

Advanced Spectrum Policy Course Lecture 2: Federal Spectrum Policy Fall 2025

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## Advanced Spectrum Policy

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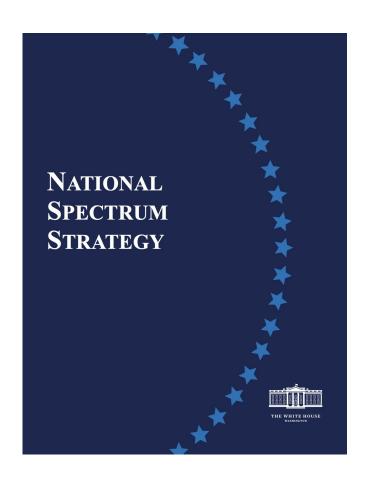
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## 2.1.1. National Spectrum Strategy 2024 (Nov. 13, 2023)



The National Spectrum Strategy (NSS), prepared by the NTIA under the Biden Administration, is a comprehensive approach to modernize spectrum policy and maintain U.S. leadership in wireless technologies. The NSS emphasizes collaboration between government agencies and the private sector, establishing four pillars focused on creating a spectrum pipeline, long-term collaborative planning, fostering technological innovation in spectrum access and management, and expanding spectrum expertise and awareness.



## 2.1.1. National Spectrum Strategy 2024 (Nov. 13, 2023)



	Table 1: Ongoing Efforts to Study Spectrum Bands		
Focus	Status		
Supplemental Coverage from Space	In March 2023, the FCC proposed a new regulatory framework for supplemental terrestrial wireless coverage from space, through which satellite operators and terrestrial providers would coordinate to operate space stations on currently licensed, flexible-use spectrum to expand coverage to the terrestrial provider's subscribers.		
5030-5091 MHz	In January 2023, the FCC sought comment on service rules to support safety-critical unmanned aircraft system (UAS) communications links, including control and non-payload communication (CNPC) operations in the band, noting that service rules to facilitate UAS likely will require development in phases.		
12 GHz	In May 2023, the FCC took steps to expand the use of 1,050 megahertz of mid-ban spectrum by a diverse set of users. Specifically, the FCC ensured that current and future satellite services will be preserved and protected in the 12.2-12.7 GHz band (the "Lower 12 GHz band"), while exploring expanded fixed licensed or unlicensec use of the band. The FCC is further considering options for flexible use of the 12.7 13.25 GHz band (the "Upper 12 GHz band"), which has in-band and adjacent-band federal operations that may need to be protected.		
42 GHz	In June 2023, the FCC began the next phase of a proceeding to explore how spectrum in the 42 GHz band (42-42.5 GHz) might be made available through one of several innovative, non-exclusive spectrum access models.		
60 GHz	In May 2023, the FCC adopted rules expanding opportunities for unlicensed mobile radar operations in the 57-71 GHz band. The new rules permit mobile field disturbance sensor operations throughout the 60 GHz band and established technical rules for pulse radars. The rule changes also allow unlicensed radars to operate on unmanned aircraft in the 60-64 GHz segment of the band when operated at certain low-flying altitudes.		

National Spectrum
Strategy, at p. 5,
<a href="https://www.ntia.gov/sites/default/files/publications/national\_spectrum\_strategy\_final.pdf">https://www.ntia.gov/sites/default/files/publications/national\_spectrum\_strategy\_final.pdf</a>





## 2.1.1. National Spectrum Strategy 2024 - 2,790 MHz for further study

- Lower 3 GHz (3.1-3.45 GHz): Pursuant to the Infrastructure Investment and Jobs Act of 2021, the Department of Defense (DoD) has studied the possibility of sharing this 350 megahertz of spectrum with the private sector. DoD's studies helped to determine whether this band should be reallocated for shared Federal and non-Federal use and licensed through auction. DoD determined that sharing is feasible if certain advanced interference-mitigation features and a coordination framework to facilitate spectrum sharing are put in place. The Departments of Commerce and Defense will co-lead any follow-on studies to the Emerging Mid-band Radar Spectrum Study (EMBRSS) that focus on future use of the 3.1-3.45 GHz band. Additional studies will explore dynamic spectrum sharing and other opportunities for private-sector access in the band, while ensuring DoD and other Federal mission capabilities are preserved, with any necessary changes.
- 5030-5091 MHz: The FCC, in coordination with NTIA and the Federal Aviation Administration, is expected to take near-term action to facilitate limited deployment of UAS in this band. Thereafter, this 61 megahertz of spectrum will be studied so that the FCC can optimize UAS spectrum access across the band while avoiding harmful interference to other protected in-band and adjacent-band operations.
- 7125-8400 MHz: This 1,275 megahertz of spectrum will be studied for wireless broadband use (on a licensed and/or unlicensed basis), though some sub-bands eventually may be studied for other uses. There are, however, a variety of mission-critical Federal operations in this band (including Fixed, Fixed Satellite, Mobile, Mobile Satellite, Space Research, Earth Exploration Satellite, and Meteorological Satellite services) that will make it challenging to repurpose portions of the band while protecting incumbent users from harmful interference.

National Spectrum Strategy, at p. 6-7, <a href="https://www.ntia.gov/sites/default/files/publications/national\_spectrum\_strategy\_final.pdf">https://www.ntia.gov/sites/default/files/publications/national\_spectrum\_strategy\_final.pdf</a>





## 2.1.1. National Spectrum Strategy 2024 - 2,790 MHz for further study

- 18.1-18.6 GHz: This 500 megahertz of spectrum will be studied for expanded Federal and non-Federal satellite operations, consistent with the U.S. position at the 2023 World Radiocommunication Conference (WRC-23), which would add space-to-space allocations to this band (among others). Fixed Satellite Service downlink operations are currently authorized in the band. In addition, non-Federal Fixed Service is authorized in the 18.1-18.3 GHz segment of the band.
- <u>37.0-37.6 GHz</u>: Building on prior collaborative efforts of NTIA, DoD and the FCC, this 600 megahertz of spectrum will be further studied to implement a co-equal, shared-use framework allowing Federal and non-Federal users to deploy operations in the band.

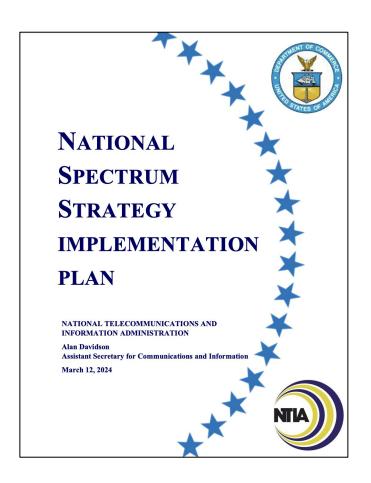
National Spectrum Strategy, at p. 6-7,

https://www.ntia.gov/sites/default/files/publications/national\_spectrum\_strategy\_final.pdf





## 2.1.2. National Spectrum Strategy Implementation Plan 2024



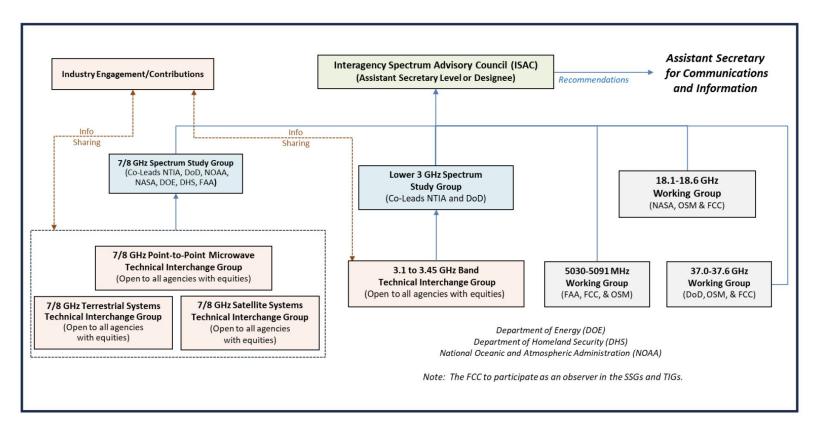
The National Spectrum Strategy Implementation Plan, is a detailed roadmap for achieving the goals outlined in the broader National Spectrum Strategy. It is organized around four key pillars aimed at ensuring U.S. leadership in wireless technologies, fostering long-term collaborative spectrum planning, promoting innovation in spectrum access and management, and expanding expertise in the field.

For each strategic objective within these pillars, the plan identifies specific, measurable outcomes, along with the responsible federal agencies, contributing stakeholders, and estimated timelines for completion, emphasizing a commitment to a transparent and collaborative approach to spectrum management.



## 2.1.2. National Spectrum Strategy Implementation Plan 2024

#### **Organizational Structure**



National Spectrum Strategy Implementation Plan, Mar. 12, 2024, at p. A-2, <a href="https://www.ntia.gov/sites/default/files/publications/national-spectrum-strategy-implementation-plan.pdf">https://www.ntia.gov/sites/default/files/publications/national-spectrum-strategy-implementation-plan.pdf</a>





## NATIONAL SPECTRUM RESEARCH AND DEVELOPMENT PLAN

A Report By

WIRELESS SPECTRUM RESEARCH AND DEVELOPMENT INTERAGENCY WORKING GROUP

NETWORKING AND INFORMATION TECHNOLOGY RESEARCH AND DEVELOPMENT SUBCOMMITTEE

of the

NATIONAL SCIENCE AND TECHNOLOGY COUNCIL

October 2024

The National Spectrum Research and Development Plan, is the U.S. government's strategy for advancing innovation in the use and management of radio frequencies. Prepared by the Networking and Information Technology Research and Development (NITRD) Subcommittee under the National Science and Technology Council (NSTC), it identifies key research areas and priorities aimed at improving spectrum efficiency and access.





This Plan identifies key opportunities for spectrum-related research and development. Thirteen opportunities were identified as the highest priority.

Highest priority innovation areas for use-inspired research:

- Interference and Noise Resilience
- Interference Impact Prediction
- Sharing with Critical Systems
- Regulatory Options
- Advanced Spectrum Management Processes

Highest priority innovation areas for fundamental research:

- Agile Front Ends and Antennas
- Spectrum Utilization Optimization
- Undesired Signal Prediction
- Spectrum Sharing Control
- · Cost of Interference

Highest priority research accelerators:

- Public Datasets
- Testbeds and Testing Frameworks
- Spectrum Technology Readiness Level (TRL) Pipeline Review

Highest priority organizational improvements:

- Researcher Rotations into Regulatory Organizations
- Focused Research to Inform Regulatory Decisions
- Band Studies as Transition Opportunities
- Spectrum Engineering Task Force

National Spectrum Research and Development Plan, Oct. 2024, at v, <a href="https://www.nitrd.gov/pubs/National-Spectrum-RD-Plan-2024.pdf">https://www.nitrd.gov/pubs/National-Spectrum-RD-Plan-2024.pdf</a>.







#### Spotlight 2.2: Artificial Intelligence and Machine Learning (AI/ML) in Spectrum R&D

AI/ML capabilities are a tool applicable to many of the key innovation areas listed in this National Spectrum R&D Plan. Some potential AI/ML applications include:

Agile front ends and antennas Accelerate exploration of innovative hardware designs

Receiver interference rejection Integrate diverse sensed and received data streams, control

sophisticated rejection strategies

Millimeter wave and above Integrate diverse situational awareness data, use it to

overcome propagation challenges

Flexible waveform capabilities Control waveform adaptation, synthesize new waveforms

Reliable service from variable

spectrum

Predict imminent changes in spectrum availability

Propagation modeling Incorporate new information sources such as big-data GIS,

foliage maps, high-resolution topography, and building data

Spectrum situational awareness at

scale

Interpretation and interpolation of available data streams, control available resources for maximum situation awareness

payoff

Spectrum data analysis Analyze spectrum data in real time to drive automated

decisions for efficient spectrum usage

Safe sharing of sensitive information Generate synthetic data that preserves critical information

while obscuring sensitive information

Spectrum sharing control Detect and respond to failures and attacks

Fast interference management Detect interference, analyze source, plan response

National Spectrum Research and Development Plan, Oct. 2024, at 13, <a href="https://www.nitrd.gov/pubs/National-Spectrum-RD-Plan-2024.pdf">https://www.nitrd.gov/pubs/National-Spectrum-RD-Plan-2024.pdf</a>.







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National Spectrum Research and Development Plan, Oct. 2024, at 38–39, <a href="https://www.nitrd.gov/pubs/">https://www.nitrd.gov/pubs/</a> National-Spectrum-RD-Plan-2 024.pdf.





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National Spectrum Research and Development Plan, Oct. 2024, at 38–39, <a href="https://www.nitrd.gov/pubs/">https://www.nitrd.gov/pubs/</a> National-Spectrum-RD-Plan-2 024.pdf.



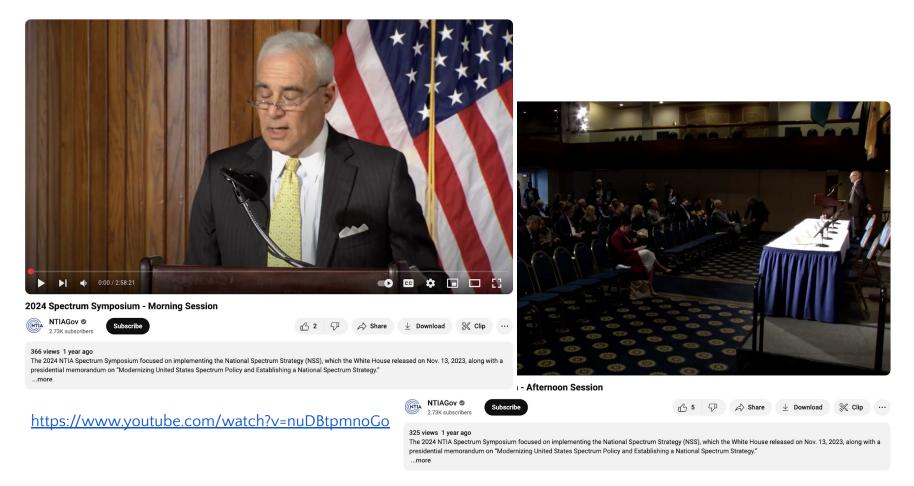


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National Spectrum Research and Development Plan, Oct. 2024, at 38–39, https://www.nitrd.gov/pubs/ National-Spectrum-RD-Plan-2 024.pdf.



## 2.1.4. NTIA Spectrum Symposium 2024 (Feb. I, 2024)



https://www.youtube.com/watch?v=ssCZx-BJve4



## 2.2. Congressional Oversight

- 2.2.1. U.S. House of Representatives Energy and Commerce Committee, Subcommittee on Communications
- 2.2.2. Senate Commerce Committee Subcommittee on Telecommunications





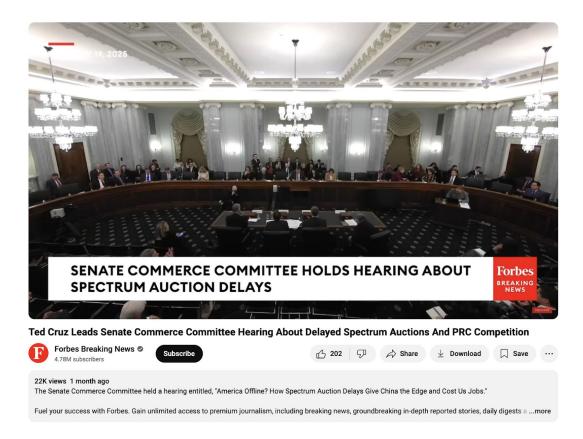


# 2.2.1. U.S. House of Representatives Energy and Commerce Committee, Subcommittee on Communications



House Committee on Energy and Commerce, "C&T Subcommittee Hearing: Strengthening American Leadership in Wireless Technology." Jan. 23, 2025, <a href="https://www.youtube.com/watch?v=83sZKRdh94w">https://www.youtube.com/watch?v=83sZKRdh94w</a>

# 2.2.2. Senate Commerce Committee Subcommittee on Telecommunications



Senate Commerce Committee, Hearing on Spectrum Auction Delays, Feb. 19, 2025, <a href="https://www.youtube.com/watch?v=jpNPjPHODiU">https://www.youtube.com/watch?v=jpNPjPHODiU</a>.

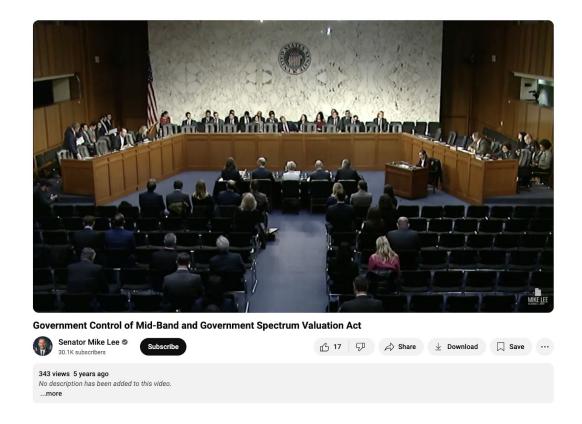
## 2.2.2. Senate Commerce Committee Subcommittee on Telecommunications



Senate Commerce Committee, Hearing on Spectrum and National Security, <a href="https://www.youtube.com/watch?v=UUkb3aNvgDc">https://www.youtube.com/watch?v=UUkb3aNvgDc</a>.

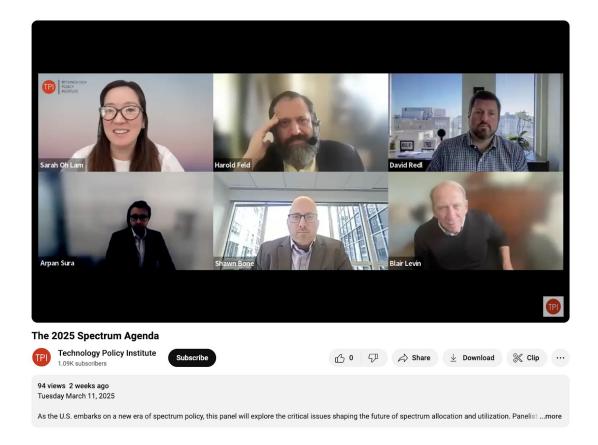


# 2.2.2. Senate Commerce Committee Subcommittee on Telecommunications



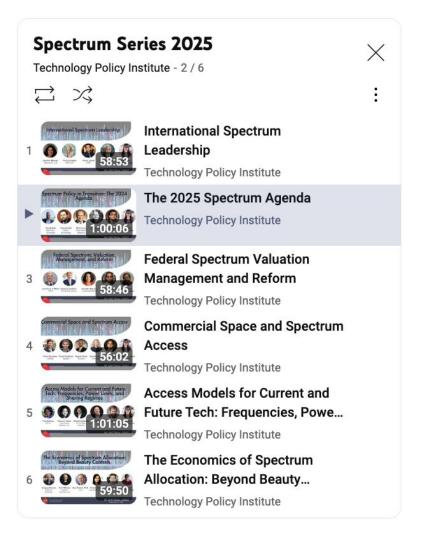
Senate Commerce Committee Hearing on Mid-Band and Government Spectrum Valuation Act, <a href="https://www.youtube.com/watch?v=J-kqZRXyHig">https://www.youtube.com/watch?v=J-kqZRXyHig</a>.

### 2.3. Research Institutions



Technology Policy Institute, Panel on the 2025 Spectrum Agenda, Mar. 11, 2025, <a href="https://www.youtube.com/watch?v=1PEhhqiccE0&list=PLIbOnBe9IRS8gL5lbTtAbu504fVczfuzm">https://www.youtube.com/watch?v=1PEhhqiccE0&list=PLIbOnBe9IRS8gL5lbTtAbu504fVczfuzm</a>.

### 2.3. Research Institutions

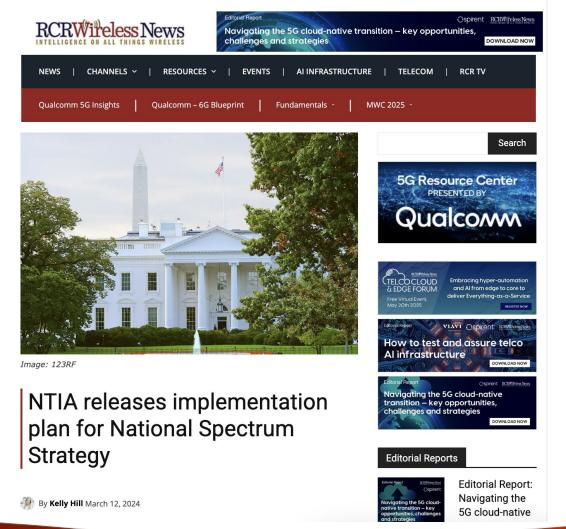


Technology Policy Institute, Winter Spectrum Series, Panels, <a href="https://www.youtube.com/watch?v=kbfywlk8Blg&list=PLIbOnBe9lRS8gL5lbTtAbu504fvczfuzm">https://www.youtube.com/watch?v=kbfywlk8Blg&list=PLIbOnBe9lRS8gL5lbTtAbu504fvczfuzm</a>.





#### 2.4. In the News



Kelly Hill, "NTIA Releases Implementation Plan for National Spectrum Strategy," RCR Wireless, Mar. 20, 2024,

https://www.rcrwireless.com/202403 12/featured/ntia-releases-implementa tion-plan-for-national-spectrum-strat egy





## 2.5. Assignment

Read the National Spectrum Strategy, Implementation Plan, and R&D Plan. How do you think the federal government will decide on priorities and which bands to release to the commercial sector? Will the process be fast or slow? What do you think about the R&D Plan?

- National Spectrum Strategy, Nov. 13, 2023, <a href="https://www.ntia.gov/sites/default/files/publications/national\_spectrum\_strategy\_final.pdf">https://www.ntia.gov/sites/default/files/publications/national\_spectrum\_strategy\_final.pdf</a>
- National Spectrum Strategy Implementation Plan, Mar. 12, 2024, <a href="https://www.ntia.gov/sites/default/files/publications/national-spectrum-strategy-implementation-plan.pdf">https://www.ntia.gov/sites/default/files/publications/national-spectrum-strategy-implementation-plan.pdf</a>.
- National Spectrum Research and Development Plan, Oct. 2024, <a href="https://www.nitrd.gov/pubs/National-Spectrum-RD-Plan-2024.pdf">https://www.nitrd.gov/pubs/National-Spectrum-RD-Plan-2024.pdf</a>.



## 2.6. Learning Objectives

Analyze the key components of the 2024 National Spectrum Strategy and its implementation, including the roles of federal agencies and Congress in shaping U.S. spectrum policy. Understand how research institutions and oversight bodies contribute to the development and governance of national spectrum initiatives.









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