



## Complaint Form

## Introduction

Maintaining your trust and making sure you remain satisfied with our services is our top priority. We look forward to hearing from you when you have a problem so that we can resolve it and retain your confidence. At the same time, we use your feedback to continually improve the quality of products and services we provide to you and other clients.

To officially make a complaint with AgentRisk, please fill in the following form (pages 2, 3, 4), making sure you fill in all fields and send it to us via email or mail at the following addresses and we will get back to you within 3 working days from receipt with a receipt acknowledgement and the first steps towards a solution.

Email: [support@agentrisk.com](mailto:support@agentrisk.com)

Mail: **Jon V, Inc. d/b/a AgentRisk**  
**3965 Beethoven Street**  
**Los Angeles, CA 90066 USA**

Most complaints are typically solved within 10 working days. If it takes longer, we will contact you and follow up accordingly. Thank you in advance for your patience and understanding.

# AgentRisk Complaint Form

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## Tell us about yourself

Please make sure you fill in all fields marked \*.

Title*	
First Name*	
Middle Name	
Last Name*	
Street Address*	
City*	
State (required if US or Canada)	
Zip/Postal code*	
Country*	
Phone number*	
Email*	
Are you a*	Individual Investor Registered Representative Accountant Other Financial Professional Issuer/Attorney for an Issuer Brokerage Firm Compliance Officer Academic/Researcher/Student Member of the Press

**Tell Us About Your Complaint**

Please describe your complaint in as much detail as possible, including the full name(s) on the account, the exact type of account, the dates of specific transactions or conversations, the name or ticker symbol of the security(ies) involved, and the names of all the people at the firm you have contacted about this complaint.

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What types of documents would you be able to provide us if requested?

- Correspondence to and from Firm
- Advertising or Marketing Materials
- Notes of conversation with Firm
- Other

**Tell Us What Action You Have Taken**

Have you contacted any other regulators?

- Yes
- No

If yes, whom?

- FINRA (Financial Industry Regulatory Authority)
- State Regulators
- Other Federal Regulators
- Foreign Regulators
- Stock Exchange
  - NYSE
  - AMEX
  - NASDAQ
- Other

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Have you taken legal action?

- Yes
- No

If so, what type:

- Mediation
- Arbitration
- Court Action

Describe the details of the legal action you have taken.

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