

CAUTION FOR INVESTORS

Dear Investors,

With an intent to protect the interest of the investors against fraudulent and manipulative trade practices adopted by certain entities like engaging in dabba trading or providing assured profits / returns etc., NSE issues advisory notices to its members / investors to caution them against such entities and warns them against dealing with these entities or subscribing to any schemes floated by such entities.

NSE, vide its various press releases has cautioned the investors / members against dealing with various entities, list of which is available in the link below:

Link: [Advisory Note Entity list.xlsx](#)

All the clients of 5paisa Capital Limited are hereby requested to ensure that you do not deal with such unregistered intermediaries or subscribe to any schemes or products offering fixed or higher returns.

Further, all the clients are hereby cautioned that they should not share their trading credentials i.e. login id and pass word with anybody other person as the same may result in unauthorized trades in your account and you shall be solely responsible for the losses incurred therein.

Please note that as warned by the Exchange, participation in illegal trading platforms will be at your own risk, cost and consequences as such schemes are neither approved nor endorsed by the exchange.

If there are any disputes relating to such prohibited schemes, none of the following resources will be available to you: -

- I. Benefits of investor protection under Exchange's Jurisdiction.
- II. Exchange dispute resolution mechanism.
- III. Investor grievance redressal mechanism administered by Exchange.

A list of all the advisories issued by NSE is available on the link on the website of NSE.

<https://www.nseindia.com/resources/exchange-communication-press-releases>

Investors are requested to kindly go through the same periodically to ensure that you are not associated with any of the entities against whom NSE has issued the Advisory notices.

In case of queries, feel free to get in touch at support@5paisa.com.

5paisa Capital Limited