Shareholders acting as persons in concert with promoters.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a	(ix)				(x)	(xi)=	(xii)		(xiii)		(xiv)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021		1	3000	0	0	3000	0.0098	3000	0	3000	0.0098	0	0.0098	0	0.0000	NA	NA	3000
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3000	0	0	3000	0.0098	3000	0	3000	0.0098	0	0.0098	0	0.0000	NA	NA	3000

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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Table V – Details of Significant Beneficial Owners (SBO)

Sr. No.	Details of the SBO			Details of the Registered owner		Details of holdings/exercise of right of the SBO in reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares	Voting rights	Rights on distributable dividend on any other distribution	Exercise of control	Exercise of significant influence	
1	Nirmal Jain and Madhu Jain Collectively	India	Orpheus Trading Private Limited	India	0.17	-	-	No	No	20-10-2017
2	Nirmal Jain and Madhu Jain Collectively	India	Ardent Impex Private Limited	India	0.35	-	-	No	No	20-10-2017

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Table VI – Statement showing foreign ownership limits		
Particulars	Approved Limits (%)	Limits Utilized (%)
As on shareholding date	100	49.65
As on the end of previous 1 st quarter	100	49.64
As on the end of previous 2 nd quarter	100	50.44
As on the end of previous 3 rd quarter	100	50.51
As on the end of previous 4 th quarter	100	50.57

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