

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: 5paisa Capital Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540776
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Sr. No.	Particulars	Yes*	No*
1.	Whether the Listed Entity has issued any partly paid up shares?		No
2.	Whether the Listed Entity has issued any Convertible Securities ?		No
3.	Whether the Listed Entity has issued any Warrants ?		No
4.	Whether Listed Entity has granted any ESOPs, which are outstanding?	Yes	
5.	Whether the Listed Entity has any shares against which depository receipts are issued?		No
6.	Whether the Listed Entity has any shares in locked-in?		No
7.	Whether any shares held by promoters are encumbered under "Pledged"?		No
8.	Whether any shares held by promoters are encumbered under "Non-Disposal Undertaking"?		No
9.	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?		No
10.	Whether company has equity shares with differential voting rights?		No
11.	Whether the listed entity has any significant beneficial owner?	Yes	

*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON: 17-10-2025 NSDL: 30-09-2025 CDSL: 30-09-2025

5paisa Capital Limited

Registered Office: IIFL House, Sun Infotech Park, Road No.16V, Plot No. B-23, Wagle Estate, Thane - 400604
 Tel.: +91 22 41035000 | E-mail: support@5paisa.com | Website: www.5paisa.com | CIN: L67190MH2007PLC289249

5paisa Capital Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including ESOP, Convertible securities)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged		Non-Disposal Undertaking		Other encumbrances, if any		Total Number of Shares encumbered		Number of equity shares held in dematerialized form
								No. of Voting Rights			Total as a % of (A+B+C)				No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)			
								Class eg: X	Class eg: Y	Total															
																							(X)	(XI)=(VII)+(X)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)				(X)	(XI)=(VII)+(X)	(XII)=	(XIII)		(XIV)		(XV)		(XVI)		(XVII)=(XIV+XV+XVI)		(XVIII)
(A)	Promoter & Promoter Group	8	10230698	0	0	10230698	32.7463	10230698	0	10230698	32.7463	0	10230698	32.7463	0	0.0000	0	0.0000	NA	NA	NA	NA	NA	NA	10230698
(B)	Public	55161	21008640	0	0	21008640	67.2441	21008640	0	21008640	67.2441	0	21008640	67.2441	0	0.0000	NA	NA	NA	NA	NA	NA	NA	NA	21007322
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-	-	-	-	-	-
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	NA	NA	NA	NA	NA	0
(C2)	Shares Held By Employee Trust	1	3000	0	0	3000	0.0096	3000	0	3000	0.0096	0	3000	0.0096	0	0.0000	NA	NA	NA	NA	NA	NA	NA	NA	3000
	Total	55170	31242338	0	0	31242338	100.0000	31242338	0	31242338	100.0000	0	31242338	100.0000	0	0.0000	0	0.0000	NA	NA	NA	NA	NA	NA	31241020

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5paisa Capital Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr. No.	Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding Convertible securities (including	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible securities)	Shareholding % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged		Non-Disposal Undertaking		Other encumbrances, if any		Total Number of Shares encumbered		Number of equity shares held in dematerialized form	
									No. of Voting Rights						Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total															
	(I)	(II)	(IV)	(V)	(VI)	(VII)	(VIII) =	(IX) As a % of (VIII)	(X)	(XI)	(XII) = (XIII) + (XIV)	(XV)	(XVI)	(XVII)	(XVIII) = (XIV) + (XV) + (XVI)	(XIX)										
1	Indian		6	10070698	0	0	10070698	32.2341	10070698	0	10070698	32.2341	0	10070698	32.2341	0	0.0000	0	0.0000	-	-	-	-	-	10070698	
(a)	Individuals / Hindu Undivided Family		1	6205088	0	0	6205088	19.8612	6205088	0	6205088	19.8612	0	6205088	19.8612	0	0.0000	0	0.0000	-	-	-	-	-	6205088	
	Nirmal Bhanwarlal Jain	Promoters	1	1845016	0	0	1845016	5.9055	1845016	0	1845016	5.9055	0	1845016	5.9055	0	0.0000	0	0.0000	-	-	-	-	-	1845016	
	Madhu N Jain	Promoters	1	1269594	0	0	1269594	4.0637	1269594	0	1269594	4.0637	0	1269594	4.0637	0	0.0000	0	0.0000	-	-	-	-	-	1269594	
	Venkataraman Rajamani	Promoters	1	720000	0	0	720000	2.3046	720000	0	720000	2.3046	0	720000	2.3046	0	0.0000	0	0.0000	-	-	-	-	-	720000	
	Aditi Avinash Athavankar (in the capacity as Trustee of Kalk Family Private Trust)	Promoters	1	16000	0	0	16000	0.0512	16000	0	16000	0.0512	0	16000	0.0512	0	0.0000	0	0.0000	-	-	-	-	-	16000	
	Aditi Athavankar	Promoters	1	15000	0	0	15000	0.0480	15000	0	15000	0.0480	0	15000	0.0480	0	0.0000	0	0.0000	-	-	-	-	-	15000	
	Mansukhlal Jain & Pritesh Mehta (in their capacity as Trustee of Nirmal Madhu Family Private Trust)	Promoters	1	15000	0	0	15000	0.0480	15000	0	15000	0.0480	0	15000	0.0480	0	0.0000	0	0.0000	-	-	-	-	-	15000	
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	-	-	-	-	-	0	
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	-	-	-	-	-	0	
(d)	Any Other (Specify)		2	160000	0	0	160000	0.5121	160000	0	160000	0.5121	0	160000	0.5121	0	0.0000	0	0.0000	-	-	-	-	-	160000	
	Persons Acting In Concert		2	160000	0	0	160000	0.5121	160000	0	160000	0.5121	0	160000	0.5121	0	0.0000	0	0.0000	-	-	-	-	-	160000	
	Ardent Impex Private Limited	Promoter Group	1	108000	0	0	108000	0.3457	108000	0	108000	0.3457	0	108000	0.3457	0	0.0000	0	0.0000	-	-	-	-	-	108000	
	Orpheus Trading Private Limited	Promoter Group	1	52000	0	0	52000	0.1664	52000	0	52000	0.1664	0	52000	0.1664	0	0.0000	0	0.0000	-	-	-	-	-	52000	
	Sub Total (A)(1)		8	10230698	0	0	10230698	32.7463	10230698	0	10230698	32.7463	0	10230698	32.7463	0	0.0000	0	0.0000	-	-	-	-	-	10230698	
2	Foreign																									
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	-	-	-	-	-	0	
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	-	-	-	-	-	0	
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	-	-	-	-	-	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	-	-	-	-	-	0	
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	-	-	-	-	-	0	
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	-	-	-	-	-	0	
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		8	10230698	0	0	10230698	32.7463	10230698	0	10230698	32.7463	0	10230698	32.7463	0	0.0000	0	0.0000	-	-	-	-	-	10230698	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, as amended from time to time.

3) Details of promoter and promoter group with shareholding "Nil" can be accessed from here.

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Table III – Statement showing shareholding pattern of the Public shareholders																																																																																																																																																																																																																																																																																																							
Sr. No.	Category & Name of the shareholders	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including ESOP, Convertible)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible)	Shareholding % of diluted basis (including ESOP, Convertible)	Number of Locked in shares	Number of Shares pledged	Non-Disposal Undertaking	Other encumbrances, if any	Total Number of Shares encumbered	Number of equity shares held in dematerialized form	Sub-categorization of shares under																																																																																																																																																																																																																																																																																			
								No. of Voting Rights												Total as a % of Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares	%
2	160000	0.5121

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
0	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category (5) Sub-categorization of shares under column no. (XXI) will be based on shareholding (no. of shares) under the following sub-categories:
 - (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.
 - (ii) Shareholder who have entered into shareholder agreement with the listed entity.
 - (iii) Shareholders acting as persons in concert with promoters.

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5paisa Capital Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholders

Sr. No.	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including ESOP, Convertible securities)	Total No of shares on fully diluted basis (including warrants, ESOP, Convertible securities)	Shareholding % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged		Non-Disposal Undertaking		Other encumbrances, if any		Total Number of Shares encumbered		Number of equity shares held in dematerialized form								
								No of Voting Rights			Total as a % of (A+B+C)				No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)									
								Class eg: X	Class eg: y	Total																No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)
	(i)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a % of (A+B+C2)	(ix)				(x)	(xi)=(vii)+(x)	(xii)=	(xiii)		(xiv)		(xv)		(xvi)		(xvii)=(xiv)+(xv)+(xvi)		(xviii)								
1	Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	NA	NA	NA	NA	NA	NA	0								
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021	1	3000	0	0	3000	0.0096	3000	0	3000	0.0096	0	3000	0.0096	0	0.0000	NA	NA	NA	NA	NA	NA	NA	NA	3000								
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	1	3000	0	0	3000	0.0096	3000	0	3000	0.0096	0	3000	0.0096	0	0.0000	NA	NA	NA	NA	NA	NA	NA	NA	3000								

Note :

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

GENERATED ON: 17/10/2025

NSDL: 30/09/2025

CDSL: 30/09/2025

5paisa Capital Limited

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 Tel.: +91 22 41035000 | E-mail: support@5paisa.com | Website: www.5paisa.com | CIN: L67190MH2007PLC289249

Table V – Details of Significant Beneficial Owners (SBO)

Sr. No.	Details of the SBO			Details of the Registered owner		Details of holdings/exercise of right of the SBO in reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares	Voting rights	Rights on distributable dividend on any other distribution	Exercise of control	Exercise of significant influence	
1.	Nirmal Jain and Madhu Jain Collectively	India	Orpheus Trading Private Limited	India	0.17	-	-	No	No	20-10-2017
2.	Nirmal Jain and Madhu Jain Collectively	India	Ardent Impex Private Limited	India	0.35	-	-	No	No	20-10-2017

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Table VI – Statement showing foreign ownership limits		
Particulars	Approved Limits (%)	Limits Utilized (%)
As on shareholding date	100	46.61
As on the end of previous 1 st quarter	100	46.58
As on the end of previous 2 nd quarter	100	46.55
As on the end of previous 3 rd quarter	100	46.55
As on the end of previous 4 th quarter	100	46.54

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