

September 02, 2025

To, The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai – 400 001. BSE Scrip Code: 540776	To, The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 th Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai – 400 051. NSE Symbol: 5PAISA
---	---

Dear Sir / Madam,

Sub: Outcome of Circular Resolution passed by the Board of Directors on September 02, 2025:

Ref: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015 ("SEBI Listing Regulations"):

In respect of the above-captioned matter and pursuant to Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we hereby inform you that the Board of Directors of the Company, based on the recommendation of the Nomination and Remuneration Committee, has approved the appointment of Mr. Sudhendoo Ganddhi as the Chief Compliance Officer (Senior Management Personnel) of the Company pursuant to SEBI (Stockbroker) Regulations, 1992 read with applicable SEBI Circulars, with effect from Tuesday, September 02, 2025, by way of a circular resolution passed on September 02, 2025.

Further, in continuation of our earlier intimation dated July 23, 2025, regarding the appointment of Mr. Ravindra Kalvankar as the Interim Compliance Officer of the Company pursuant to Regulation 18A of the SEBI (Stockbroker) Regulations, 1992, we hereby inform you that the Board of Directors of the Company, based on the recommendation of the Nomination and Remuneration Committee, has approved the re-designation of Mr. Ravindra Kalvankar from Interim Compliance Officer to Compliance Officer of the Company under the SEBI (Stockbroker) Regulations, 1992, read with the applicable SEBI circulars, with effect from September 02, 2025.

The requisite disclosure as required as per the requirement of Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024, are given as **Annexure – A** and **Annexure - B**.

The same is also available on the website of the Company at www.5paisa.com.

We kindly request you to take the above information on record.

Thanking You,
Yours faithfully,

For 5paisa Capital Limited

Gourav Munjal
Whole Time Director and Chief Financial Officer
DIN: 06360031
Email: csteam@5paisa.com

5paisa Capital Limited

Annexure – A

Disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024.

Sr. No.	Particulars	Details
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Sudhendoo Ganddhi has been appointed as the Chief Compliance Officer (Senior Management Personnel) of the Company pursuant to SEBI (Stockbroker) Regulation, 1992 read with the applicable SEBI circulars with effect from Tuesday, September 02, 2025.
2.	Date of appointment /cessation (as applicable)	Appointed as Chief Compliance Officer (Senior Management Personnel) w.e.f. September 02, 2025
3.	Term of Appointment	Full-time employment
4.	Brief profile (in case of appointment).	<p>Mr. Sudhendoo Ganddhi is an accomplished and results-driven senior management executive with over 33 years of progressive experience in the financial services industry. His expertise spans Capital Markets, Custodial Services, Depository Participant Operations, Stock Exchange Management, NRI Banking and Investment Advisory.</p> <p>With a proven track record of driving governance and operational excellence, Mr. Ganddhi has consistently demonstrated strategic leadership and innovation across diverse domains of the financial sector. Over the course of his career, he has held several key positions, including Principal Officer, Compliance Officer, Chief Operating Officer, Head of Operations, Manager – F&O Clearing in leading Financial Institutions / Broking Firms / Stock Exchanges.</p>
5.	Disclosure of relationships between directors (in case of appointment of a director).	Not Applicable
6.	Information as required under BSE circular Number LIST/COM/14/2018-19 and NSE circular no. NSE/CML/2018/24 dated June 20, 2018.	Not Applicable

5paisa Capital Limited

Annexure – B

Disclosure of information pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024.

Sr. No.	Particulars	Details
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Mr. Ravindra Kalvankar, who was appointed as the Interim Compliance Officer of the Company pursuant to Regulation 18A of the SEBI (Stockbroker) Regulations, 1992, has been re-designated from Interim Compliance Officer to Compliance Officer of the Company pursuant to SEBI (Stockbroker) Regulation, 1992 read with the applicable SEBI circulars with effect from September 02, 2025.
2.	Date of appointment / cessation (as applicable)	Re-designated from Interim Compliance Officer to Compliance officer w.e.f. September 02, 2025.
3.	Term of Appointment	Full-time employment
4.	Brief profile (in case of appointment).	<p>Mr. Ravindra Kalvankar holds a bachelor's degree in commerce from Mumbai University and is a qualified Law Graduate as well as a Company Secretary. Mr. Ravindra has also cleared NISM-Series-III A: Securities Intermediaries Compliance (Non-Fund) Certification Examination.</p> <p>Mr. Ravindra has more than 12 years of rich experience in the broking industry and has been associated with 5paise for more than a year, contributing significantly to the firm's compliance and regulatory framework.</p> <p>Prior to joining 5paise, Mr. Ravindra was associated with Stock Exchanges and various Brokerage Firms. He has strong proficiency in regulatory compliance and has effectively managed and overseen various types of inspections and audits.</p> <p>Mr. Ravindra also possesses comprehensive expertise in engaging and liaising with principal regulatory authorities, including SEBI stock exchanges, and depositories.</p>
5.	Disclosure of relationships between directors (in case of appointment of a director).	Not Applicable
6.	Information as required under BSE circular Number LIST/COM/14/2018-19 and NSE circular no. NSE/CML/2018/24 dated June 20, 2018.	Not Applicable

5paise Capital Limited