

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of	Listed Entity: 5Paisa Capital Limited								
2.	Scrip Code/Name of Scrip/Class of Security: 540776									
3.	Share Ho	olding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)								
	a.	If under 31(1)(b) then indicate the report for Quarter ending								
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment								
4.	Declarat	ion: The Listed entity is required to submit the following declaration to the extent of submission of information:-								

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether company has equity shares with differential voting rights?		No
7	Whether the listed entity has any significant beneficial owner?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid-up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON: 03/07/2024 NSDL: 30/06/2024 CDSL: 30/06/2024



5Paisa	Capital Limited																	
	Summary Statement holding of specified securities																	
	,																	
													Sharehol			Number o	f Shares	
							Sharehold						ding , as			pledged o	r	
							ing as a %	Number o	f Voting Ri	ghts held in	each	Underlyin		Number o	f Locked	otherwise		
								class of se	curities			g Outstand	assuming	in shares		encumber	ed	Number of equity
					No. of		no. of											1.
					shares		shares					•	conversio					shares
			fully paid	paid-up	underlyin		(calculate	No of Voti	ing Rights			convertib	n of		As a % of		As a % of	
		Nos. of	up equity	equity	g	Total nos.	d as per				Total as a	le	convertib		total		total	demateri
		sharehol	shares	shares	Depositor	shares	SCRR,	Class eg:	Class eg:		% of	securities	le		Shares		Shares	alised
Category	Category of shareholder	ders	held	held	y Receipts	held	1957)	х	У	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(IX)		(X)	(XI)=	()	(II)	(X	III)	(XIV)
(A)	Promoter & Promoter Group	8	10230698	0	0	10230698	32.7804	10230698	0	10230698	32.7804	0	32.7804	1200000	11.7294	0	0.0000	10230698
(B)	Public	44677	20976065	0	0	20976065	67.2099	20976065	0	20976065	67.2099	0	67.2099	0	0.0000	NA	NA	20974747
(C)	Non-Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	1	3000	0	0	3000	0.0096	0	0	0	0.0000	0	0.0096	0	0.0000	NA	NA	3000
	Total	44686	31209763	0	0	31209763	100.0000	31206763	0	31206763	100.0000	0	100.0000	1200000	3.8449	0	0.0000	31208445

GENERATED ON :03/07/2024 NSDL : 30/06/2024 CDSL : 30/06/2024



5Paisa	Capital Limited																			
	Statement showing shareholding pattern of the Pro	omoter and Pror	noter Gro	guo																
				р																
													No. of Shares	Sharehol ding, as a			Number		res	
								Sharehol					Underlyin				pledged o			
						No. of		ding %		-	ights held i	n each	g	assuming	Number of Locked		otherwise		Number	
						shares		calculate	class of se	curities			Outstandi		in shares		encumbe	red	of equity	
				No. of	Partly	underlyin		d as per				Total as a	ng	conversio					shares	
				fully paid	paid-up	g		SCRR,	No of Voti	na Riahts		% of	convertib	n of		As a % of		As a % of	held in	
			Nos. of	up equity	equity	Depositor		1957 As a	140 01 400	IIg Rights	1	Total	le	convertib		total		total	demateri	
			sharehol	shares	shares	у	shares	% of	Class eg:	Class eg:		Voting	securities			Shares		Shares	alised	
	Category & Name of the shareholders	Entity Type	ders	held	held	Receipts	held	(A+B+C2)	х	у	Total	Rights		securities	No. (a)	held(b)	No. (a)	held(b)	form	
	(1)		(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(IX)		(x)	(XI)=		(II)	()	(III)	(XIV)	
1	Indian																			
(a)	Individuals / Hindu Undivided Family		6	10070698	0	0	10070698	32.2678	10070698	0	10070698	32.2678	0	32.2678	1200000	11.9158	0	0.0000	10070698	
	Nirmal Bhanwarlal Jain	Promoters	1	6205088	0	0	6205088	19.8819	6205088	0	6205088	19.8819	0	19.8819	480000	7.7356	0	0.0000	6205088	
	Madhu N Jain	Promoters	1	1845016	0	0	1845016	5.9117	1845016	0	1845016	5.9117	0	5.9117	480000	26.0160	0	0.0000	1845016	
	Venkataraman Rajamani	Promoters	1	1269594	0	0	1269594	4.0679	1269594	0	1269594	4.0679	0	4.0679	240000	18.9037	0	0.0000	1269594	
	Aditi Avinash Athavankar	Promoters	1	720000	0	0	720000	2.3070	720000	0	720000	2.3070	0	2.3070	0	0.0000	0	0.0000	720000	
	Aditi Athavankar	Promoters	1	16000	0	0	16000	0.0513	16000	0	16000	0.0513	0	0.0513	0	0.0000	0	0.0000	16000	
	Nirmal Madhu Family Private Trust	Promoters	1	15000	0	0	15000	0.0481	15000	0	15000	0.0481	0	0.0481	0	0.0000	0	0.0000	15000	
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (Specify)		2	160000	0	0	160000	0.5127	160000	0	160000	0.5127	0	0.5127	0	0.0000	0	0.0000	160000	
	Persons Acting In Concert		2	160000	0	0	160000	0.5127	160000	0	160000	0.5127	0	0.5127	0	0.0000	0	0.0000	160000	
	Ardent Impex Private Limited	Promoter Group	1	108000	0	0	108000	0.3460	108000	0	108000	0.3460	0	0.3460	0	0.0000	0	0.0000	108000	
	Orpheus Trading Private Limited	Promoter Group	1	52000	0	0	52000	0.1666	52000	0	52000	0.1666	0	0.1666	0	0.0000	0	0.0000	52000	
	Sub Total (A)(1)		8	10230698	0	0	10230698	32.7804	10230698	0	10230698	32.7804	0	32.7804	1200000	11.7294	0	0.0000	10230698	
2	Foreign																			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		8	10230698	0	0	10230698	32.7804	10230698	0	10230698	32.7804	0	32.7804	1200000	11.7294	0	0.0000	10230698	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON: 03/07/2024 NSDL: 30/06/2024 CDSL: 30/06/2024



							Sharehol					No. of Shares Underlyin	Sharehol ding, as a %			Number of pledged o	r				
					No. of		ding %	Number o class of se		thts held in	each	g	assuming	Number of in shares	Locked	otherwise encumber		Number	Sub-catego	orization of	f share
			No. of	Partly	shares underlyin		calculate d as per				Total as a	Outstandi ng	full conversio					of equity shares	Shareholdi	ing(No. of s	hares)
			fully paid	paid-up	g		SCRR,	No of Voti	ng Rights		% of	convertib	n of		As a % of		As a % of	held in demateri	under		
		Nos. of sharehol	up equity shares	equity shares	Depositor y	Total nos. shares	1957 As a % of	Class eg:	Class eg:		Total Voting	securities	convertib le		total Shares		total Shares	alised	Sub- category(Sub- category(Sub- categ
	Category & Name of the shareholders	ders	held	held	Receipts	held	(A+B+C2)	х	у	Total	Rights	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form	1)	ii)	iii)
	(I) Institutions (Domestic)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)		(X)	(XI)=	0	ai)	(X	all)	(XIV)		(XV)	_
	Mutual Fund	1	77087	0	0	77087	0.2470	77087	0	77087	0.2470	0	0.2470	0	0.0000	NA	NA	77087	0	0	0
	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Alternate Investment Funds Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	0			₩
	Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			H
	Provident Funds/ Pension Funds Asset Reconstruction Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA NA	NA	0			
	Asset Reconstruction Companies Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	0			₩
	NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			L
	Other Financial Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	0			⊏
_	Any Other (Specify) Sub Total (B)(1)	1	77087	0	0	77087	0.0000	77087	0	77087	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	77087	0	0	0
	Institutions (Foreign)																				
	Foreign Direct Investment Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	0			⊏
	Foreign Venture Capital Investors Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	0			⊢
	Foreign Portfolio Investors Category I	7	5836443	0	0	5836443	18.7007	5836443	0	5836443	18.7007	0	18.7007	0	0.0000	NA	NA	5836443	0	0	0
	Wf Asian Reconnaissance Fund Limited Hwic Asia Fund Class A Shares	1	2905906 2570186	0	0	2905906 2570186	9.3109	2905906	0	2905906 2570186	9.3109	0	9.3109	0	0.0000	NA	NA NA	2905906 2570186			
	Magna Umbrella Fund Pic - Magna Em Income An	1	358948	0	0	358948	1.1501	358948	0	358948	1.1501	0	1.1501	0	0.0000	NA NA	NA NA	358948			₩
)	Foreign Portfolio Investors Category II	2	1247856	0	0	1247856	3.9983	1247856	0	1247856	3.9983	0	3.9983	0	0.0000	NA	NA	1247856	0	0	0
	Bank Muscat India Fund	1	1007856	0	0	1007856	3.2293	1007856	0	1007856	3.2293	0	3.2293	0	0.0000	NA	NA	1007856			
	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Sub Total (B)(2) Central Government/ State Government(s)	9	7084299	0	0	7084299	22.6990	7084299	0	7084299	22.6990	0	22.6990	0	0.0000	NA	NA	7084299	0	0	0
_	Central Government / President of India	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			╁
	State Government / Governor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Shareholding by Companies or Bodies Corporate where Central / State Government is																				
)	corporate where Central / State Government is a promoter	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Sub Total (B)(3)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Non-Institutions Associate companies / Subsidiaries	n	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	0			
_	Associate companies / Substituties	_	_	_	_	_	0.0000	_	_	_	0.0000	_	0.0000	_	0.0000			_			\vdash
.	Directors and their relatives (excluding	L	L	L	L	L	0.0000	_	L	L	0.0000	L	0.0000	_	0.0000	NΔ	NΔ	L			
)	Independent Directors and nominee Directors) Key Managerial Personnel	0	0 10383	0	0	10383		0 10383	0	0 10383	0.0000	0	0.0000	0	0.0000	NA NA	NA NA	10383	0	0	0
_	,																				Ė
	Relatives of promoters (other than 'immediate																				
»)	relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	3	247649	0	0	247649	0.7935	247649	o	247649	0.7935	o	0.7935	0	0.0000	NA	NA	247649	0	0	0
																					т
	Trusts where any person belonging to 'Promoter and Promoter Group' category is																				
	'trustee', 'beneficiary', or 'author of the trust"	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
· .	Investor Education and Protection Fund (IEPF) i. Resident Individual holding nominal share	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			₩
)	capital up to Rs. 2 lakhs.	43480	4040319	0	0	4040319	12.9457	4040319	0	4040319	12.9457	0	12.9457	0	0.0000	NA	NA	4039001	0	0	0
	ii. Resident individual holding nominal share	40	004343			004343	2 0075	004343		004343	2 0075		2.0075		0.0000			004343			
,	capital in excess of Rs. 2 lakhs. Non Resident Indians (NRIs)	10 570	901213 428571	0	0	901213 428571	2.8876 1.3732	901213	0	901213	2.8876 1.3732	0	2.8876 1.3732	0	0.0000	NA NA	NA NA	901213 428571	0	0	0
	Foreign Nationals	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			É
)	Foreign Companies Fih Mauritius Investments Ltd	1	7670130 7670130	0	0	7670130 7670130	24.5761 24.5761	7670130 7670130	0	7670130	24.5761	0	24.5761 24.5761	0	0.0000	NA NA	NA NA	7670130 7670130	0	0	0
	Fih Mauritius Investments Ltd Bodies Corporate	1111	7670130 383124	0	0	7670130 383124	24.5761 1.2276	7670130 383124	0	7670130 383124	24.5761 1.2276	0	24.5761 1.2276	0	0.0000	NA NA	NA NA	7670130 383124	0	0	0
1)	Any Other (Specify)	491	133290	0	0	133290	0.4271	133290	0	133290	0.4271	0	0.4271	0	0.0000	NA	NA	133290	0	0	0
	Body Corp-Ltd Liability Partnership Hindu Undivided Family	6 480	8991 124104	0	0	8991 124104	0.0288	8991 124104	0	8991 124104	0.0288	0	0.0288	0	0.0000	NA NA	NA NA	8991 124104	0	0	0
	Hindu Undivided Family Clearing Member	480	124104	0	0	124104	0.3976	124104	0	124104	0.3976	0	0.3976	0	0.0000	NA NA	NA NA	124104	0	0	0
\neg																					H
	Sub Total (B)(4)	44667	13814679	0	0	13814679	44.2640	13814679	0	13814679	44.2640	0	44.2640	0	0.0000	NA	NA	13813361	0	0	0
- 1	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4)	44677	20976065		ı	20976065	67.2099	20976065	ľ	20976065	67.2099	ı	67.2099		0.0000	NA	NA	20974747	1	l	1

Details of the shareholders acting as p	ersons in Concert includ	ling their Sh	nareholding (No. and %)
No. of shareholders	No. of Shares	%	
2	160000	0.5126	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	No. of Shares
0	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s

2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format 3 W.r.t. the information nertaining to Denository Receiptines, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by rustodia.

5paisa Capital Limited

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(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category fallin (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares) under the following sub-categories (1) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity (ii) Shareholder who have entered into shareholder agreement with the listed entity or have the right to nominate a representative (iii) Shareholder who have entered into shareholder agreement with the listed entity

(iii) Shareholders acting as persons in concert with promoters

NSDL: 30/06/2024 CDSL: 30/06/2024 GENERATED ON: 03/07/2024



5Paisa	5Paisa Capital Limited																	
Table IV	- Statement showing shareholding pattern of the No	on Promo	ter- Non	Public sha	areholder													
							Shamah al					No. of Shares	Sharehol ding, as			Number o		
					No. of shares		Sharehol ding % calculate	Number of se		ghts held ir	each	Underlyin g Outstand	assuming	Number o in shares	f Locked	otherwise encumber		Number of equity shares
			No. of fully paid	Partly paid-up	underlyin g		d as per SCRR,	No of Vot	ing Rights			ing convertib	conversio		As a % of		As a % of	held in demateri
		Nos. of	up equity		Depositor	Total nos.			T .		Total as a		convertib		total		total	alised form
				shares	У	shares	% of	Class eg:	Class eg:		% of	securities			Shares		Shares	
	Category & Name of the shareholders	ders	held	held	Receipts	held	(A+B+C2)		y	Total	(A+B+C)	(including				No. (a)	held(b)	
	(1)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(IX)		(X)	(XI)=		(II)		(III)	(XIV)
1	Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity)																	
2	Regulations, 2021	1	3000	0	0	3000	0.0096	3000	0	3000	0.0096	0	0.0096	0	0.0000	NA	NA	3000
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	3000	0	0	3000	0.0096	3000	0	3000	0.0096	0	0.0096	0	0.0000	NA	NA	3000

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

GENERATED ON: 03/07/2024 NSDL: 30/06/2024 CDSL: 30/06/2024



Table V – Details of Significant Beneficial Owners (SBO)

Sr. No.	De	etails of the SI	30	Details o Registered		Details o	of holdings/exero company, wh	Date of creation / acquisition of significant beneficial interest		
	Name	Nationality	Name	Nationality	Shares	Voting rights	Whether by Rights on distributable dividend on any other distribution			
1.	Nirmal Jain and Madhu Jain Collectively	India	Orpheus Trading Private Limited	India	0.17	-	-	No	No	20-10-2017
2.	Nirmal Jain and Madhu Jain Collectively	India	Ardent Impex Private Limited	India	0.35	-	-	No	No	20-10-2017



Table VI – Statement showing foreign ownership limits										
Particulars	Approved Limits (%)	Limits Utilized (%)								
As on shareholding date	100	47.64								
As on the end of previous 1st quarter	100	48.10								
As on the end of previous 2 nd quarter	100	48.77								
As on the end of previous 3 rd quarter	100	49.65								
As on the end of previous 4 th quarter	100	49.64								