



Shenzhen CTA Testing Technology Co., Ltd.

Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street, Bao'an District, Shenzhen, China

TEST REPORT

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Eric Wang

Date of issue.....: Apr. 28, 2025

Testing Laboratory Name .....: Shenzhen CTA Testing Technology Co., Ltd.

Address.....: Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street, Bao'an District, Shenzhen, China

Applicant's name .....: Shenzhen Haochuangyi Technology Co.,Ltd

Address.....: 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen, China

Test specification ..... :

Standard.....: ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55032:2015+A11:2020 EN 55035:2017+A11:2020 EN IEC 61000-3-2:2019/A2:2024 EN 61000-3-3:2013/A2:2021/AC:2022

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Test item description .....: H96Max S905L3

Trade Mark .....: H96 Max

Manufacturer .....: Shenzhen Haochuangyi Technology Co.,Ltd

Model/Type reference.....: H96Max S905L3

List Model .....: N/A

Hardware version .....: V1.0

Software version.....: V1.0

Ratings.....: Input: 12V===1.0A, From AC ADAPTER 100-240V~ 50/60Hz 0.3A

Result.....: PASS

## TEST REPORT

Equipment under Test : H96Max S905L3

Model /Type : H96Max S905L3

Listed Models : N/A

**Applicant** : **Shenzhen Haochuangyi Technology Co.,Ltd**

Address : 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen, China

**Manufacturer** : **Shenzhen Haochuangyi Technology Co.,Ltd**

Address : 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen, China

<b>Test Result</b>	<b>PASS</b>
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The test report merely corresponds to the test sample.

It is not permitted to copy extracts of these test result without the written permission of the test laboratory.

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## **1. TEST STANDARDS**

The tests were performed according to following standards:

[ETSI EN 301 489-1 V2.2.3 \(2019-11\)](#)—ElectroMagnetic Compatibility (EMC) standard for radio equipment and services; Part 1: Common technical requirements; Harmonised Standard for ElectroMagnetic Compatibility

[ETSI EN 301 489-17 V3.3.1 \(2024-09\)](#)—ElectroMagnetic Compatibility (EMC) standard for radio equipment and services; Part 17: Specific conditions for Broadband Data Transmission Systems; Harmonised Standard for ElectroMagnetic Compatibility

[EN 55032:2015+A11:2020](#)- Electromagnetic compatibility of multimedia equipment - Emission Requirements

[EN 55035:2017+A11:2020](#)- Electromagnetic compatibility of multimedia equipment - Immunity requirements

[EN IEC 61000-3-2:2019/A2:2024](#)-Electromagnetic compatibility (EMC) - Part 3-2: Limits - Limits for harmonic current emissions (equipment input current  $\leq 16$  A per phase)

[EN 61000-3-3:2013/A2:2021/AC:2022](#)- Electromagnetic compatibility (EMC) - Part 3-3: Limits - Limitation of voltage changes, voltage fluctuations and flicker in public low-voltage supply systems, for equipment with rated current  $\leq 16$  A per phase and not subject to conditional connection



## 2. SUMMARY

### 2.1. General Remarks

Date of receipt of test sample	:	Apr. 18, 2025
Testing commenced on	:	Apr. 18, 2025
Testing concluded on	:	Apr. 28, 2025

### 2.2. Product Description

Product Name:	H96Max S905L3
Model/Type reference:	H96Max S905L3
Power supply:	Input: 12V --- 1.0A, From AC ADAPTER
Adapter information	Model: MR-FA0171200100EU Input: AC 100-240V 50/60Hz 0.3A Output: DC 12.0V 1.0A
BR/EDR	
Operation frequency	2402MHz-2480MHz
BT Modulation Type	GFSK, $\pi$ /4DQPSK, 8DPSK
Bluetooth	Supported BR+EDR
Channel number:	79
2.4G WIFI	
WLAN	Supported 802.11b/802.11g/802.11n HT20/802.11n HT40
WLAN CE Operation frequency	IEEE 802.11b:2412-2472MHz IEEE 802.11g:2412-2472MHz IEEE 802.11n HT20:2412-2472MHz IEEE 802.11n HT40:2422-2462MHz
WLAN CE Modulation Type	IEEE 802.11b: DSSS(CCK,DQPSK,DBPSK) IEEE 802.11g: OFDM(64QAM, 16QAM, QPSK, BPSK) IEEE 802.11n HT20: OFDM (64QAM, 16QAM, QPSK,BPSK) IEEE 802.11n HT40: OFDM (64QAM, 16QAM, QPSK,BPSK)
Channel number:	13

### 2.3. EUT operation mode

Test mode	WIFI	BT	LAN	USB	AV	HDMI	Adapter
1	■	■		■		■	■
2		■	■	■		■	■
3	■	■		■	■		■
4		■	■	■	■		■

Note:

1. ■ is operation mode.
2. Note: We tested all Modes and recorded the worst case as follow:

Test item	Test mode (Worse case mode)
Conducted emission(AC MAIN)	Mode 1
Conducted emission (Network)	Mode 2
Radiated emission	Mode 1
EMS	Mode 1

## 2.4. EUT configuration

The following peripheral devices and interface cables were connected during the measurement:

- - supplied by the manufacturer
- - supplied by the lab

<input type="radio"/> Color display	Model	F27T350FHC
<input type="radio"/> Keyboard	Model	K120
<input type="radio"/> Mouse	Model	MS116T1

## 2.5. Modifications

No modifications were implemented to meet testing criteria.

### 3. TEST ENVIRONMENT

#### 3.1. Address of the test laboratory

Shenzhen CTA Testing Technology Co., Ltd.  
Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street, Bao'an District,  
Shenzhen, China

#### 3.2. Test Facility

The test facility is recognized, certified, or accredited by the following organizations:

**FCC-Registration No.: 517856 Designation Number: CN1318**

Shenzhen CTA Testing Technology Co., Ltd. has been listed on the US Federal Communications Commission list of test facilities recognized to perform electromagnetic emissions measurements.

**A2LA-Lab Cert. No.: 6534.01**

Shenzhen CTA Testing Technology Co., Ltd. has been listed by American Association for Laboratory Accreditation to perform electromagnetic emission measurement.

The 3m-Semi anechoic test site fulfils CISPR 16-1-4 according to ANSI C63.10 and CISPR 16-1-4:2010.

#### 3.3. Environmental conditions

During the measurement the environmental conditions were within the listed ranges:

Normal Temperature:	25°C
Lative Humidity	55 %
Air Pressure	989 hPa

#### 3.4. Test Description

<b>Emission Measurement</b>		
Radiated Emission	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55032:2015+A11:2020	PASS
Conducted Emission( AC Mains)	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55032:2015+A11:2020	PASS
Harmonic Current Emissions	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN IEC 61000-3-2:2019/A2:2024	N/A
Voltage Fluctuations and Flicker	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 61000-3-3:2013/A2:2021/AC:2022	N/A
<b>Immunity Measurement</b>		
Electrostatic Discharge	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55035:2017+A11:2020	PASS
RF Electromagnetic Field	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55035:2017+A11:2020	PASS
Fast Transients Common Mode	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55035:2017+A11:2020	PASS
RF Common Mode 0,15 MHz to 80 MHz	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55035:2017+A11:2020	PASS

Voltage Dips and Interruptions	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55035:2017+A11:2020	PASS
Surges	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55035:2017+A11:2020	PASS

Remark:1. N/A means “not applicable”.

2.The measurement uncertainty is not included in the test result.

### 3.5. Statement of the measurement uncertainty

The data and results referenced in this document are true and accurate. The reader is cautioned that there may be errors within the calibration limits of the equipment and facilities. The measurement uncertainty was calculated for all measurements listed in this test report acc. to TR-100028-01” Electromagnetic compatibility and Radio spectrum Matters (ERM);Uncertainties in the measurement of mobile radio equipment characteristics; Part 1” and TR-100028-02 “Electromagnetic compatibility and Radio spectrum Matters (ERM);Uncertainties in the measurement of mobile radio equipment characteristics; Part 2 “ and is documented in the Shenzhen CTA Testing Technology Co., Ltd. quality system acc. to DIN EN ISO/IEC 17025. Furthermore, component and process variability of devices similar to that tested may result in additional deviation. The manufacturer has the sole responsibility of continued compliance of the device. Hereafter the best measurement capability for Shenzhen CTA Testing Technology Co., Ltd. :

Test	Range	Measurement Uncertainty	Notes
Radiated Emission	9KHz~30MHz	3.02 dB	(1)
Radiated Emission	30~1000MHz	4.06 dB	(1)
Radiated Emission	1~18GHz	5.14 dB	(1)
Radiated Emission	18-40GHz	5.38 dB	(1)
Conducted Disturbance	0.15~30MHz	2.14 dB	(1)
Output Peak power	30MHz~18GHz	0.55 dB	(1)
Power spectral density	/	0.57 dB	(1)
Spectrum bandwidth	/	1.1%	(1)
Radiated spurious emission (30MHz-1GHz)	30~1000MHz	4.10 dB	(1)
Radiated spurious emission (1GHz-18GHz)	1~18GHz	4.32 dB	(1)
Radiated spurious emission (18GHz-40GHz)	18-40GHz	5.54 dB	(1)

(1) This uncertainty represents an expanded uncertainty expressed at approximately the 95% confidence level using a coverage factor of k=2.

### 3.6. Equipments Used during the Test

Conducted Emission						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	EMI Test Receiver	R&S	ESPI	CTA-307	2024/08/03	2025/08/02
2	Artificial Mains	R&S	ENV-216	CTA-308	2024/08/03	2025/08/02
3	Artificial Mains	R&S	ENV-216	CTA-314	2024/08/03	2025/08/02
4	ISN	Schwarzbeck	NTFM8158	CTA-407	2024/08/03	2025/08/02
5	ISN	Schwarzbeck	CAT58158	CTA-408	2024/08/03	2025/08/02
6	ISN	Schwarzbeck	CAT38158	CTA-409	2024/08/03	2025/08/02
7	Universal Radio Communication	R&S	CMW500	CTA-302	2024/08/03	2025/08/02
8	EMI Test Software	Tonscend	TS@JS32-CE	N/A	N/A	N/A

Harmonic Current/ Voltage Fluctuation and Flicker						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	Harmonic and Flicker Analyzer	Voltech	PM6000	CTA-339	2024/08/03	2025/08/02
2	Universal Radio Communication	R&S	CMW500	CTA-302	2024/08/03	2025/08/02

Electrostatic Discharge						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	ESD Simulators	NOISEKEN	ESS-100L(A)	CTA-315	2024/08/03	2025/08/02
2	Universal Radio Communication	R&S	CMW500	CTA-302	2024/08/03	2025/08/02

Radiated Emission						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	Ultra-Broadband Antenna	Schwarzbeck	VULB9163	CTA-310	2023/10/17	2026/10/16
2	EMI Test Receiver	ROHDE & SCHWARZ	ESCI	CTA-306	2024/08/03	2025/08/02
3	Horn Antenna	Schwarzbeck	BBHA 9120D	CTA-309	2023/10/13	2026/10/12
4	Universal Radio Communication	CMW500	R&S	CTA-302	2024/08/03	2025/08/02
5	Band-reject filter	Xi'an Xingbo Technology Co.,Ltd	XBLBQ-DZA66	CTA-410	2024/08/03	2025/08/02
6	Band-reject filter	Xi'an Xingbo Technology Co.,Ltd	XBLBQ-DZA64	CTA-411	2024/08/03	2025/08/02
7	Band-reject filter	Xi'an Xingbo Technology Co.,Ltd	XBLBQ-DZA63	CTA-411	2024/08/03	2025/08/02
8	High-pass filter	Xi'an Xingbo Technology Co.,Ltd	XBLBQ-GTA10	CTA-412	2024/08/03	2025/08/02
9	High-pass filter	Xi'an Xingbo Technology Co.,Ltd	XBLBQ-GTA18	CTA-402	2024/08/03	2025/08/02
10	EMI Test Software	Tonscend	TS@JS32-RE	N/A	N/A	N/A

Electrical Fast Transient						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	Fast Transient Burst Simulator	Prima	EFT61004TA	CTA-316	2024/08/03	2025/08/02
2	Coupling Clamp	Prima	EFT-CLAMP	CTA-317	2024/08/03	2025/08/02



3	Universal Radio Communication	R&S	CMW500	CTA-302	2024/08/03	2025/08/02
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Surge						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	Lightning Surge Generator	Prima	SUG61005TB	CTA-318	2024/08/03	2025/08/02
2	Lightning Surge Generator	Prima	SUG10/700TA	CTA-319	2024/08/03	2025/08/02
3	Universal Radio Communication	R&S	CMW500	CTA-302	2024/08/03	2025/08/02

Dips						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	Cycle Sag Simulator	Prima	DRP61011TA	CTA-321	2024/08/03	2025/08/02
2	Universal Radio Communication	R&S	CMW500	CTA-302	2024/08/03	2025/08/02

RF Field Strength Susceptibility						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	SIGNAL GENERATOR	Agilent	N5182A	CTA-305	2024/08/03	2025/08/02
2	POWER AMPLIFIER	AR	150W1000	CTA-413	2024/08/03	2025/08/02
3	POWER AMPLIFIER	Mictop	MPA-1000-6000-100	CTA-414	2024/08/03	2025/08/02
4	DUAL DIRECTIONAL COUPLER	AR	DC6080	CTA-415	2024/08/03	2025/08/02
5	POWER METER	Agilent	E4419B	CTA-416	2024/08/03	2025/08/02
6	Power sensor	Agilent	E9301A	CTA-417	2024/08/03	2025/08/02
7	Power sensor	Agilent	8483A	CTA-418	2024/08/03	2025/08/02
8	TRANSMITTING ANTENNA	AR	AT1080	CTA-419	2023/10/17	2026/10/16
9	TRANSMITTING ANTENNA	Schwarzbeck	STLP 9149	CTA-420	2023/10/17	2026/10/16
10	Radio Communication Tester	R&S	CMW500	CTA-302	2024/08/03	2025/08/02
11	Audio Analyzer	R&S	UPL	CTA-421	2024/08/03	2025/08/02

Conducted Susceptibility						
Item	Test Equipment	Manufacturer	Model No.	Equipment No.	Last Cal.	Cal.Due
1	CS Test system	Schloder	CDG 6000-75	CTA-322	2024/08/03	2025/08/02
2	CDN M2+M3	Zhinan	ZN3750	CTA-323	2024/08/03	2025/08/02
3	6dB Attenuator	Schloder	CDG60100	CTA-324	2024/08/03	2025/08/02
4	EM Clamp	Schloder	EMCL-20	CTA-325	2024/08/03	2025/08/02

5	Audio Analyzer	R&S	UPL	CTA-421	2024/08/03	2025/08/02
6	Universal Radio Communication	R&S	CMW500	CTA-302	2024/08/03	2025/08/02

Test Equipment	Manufacturer	Model No.	Version number	Calibration Date	Calibration Due Date
EMI Test Software	Tonscend	TS@JS32-RE	5.0.0.2	N/A	N/A
EMI Test Software	Tonscend	TS@JS32-CE	5.0.0.1	N/A	N/A
RF Test Software	Tonscend	TS@JS1120-3	3.1.65	N/A	N/A
RF Test Software	Tonscend	TS@JS1120	3.1.46	N/A	N/A

For CONDUCTED EMISSION for network port

Conducted Disturbance					
Item	Test Equipment	Manufacturer	Model No.	Serial No.	Last Cal.
1	ETVT Test Receiver	ROHDE & SCHWARZ	ESCI	100900	2024/08/03
3	Foue Balanced Telecom Pair ISN	FCC	FCC-TLISN-T8-02	20375	2024/08/03

Electrical Fast Transient/Burst					
Item	Test Equipment	Manufacturer	Model No.	Serial No.	Last Cal.
1	Ultra Compact Simulator	EM TEST	UCS500M6	0500-19	2024/08/03
2	Coupling Clamp	EM TEST	HFK	1501-14	2024/08/03

Surge					
Item	Test Equipment	Manufacturer	Model No.	Serial No.	Last Cal.
1	ULTRA COMPACT SIMULATOR	EM TEST	UCS500M6	0500-19	2024/08/03
2	Surger Generator	EM TEST	TSS500M4	1100-04	2024/08/03
3	3-Phase Coupling Network	EM TEST	CNV503S5/16A	1200-04	2024/08/03



## 4. TEST CONDITIONS AND RESULTS

### 4.1. EMISSION

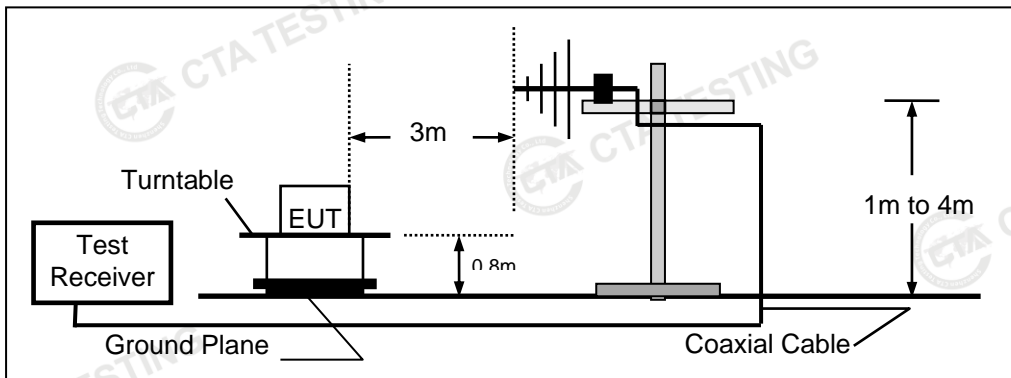
#### 4.1.1. Radiated Emission

##### LIMIT

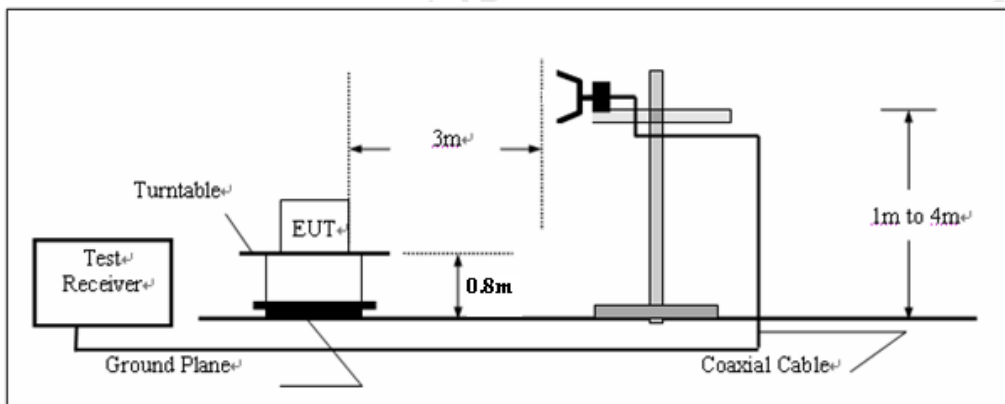
Please refer to ETSI EN301489-1 Clause 8.2.3, Table 4 and EN55032 Annex A, Table A.2,A.3, and Class B

##### TEST CONFIGURATION

- a) Radiated emission test set-up, frequency below 1000MHz:



- b) Radiated emission test set-up, frequency above 1000MHz



##### TEST PROCEDURE

Please refer to ETSI EN 301 489-1 Clause 8.2.3 and EN55032 Annex A for the measurement methods

##### TEST RESULTS

**Passed**

Please refer to the below test data:

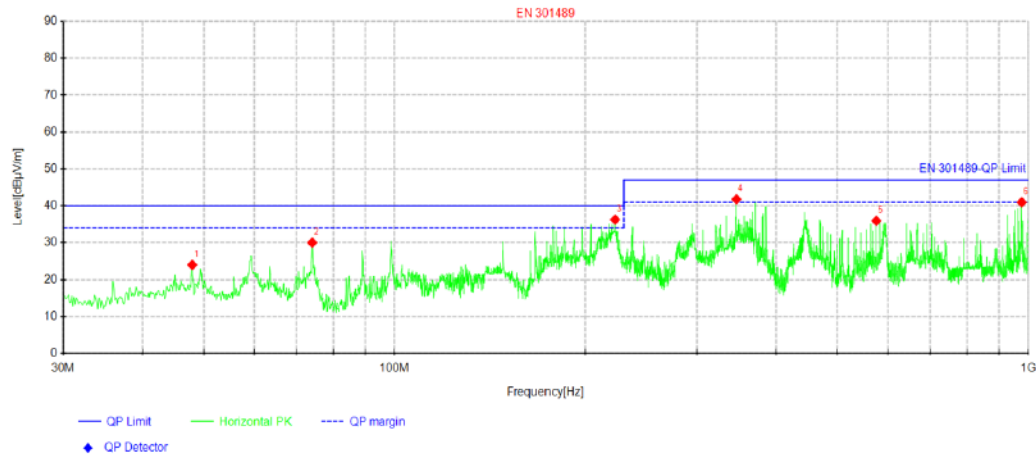
**Note: While performing the testing, the notch filter is used for avoiding test instrument overload.**

Test mode:

Mode 1

Polarization

Horizontal



**Suspected Data List**

NO.	Freq. [MHz]	Reading [dBµV]	Level [dBµV/m]	Factor [dB/m]	Limit [dBµV/m]	Margin [dB]	Height [cm]	Angle [°]	Polarity
1	47.945	35.28	24.01	-11.27	40.00	15.99	100	358	Horizontal
2	74.135	45.88	30.04	-15.84	40.00	9.96	200	183	Horizontal
3	222.787	48.76	36.29	-12.47	40.00	3.71	100	253	Horizontal
4	346.341	52.53	41.80	-10.73	47.00	5.20	100	241	Horizontal
5	575.503	43.26	35.96	-7.30	47.00	11.04	200	355	Horizontal
6	976.235	42.86	40.98	-1.88	47.00	6.02	100	171	Horizontal

Note: 1). Level (dBµV/m) = Reading (dBµV) + Factor (dB/m)

2). Factor (dB/m) = Antenna Factor (dB/m) + Cable loss (dB) - Pre Amplifier gain (dB)

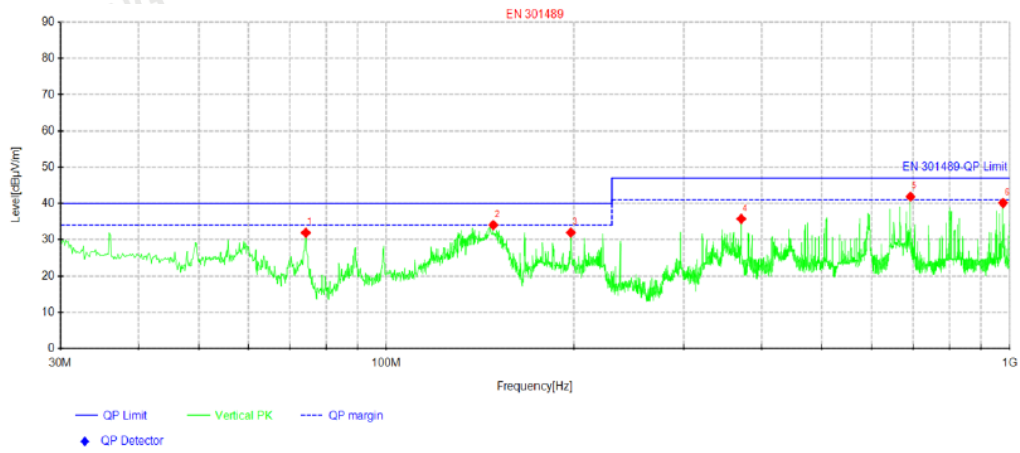
3). Margin (dB) = Limit (dBµV/m) - Level (dBµV/m)

Test mode:

Mode 1

Polarization

Vertical



**Suspected Data List**

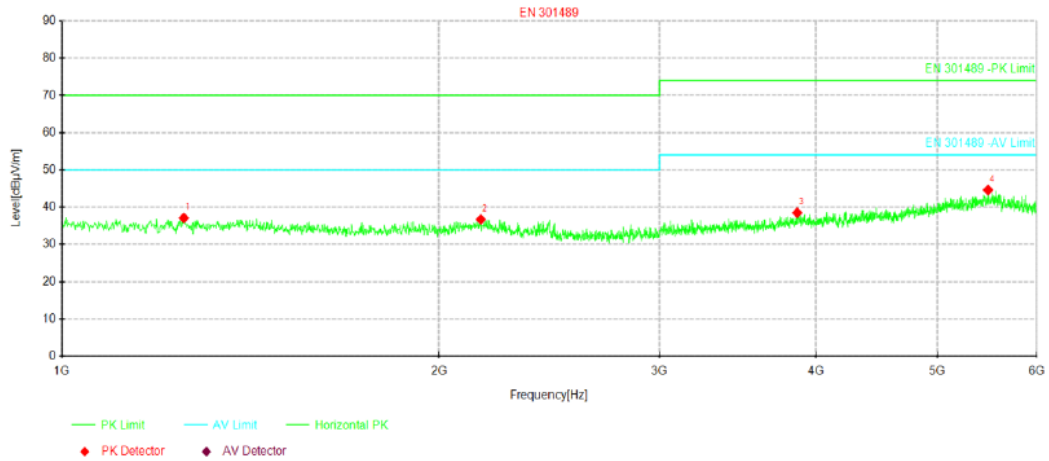
NO.	Freq. [MHz]	Reading [dBµV]	Level [dBµV/m]	Factor [dB/m]	Limit [dBµV/m]	Margin [dB]	Height [cm]	Angle [°]	Polarity
1	74.2562	47.83	31.96	-15.87	40.00	8.04	100	95	Vertical
2	148.461	49.51	34.07	-15.44	40.00	5.93	200	71	Vertical
3	197.688	44.99	32.00	-12.99	40.00	8.00	100	303	Vertical
4	371.076	46.31	35.78	-10.53	47.00	11.22	100	279	Vertical
5	693.237	47.08	41.91	-5.17	47.00	5.09	200	107	Vertical
6	975.871	42.07	40.19	-1.88	47.00	6.81	100	129	Vertical

Note:1).Level (dBµV/m)= Reading (dBµV/m)+ Factor (dB/m)

2). Factor(dB/m)=Antenna Factor (dB/m) + Cable loss (dB) - Pre Amplifier gain (dB)

3). Margin(dB) = Limit (dBµV/m) - Level (dBµV/m)

Test mode: Mode 1 Polarization Horizontal



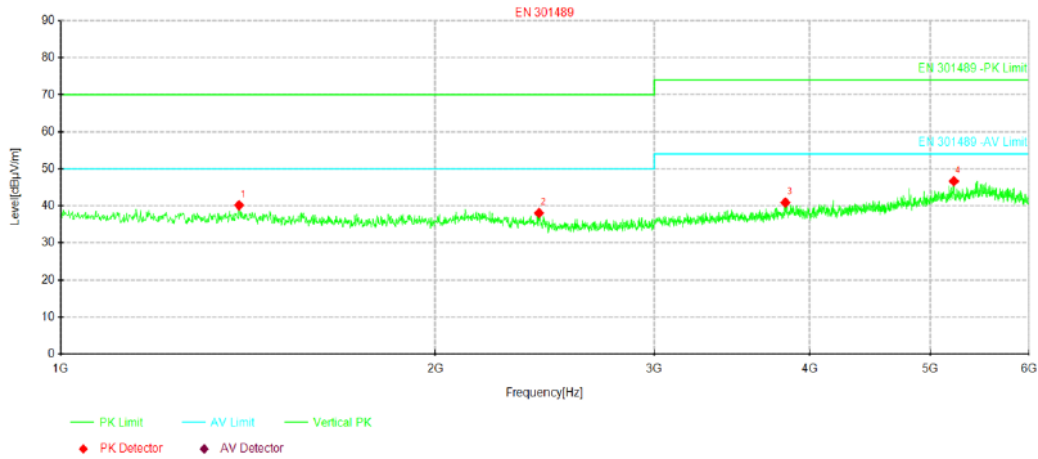
Suspected Data List									
NO.	Freq. [MHz]	Reading [dBµV]	Level [dBµV/m]	Factor [dB/m]	Limit [dBµV/m]	Margin [dB]	Height [cm]	Angle [°]	Polarity
1	1251.25	60.74	37.13	-23.61	70.00	32.87	100	130	Horizontal
2	2160	58.83	36.74	-22.09	70.00	33.26	100	130	Horizontal
3	3863.75	57.73	38.53	-19.20	74.00	35.47	100	320	Horizontal
4	5487.5	58.99	44.63	-14.36	74.00	29.37	100	60	Horizontal

Note: 1). Level (dBµV/m) = Reading (dBµV/m) + Factor (dB/m)

2). Factor (dB/m) = Antenna Factor (dB/m) + Cable loss (dB) - Pre Amplifier gain (dB)

3). Margin (dB) = Limit (dBµV/m) - Level (dBµV/m)

Test mode: Mode 1 Polarization Vertical



Suspected Data List									
NO.	Freq. [MHz]	Reading [dBµV]	Level [dBµV/m]	Factor [dB/m]	Limit [dBµV/m]	Margin [dB]	Height [cm]	Angle [°]	Polarity
1	1391.25	63.09	40.19	-22.90	70.00	29.81	100	120	Vertical
2	2423.75	59.38	38.12	-21.26	70.00	31.88	100	180	Vertical
3	3823.75	60.19	40.91	-19.28	74.00	33.09	100	340	Vertical
4	5222.5	62.02	46.69	-15.33	74.00	27.31	100	330	Vertical

Note: 1). Level (dBµV/m) = Reading (dBµV/m) + Factor (dB/m)

2). Factor (dB/m) = Antenna Factor (dB/m) + Cable loss (dB) - Pre Amplifier gain (dB)

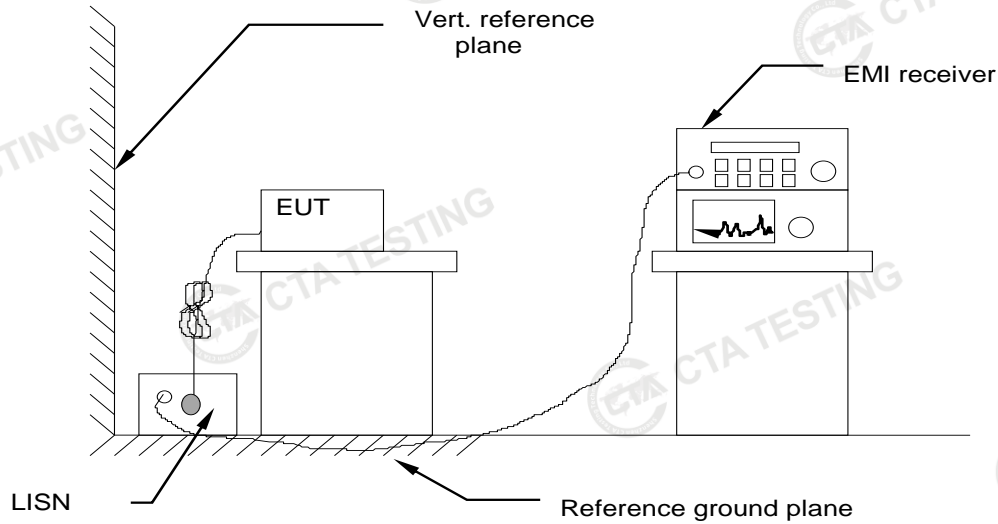
3). Margin (dB) = Limit (dBµV/m) - Level (dBµV/m)

## 4.1.2. Conducted Emission

### LIMIT

Please refer to ETSI EN301489-1 Clause 8.4.3, Table 8 and EN55032 Annex A, Table A.10, A.12

### TEST CONFIGURATION



### TEST PROCEDURE

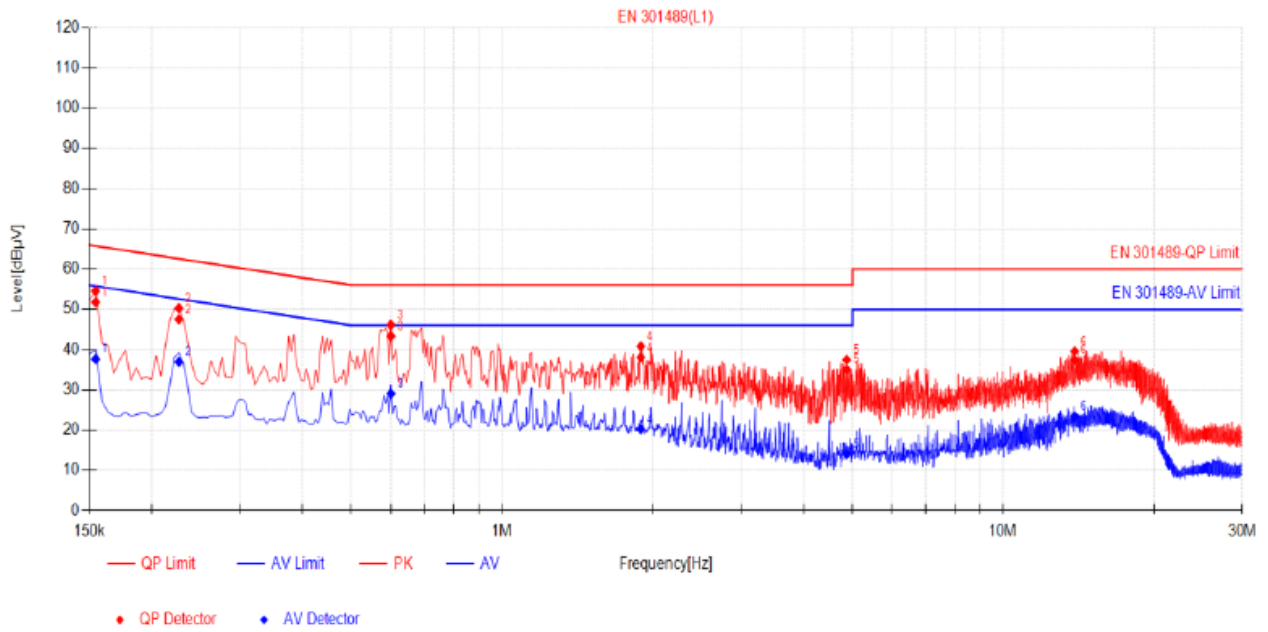
Please refer to ETSI EN 301 489-1 Clause 8.4.3 and EN55032 Annex A for the measurement methods.

### TEST RESULTS

**Passed**

Please refer to the below test data:

Power supply:	DC 5.0V from Adapter AC 230V/50Hz	Polarization	L
---------------	--------------------------------------	--------------	---



Final Data List

NO.	Freq. [MHz]	Factor [dB]	QP Reading[dB µV]	QP Value [dBµV]	QP Limit [dBµV]	QP Margin [dB]	AV Reading [dBµV]	AV Value [dBµV]	AV Limit [dBµV]	AV Margin [dB]	Verdict
1	0.1545	9.89	41.89	51.78	65.75	13.97	27.74	37.63	55.75	18.12	PASS
2	0.2265	10.01	37.50	47.51	62.58	15.07	26.93	36.94	52.58	15.64	PASS
3	0.6	10.04	33.31	43.35	56.00	12.65	19.02	29.06	46.00	16.94	PASS
4	1.8915	9.92	28.14	38.06	56.00	17.94	10.29	20.21	46.00	25.79	PASS
5	4.875	9.98	25.10	35.08	56.00	20.92	4.04	14.02	46.00	31.98	PASS
6	13.893	10.30	27.13	37.43	60.00	22.57	13.06	23.36	50.00	26.64	PASS

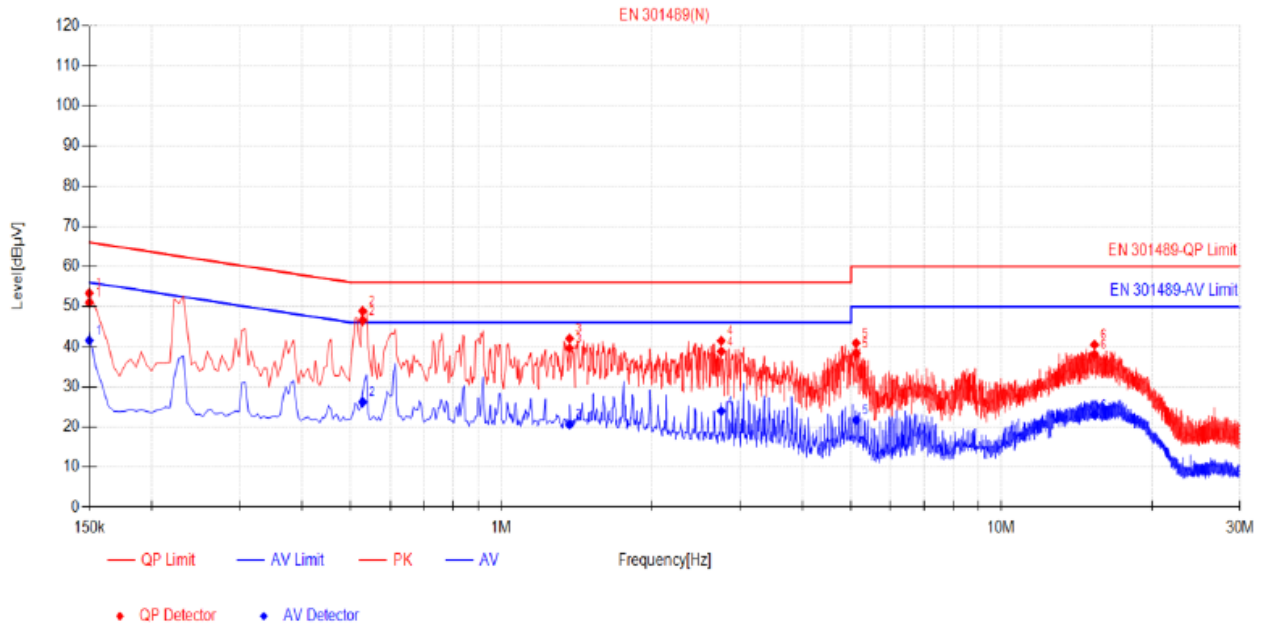
Note:1).Level (dBµV)= Reading (dBµV)+ Factor (dB)

2). Factor (dB)=insertion loss of LISN (dB) + Cable loss (dB)

3). Margin(dB) = Limit (dBµV) - Level (dBµV)



Power supply:	DC 5.0V from Adapter AC 230V/50Hz	Polarization	N
---------------	--------------------------------------	--------------	---



Final Data List

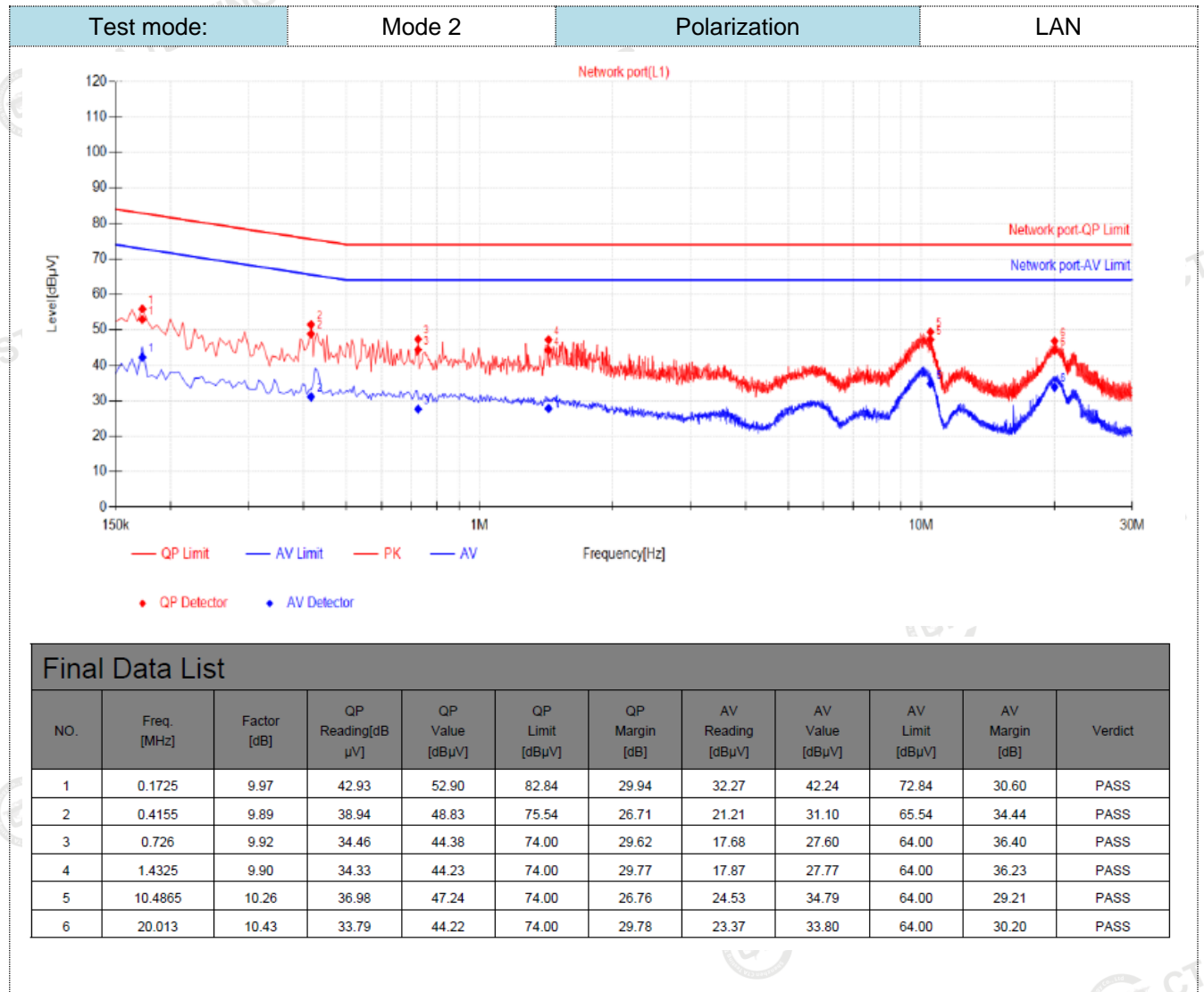
NO.	Freq. [MHz]	Factor [dB]	QP Reading[dB µV]	QP Value [dBµV]	QP Limit [dBµV]	QP Margin [dB]	AV Reading [dBµV]	AV Value [dBµV]	AV Limit [dBµV]	AV Margin [dB]	Verdict
1	0.15	9.98	40.98	50.96	66.00	15.04	31.59	41.57	56.00	14.43	PASS
2	0.528	10.05	36.46	46.51	56.00	9.49	16.14	26.19	46.00	19.81	PASS
3	1.3695	10.15	29.49	39.64	56.00	16.36	10.40	20.55	46.00	25.45	PASS
4	2.751	10.18	28.62	38.80	56.00	17.20	13.78	23.96	46.00	22.04	PASS
5	5.1225	10.10	28.21	38.31	60.00	21.69	11.60	21.70	50.00	28.30	PASS
6	15.351	10.43	27.64	38.07	60.00	21.93	12.63	23.06	50.00	26.94	PASS

Note:1).Level (dBµV)= Reading (dBµV)+ Factor (dB)

2). Factor (dB)=insertion loss of LISN (dB) + Cable loss (dB)

3). Margin(dB) = Limit (dBµV) - Level (dBµV)

For network port

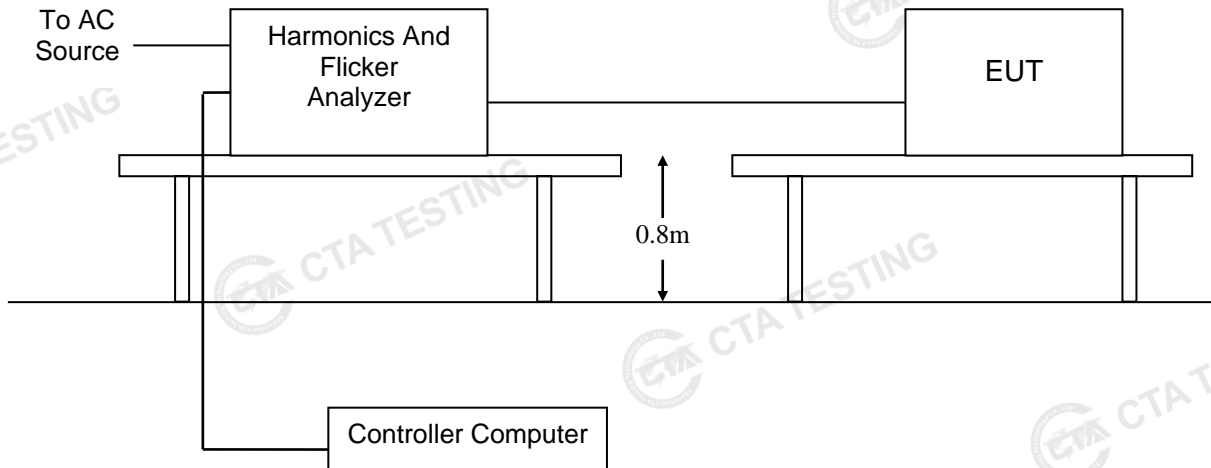


### 4.1.3. Harmonic Current Emission

#### LIMIT

Please refer to EN 61000-3-2

#### TEST CONFIGURATION



#### TEST PROCEDURE

Please refer to EN 61000-3-2 for the measurement methods.

#### TEST RESULTS

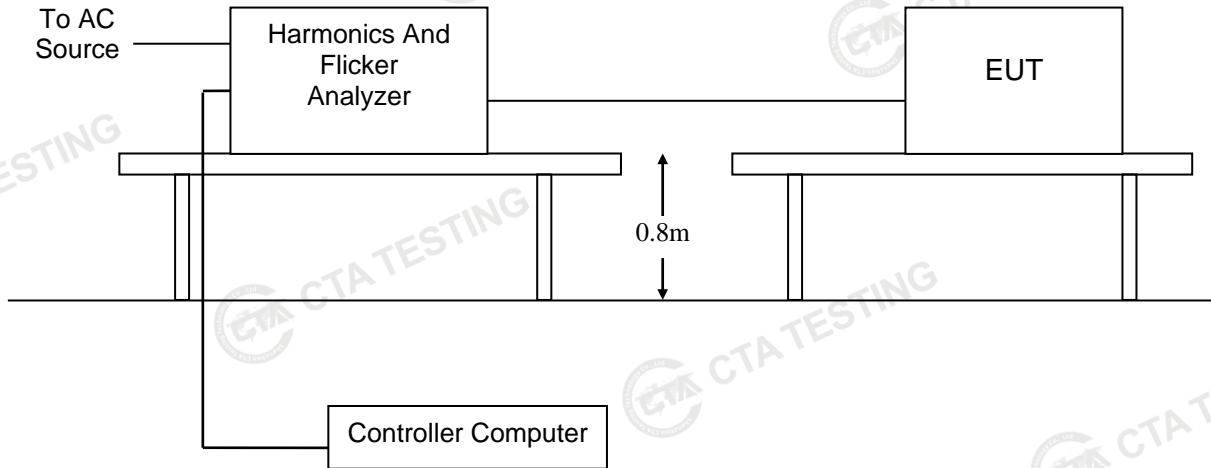
*The power of this product is less than 75W, So this test item is not applicable for the EUT.*

#### 4.1.4. Voltage Fluctuation and Flicker

##### LIMIT

Please refer to EN 61000-3-3

##### TEST CONFIGURATION



##### TEST PROCEDURE

Please refer to EN 61000-3-3 for the measurement methods.

##### TEST RESULTS

*The maximum input power of the EUT is less than 20W, which unlikely to produce significant voltage fluctuation. Therefore this test item is not applicable for the EUT.*

*See clause 6.1\*\*\* \*\*\* EN 61000-3-3, clause 6.1: " ... Tests need not be made on equipment which is unlikely to produce significant voltage fluctuations or flicker. ... "*

## 4.2. IMMUNITY

### 4.2.1. Performance criteria

#### ■ ETSI EN301489-1

##### General performance criteria

- performance criterion A applies for immunity tests with phenomena of a continuous nature;
- performance criterion B applies for immunity tests with phenomena of a transient nature.
- The equipment shall meet the minimum performance criteria as specified in the following.

Criteria	During test	After test
A	Operate as intended No loss of function No unintentional responses	Operate as intended No loss of function No degradation of performance No loss of stored data or user programmable functions
B	May show loss of function No unintentional responses	Operate as intended Lost function(s) shall be self-recoverable No degradation of performance No loss of stored data or user programmable functions

NOTE: Where "operate as intended" or "no loss of function" is specified, the EUT shall demonstrate correct functioning as described in clause 5.

#### ■ ETSI EN301489-17

##### General performance criteria

- Performance criteria A for immunity tests with phenomena of a continuous nature;
- Performance criteria B for immunity tests with phenomena of a transient nature;
- Performance criteria C for immunity tests with power interruptions exceeding a certain time.

The equipment shall meet the minimum performance criteria as specified in the following.

Criteria	During test	After test
A	Shall operate as intended. (See note). Shall be no loss of function. Shall be no unintentional transmissions.	Shall operate as intended. Shall be no degradation of performance. Shall be no loss of function. Shall be no loss of critical stored data.
B	May be loss of function.	Functions shall be self-recoverable. Shall operate as intended after recovering. Shall be no loss of critical stored data.
C	May be loss of function.	Functions shall be recoverable by the operator. Shall operate as intended after recovering. Shall be no loss of critical stored data.

NOTE: Operate as intended during the test allows a level of degradation in accordance with clause 6.2.2.

##### Performance criteria for Continuous phenomena

For equipment that supports a PER or FER, the minimum performance level shall be a PER or FER less than or equal to 10 %.

For equipment that does not support a PER or a FER, the minimum performance level shall be no loss of the wireless transmission function needed for the intended use of the equipment.

#### **Performance criteria for Continuous phenomena**

The performance criteria A shall apply.

Where the EUT is a transmitter in standby mode, unintentional transmission shall not occur during the test.

Where the EUT is a transceiver in receive mode, unintentional transmission shall not occur during the test.

#### **Performance criteria for Transient phenomena**

The performance criteria B shall apply, except for voltage dips greater than or equal to 100 ms and voltage interruptions of 5 000 ms duration, for which performance criteria C shall apply.

Where the EUT is a transmitter in standby mode, unintentional transmission shall not occur as a result of the application of the test.

Where the EUT is a transceiver in receive mode, unintentional transmission shall not occur as a result of the application of the test.

#### **■ Performance Criterion of EN55035**

Criterion A: The equipment shall continue to operate as intended without operator intervention. No degradation of performance or loss of function is allowed below a performance level specified by the manufacturer when the equipment is used as intended.

Criterion B: After the test, the equipment shall continue to operate as intended without operator intervention. No degradation of performance or loss of function is allowed, after the application of the phenomena below a performance level specified by the manufacturer, when the equipment is used as intended.

Criterion C: Loss of function is allowed, provided the function is self-recoverable, or can be restored by the operation of the controls by the user in accordance with the manufacturer's instructions.

### **4.2.2. Monitoring EUT in Immunity Test**

#### **4.2.2.1 Monitoring for Continuous Phenomena Applied to the EUT**

##### **■ WIFI Mode**

At the start of the test, establish a wireless link between the EUT and CMW500(integrate WIFI protocol Analyzer);

During the test, observe whether the EUT operate as intended, no loss of function and no unintentional transmissions. Monitoring PER and shall exceed 10%

After the test, Check the function and critical stored data of the EUT with no degradation.

In addition, when EUT working in Idle /Receiver mode, monitor whether the transmitter unintentionally operates.

##### **■ other Mode**

During and after the test, observe the Screen status by eyes or monitor to see whether there is degradation of performance

#### 4.2.2.2 Monitoring for Transient Phenomena Applied to the EUT

##### ■ WIFI Mode

At the start of the test, establish a wireless link between the EUT and CMW500(integrate WIFI protocol Analyzer);

After the test, Check the function and critical stored data of the EUT with no degradation.

In addition, when EUT working in Idle /Receiver mode, monitor whether the transmitter unintentionally operates.

##### ■ other Mode

After the test, observe the Screen status by eyes or monitor to see whether there is degradation of performance



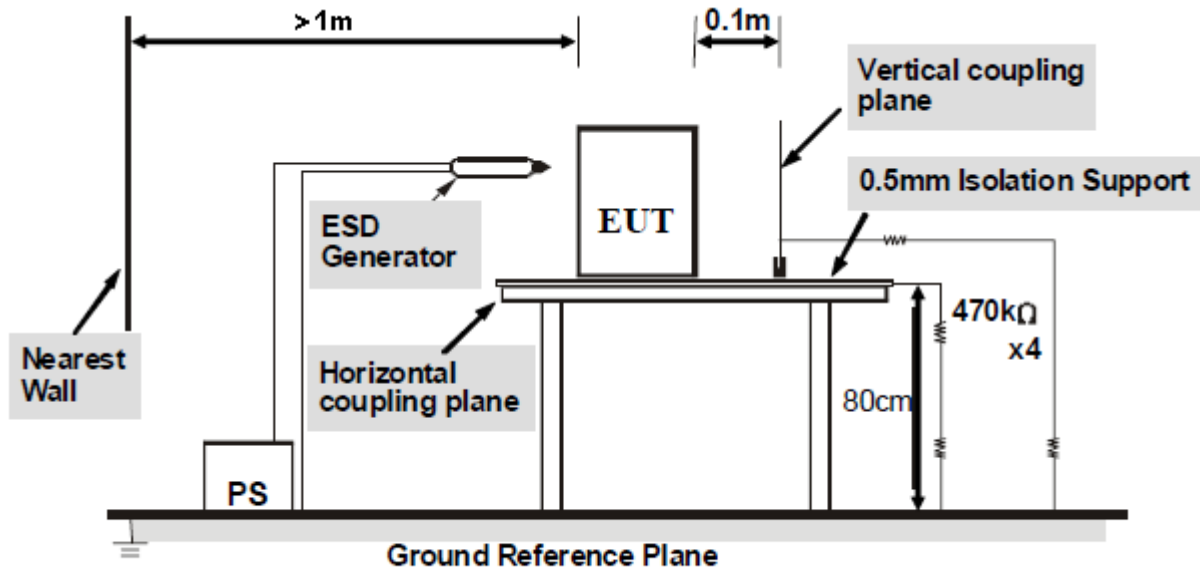
### 4.2.3. Electrostatic Discharge

#### LIMIT

#### SEVERITY LEVELS OF ELECTROSTATIC DISCHARGE

Test level: Contact Discharge at  $\pm 2\text{KV}$ ,  $\pm 4\text{KV}$  Air Discharge at  $\pm 2\text{KV}$ ,  $\pm 4\text{KV}$ ,  $\pm 8\text{KV}$

#### TEST CONFIGURATION



#### TEST PROCEDURE

Please refer to ETSI EN 301 489-1 Clause 9.3.2 , EN 55035 and EN 61000-4-2 for the measurement methods.

#### **Contact Discharge:**

The ESD generator is held perpendicular to the surface to which the discharge is applied and the tip of the discharge electrode touch the surface of EUT. Then turn the discharge switch. The generator is then re-triggered for a new single discharge and repeated at least 10 times for each pre-selected test point. This procedure shall be repeated until all the air discharge completed.

#### **Air Discharge:**

Air discharge is used where contact discharge can't be applied. The round discharge tip of the discharge electrode shall be approached as fast as possible to touch the EUT. After each discharge, the discharge electrode shall be removed from the EUT. The generator is then re-triggered for a new single discharge and repeated at least 10 times for each pre-selected test point. This procedure shall be repeated until all the air discharge completed.

#### **Indirect discharge for horizontal coupling plane:**

At least 10 single discharges shall be applied to the horizontal coupling plane, at points on each side of the EUT.

#### **Indirect discharge for vertical coupling plane:**

At least 10 single discharges shall be applied to the center of one vertical edge of the coupling plane. The coupling plane, of dimensions 0.5m X 0.5m, is placed parallel to, and positioned at a distance of 0.1m from the EUT. Discharges shall be applied to the coupling plane, with this plane in sufficient different positions that the four faces of the EUT are completely illuminated.

#### TEST MODE

**TEST RESULTS**

Direct discharge				
Type of discharge	Discharge voltage (KV)	Observations Performance	Criteria Level	Result
Contact discharge	±2	No degradation in performance of the EUT was observed (A)	B	Pass
	±4	A	B	
Air discharge	±2	A	B	
	±4	A	B	
	±8	A	B	
Indirect discharge				
Type of discharge	Discharge voltage (KV)	Observations Performance	Criteria Level	Result
HCP (6 sides)	±2	A	B	Pass
	±4	A	B	
VCP (4 sides)	±2	A	B	
	±4	A	B	

Remark: The ancillary equipment's specification for an acceptable level of performance or degradation of performance during and/or after the ESD tests.

### 4.2.4. RF Electromagnetic Field

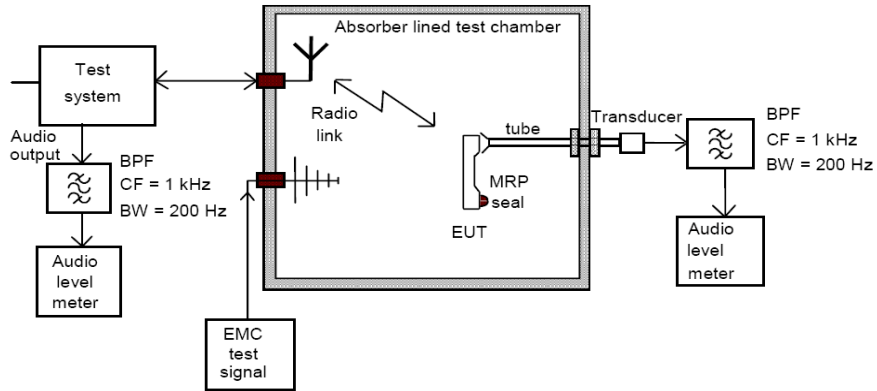
#### PERFORMANCE CRITERION

Criteria A

#### TEST LEVEL

3V/m (80%, 1kHz Amplitude Modulation)

#### TEST CONFIGURATION



#### TEST PROCEDURE

Please refer to ETSI EN 301 489-1 Clause 9.2.2 and EN 61000-4-3 for the measurement methods.

#### TEST MODE

Please reference to the section 2.3

#### TEST RESULTS

Frequency	Level	Modulation	Antenna Polarization	EUT Face	Observations (Performance Criterion)	Result
80MHz-6GHz	3 V/m	1 kHz, 80 % Amp. Mod, 1 % increment, dwell time=3seconds	V	Front	A	Pass
			H		A	Pass
			V	Rear	A	Pass
			H		A	Pass
			V	Left	A	Pass
			H		A	Pass
			V	Right	A	Pass
			H		A	Pass
			V	Top	A	Pass
			H		A	Pass
			V	Bottom	A	Pass
			H		A	Pass

Remark: A: No degradation in performance of the EUT was observed.

**Note: During and after test, the EUT's (BT/ WIFI) PER less than 10%, there is no any degradation of performance.**

### 4.2.5. Surges

#### PERFORMANCE CRITERION

Criteria B

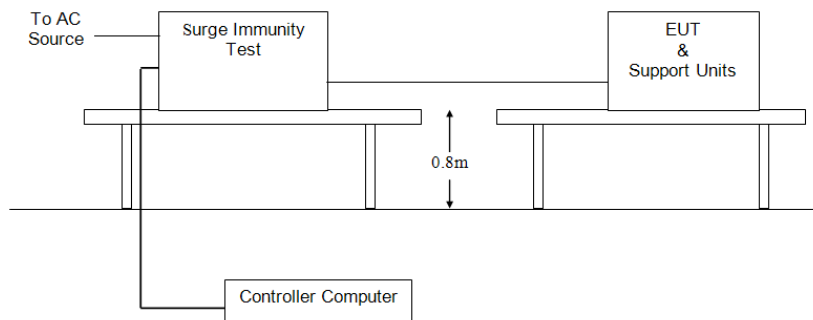
#### TEST LEVEL

1kV Line to Line: Differential mode

2kV Line to Ground: Common mode

(Voltage Waveform: 1.2/50 us; Current Waveform: 8/20 us)

#### TEST CONFIGURATION



#### TEST PROCEDURE

Please refer to ETSI EN 301 489-1 Clause 9.8.2 and EN 61000-4-5 for the measurement methods.

#### TEST RESULTS

Location	Level(kV)	Pulse No	Surge Interval	Phase(deg)	Observations (Performance Criterion)	Result
L-N	± 1	5	60s	0°	A	Pass
				90°	A	Pass
				180°	A	Pass
				270°	A	Pass

Remark: A: No degradation in performance of the EUT was observed.

For network port

Location	Level(kV)	Pulse No	Surge Interval	Observations (Performance Criterion)	Result
LAN Line to line	± 0.5K	5	60s	B	Pass

**4.2.6. RF- Common Mode 0.15MHz to 80MHz**

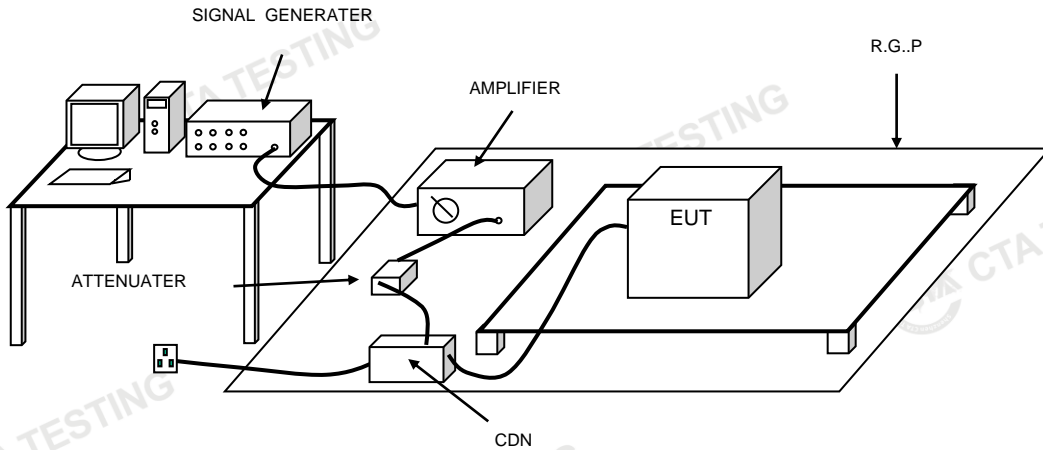
**PERFORMANCE CRITERION**

Criteria A

**TEST LEVEL**

3Vrms on AC main port (80%, 1kHz Amplitude Modulation)

**TEST CONFIGURATION**



**TEST PROCEDURE**

Please refer to ETSI EN 301 489-1 Clause 9.5.2 and EN 61000-4-6 for the measurement methods.

**TEST RESULTS**

Frequency	Injected Position	Coupling Direct/Clamp	Level	Modulation	Observations (Performance Criterion)	Result
150kHz to 80MHz	AC Mains	Direct	3Vrms	1 kHz, 80 % Amp. Mod, 1 % increment, dwell time=3seconds	A	Pass

**Note:** During and after test, the EUT's (BT/ WIFI) PER less than 10%, there is no any degradation of performance.

For network Wire

Frequency	Injected Position	Coupling Direct/Clamp	Level	Modulation	Observations (Performance Criterion)	Result
150kHz to 80MHz	network Wire	Clamp	3Vrms	1 kHz, 80 % Amp. Mod, 1 % increment, dwell time=3seconds	A	Pass

Remark: A: No degradation in performance of the EUT was observed

### 4.2.7. Fast Transients Common Mode

#### PERFORMANCE CRITERION

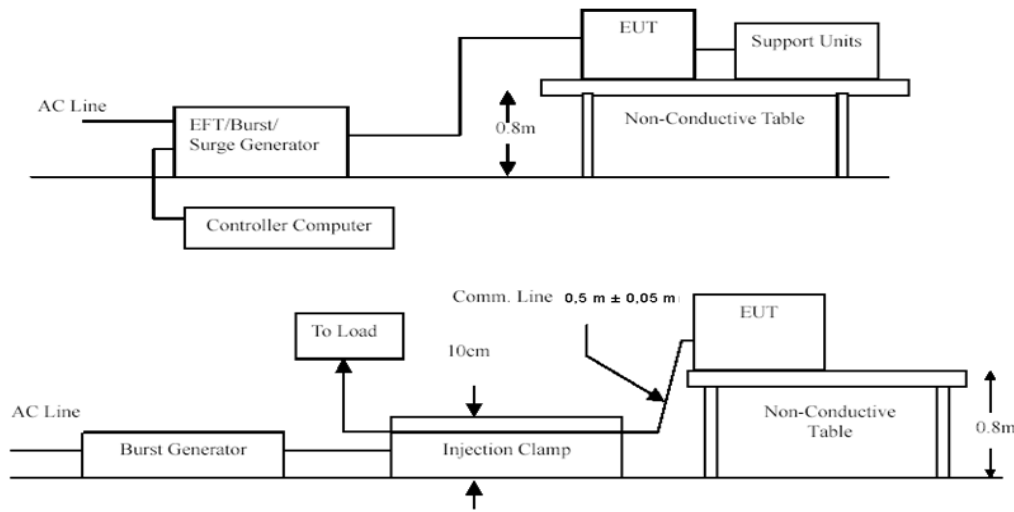
Criteria B

#### TEST LEVEL

1KV for AC main port

(Impulse Frequency: 5 kHz; Tr/Th: 5/50ns; Burst Duration: 15ms; Burst Period: 3Hz)

#### TEST CONFIGURATION



#### TEST PROCEDURE

Please refer to ETSI EN 301 489-1 Clause 9.4.2 , EN55035 and EN 61000-4-4 for the measurement methods.

#### TEST RESULTS

Lead under Test	Level ( $\pm$ Kv)	Coupling Direct/Clamp	Observations (Performance Criterion)	Result
L	$\pm 1$	Direct	A	Pass
N	$\pm 1$	Direct	A	Pass
L-N	$\pm 1$	Direct	A	Pass

Remark: A: No degradation in performance of the EUT was observed.

For network port

Lead under Test	Level ( $\pm$ kV)	Coupling Direct/Clamp	Observations (Performance Criterion)	Result
Network Wire	$\pm 0.5$	Clamp	A	Pass

Remark: A: No degradation in performance of the EUT was observed.

### 4.2.8. Voltage Dips and Interruptions

#### PERFORMANCE CRITERION

>95% VD, 0.5 period----Performance criterion: B

>95% VD, 1.0 period----Performance criterion: B

30% VD, 25 period----Performance criterion: C

>95% VI, 250 period----Performance criterion: C

#### TEST LEVEL

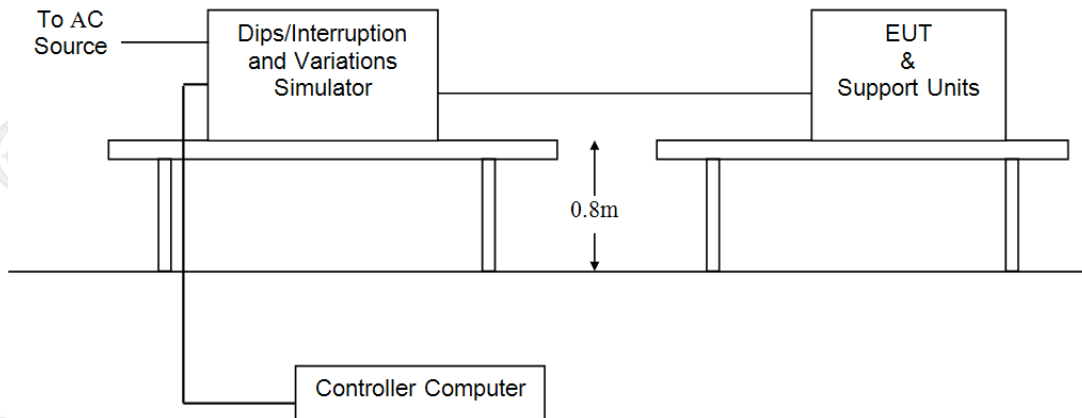
0% of VT(Supply Voltage) for 0.5 period

0% of VT(Supply Voltage) for 1.0 period

70% of VT(Supply Voltage) for 25 period

0% of VT(Supply Voltage) for 250 period

#### TEST CONFIGURATION



#### TEST PROCEDURE

Please refer to ETSI EN 301 489-1 Clause 9.7.2 and EN 61000-4-11 for the measurement methods.

#### TEST RESULTS

Test Level % UT	Duration (Periods)	Phase angle	No. of drop out	Time between dropout	Observations (Performance Criterion)	Result
0	0.5	0°, 90°, 180°, 270°	3	10s	A	Pass
0	1.0	0°, 90°, 180°, 270°	3	10s	A	Pass
70	25	0°, 90°, 180°, 270°	3	10s	A	Pass
0	250	0°, 90°, 180°, 270°	3	10s	B	Pass

Remark :

A: No degradation in performance of the EUT was observed.

B: During the test, the power shut down, after the experiment, the function can automatically return to normal



### 5. Test Set-up Photos of the EUT

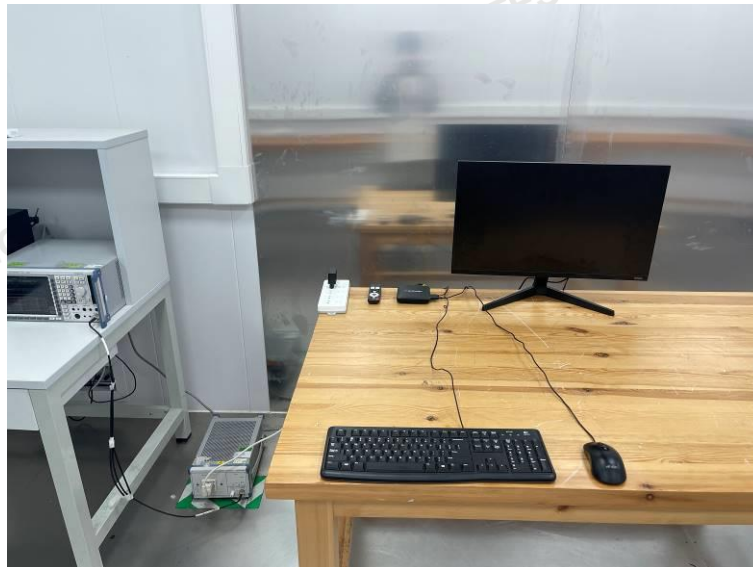
Radiated Emission (30MHz-1GHz)



Radiated Emission (Above 1GHz)



Conducted Emission



Electrostatic Discharge



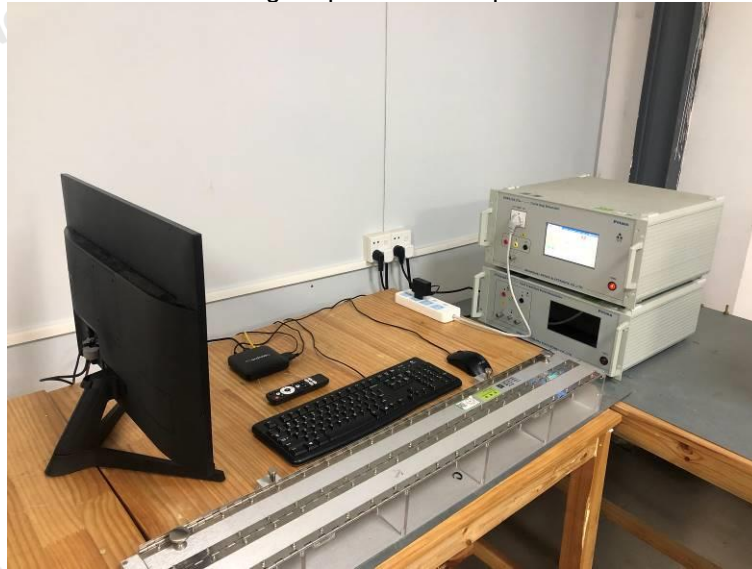
Surges



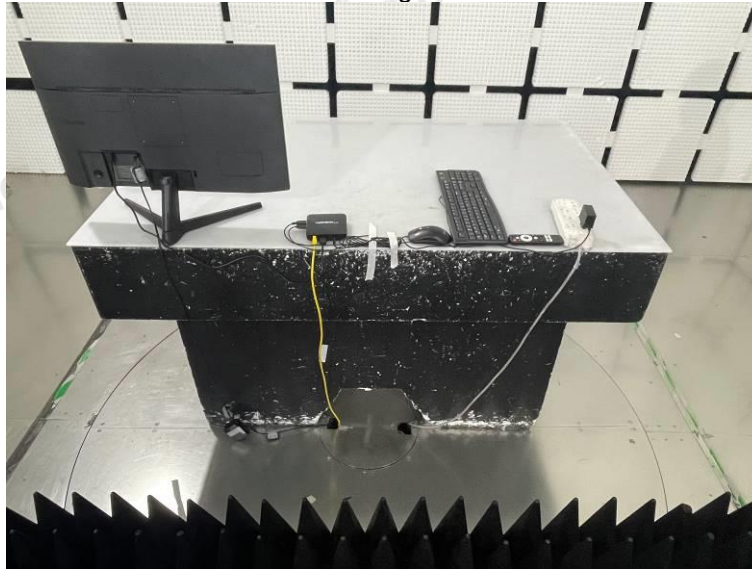
Fast Transients



Voltage Dips and Interruptions



RF Electromagnetic Field





## 6. External and Internal Photos of the EUT

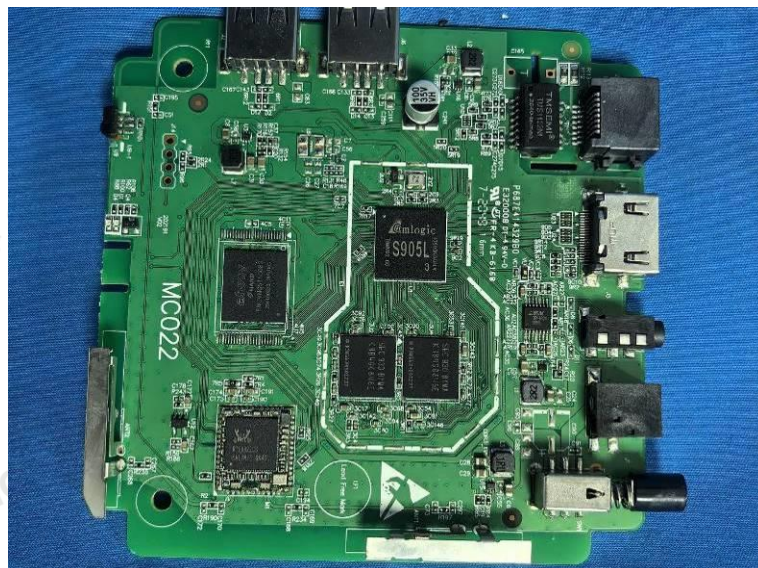




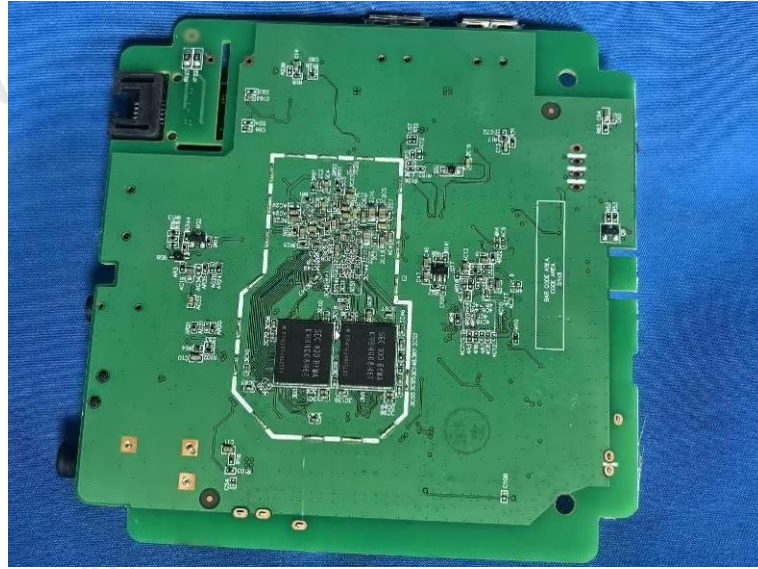












\*\*\*\*\* End of Report \*\*\*\*\*



**Shenzhen CTA Testing Technology Co., Ltd.**

Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street, Bao'an District, Shenzhen, China

**TEST REPORT**

**IEC 62368-1**

**Audio/video, information and communication technology equipment**

**Part 1: Safety requirements**

Report reference No. ....: **CTA25041800705**

Tested by (name + signature) .....: Kevin Liu

Approved by (name + signature).....: Eric Wang



Date of issue.....: Apr. 28, 2025

Testing Laboratory Name .....: **Shenzhen CTA Testing Technology Co., Ltd.**

Address .....: Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street, Bao 'an District, Shenzhen, China

Applicant's Name .....: **Shenzhen Haochuangyi Technology Co.,Ltd**

Address .....: 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen

**Test specification**

Standard .....: IEC 62368-1:2018

EN IEC 62368-1:2020+A11:2020

Test procedure .....: CE-LVD

Non-standard test method .....: N/A

Test Report Form No. ....: IEC62368\_1E

TRF originator.....: UL(US)

Master TRF .....: Dated 2022-04-14

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Test item description .....: **H96Max S905L3**

Trademark .....: H96 Max

Manufacturer .....: Shenzhen Haochuangyi Technology Co.,Ltd

1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen

Model and/or type reference .....: H96Max S905L3

Ratings .....: Input: 12V===1.0A

For AC ADAPTER

Input: 100-240V~ 50/60Hz 0.3A

Output: 12V===1.0A

**List of Attachments (including a total number of pages in each attachment):**

Appendix 1: National differences  
 Appendix 2: Photo document

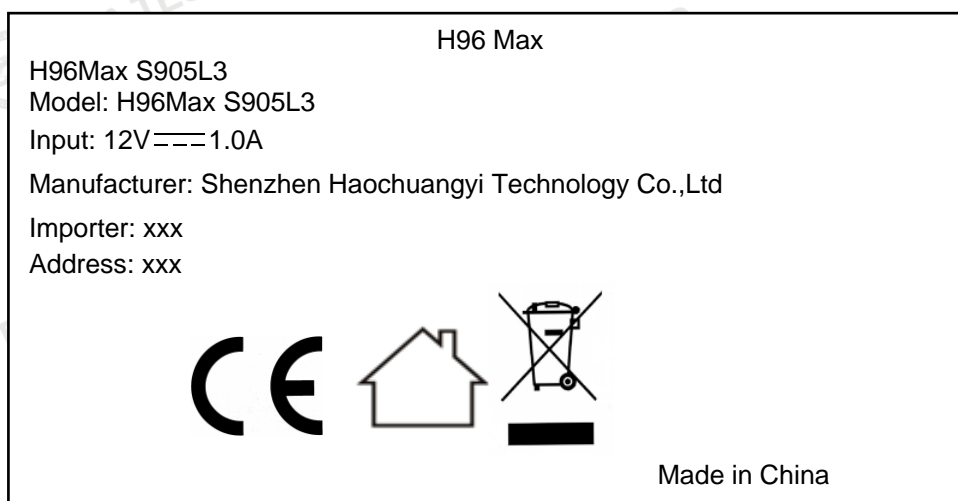
**Summary of testing:**

**The product covered by this report has been tested and complies with the applicable requirements of this standard.**

**Summary of compliance with National Differences:**

List of countries addressed: European Group Differences and National Differences for details.

**The product fulfils the requirements of EN IEC 62368-1:2020+A11:2020**

**Copy of marking plat****Remark:**

1. According to the EU directives , both of manufacturer and importer's name and address shall be affixed on the product or, where that is not possible, on its packaging or in a document accompanying the product before the product is placed on the EU market.

2. The height of CE mark shall be at least 5mm and the height of WEEE symbol shall be at least 7mm.

Test item particulars:	
Product group .....	<input checked="" type="checkbox"/> end product <input type="checkbox"/> built-in component
Classification of use by .....	<input checked="" type="checkbox"/> Ordinary person <input checked="" type="checkbox"/> Children likely present <input type="checkbox"/> Instructed person <input type="checkbox"/> Skilled person
Supply connection .....	<input type="checkbox"/> AC mains <input type="checkbox"/> DC mains <input checked="" type="checkbox"/> not mains connected: <input checked="" type="checkbox"/> ES1 <input type="checkbox"/> ES2 <input type="checkbox"/> ES3
Supply tolerance .....	<input type="checkbox"/> +10%/-10% <input type="checkbox"/> +20%/-15% <input type="checkbox"/> +        %/ -        % <input checked="" type="checkbox"/> None
Supply connection – type .....	<input type="checkbox"/> pluggable equipment type A - <input type="checkbox"/> non-detachable supply cord <input type="checkbox"/> appliance coupler <input type="checkbox"/> direct plug-in <input type="checkbox"/> pluggable equipment type B - <input type="checkbox"/> non-detachable supply cord <input type="checkbox"/> appliance coupler <input type="checkbox"/> permanent connection <input type="checkbox"/> mating connector <input checked="" type="checkbox"/> other: <u>Not directly connected to mains</u>
Considered current rating of protective device .....	<input type="checkbox"/> A; Location: <input type="checkbox"/> building <input type="checkbox"/> equipment
Equipment mobility .....	<input checked="" type="checkbox"/> N/A <input checked="" type="checkbox"/> movable <input type="checkbox"/> hand-held <input type="checkbox"/> transportable <input type="checkbox"/> direct plug-in <input type="checkbox"/> stationary <input type="checkbox"/> for building-in <input type="checkbox"/> wall/ceiling-mounted <input type="checkbox"/> SRME/rack-mounted <input type="checkbox"/> other:
Overvoltage category (OVC) .....	<input type="checkbox"/> OVC I <input type="checkbox"/> OVC II <input type="checkbox"/> OVC III <input type="checkbox"/> OVC IV <input checked="" type="checkbox"/> other: <u>Not directly connected to mains</u>
Class of equipment .....	<input type="checkbox"/> Class I <input type="checkbox"/> Class II <input checked="" type="checkbox"/> Class III <input type="checkbox"/> Not classified <input type="checkbox"/>
Special installation location .....	<input checked="" type="checkbox"/> N/A <input type="checkbox"/> restricted access area <input type="checkbox"/> outdoor location <input type="checkbox"/>
Pollution degree (PD) .....	<input type="checkbox"/> PD 1 <input checked="" type="checkbox"/> PD 2 <input type="checkbox"/> PD 3
Manufacturer's specified T <sub>ma</sub> .....	40 °C <input type="checkbox"/> Outdoor: minimum                      °C
IP protection class .....	<input checked="" type="checkbox"/> IPX0 <input type="checkbox"/> IP____
Power systems .....	<input type="checkbox"/> TN <input type="checkbox"/> TT <input type="checkbox"/> IT -                      V <sub>L-L</sub> <input checked="" type="checkbox"/> not AC mains
Altitude during operation (m) .....	<input checked="" type="checkbox"/> 2000 m or less <input type="checkbox"/> m
Altitude of test laboratory (m) .....	<input checked="" type="checkbox"/> 2000 m or less <input type="checkbox"/> m
Mass of equipment (kg) .....	0.122kg

**Possible test case verdicts:**

- test case does not apply to the test object.... : N/A
- test object does meet the requirement ..... : P (Pass)
- test object does not meet the requirement.... : F (Fail)

**Testing:**

Date of receipt of test item ..... : 2025-04-18

Date (s) of performance of tests..... : 2025-04-18 to 2025-04-28

**General remarks:**

"(See Enclosure #)" refers to additional information appended to the report.  
 "(See appended table)" refers to a table appended to the report.

Throughout this report a  comma /  point is used as the decimal separator.

**General product information and other remarks:****Product Description –**

- 1.The product is H96Max S905L3 intended to be used for audio/video, information and communication technology equipment, which supplied by a 12V DC port according to IEC/EN 62368-1 and meet ES1, PS2 requirements.
- 2.The product was submitted and evaluated for use at the maximum ambient temperature (Tma) permitted by the manufacturer's specification of: 40°C.
- 3.The equipment was evaluated for a maximum operating altitude up to 2000m.
- 4.Based on the original report CTA25021500805, only adapter rating on the report home page are added.

**Model Differences –**

N/A



<b>OVERVIEW OF ENERGY SOURCES AND SAFEGUARDS</b>				
<b>Clause</b>	<b>Possible Hazard</b>			
5	Electrically-caused injury			
Class and Energy Source (e.g. ES3: Primary circuit)	Body Part (e.g. Ordinary)	Safeguards		
		B	S	R
ES1: Input port	Ordinary	N/A	N/A	N/A
ES1: All internal circuits	Ordinary	N/A	N/A	N/A
6	Electrically-caused fire			
Class and Energy Source (e.g. PS2: 100 Watt circuit)	Material part (e.g. Printed board)	Safeguards		
		B	1 <sup>st</sup> S	2 <sup>nd</sup> S
PS2	Enclosure	See 6.3	Metallic	N/A
PS2	PCB	See 6.3	Min. V-1	N/A
PS2	Internal / external wiring	See 6.3	See 6.5	N/A
PS2	Other combustible components / materials	See 6.3	See 6.4.5	N/A
7	Injury caused by hazardous substances			
Class and Energy Source (e.g. Ozone)	Body Part (e.g., Skilled)	Safeguards		
		B	S	R
N/A	N/A	N/A	N/A	N/A
8	Mechanically-caused injury			
Class and Energy Source (e.g. MS3: Plastic fan blades)	Body Part (e.g. Ordinary)	Safeguards		
		B	S	R
MS1: the mass of equipment	Ordinary	N/A	N/A	N/A
MS1: Edges and corners	Ordinary	N/A	N/A	N/A
9	Thermal burn			
Class and Energy Source (e.g. TS1: Keyboard caps)	Body Part (e.g., Ordinary)	Safeguards		
		B	S	R
TS1: Accessible parts	Ordinary	N/A	N/A	N/A
10	Radiation			
Class and Energy Source (e.g. RS1: PMP sound output)	Body Part (e.g., Ordinary)	Safeguards		
		B	S	R
RS1: LED	Ordinary	N/A	N/A	N/A
Supplementary Information:				
"B" – Basic Safeguard; "S" – Supplementary Safeguard; "R" – Reinforced Safeguard				

**ENERGY SOURCE DIAGRAM**

**Optional.** Manufacturers are to provide the energy sources diagram identify declared energy sources and identifying the demarcations are between power sources. Recommend diagram be provided included in power supply and multipart systems.

Insert diagram below. Example diagram designs are; Block diagrams; image(s) with layered data; mechanical drawings

ES     PS     MS     TS     RS



IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>4</b>	<b>GENERAL REQUIREMENTS</b>		P
4.1.1	Acceptance of materials, components and subassemblies		P
4.1.2	Use of components		P
4.1.3	Equipment design and construction		P
4.1.4	Specified ambient temperature for outdoor use (°C) ..... :		N/A
4.1.5	Constructions and components not specifically covered		P
4.1.8	Liquids and liquid filled components (LFC)	(See G.15)	N/A
4.1.15	Markings and instructions	(See Annex F)	P
4.4.3	Safeguard robustness		P
4.4.3.1	General		P
4.4.3.2	Steady force tests	(See Clause T.3, T.4, T.5)	P
4.4.3.3	Drop tests		N/A
4.4.3.4	Impact tests	(See Annex T.6)	P
4.4.3.5	Internal accessible safeguard tests	Not applicable	N/A
4.4.3.6	Glass impact tests	(See Clause T.9, Annex U)	N/A
4.4.3.7	Glass fixation tests		N/A
	Glass impact test (1J)		N/A
	Push/pull test (10 N)		N/A
4.4.3.8	Thermoplastic material tests	(See Annex T.8)	P
4.4.3.9	Air comprising a safeguard		N/A
4.4.3.10	Accessibility, glass, safeguard effectiveness		P
4.4.4	Displacement of a safeguard by an insulating liquid		N/A
4.4.5	Safety interlocks	(See Annex K)	N/A
<b>4.5</b>	<b>Explosion</b>		P
4.5.1	General	(See Annex M for batteries)	N/A
4.5.2	No explosion during normal/abnormal operating condition	(See Clause B.2, B.3)	P
	No harm by explosion during single fault conditions	(See Clause B.4)	P
<b>4.6</b>	<b>Fixing of conductors</b>		N/A
	Fix conductors not to defeat a safeguard		N/A
	Compliance is checked by test..... :	(See Clause T.2)	N/A
<b>4.7</b>	<b>Equipment for direct insertion into mains socket-outlets</b>		N/A
4.7.2	Mains plug part complies with relevant standard .. :		N/A
4.7.3	Torque (Nm) .. :		N/A
<b>4.8</b>	<b>Equipment containing coin/button cell batteries</b>		N/A
4.8.1	General		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
4.8.2	Instructional safeguard .....		N/A
4.8.3	Battery compartment door/cover construction		N/A
	Open torque test		N/A
4.8.4.2	Stress relief test		N/A
4.8.4.3	Battery replacement test		N/A
4.8.4.4	Drop test		N/A
4.8.4.5	Impact test		N/A
4.8.4.6	Crush test		N/A
4.8.5	Compliance		N/A
	30N force test with test probe		N/A
	20N force test with test hook		N/A
<b>4.9</b>	<b>Likelihood of fire or shock due to entry of conductive object</b>		N/A
<b>4.10</b>	<b>Component requirements</b>		N/A
4.10.1	Disconnect Device	(See Annex L)	N/A
4.10.2	Switches and relays	(See Annex G)	N/A

<b>5</b>	<b>ELECTRICALLY-CAUSED INJURY</b>		P
<b>5.2</b>	<b>Classification and limits of electrical energy sources</b>		P
5.2.2	ES1, ES2 and ES3 limits		P
5.2.2.2	Steady-state voltage and current limits .....	(See appended table 5.2)	P
5.2.2.3	Capacitance limits .....	(See appended table 5.2)	N/A
5.2.2.4	Single pulse limits .....	(See appended table 5.2)	N/A
5.2.2.5	Limits for repetitive pulses .....	(See appended table 5.2)	N/A
5.2.2.6	Ringling signals	(See Annex H)	N/A
5.2.2.7	Audio signals	(See Clause E.1)	N/A
<b>5.3</b>	<b>Protection against electrical energy sources</b>		N/A
5.3.1	General Requirements for accessible parts to ordinary, instructed and skilled persons		N/A
5.3.1 a)	Accessible ES1/ES2 derived from ES2/ES3 circuits		N/A
5.3.1 b)	Skilled persons not unintentional contact ES3 bare conductors		N/A
5.3.2.1	Accessibility to electrical energy sources and safeguards		N/A
	Accessibility to outdoor equipment bare parts		N/A
5.3.2.2	Contact requirements		N/A
	Test with test probe from Annex V		—
5.3.2.2 a)	Air gap – electric strength test potential (V) .....	(See appended table 5.4.9)	N/A
5.3.2.2 b)	Air gap – distance (mm) .....		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
5.3.2.3	Compliance		N/A
5.3.2.4	Terminals for connecting stripped wire		N/A
<b>5.4</b>	<b>Insulation materials and requirements</b>		N/A
5.4.1.2	Properties of insulating material		N/A
5.4.1.3	Material is non-hygroscopic		N/A
5.4.1.4	Maximum operating temperature for insulating materials .....	(See appended table)	N/A
5.4.1.5	Pollution degrees .....		N/A
5.4.1.5.2	Test for pollution degree 1 environment and for an insulating compound		N/A
5.4.1.5.3	Thermal cycling test		N/A
5.4.1.6	Insulation in transformers with varying dimensions		N/A
5.4.1.7	Insulation in circuits generating starting pulses		N/A
5.4.1.8	Determination of working voltage .....	(See appended table 5.4.1.8)	N/A
5.4.1.9	Insulating surfaces		N/A
5.4.1.10	Thermoplastic parts on which conductive metallic parts are directly mounted		N/A
5.4.1.10.2	Vicat test.....	(See appended table 5.4.1.10.2)	N/A
5.4.1.10.3	Ball pressure test .....	(See appended table 5.4.1.10.3)	N/A
5.4.2	Clearances		N/A
5.4.2.1	General requirements		N/A
	Clearances in circuits connected to AC Mains, Alternative method	(See Annex X)	N/A
5.4.2.2	Procedure 1 for determining clearance		N/A
	Temporary overvoltage .....		—
5.4.2.3	Procedure 2 for determining clearance		N/A
5.4.2.3.2.2	a.c. mains transient voltage .....		—
5.4.2.3.2.3	d.c. mains transient voltage .....		—
5.4.2.3.2.4	External circuit transient voltage.....		—
5.4.2.3.2.5	Transient voltage determined by measurement .....		—
5.4.2.4	Determining the adequacy of a clearance using an electric strength test .....	(See appended table 5.4.2)	N/A
5.4.2.5	Multiplication factors for clearances and test voltages .....		N/A
5.4.2.6	Clearance measurement .....	(See appended table 5.4.2)	N/A
5.4.3	Creepage distances		N/A
5.4.3.1	General		N/A
5.4.3.3	Material group .....		—

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Clause	Requirement + Test	Result - Remark	Verdict
5.4.3.4	Creepage distances measurement .....	(See appended table 5.4.3)	N/A
5.4.4	Solid insulation		N/A
5.4.4.1	General requirements		N/A
5.4.4.2	Minimum distance through insulation .....	(See appended table 5.4.4.2)	N/A
5.4.4.3	Insulating compound forming solid insulation		N/A
5.4.4.4	Solid insulation in semiconductor devices		N/A
5.4.4.5	Insulating compound forming cemented joints		N/A
5.4.4.6	Thin sheet material		N/A
5.4.4.6.1	General requirements		N/A
5.4.4.6.2	Separable thin sheet material		N/A
	Number of layers (pcs) .....		N/A
5.4.4.6.3	Non-separable thin sheet material		N/A
	Number of layers (pcs) .....		N/A
5.4.4.6.4	Standard test procedure for non-separable thin sheet material .....	(See appended table 5.4.9)	N/A
5.4.4.6.5	Mandrel test		N/A
5.4.4.7	Solid insulation in wound components		N/A
5.4.4.9	Solid insulation at frequencies >30 kHz, $E_P$ , $K_R$ , $d$ , $V_{PW}$ (V) .....	(See appended Table 5.4.4.9)	N/A
	Alternative by electric strength test, tested voltage (V), $K_R$ .....	(See appended Tables 5.4.4.9 and 5.4.9)	N/A
5.4.5	Antenna terminal insulation		N/A
5.4.5.1	General		N/A
5.4.5.2	Voltage surge test		N/A
5.4.5.3	Insulation resistance (M $\Omega$ ) .....		N/A
	Electric strength test .....	(See appended table 5.4.9)	N/A
5.4.6	Insulation of internal wire as part of supplementary safeguard		N/A
5.4.7	Tests for semiconductor components and for cemented joints		N/A
5.4.8	Humidity conditioning		N/A
	Relative humidity (%), temperature ( $^{\circ}$ C), duration (h) .....		—
5.4.9	Electric strength test		N/A
5.4.9.1	Test procedure for type test of solid insulation .....	(See appended table 5.4.9)	N/A
5.4.9.2	Test procedure for routine test		N/A
5.4.10	Safeguards against transient voltages from external circuits		N/A
5.4.10.1	Parts and circuits separated from external circuits		N/A
5.4.10.2	Test methods		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
5.4.10.2.1	General		N/A
5.4.10.2.2	Impulse test .....	(See appended table 5.4.9)	N/A
5.4.10.2.3	Steady-state test.....	(See appended table 5.4.9)	N/A
5.4.10.3	Verification for insulation breakdown for impulse test .....		N/A
5.4.11	Separation between external circuits and earth		N/A
5.4.11.1	Exceptions to separation between external circuits and earth		N/A
5.4.11.2	Requirements		N/A
	SPDs bridge separation between external circuit and earth		N/A
	Rated operating voltage $U_{op}$ (V).....		—
	Nominal voltage $U_{peak}$ (V).....		—
	Max increase due to variation $\Delta U_{sp}$ .....		—
	Max increase due to ageing $\Delta U_{sa}$ .....		—
5.4.11.3	Test method and compliance .....	(See appended table 5.4.9)	N/A
5.4.12	Insulating liquid		N/A
5.4.12.1	General requirements		N/A
5.4.12.2	Electric strength of an insulating liquid .....	(See appended table 5.4.9)	N/A
5.4.12.3	Compatibility of an insulating liquid .....	(See appended table 5.4.9)	N/A
5.4.12.4	Container for insulating liquid .....		N/A
<b>5.5</b>	<b>Components as safeguards</b>		N/A
5.5.1	General		N/A
5.5.2	Capacitors and RC units		N/A
5.5.2.1	General requirement		N/A
5.5.2.2	Safeguards against capacitor discharge after disconnection of a connector .....	(See appended table 5.5.2.2)	N/A
5.5.3	Transformers		N/A
5.5.4	Optocouplers	(See sub-clause 5.4 or Clause G.12)	N/A
5.5.5	Relays	(See sub-clause 5.4)	N/A
5.5.6	Resistors	(See Clause G.10)	N/A
5.5.7	SPDs	(See Clause G.8)	N/A
5.5.8	Insulation between the mains and an external circuit consisting of a coaxial cable .....		N/A
5.5.9	Safeguards for socket-outlets in outdoor equipment		N/A
	RCD rated residual operating current (mA) .....		—
<b>5.6</b>	<b>Protective conductor</b>		N/A
5.6.2	Requirement for protective conductors		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
<b>5.6</b>	<b>Protective conductor</b>		N/A
5.6.2	Requirement for protective conductors		N/A
5.6.2.1	General requirements		N/A
5.6.2.2	Colour of insulation		N/A
5.6.3	Requirement for protective earthing conductors		N/A
	Protective earthing conductor size (mm <sup>2</sup> ) ..... :		—
	Protective earthing conductor serving as a reinforced safeguard		N/A
	Protective earthing conductor serving as a double safeguard		N/A
5.6.4	Requirements for protective bonding conductors		N/A
5.6.4.1	Protective bonding conductors		N/A
	Protective bonding conductor size (mm <sup>2</sup> ). ..... :		—
5.6.4.2	Protective current rating (A)..... :		N/A
5.6.5	Terminals for protective conductors		N/A
5.6.5.1	Terminal size for connecting protective earthing conductors (mm)..... :		N/A
	Terminal size for connecting protective bonding conductors (mm) ..... :		N/A
5.6.5.2	Corrosion		N/A
5.6.6	Resistance of the protective bonding system		N/A
5.6.6.1	Requirements		N/A
5.6.6.2	Test Method..... :	(See appended table 5.6.6)	N/A
5.6.6.3	Resistance ( $\Omega$ ) or voltage drop..... :	(See appended table 5.6.6)	N/A
5.6.7	Reliable connection of a protective earthing conductor		N/A
5.6.8	Functional earthing		N/A
	Conductor size (mm <sup>2</sup> )..... :		N/A
	Class II with functional earthing marking ..... :		N/A
	Appliance inlet cl & cr (mm)..... :		N/A
<b>5.7</b>	<b>Prospective touch voltage, touch current and protective conductor current</b>		N/A
5.7.2	Measuring devices and networks		N/A
5.7.2.1	Measurement of touch current		N/A
5.7.2.2	Measurement of voltage		N/A
5.7.3	Equipment set-up, supply connections and earth connections		N/A
5.7.4	Unearthed accessible parts ..... :	(See appended table 5.7.4)	N/A
5.7.5	Earthed accessible conductive parts ..... :	(See appended table 5.7.5)	N/A
5.7.6	Requirements when touch current exceeds ES2 limits		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Protective conductor current (mA)..... :		N/A
	Instructional Safeguard..... :		N/A
5.7.7	Prospective touch voltage and touch current associated with external circuits		N/A
5.7.7.1	Touch current from coaxial cables		N/A
5.7.7.2	Prospective touch voltage and touch current associated with paired conductor cables		N/A
5.7.8	Summation of touch currents from external circuits		N/A
	a) Equipment connected to earthed external circuits, current (mA)..... :		N/A
	b) Equipment connected to unearthed external circuits, current (mA)..... :		N/A
<b>5.8</b>	<b>Backfeed safeguard in battery backed up supplies</b>		N/A
	Mains terminal ES..... :	(See appended table 5.8)	N/A
	Air gap (mm)..... :		N/A

<b>6</b>	<b>ELECTRICALLY- CAUSED FIRE</b>		P
<b>6.2</b>	<b>Classification of PS and PIS</b>		P
6.2.2	Power source circuit classifications..... :	(See appended table 6.2.2)	P
6.2.3	Classification of potential ignition sources		N/A
6.2.3.1	Arcing PIS..... :	(See appended table 6.2.3.1)	N/A
6.2.3.2	Resistive PIS..... :	(See appended table 6.2.3.2)	N/A
<b>6.3</b>	<b>Safeguards against fire under normal operating and abnormal operating conditions</b>		N/A
6.3.1	No ignition and attainable temperature value less than 90 % defined by ISO 871 or less than 300 °C for unknown materials..... :	(See appended table B.1.5 and B.3)	N/A
	Combustible materials outside fire enclosure..... :		N/A
<b>6.4</b>	<b>Safeguards against fire under single fault conditions</b>		P
6.4.1	Safeguard method	Method of "control of fire spread" is used.	P
6.4.2	Reduction of the likelihood of ignition under single fault conditions in PS1 circuits		N/A
6.4.3	Reduction of the likelihood of ignition under single fault conditions in PS2 and PS3 circuits		N/A
6.4.3.1	Supplementary safeguards		N/A
6.4.3.2	Single Fault Conditions..... :	(See appended table B.4)	N/A
	Special conditions for temperature limited by fuse		N/A
6.4.4	Control of fire spread in PS1 circuits		N/A
6.4.5	Control of fire spread in PS2 circuits		P
6.4.5.2	Supplementary safeguards		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
6.4.6	Control of fire spread in PS3 circuits		N/A
6.4.7	Separation of combustible materials from a PIS		N/A
6.4.7.2	Separation by distance		N/A
6.4.7.3	Separation by a fire barrier		N/A
6.4.8	Fire enclosures and fire barriers		N/A
6.4.8.2	Fire enclosure and fire barrier material properties		N/A
6.4.8.2.1	Requirements for a fire barrier		N/A
6.4.8.2.2	Requirements for a fire enclosure		N/A
6.4.8.3	Constructional requirements for a fire enclosure and a fire barrier		N/A
6.4.8.3.1	Fire enclosure and fire barrier openings		N/A
6.4.8.3.2	Fire barrier dimensions		N/A
6.4.8.3.3	Top openings and properties		N/A
	Openings dimensions (mm)..... :		N/A
6.4.8.3.4	Bottom openings and properties		N/A
	Openings dimensions (mm)..... :		N/A
	Flammability tests for the bottom of a fire enclosure	(See Clause S.3)	N/A
	Instructional Safeguard..... :		N/A
6.4.8.3.5	Side openings and properties		N/A
	Openings dimensions (mm)..... :		N/A
6.4.8.3.6	Integrity of a fire enclosure, condition met: a), b) or c)..... :		N/A
6.4.8.4	Separation of a PIS from a fire enclosure and a fire barrier distance (mm) or flammability rating..... :		N/A
6.4.9	Flammability of insulating liquid..... :		N/A
<b>6.5</b>	<b>Internal and external wiring</b>		<b>P</b>
6.5.1	General requirements	Internal wiring rated VW-1	P
6.5.2	Requirements for interconnection to building wiring..... :		N/A
6.5.3	Internal wiring size (mm <sup>2</sup> ) for socket-outlets..... :		N/A
<b>6.6</b>	<b>Safeguards against fire due to the connection to additional equipment</b>		<b>N/A</b>

<b>7</b>	<b>INJURY CAUSED BY HAZARDOUS SUBSTANCES</b>		N/A
<b>7.2</b>	<b>Reduction of exposure to hazardous substances</b>		N/A
<b>7.3</b>	<b>Ozone exposure</b>		N/A
<b>7.4</b>	<b>Use of personal safeguards or personal protective equipment (PPE)</b>		N/A
	Personal safeguards and instructions..... :		—
<b>7.5</b>	<b>Use of instructional safeguards and instructions</b>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Instructional safeguard (ISO 7010)..... :		—
<b>7.6</b>	<b>Batteries and their protection circuits</b>		N/A
<b>8</b>	<b>MECHANICALLY-CAUSED INJURY</b>		P
<b>8.2</b>	<b>Mechanical energy source classifications</b>		P
<b>8.3</b>	<b>Safeguards against mechanical energy sources</b>		P
<b>8.4</b>	<b>Safeguards against parts with sharp edges and corners</b>		P
8.4.1	Safeguards		P
	Instructional Safeguard..... :		N/A
8.4.2	Sharp edges or corners	Edges and corners of the enclosure are rounded.	P
<b>8.5</b>	<b>Safeguards against moving parts</b>		N/A
8.5.1	Fingers, jewellery, clothing, hair, etc., contact with MS2 or MS3 parts		N/A
	MS2 or MS3 part required to be accessible for the function of the equipment		N/A
	Moving MS3 parts only accessible to skilled person		N/A
8.5.2	Instructional safeguard..... :		N/A
8.5.4	Special categories of equipment containing moving parts		N/A
8.5.4.1	General		N/A
8.5.4.2	Equipment containing work cells with MS3 parts		N/A
8.5.4.2.1	Protection of persons in the work cell		N/A
8.5.4.2.2	Access protection override		N/A
8.5.4.2.2.1	Override system		N/A
8.5.4.2.2.2	Visual indicator		N/A
8.5.4.2.3	Emergency stop system		N/A
	Maximum stopping distance from the point of activation (m)..... :		N/A
	Space between end point and nearest fixed mechanical part (mm)..... :		N/A
8.5.4.2.4	Endurance requirements		N/A
	Mechanical system subjected to 100 000 cycles of operation		N/A
	- Mechanical function check and visual inspection		N/A
	- Cable assembly..... :		N/A
8.5.4.3	Equipment having electromechanical device for destruction of media		N/A
8.5.4.3.1	Equipment safeguards		N/A
8.5.4.3.2	Instructional safeguards against moving parts..... :		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
8.5.4.3.3	Disconnection from the supply		N/A
8.5.4.3.4	Cut type and test force (N).....:		N/A
8.5.4.3.5	Compliance		N/A
8.5.5	High pressure lamps		N/A
	Explosion test.....:		N/A
8.5.5.3	Glass particles dimensions (mm) .....		N/A
<b>8.6</b>	<b>Stability of equipment</b>		N/A
8.6.1	General		N/A
	Instructional safeguard.....:		N/A
8.6.2	Static stability		N/A
8.6.2.2	Static stability test .....		N/A
8.6.2.3	Downward force test		N/A
8.6.3	Relocation stability		N/A
	Wheels diameter (mm) .....		—
	Tilt test		N/A
8.6.4	Glass slide test		N/A
8.6.5	Horizontal force test .....		N/A
<b>8.7</b>	<b>Equipment mounted to wall, ceiling or other structure</b>		N/A
8.7.1	Mount means type .....		N/A
8.7.2	Test methods		N/A
	Test 1, additional downwards force (N).....:		N/A
	Test 2, number of attachment points and test force (N).....:		N/A
	Test 3 Nominal diameter (mm) and applied torque (Nm).....:		N/A
<b>8.8</b>	<b>Handles strength</b>		N/A
8.8.1	General		N/A
8.8.2	Handle strength test		N/A
	Number of handles.....:		—
	Force applied (N) .....		—
<b>8.9</b>	<b>Wheels or casters attachment requirements</b>		N/A
8.9.2	Pull test		N/A
<b>8.10</b>	<b>Carts, stands and similar carriers</b>		N/A
8.10.1	General		N/A
8.10.2	Marking and instructions.....:		N/A
8.10.3	Cart, stand or carrier loading test		N/A
	Loading force applied (N) .....		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
8.10.4	Cart, stand or carrier impact test		N/A
8.10.5	Mechanical stability		N/A
	Force applied (N) .....		—
8.10.6	Thermoplastic temperature stability		N/A
<b>8.11</b>	<b>Mounting means for slide-rail mounted equipment (SRME)</b>		N/A
8.11.1	General		N/A
8.11.2	Requirements for slide rails		N/A
	Instructional Safeguard.....		N/A
8.11.3	Mechanical strength test		N/A
8.11.3.1	Downward force test, force (N) applied.....		N/A
8.11.3.2	Lateral push force test		N/A
8.11.3.3	Integrity of slide rail end stops		N/A
8.11.4	Compliance		N/A
<b>8.12</b>	<b>Telescoping or rod antennas</b>		N/A
	Button/ball diameter (mm) .....		—

<b>9</b>	<b>THERMAL BURN INJURY</b>		P
<b>9.2</b>	<b>Thermal energy source classifications</b>		P
<b>9.3</b>	<b>Touch temperature limits</b>		P
9.3.1	Touch temperatures of accessible parts .....	(See appended table)	P
9.3.2	Test method and compliance		P
<b>9.4</b>	<b>Safeguards against thermal energy sources</b>		N/A
<b>9.5</b>	<b>Requirements for safeguards</b>		N/A
9.5.1	Equipment safeguard		N/A
9.5.2	Instructional safeguard.....		N/A
<b>9.6</b>	<b>Requirements for wireless power transmitters</b>		N/A
9.6.1	General		N/A
9.6.2	Specification of the foreign objects		N/A
9.6.3	Test method and compliance .....	(See appended table 9.6)	N/A

<b>10</b>	<b>RADIATION</b>		P
<b>10.2</b>	<b>Radiation energy source classification</b>		P
10.2.1	General classification		P
	Lasers .....		—
	Lamps and lamp systems .....	LED indicator RS1	—
	Image projectors .....		—
	X-Ray .....		—

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Clause	Requirement + Test	Result - Remark	Verdict
	Personal music player .....		—
<b>10.3</b>	<b>Safeguards against laser radiation</b>		N/A
	The standard(s) equipment containing laser(s) comply .....		N/A
<b>10.4</b>	<b>Safeguards against optical radiation from lamps and lamp systems (including LED types)</b>		P
10.4.1	General requirements	LED indicator RS1	P
	Instructional safeguard provided for accessible radiation level needs to exceed		N/A
	Risk group marking and location .....		N/A
	Information for safe operation and installation		N/A
10.4.2	Requirements for enclosures		N/A
	UV radiation exposure .....	(See Annex C)	N/A
10.4.3	Instructional safeguard .....		N/A
<b>10.5</b>	<b>Safeguards against X-radiation</b>		N/A
10.5.1	Requirements		N/A
	Instructional safeguard for skilled persons .....		—
10.5.3	Maximum radiation (pA/kg).....	(See appended tables B.3 & B.4)	—
<b>10.6</b>	<b>Safeguards against acoustic energy sources</b>		N/A
10.6.1	General		N/A
10.6.2	Classification		N/A
	Acoustic output $L_{Aeq,T}$ , dB(A).....		N/A
	Unweighted RMS output voltage (mV).....		N/A
	Digital output signal (dBFS) .....		N/A
10.6.3	Requirements for dose-based systems		N/A
10.6.3.1	General requirements		N/A
10.6.3.2	Dose-based warning and automatic decrease		N/A
10.6.3.3	Exposure-based warning and requirements		N/A
	30 s integrated exposure level (MEL30) .....		N/A
	Warning for MEL $\geq$ 100 dB(A) .....		N/A
10.6.4	Measurement methods		N/A
10.6.5	Protection of persons		N/A
	Instructional safeguards .....		N/A
10.6.6	Requirements for listening devices (headphones, earphones, etc.)		N/A
10.6.6.1	Corded listening devices with analogue input		N/A
	Listening device input voltage (mV) .....		N/A
10.6.6.2	Corded listening devices with digital input		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Max. acoustic output $L_{Aeq,T}$ , dB(A) .....		N/A
10.6.6.3	Cordless listening devices		N/A
	Max. acoustic output $L_{Aeq,T}$ , dB(A) .....		N/A

<b>B</b>	<b>NORMAL OPERATING CONDITION TESTS, ABNORMAL OPERATING CONDITION TESTS AND SINGLE FAULT CONDITION TESTS</b>		P
<b>B.1</b>	<b>General</b>		P
B.1.5	Temperature measurement conditions	(See appended table B.1.5)	P
<b>B.2</b>	<b>Normal operating conditions</b>		P
B.2.1	General requirements .....	(See Test Item Particulars and appended test tables)	P
	Audio Amplifiers and equipment with audio amplifiers .....	(See Annex E)	N/A
B.2.3	Supply voltage and tolerances		P
B.2.5	Input test .....	(See appended table B.2.5)	P
<b>B.3</b>	<b>Simulated abnormal operating conditions</b>		P
B.3.1	General		P
B.3.2	Covering of ventilation openings		P
	Instructional safeguard .....		N/A
B.3.3	DC mains polarity test		N/A
B.3.4	Setting of voltage selector		N/A
B.3.5	Maximum load at output terminals		P
B.3.6	Reverse battery polarity		N/A
B.3.7	Audio amplifier abnormal operating conditions		N/A
B.3.8	Safeguards functional during and after abnormal operating conditions .....	(See appended table B.3)	P
<b>B.4</b>	<b>Simulated single fault conditions</b>		P
B.4.1	General		P
B.4.2	Temperature controlling device		N/A
B.4.3	Blocked motor test		N/A
B.4.4	Functional insulation		P
B.4.4.1	Short circuit of clearances for functional insulation		P
B.4.4.2	Short circuit of creepage distances for functional insulation		P
B.4.4.3	Short circuit of functional insulation on coated printed boards		N/A
B.4.5	Short-circuit and interruption of electrodes in tubes and semiconductors		P
B.4.6	Short circuit or disconnection of passive components		P



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Clause	Requirement + Test	Result - Remark	Verdict
B.4.7	Continuous operation of components		N/A
B.4.8	Compliance during and after single fault conditions ..... :	(See appended table B.4)	P
B.4.9	Battery charging and discharging under single fault conditions	(See Annex M)	N/A
<b>C</b>	<b>UV RADIATION</b>		N/A
<b>C.1</b>	<b>Protection of materials in equipment from UV radiation</b>		N/A
C.1.2	Requirements		N/A
C.1.3	Test method		N/A
<b>C.2</b>	<b>UV light conditioning test</b>		N/A
C.2.1	Test apparatus..... :		N/A
C.2.2	Mounting of test samples		N/A
C.2.3	Carbon-arc light-exposure test		N/A
C.2.4	Xenon-arc light-exposure test		N/A
<b>D</b>	<b>TEST GENERATORS</b>		N/A
<b>D.1</b>	<b>Impulse test generators</b>		N/A
<b>D.2</b>	<b>Antenna interface test generator</b>		N/A
<b>D.3</b>	<b>Electronic pulse generator</b>		N/A
<b>E</b>	<b>TEST CONDITIONS FOR EQUIPMENT CONTAINING AUDIO AMPLIFIERS</b>		N/A
<b>E.1</b>	<b>Electrical energy source classification for audio signals</b>		N/A
	Maximum non-clipped output power (W)..... :		—
	Rated load impedance ( $\Omega$ ) ..... :		—
	Open-circuit output voltage (V)..... :		—
	Instructional safeguard ..... :	See Clause F.5	—
<b>E.2</b>	<b>Audio amplifier normal operating conditions</b>		N/A
	Audio signal source type ..... :		—
	Audio output power (W)..... :		—
	Audio output voltage (V)..... :		—
	Rated load impedance ( $\Omega$ ) ..... :		—
	Requirements for temperature measurement	(See Table B.1.5)	N/A
E.3	Audio amplifier abnormal operating conditions	(See Table B.3, B.4)	N/A
<b>F</b>	<b>EQUIPMENT MARKINGS, INSTRUCTIONS, AND INSTRUCTIONAL SAFEGUARDS</b>		P
<b>F.1</b>	<b>General</b>		P
	Language ..... :	Instructions in English are reviewed.	—
<b>F.2</b>	<b>Letter symbols and graphical symbols</b>		P



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Clause	Requirement + Test	Result - Remark	Verdict
F.2.1	Letter symbols according to IEC60027-1	Letter symbols for quantities and units are complied with IEC 60027-1.	P
F.2.2	Graphic symbols according to IEC, ISO or manufacturer specific	Graphical symbols are complied with IEC 60417, ISO 3864-2, ISO 7000 or ISO 7010.	P
<b>F.3</b>	<b>Equipment markings</b>		P
F.3.1	Equipment marking locations	The required marking is located on the enclosure of the equipment and is easily visible	P
F.3.2	Equipment identification markings		P
F.3.2.1	Manufacturer identification .....	See copy of marking plate	P
F.3.2.2	Model identification .....	See copy of marking plate	P
F.3.3	Equipment rating markings		P
F.3.3.1	Equipment with direct connection to mains		N/A
F.3.3.2	Equipment without direct connection to mains		P
F.3.3.3	Nature of the supply voltage.....	Not directly connected to mains	N/A
F.3.3.4	Rated voltage .....	See the marking	P
F.3.3.5	Rated frequency .....		N/A
F.3.3.6	Rated current or rated power .....	See the marking	P
F.3.3.7	Equipment with multiple supply connections		N/A
F.3.4	Voltage setting device		N/A
F.3.5	Terminals and operating devices	See below.	N/A
F.3.5.1	Mains appliance outlet and socket-outlet markings .....	No such devices on the equipment.	N/A
F.3.5.2	Switch position identification marking.....	No such switch on the equipment.	N/A
F.3.5.3	Replacement fuse identification and rating markings .....	No such components	N/A
	Instructional safeguards for neutral fuse .....		N/A
F.3.5.4	Replacement battery identification marking .....		N/A
F.3.5.5	Neutral conductor terminal		N/A
F.3.5.6	Terminal marking location		N/A
F.3.6	Equipment markings related to equipment classification		N/A
F.3.6.1	Class I equipment	Class III equipment	N/A
F.3.6.1.1	Protective earthing conductor terminal.....		N/A
F.3.6.1.2	Protective bonding conductor terminals .....		N/A
F.3.6.2	Equipment class marking .....		N/A
F.3.6.3	Functional earthing terminal marking .....		N/A
F.3.7	Equipment IP rating marking .....		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
F.3.8	External power supply output marking .....		N/A
F.3.9	Durability, legibility and permanence of marking	Marking is considered to be legible and easily discernible. See also the following details	P
F.3.10	Test for permanence of markings	The label was subjected to the permanence of marking test. The label was rubbed with cloth soaked with water for 15 sec. And then again for 15 sec. With the cloth soaked with petroleum spirit. After this test there was no damage to the label. The marking on the label did not fade. There was no curling and lifting of the label edge. After each test, the marking remained legible.	P
<b>F.4</b>	<b>Instructions</b>		P
	a) Information prior to installation and initial use		N/A
	b) Equipment for use in locations where children not likely to be present		N/A
	c) Instructions for installation and interconnection		P
	d) Equipment intended for use only in restricted access area		N/A
	e) Equipment intended to be fastened in place		N/A
	f) Instructions for audio equipment terminals		N/A
	g) Protective earthing used as a safeguard		N/A
	h) Protective conductor current exceeding ES2 limits		N/A
	i) Graphic symbols used on equipment		P
	j) Permanently connected equipment not provided with all-pole mains switch		N/A
	k) Replaceable components or modules providing safeguard function		N/A
	l) Equipment containing insulating liquid		N/A
	m) Installation instructions for outdoor equipment		N/A
<b>F.5</b>	Instructional safeguards		N/A
<b>G</b>	<b>COMPONENTS</b>		P
<b>G.1</b>	<b>Switches</b>		N/A
G.1.1	General		N/A
G.1.2	Ratings, endurance, spacing, maximum load		N/A
G.1.3	Test method and compliance		N/A
<b>G.2</b>	<b>Relays</b>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
G.2.1	Requirements		N/A
G.2.2	Overload test		N/A
G.2.3	Relay controlling connectors supplying power to other equipment		N/A
G.2.4	Test method and compliance		N/A
<b>G.3</b>	<b>Protective devices</b>		N/A
G.3.1	Thermal cut-offs		N/A
	Thermal cut-outs separately approved according to IEC 60730 with conditions indicated in a) & b)		N/A
	Thermal cut-outs tested as part of the equipment as indicated in c)		N/A
G.3.1.2	Test method and compliance		N/A
G.3.2	Thermal links		N/A
G.3.2.1	a) Thermal links tested separately according to IEC 60691 with specifics		N/A
	b) Thermal links tested as part of the equipment		N/A
G.3.2.2	Test method and compliance		N/A
G.3.3	PTC thermistors		N/A
G.3.4	Overcurrent protection devices		N/A
G.3.5	Safeguards components not mentioned in G.3.1 to G.3.4		N/A
G.3.5.1	Non-resettable devices suitably rated and marking provided		N/A
G.3.5.2	Single faults conditions..... :	(See appended table B.4)	N/A
<b>G.4</b>	<b>Connectors</b>		N/A
G.4.1	Spacings		N/A
G.4.2	Mains connector configuration .....		N/A
G.4.3	Plug is shaped that insertion into mains socket-outlets or appliance coupler is unlikely		N/A
<b>G.5</b>	<b>Wound components</b>		N/A
G.5.1	Wire insulation in wound components		N/A
G.5.1.2	Protection against mechanical stress		N/A
G.5.2	Endurance test		N/A
G.5.2.1	General test requirements		N/A
G.5.2.2	Heat run test		N/A
	Test time (days per cycle) .....		—
	Test temperature (°C)..... :		—
G.5.2.3	Wound components supplied from the mains		N/A
G.5.2.4	No insulation breakdown		N/A
G.5.3	Transformers		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
G.5.3.1	Compliance method..... :		N/A
	Position .....		N/A
	Method of protection..... :		N/A
G.5.3.2	Insulation		N/A
	Protection from displacement of windings..... :		—
G.5.3.3	Transformer overload tests		N/A
G.5.3.3.1	Test conditions		N/A
G.5.3.3.2	Winding temperatures		N/A
G.5.3.3.3	Winding temperatures - alternative test method		N/A
G.5.3.4	Transformers using FIW		N/A
G.5.3.4.1	General		N/A
	FIW wire nominal diameter..... :		—
G.5.3.4.2	Transformers with basic insulation only		N/A
G.5.3.4.3	Transformers with double insulation or reinforced insulation..... :		N/A
G.5.3.4.4	Transformers with FIW wound on metal or ferrite core		N/A
G.5.3.4.5	Thermal cycling test and compliance		N/A
G.5.3.4.6	Partial discharge test		N/A
G.5.3.4.7	Routine test		N/A
G.5.4	Motors		N/A
G.5.4.1	General requirements		N/A
G.5.4.2	Motor overload test conditions		N/A
G.5.4.3	Running overload test		N/A
G.5.4.4.2	Locked-rotor overload test		N/A
	Test duration (days) .....		—
G.5.4.5	Running overload test for DC motors		N/A
G.5.4.5.2	Tested in the unit		N/A
G.5.4.5.3	Alternative method		N/A
G.5.4.6	Locked-rotor overload test for DC motors		N/A
G.5.4.6.2	Tested in the unit		N/A
	Maximum Temperature .....		N/A
G.5.4.6.3	Alternative method		N/A
G.5.4.7	Motors with capacitors		N/A
G.5.4.8	Three-phase motors		N/A
G.5.4.9	Series motors		N/A
	Operating voltage .....		—
<b>G.6</b>	<b>Wire Insulation</b>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
G.6.1	General		N/A
G.6.2	Enamelled winding wire insulation		N/A
<b>G.7</b>	<b>Mains supply cords</b>		N/A
G.7.1	General requirements		N/A
	Type..... :		—
G.7.2	Cross sectional area (mm <sup>2</sup> or AWG) ..... :		N/A
G.7.3	Cord anchorages and strain relief for non-detachable power supply cords		N/A
G.7.3.2	Cord strain relief		N/A
G.7.3.2.1	Requirements		N/A
	Strain relief test force (N)..... :		N/A
G.7.3.2.2	Strain relief mechanism failure		N/A
G.7.3.2.3	Cord sheath or jacket position, distance (mm) ..... :		N/A
G.7.3.2.4	Strain relief and cord anchorage material		N/A
G.7.4	Cord Entry		N/A
G.7.5	Non-detachable cord bend protection		N/A
G.7.5.1	Requirements		N/A
G.7.5.2	Test method and compliance		N/A
	Overall diameter or minor overall dimension, <i>D</i> (mm) ..... :		—
	Radius of curvature after test (mm)..... :		—
G.7.6	Supply wiring space		N/A
G.7.6.1	General requirements		N/A
G.7.6.2	Stranded wire		N/A
G.7.6.2.1	Requirements		N/A
G.7.6.2.2	Test with 8 mm strand		N/A
<b>G.8</b>	<b>Varistors</b>		N/A
G.8.1	General requirements		N/A
G.8.2	Safeguards against fire		N/A
G.8.2.1	General		N/A
G.8.2.2	Varistor overload test		N/A
G.8.2.3	Temporary overvoltage test		N/A
<b>G.9</b>	<b>Integrated circuit (IC) current limiters</b>		N/A
G.9.1	Requirements		N/A
	IC limiter output current (max. 5A) ..... :		—
	Manufacturers' defined drift ..... :		—
G.9.2	Test Program		N/A
G.9.3	Compliance		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
<b>G.10</b>	<b>Resistors</b>		N/A
G.10.1	General		N/A
G.10.2	Conditioning		N/A
G.10.3	Resistor test		N/A
G.10.4	Voltage surge test		N/A
G.10.5	Impulse test		N/A
G.10.6	Overload test		N/A
<b>G.11</b>	<b>Capacitors and RC units</b>		N/A
G.11.1	General requirements		N/A
G.11.2	Conditioning of capacitors and RC units		N/A
G.11.3	Rules for selecting capacitors		N/A
<b>G.12</b>	<b>Optocouplers</b>		N/A
	Optocouplers comply with IEC 60747-5-5 with specifics		N/A
	Type test voltage $V_{ini,a}$ .....		—
	Routine test voltage, $V_{ini,b}$ .....		—
<b>G.13</b>	<b>Printed boards</b>		P
G.13.1	General requirements	Approved Printed board used	P
G.13.2	Uncoated printed boards		P
G.13.3	Coated printed boards		N/A
G.13.4	Insulation between conductors on the same inner surface		N/A
G.13.5	Insulation between conductors on different surfaces		N/A
	Distance through insulation .....		N/A
	Number of insulation layers (pcs) .....		—
G.13.6	Tests on coated printed boards		N/A
G.13.6.1	Sample preparation and preliminary inspection		N/A
G.13.6.2	Test method and compliance		N/A
<b>G.14</b>	<b>Coating on components terminals</b>		N/A
G.14.1	Requirements .....	(See Clause G.13)	N/A
<b>G.15</b>	<b>Pressurized liquid filled components</b>		N/A
G.15.1	Requirements		N/A
G.15.2	Test methods and compliance		N/A
G.15.2.1	Hydrostatic pressure test		N/A
G.15.2.2	Creep resistance test		N/A
G.15.2.3	Tubing and fittings compatibility test		N/A
G.15.2.4	Vibration test		N/A
G.15.2.5	Thermal cycling test		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
G.15.2.6	Force test		N/A
G.15.3	Compliance		N/A
<b>G.16</b>	<b>IC including capacitor discharge function (ICX)</b>		N/A
G.16.1	Condition for fault tested is not required		N/A
	ICX with associated circuitry tested in equipment		N/A
	ICX tested separately		N/A
G.16.2	Tests		N/A
	Smallest capacitance and smallest resistance specified by ICX manufacturer for impulse test..... :		—
	Mains voltage that impulses to be superimposed on ..... :		—
	Largest capacitance and smallest resistance for ICX tested by itself for 10000 cycles test ..... :		—
G.16.3	Capacitor discharge test..... :		N/A
<b>H</b>	<b>CRITERIA FOR TELEPHONE RINGING SIGNALS</b>		N/A
<b>H.1</b>	<b>General</b>		N/A
<b>H.2</b>	<b>Method A</b>		N/A
<b>H.3</b>	<b>Method B</b>		N/A
H.3.1	Ringing signal		N/A
H.3.1.1	Frequency (Hz) ..... :		—
H.3.1.2	Voltage (V) ..... :		—
H.3.1.3	Cadence; time (s) and voltage (V) ..... :		—
H.3.1.4	Single fault current (mA):..... :		—
H.3.2	Tripping device and monitoring voltage		N/A
H.3.2.1	Conditions for use of a tripping device or a monitoring voltage		N/A
H.3.2.2	Tripping device		N/A
H.3.2.3	Monitoring voltage (V) ..... :		N/A
<b>J</b>	<b>INSULATED WINDING WIRES FOR USE WITHOUT INTERLEAVED INSULATION</b>		N/A
<b>J.1</b>	<b>General</b>		N/A
	Winding wire insulation..... :		—
	Solid round winding wire, diameter (mm) ..... :		N/A
	Solid square and rectangular (flatwise bending) winding wire, cross-sectional area (mm <sup>2</sup> )..... :		N/A
<b>J.2/J.3</b>	Tests and Manufacturing	(See separate test report)	—
<b>K</b>	<b>SAFETY INTERLOCKS</b>		N/A
<b>K.1</b>	<b>General requirements</b>		N/A
	Instructional safeguard ..... :		N/A
<b>K.2</b>	<b>Components of safety interlock safeguard mechanism</b>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
<b>K.3</b>	<b>Inadvertent change of operating mode</b>		N/A
<b>K.4</b>	<b>Interlock safeguard override</b>		N/A
<b>K.5</b>	<b>Fail-safe</b>		N/A
K.5.1	Under single fault condition		N/A
<b>K.6</b>	<b>Mechanically operated safety interlocks</b>		N/A
K.6.1	Endurance requirement		N/A
K.6.2	Test method and compliance .....		N/A
<b>K.7</b>	<b>Interlock circuit isolation</b>		N/A
K.7.1	Separation distance for contact gaps & interlock circuit elements		N/A
	In circuit connected to mains, separation distance for contact gaps (mm).....		N/A
	In circuit isolated from mains, separation distance for contact gaps (mm).....		N/A
	Electric strength test before and after the test of K.7.2 .....	(See appended table 5.4.9)	N/A
K.7.2	Overload test, Current (A) .....		N/A
K.7.3	Endurance test		N/A
K.7.4	Electric strength test		N/A
<b>L</b>	<b>DISCONNECT DEVICES</b>		N/A
<b>L.1</b>	<b>General requirements</b>		N/A
<b>L.2</b>	<b>Permanently connected equipment</b>		N/A
<b>L.3</b>	<b>Parts that remain energized</b>		N/A
<b>L.4</b>	<b>Single-phase equipment</b>		N/A
<b>L.5</b>	<b>Three-phase equipment</b>		N/A
<b>L.6</b>	<b>Switches as disconnect devices</b>		N/A
<b>L.7</b>	<b>Plugs as disconnect devices</b>		N/A
<b>L.8</b>	<b>Multiple power sources</b>		N/A
	Instructional safeguard .....		N/A
<b>M</b>	<b>EQUIPMENT CONTAINING BATTERIES AND THEIR PROTECTION CIRCUITS</b>		N/A
<b>M.1</b>	<b>General requirements</b>		N/A
<b>M.2</b>	<b>Safety of batteries and their cells</b>		N/A
M.2.1	Batteries and their cells comply with relevant IEC standards .....		N/A
<b>M.3</b>	<b>Protection circuits for batteries provided within the equipment</b>		N/A
M.3.1	Requirements		N/A
M.3.2	Test method		N/A
	Overcharging of a rechargeable battery		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Excessive discharging		N/A
	Unintentional charging of a non-rechargeable battery		N/A
	Reverse charging of a rechargeable battery		N/A
M.3.3	Compliance	(See appended table M.3)	N/A
<b>M.4</b>	<b>Additional safeguards for equipment containing a portable secondary lithium battery</b>		N/A
M.4.1	General		N/A
M.4.2	Charging safeguards		N/A
M.4.2.1	Requirements		N/A
M.4.2.2	Compliance..... :	(See appended table M.4.2)	N/A
M.4.3	Fire enclosure..... :		N/A
M.4.4	Drop test of equipment containing a secondary lithium battery		N/A
M.4.4.2	Preparation and procedure for the drop test		N/A
M.4.4.3	Drop, Voltage on reference and dropped batteries (V); voltage difference during 24 h period (%): ..... :		N/A
M.4.4.4	Check of the charge/discharge function		N/A
M.4.4.5	Charge / discharge cycle test		N/A
M.4.4.6	Compliance		N/A
<b>M.5</b>	<b>Risk of burn due to short-circuit during carrying</b>		N/A
M.5.1	Requirement		N/A
M.5.2	Test method and compliance		N/A
<b>M.6</b>	<b>Safeguards against short-circuits</b>		N/A
M.6.1	External and internal faults		N/A
M.6.2	Compliance		N/A
<b>M.7</b>	<b>Risk of explosion from lead acid and NiCd batteries</b>		N/A
M.7.1	Ventilation preventing explosive gas concentration		N/A
	Calculated hydrogen generation rate ..... :		N/A
M.7.2	Test method and compliance		N/A
	Minimum air flow rate, Q (m <sup>3</sup> /h)..... :		N/A
M.7.3	Ventilation tests		N/A
M.7.3.1	General		N/A
M.7.3.2	Ventilation test – alternative 1		N/A
	Hydrogen gas concentration (%)..... :		N/A
M.7.3.3	Ventilation test – alternative 2		N/A
	Obtained hydrogen generation rate..... :		N/A
M.7.3.4	Ventilation test – alternative 3		N/A
	Hydrogen gas concentration (%)..... :		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
M.7.4	Marking .....		N/A
<b>M.8</b>	<b>Protection against internal ignition from external spark sources of batteries with aqueous electrolyte</b>		N/A
M.8.1	General		N/A
M.8.2	Test method		N/A
M.8.2.1	General		N/A
M.8.2.2	Estimation of hypothetical volume $V_z$ (m <sup>3</sup> /s) .....		—
M.8.2.3	Correction factors .....		—
M.8.2.4	Calculation of distance $d$ (mm) .....		—
<b>M.9</b>	<b>Preventing electrolyte spillage</b>		N/A
M.9.1	Protection from electrolyte spillage		N/A
M.9.2	Tray for preventing electrolyte spillage		N/A
<b>M.10</b>	<b>Instructions to prevent reasonably foreseeable misuse</b>		N/A
	Instructional safeguard .....		N/A
<b>N</b>	<b>ELECTROCHEMICAL POTENTIALS</b>		N/A
	Material(s) used.....		—
<b>O</b>	<b>MEASUREMENT OF CREEPAGE DISTANCES AND CLEARANCES</b>		N/A
	Value of $X$ (mm).....		—
<b>P</b>	<b>SAFEGUARDS AGAINST CONDUCTIVE OBJECTS</b>		P
<b>P.1</b>	<b>General</b>		P
<b>P.2</b>	<b>Safeguards against entry or consequences of entry of a foreign object</b>		N/A
P.2.1	General		N/A
P.2.2	Safeguards against entry of a foreign object		N/A
	Location and Dimensions (mm) .....		—
P.2.3	Safeguards against the consequences of entry of a foreign object		N/A
P.2.3.1	Safeguard requirements		N/A
	The ES3 and PS3 keep-out volume in Figure P.3 not applicable to transportable equipment		N/A
	Transportable equipment with metalized plastic parts.....		N/A
P.2.3.2	Consequence of entry test.....		N/A
<b>P.3</b>	<b>Safeguards against spillage of internal liquids</b>		
P.3.1	General		N/A
P.3.2	Determination of spillage consequences		N/A
P.3.3	Spillage safeguards		N/A
P.3.4	Compliance		N/A
<b>P.4</b>	<b>Metallized coatings and adhesives securing parts</b>		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
P.4.1	General		N/A
P.4.2	Tests		N/A
	Conditioning, T <sub>c</sub> (°C) .....		—
	Duration (weeks) .....		—
<b>Q</b>	<b>CIRCUITS INTENDED FOR INTERCONNECTION WITH BUILDING WIRING</b>		N/A
<b>Q.1</b>	<b>Limited power sources</b>		N/A
Q.1.1	Requirements		N/A
	a) Inherently limited output		N/A
	b) Impedance limited output		N/A
	c) Regulating network limited output		N/A
	d) Overcurrent protective device limited output		N/A
	e) IC current limiter complying with G.9		N/A
Q.1.2	Test method and compliance .....	(See appended table Q.1)	N/A
	Current rating of overcurrent protective device (A) .....		N/A
<b>Q.2</b>	<b>Test for external circuits – paired conductor cable</b>		N/A
	Maximum output current (A) .....		N/A
	Current limiting method .....		—
<b>R</b>	<b>LIMITED SHORT CIRCUIT TEST</b>		N/A
<b>R.1</b>	<b>General</b>		N/A
<b>R.2</b>	<b>Test setup</b>		N/A
	Overcurrent protective device for test .....		—
<b>R.3</b>	<b>Test method</b>		N/A
	Cord/cable used for test .....		—
<b>R.4</b>	<b>Compliance</b>		N/A
<b>S</b>	<b>TESTS FOR RESISTANCE TO HEAT AND FIRE</b>		N/A
<b>S.1</b>	<b>Flammability test for fire enclosures and fire barrier materials of equipment where the steady state power does not exceed 4 000 W</b>		N/A
	Samples, material .....		—
	Wall thickness (mm) .....		—
	Conditioning (°C) .....		—
	Test flame according to IEC 60695-11-5 with conditions as set out		N/A
	- Material not consumed completely		N/A
	- Material extinguishes within 30s		N/A
	- No burning of layer or wrapping tissue		N/A
<b>S.2</b>	<b>Flammability test for fire enclosure and fire barrier integrity</b>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Samples, material..... :		—
	Wall thickness (mm) .....		—
	Conditioning (°C) .....		—
<b>S.3</b>	<b>Flammability test for the bottom of a fire enclosure</b>		N/A
S.3.1	Mounting of samples		N/A
S.3.2	Test method and compliance		N/A
	Mounting of samples .....		—
	Wall thickness (mm) .....		—
<b>S.4</b>	<b>Flammability classification of materials</b>		N/A
<b>S.5</b>	<b>Flammability test for fire enclosure materials of equipment with a steady state power exceeding 4 000 W</b>		N/A
	Samples, material..... :		—
	Wall thickness (mm) .....		—
	Conditioning (°C) .....		—
<b>T</b>	<b>MECHANICAL STRENGTH TESTS</b>		P
<b>T.1</b>	<b>General</b>		P
<b>T.2</b>	<b>Steady force test, 10 N .....</b> :	(See appended table T.2)	N/A
<b>T.3</b>	<b>Steady force test, 30 N .....</b> :	(See appended table T.3)	N/A
<b>T.4</b>	<b>Steady force test, 100 N .....</b> :	(See appended table T.4)	N/A
<b>T.5</b>	<b>Steady force test, 250 N .....</b> :	(See appended table T.5)	P
<b>T.6</b>	<b>Enclosure impact test</b>	(See appended table T.6)	P
	Fall test		P
	Swing test		P
<b>T.7</b>	<b>Drop test .....</b> :	(See appended table T.7)	N/A
<b>T.8</b>	<b>Stress relief test.....</b> :	(See appended table T.8)	P
<b>T.9</b>	<b>Glass Impact Test .....</b> :	(See appended table T.9)	N/A
<b>T.10</b>	<b>Glass fragmentation test</b>		N/A
	Number of particles counted..... :		N/A
<b>T.11</b>	<b>Test for telescoping or rod antennas</b>		N/A
	Torque value (Nm) .....		N/A
<b>U</b>	<b>MECHANICAL STRENGTH OF CATHODE RAY TUBES (CRT) AND PROTECTION AGAINST THE EFFECTS OF IMPLOSION</b>		N/A
<b>U.1</b>	<b>General</b>		N/A
	Instructional safeguard :		N/A
<b>U.2</b>	<b>Test method and compliance for non-intrinsically protected CRTs</b>		N/A
<b>U.3</b>	<b>Protective screen</b>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
<b>V</b>	<b>DETERMINATION OF ACCESSIBLE PARTS</b>		N/A
<b>V.1</b>	<b>Accessible parts of equipment</b>		N/A
V.1.1	General		N/A
V.1.2	Surfaces and openings tested with jointed test probes		N/A
V.1.3	Openings tested with straight unjointed test probes		N/A
V.1.4	Plugs, jacks, connectors tested with blunt probe		N/A
V.1.5	Slot openings tested with wedge probe		N/A
V.1.6	Terminals tested with rigid test wire		N/A
<b>V.2</b>	<b>Accessible part criterion</b>		N/A
<b>X</b>	<b>ALTERNATIVE METHOD FOR DETERMINING CLEARANCES FOR INSULATION IN CIRCUITS CONNECTED TO AN AC MAINS NOT EXCEEDING 420 V PEAK (300 V RMS)</b>		N/A
	Clearance .....	(See appended table X)	N/A
<b>Y</b>	<b>CONSTRUCTION REQUIREMENTS FOR OUTDOOR ENCLOSURES</b>		N/A
<b>Y.1</b>	<b>General</b>		N/A
<b>Y.2</b>	<b>Resistance to UV radiation</b>		N/A
<b>Y.3</b>	<b>Resistance to corrosion</b>		N/A
<b>Y.3</b>	<b>Resistance to corrosion</b>		N/A
Y.3.1	Metallic parts of outdoor enclosures are resistant to effects of water-borne contaminants by .....		N/A
Y.3.2	Test apparatus		N/A
Y.3.3	Water – saturated sulphur dioxide atmosphere		N/A
Y.3.4	Test procedure .....		N/A
Y.3.5	Compliance		N/A
<b>Y.4</b>	<b>Gaskets</b>		N/A
Y.4.1	General		N/A
Y.4.2	Gasket tests		N/A
Y.4.3	Tensile strength and elongation tests		N/A
	Alternative test methods .....		N/A
Y.4.4	Compression test		N/A
Y.4.5	Oil resistance		N/A
Y.4.6	Securing means	(See Annex P.4)	N/A
<b>Y.5</b>	<b>Protection of equipment within an outdoor enclosure</b>		N/A
Y.5.1	General		N/A
Y.5.2	Protection from moisture		N/A
	Relevant tests of IEC 60529 or Y.5.3 .....		N/A
Y.5.3	Water spray test		N/A
Y.5.4	Protection from plants and vermin		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
Y.5.5	Protection from excessive dust		N/A
Y.5.5.1	General		N/A
Y.5.5.2	IP5X equipment		N/A
Y.5.5.3	IP6X equipment		N/A
<b>Y.6</b>	<b>Mechanical strength of enclosures</b>		N/A
Y.6.1	General		N/A
Y.6.2	Impact test .....	(See Table T.6)	N/A

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Clause	Requirement + Test	Result - Remark	Verdict

5.2	TABLE: Classification of electrical energy sources						P
Supply Voltage	Location (e.g. circuit designation)	Test conditions	Parameters				ES Class
			U (V)	I (mA)	Type <sup>1)</sup>	Additional Info <sup>2)</sup>	
12Vdc	All circuits	Normal:	--	--	SS	--	ES1 (declared)
		Abnormal:	--	--	SS	--	
		Single fault – SC/OC)	--	--	SS	--	
Supplementary information:							
1) Type: Steady state (SS), Capacitance (CP), Single pulse (SP), Repetitive pulses (RP), etc.							
2) Additional Info: Frequency, Pulse duration, Pulse off time, Capacitance value, etc.							

5.4.1.8	TABLE: Working voltage measurement				N/A
Location	RMS voltage (V)	Peak voltage (V)	Frequency (Hz)	Comments	
Supplementary information:					

5.4.1.10.2	TABLE: Vicat softening temperature of thermoplastics				N/A
Method.....:			ISO 306 / B50		—
Object/ Part No./Material	Manufacturer/trademark	Thickness (mm)	T softening (°C)		
Supplementary information:					

5.4.1.10.3	TABLE: Ball pressure test of thermoplastics				N/A
Allowed impression diameter (mm) .....			≤ 2 mm		—
Object/Part No./Material	Manufacturer/trademark	Thickness (mm)	Test temperature (°C)	Impression diameter (mm)	
Supplementary information:					



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Clause	Requirement + Test	Result - Remark	Verdict

5.4.2, 5.4.3 TABLE: Minimum Clearances/Creepage distance								N/A
Clearance (cl) and creepage distance (cr) at/of/between:	$U_p$ (V)	$U_{rms}$ (V)	Freq <sup>1)</sup> (Hz)	Required cl (mm)	cl (mm)	E.S. <sup>2)</sup> (V)	Required cr (mm)	cr (mm)
Supplementary information:								
1) Only for frequency above 30 kHz								
2) Complete Electric Strength voltage (E.S. (V) when 5.4.2.4 applied)								

5.4.4.2 TABLE: Minimum distance through insulation					N/A
Distance through insulation (DTI) at/of	Peak voltage (V)	Insulation	Required DTI (mm)	Measured DTI (mm)	
Supplementary information:					

5.4.4.9 TABLE: Solid insulation at frequencies >30 kHz							N/A
Insulation material	$E_p$	Frequency (kHz)	$K_R$	Thickness $d$ (mm)	Insulation	$V_{pw}$ (Vpk)	
Supplementary information:							

5.4.9 TABLE: Electric strength tests				N/A
Test voltage applied between:	Voltage shape (Surge, Impulse, AC, DC, etc.)	Test voltage (V)	Breakdown Yes / No	
Supplementary information:				

5.5.2.2 TABLE: Stored discharge on capacitors						N/A
Location	Supply voltage (V)	Operating and fault condition <sup>1)</sup>	Switch position	Measured voltage (Vpk)	ES Class	

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Clause	Requirement + Test	Result - Remark	Verdict

<b>Supplementary information:</b>			
X-capacitors installed for testing:			
[ ] bleeding resistor rating:			
[ ] ICX:			
1) Normal operating condition (e.g., normal operation, or open fuse), SC= short circuit, OC= open circuit			

<b>5.6.6</b>	<b>TABLE: Resistance of protective conductors and terminations</b>				N/A
Location	Test current (A)	Duration (min)	Voltage drop (V)	Resistance ( $\Omega$ )	
<b>Supplementary information:</b>					

<b>5.7.4</b>	<b>TABLE: Unearthed accessible parts</b>					N/A
Location	Operating and fault conditions	Supply Voltage (V)	Parameters			ES class
			Voltage ( $V_{rms}$ or $V_{pk}$ )	Current ( $A_{rms}$ or $A_{pk}$ )	Freq. (Hz)	
<b>Supplementary information:</b>						
Abbreviation: SC= short circuit; OC= open circuit						

<b>5.7.5</b>	<b>TABLE: Earthed accessible conductive part</b>					N/A
Supply voltage (V) .....						—
Phase(s) .....	[ ] Single Phase; [ ] Three Phase: [ ] Delta [ ] Wye					
Power Distribution System .....	[ ] TN [ ] TT [ ] IT					
Location	Fault Condition No in IEC 60990 clause 6.2.2	Touch current (mA)	Comment			
<b>Supplementary Information:</b>						

<b>5.8</b>	<b>TABLE: Backfeed safeguard in battery backed up supplies</b>					N/A
Location	Supply voltage (V)	Operating and fault condition	Time (s)	Open-circuit voltage (V)	Touch current (A)	ES Class
<b>Supplementary information:</b>						

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Clause	Requirement + Test	Result - Remark	Verdict

Abbreviation: SC= short circuit, OC= open circuit

6.2.2	TABLE: Power source circuit classifications					P
Location	Operating and fault condition	Voltage (V)	Current (A)	Max. Power <sup>1)</sup> (W)	Time (S)	PS class
Input port	Normal operation	--	--	--	--	PS2 (declare)
USB output	Normal operation	4.99	2.62	13.15	3	PS1
USB output	R1 SC	5.02	0.5	2.51	3	PS1
Supplementary information:						
Abbreviation: SC= short circuit; OC= open circuit						
1) Measured after 3 s for PS1 and measured after 5 s for PS2 and PS3.						

6.2.3.1	TABLE: Determination of Arcing PIS				N/A
Location	Open circuit voltage after 3 s (Vpk)	Measured r.m.s current (A)	Calculated value	Arcing PIS? Yes / No	
Supplementary information:					

6.2.3.2	TABLE: Determination of resistive PIS			N/A
Location	Operating and fault condition	Dissipate power (W)		Arcing PIS? Yes / No
Supplementary information:				
Abbreviation: SC= short circuit; OC= open circuit				

8.5.5	TABLE: High pressure lamp				N/A
Lamp manufacturer	Lamp type	Explosion method	Longest axis of glass particle (mm)	Particle found beyond 1 m Yes / No	
Supplementary information:					

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Clause	Requirement + Test	Result - Remark	Verdict

9.6	TABLE: Temperature measurements for wireless power transmitters								N/A
Supply voltage (V).....:								—	
Max. transmit power of transmitter (W).....:								—	
Foreign objects	w/o receiver and direct contact		with receiver and direct contact		with receiver and at distance of 2 mm		with receiver and at distance of 5 mm		
	Object (°C)	Ambient (°C)	Object (°C)	Ambient (°C)	Object (°C)	Ambient (°C)	Object (°C)	Ambient (°C)	
Supplementary information:									

5.4.1.4, 9.3, B.1.5, B.2.6	TABLE: Temperature measurements								P
Supply voltage (V) .....		12Vdc		--		--		—	
Ambient temperature during test $T_{amb}$ (°C) .....		See below		--		--		—	
Maximum measured temperature $T$ of part/at:		$T$ (°C)						Allowed $T_{max}$ (°C)	
DC inlet		32.8	47.8	--	--	--	--	Ref.	
PCB near U1		60.5	75.5	--	--	--	--	130	
C241 body		42.8	57.8	--	--	--	--	105	
PCB near 3U1		50.5	65.5	--	--	--	--	130	
Plastic enclosure inside near main board		40.9	55.9	--	--	--	--	Ref.	
Ambient		25.0°C	Shift to 40°C	--	--	--	--	--	
<b>Touch Temperatures</b>									
Enclosure outside near main board		35.6	--	--	--	--	--	77	
Button		28.9	--	--	--	--	--	77	
Surface of the adapter		47.2	--	--	--	--	--	77	
Ambient		25.0°C	--	--	--	--	--	--	
Temperature $T$ of winding:		$t_1$ (°C)	$R_1$ (Ω)	$t_2$ (°C)	$R_2$ (Ω)	$T$ (°C)	Allowed $T_{max}$ (°C)	Insulation class	
Supplementary information:									

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Clause	Requirement + Test	Result - Remark	Verdict

Note 1: Tma should be considered as directed by applicable requirement.

Note 2: Toch Temperatures, this is included in assessment of Toch Temperatures(Clause 9).

Note 3: The maximum ambient temperature specified by manufacturer is 40°C.

B.2.5 TABLE: Input test								P
U (V)	Hz	I (A)	I rated (A)	P (W)	P rated (W)	Fuse No	I fuse (A)	Condition/status
12	--	0.752	1.0	--	--	--	--	Normal operation
Supplementary information:								

B.3, B.4 TABLE: Abnormal operating and fault condition tests							P
Ambient temperature $T_{amb}$ (°C)..... :						23.8-24.5	—
Power source for EUT: Manufacturer, model/type, output rating.. :						--	—
Component No.	Condition	Supply voltage (V)	Test time	Fuse no.	Fuse current (A)	Observation	
Opening	Block	12Vdc	1hrs12 mins	--	--	Normal operation, no damage, no hazards, The max. Temperature: PCB near U1: 69.4°C, Enclosure outside near mian board: 42.3°C, Ambient: 24.1°C	
C25	SC	12Vdc	10mins	--	--	Unit shut down, recoverable, no damage, no hazards.	
C241	SC	12Vdc	10mins	--	--	Unit shut down, recoverable, no damage, no hazards.	
Supplementary information:							
1. SC – Short Circuit; OC – Open Circuit; OL- Overload;							
2. No ignition during and after all tests;							
3. Output voltage comply with ES1 during and after all tests.							
4. NB – no indication of dielectric breakdown; NC – Cheesecloth remained intact; NT - Tissue paper remained intact.							
5. Output circuit is under ES1 limit.							

M.3 TABLE: Protection circuits for batteries provided within the equipment		N/A
Is it possible to install the battery in a reverse polarity position? .....		—
Equipment Specification	Charging	





IEC 62368-1						
Clause	Requirement + Test	Result - Remark			Verdict	
Supplementary Information:						

T.2, T.3, T.4, T.5	TABLE: Steady force test						P
Location/Part	Material	Thickness (mm)	Probe	Force (N)	Test Duration (s)	Observation	
Enclosure Top	Plastic	See 4.1.2	--	250	5	Enclosure remained intact, no crack/opening developed.	
Enclosure Side	Plastic	See 4.1.2	--	250	5	Enclosure remained intact, no crack/opening developed.	
Enclosure Bottom	Plastic	See 4.1.2	--	250	5	Enclosure remained intact, no crack/opening developed.	
Supplementary information:							

T.6, T.9	TABLE: Impact test				P
Location/Part	Material	Thickness (mm)	Height (mm)	Observation	
Enclosure Top	Plastic	See 4.1.2	1300	Enclosure remained intact, no crack/opening developed.	
Enclosure Side	Plastic	See 4.1.2	1300	Enclosure remained intact, no crack/opening developed.	
Enclosure Bottom	Plastic	See 4.1.2	1300	Enclosure remained intact, no crack/opening developed.	
Supplementary information:					

T.7	TABLE: Drop test				N/A
Location/Part	Material	Thickness (mm)	Height (mm)	Observation	

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Clause	Requirement + Test	Result - Remark	Verdict

Supplementary information:

T.8	TABLE: Stress relief test					P
Location/Part	Material	Thickness (mm)	Oven Temperature (°C)	Duration (h)	Observation	
Enclosure	Plastic	See 4.1.2	70	7	Enclosure remained intact, no crack/opening developed.	
Supplementary information:						

X	TABLE: Alternative method for determining minimum clearances distances			N/A
Clearance distanced between:	Peak of working voltage (V)	Required cl (mm)	Measured cl (mm)	
Supplementary information:				

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Clause	Requirement + Test	Result - Remark	Verdict

4.1.2	TABLE: Critical components information					P
Object / part No.	Manufacturer/ trademark	Type / model	Technical data	Standard	Mark(s) of conformity <sup>1)</sup>	
AC ADAPTOR	ShenZhen Mao TWO Power Co., Ltd	MR- FA017120010 0EU	Input: 100- 240Vac, 50/60Hz, 0.3A Output: 12Vdc, 1.0A	IEC/EN 62368-1	CE	
PCB	Interchangeable	Interchangeable	V-0, 130 °C	UL 796, UL 94	UL	
Plastic enclosure	SABIC INNOVATIVE PLASTICS B V	C6600(GG)(X) (VS)	V-0, 80°C, Thickness:1.5mm	UL94	UL E45329	
Supplementary information:						
1) Provided evidence ensures the agreed level of compliance. See OD-CB2039.						

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Clause	Requirement + Test	Result - Remark	Verdict

ATTACHMENT TO TEST REPORT		
<b>IEC 62368-1</b> <b>EUROPEAN GROUP DIFFERENCES AND NATIONAL DIFFERENCES</b> (AUDIO/VIDEO, INFORMATION AND COMMUNICATION TECHNOLOGY EQUIPMENT - PART 1: SAFETY REQUIREMENTS)		
Differences according to.....: EN IEC 62368-1:2020+A11:2020		
Attachment Form No.....: EU_GD_IEC62368_1E		
Attachment Originator.....: UL(Demko)		
Master Attachment.....: 2021-02-04		
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	<b>CENELEC COMMON MODIFICATIONS (EN)</b>	P
	Clause numbers in the cells that are shaded light grey are clause references in EN IEC 62368-1:2020+A11:2020. All other clause numbers in that column, except for those in the paragraph below, refers to IEC 62368-1:2018.  Clauses, subclauses, notes, tables, figures and annexes which are additional to those in IEC 62368-1:2018 are prefixed "Z".	--
	Add the following annexes: Annex ZA (normative) Normative references to international publications with their corresponding European publications Annex ZB (normative) Special national conditions Annex ZC (informative) A-deviations Annex ZD (informative) IEC and CENELEC code designations for flexible cords	P
<b>1</b>	<b>Modification to Clause 3.</b>	--
<b>3.3.19</b>	<b>Sound exposure</b> <i>Replace 3.3.19 of IEC 62368-1 with the following definitions:</i>	N/A



IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
3.3.19.1	<p><b>momentary exposure level, MEL</b></p> <p>metric for estimating 1 s sound exposure level from the HD 483-1 S2 test signal applied to both channels, based on EN 50332-1:2013, 4.2.</p> <p>Note 1 to entry: MEL is measured as A-weighted levels in dB.</p> <p>Note 2 to entry: See B.3 of EN 50332-3:2017 for additional information.</p>		N/A
3.3.19.3	<p><b>sound exposure, E</b></p> <p>A-weighted sound pressure (<math>p</math>) squared and integrated over a stated period of time, <math>T</math></p> <p>Note 1 to entry: The SI unit is Pa<sup>2</sup> s.</p> $E = \int_0^T p(t)^2 dt$		N/A
3.3.19.4	<p><b>sound exposure level, SEL</b></p> <p>logarithmic measure of sound exposure relative to a reference value, <math>E_0</math>, typically the 1 kHz threshold of hearing in humans.</p> <p>Note 1 to entry: SEL is measured as A-weighted levels in dB.</p> $SEL = 10 \lg \left( \frac{E}{E_0} \right) \text{ dB}$ <p>Note 2 to entry: See B.4 of EN 50332-3:2017 for additional information.</p>		N/A
3.3.19.5	<p><b>digital signal level relative to full scale, dBFS</b></p> <p>levels reported in dBFS are always r.m.s. Full scale level, 0 dBFS, is the level of a dc-free 997-Hz sine wave whose undithered positive peak value is positive digital full scale, leaving the code corresponding to negative digital full scale unused</p> <p>Note 1 to entry: It is invalid to use dBFS for non-r.m.s. levels. Because the definition of full scale is based on a sine wave, the level of signals with a crest factor lower than that of a sine wave may exceed 0 dBFS. In particular, square wave signals may reach +3,01 dBFS.</p>		N/A
2	<b>Modification to Clause 10</b>		--
10.6	<p><b>Safeguards against acoustic energy sources</b></p> <p>Replace 10.6 of IEC 62368-1 with the following:</p>		N/A
10.6.1.1	<p><b>Introduction</b></p> <p><b>Safeguard</b> requirements for protection against long-term exposure to excessive sound pressure levels from personal music players closely coupled</p>		N/A



IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>to the ear are specified below. Requirements for earphones and headphones intended for use with personal music players are also covered. A personal music player is a portable equipment intended for use by an <b>ordinary person</b>, that:</p> <ul style="list-style-type: none"> <li>– is designed to allow the user to listen to audio or audiovisual content / material; and</li> <li>– uses a listening device, such as headphones or earphones that can be worn in or on or around the ears; and</li> <li>– has a player that can be body worn (of a size suitable to be carried in a clothing pocket) and is intended for the user to walk around with while in continuous use (for example, on a street, in a subway, at an airport, etc.).</li> </ul> <p>EXAMPLES Portable CD players, MP3 audio players, mobile phones with MP3 type features, PDAs or similar equipment.</p> <p>Personal music players shall comply with the requirements of either 10.6.2 or 10.6.3.</p> <p>NOTE 1 Protection against acoustic energy sources from telecom applications is referenced to ITU-T P.360.</p> <p>NOTE 2 It is the intention of the Committee to allow the alternative methods for now, but to only use the dose measurement method as given in 10.6.5 in future. Therefore, manufacturers are encouraged to implement 10.6.5 as soon as possible.</p> <p>Listening devices sold separately shall comply with the requirements of 10.6.6. These requirements are valid for music or video mode only. The requirements do not apply to:</p> <ul style="list-style-type: none"> <li>– professional equipment;</li> </ul> <p>NOTE 3 Professional equipment is equipment sold through special sales channels. All products sold through normal electronics stores are considered not to be professional equipment.</p> <ul style="list-style-type: none"> <li>– hearing aid equipment and other devices for assistive listening;</li> <li>– the following type of analogue personal music players: <ul style="list-style-type: none"> <li>• long distance radio receiver (for example, a multiband radio receiver or world band radio receiver, an AM radio receiver), and</li> <li>• cassette player/recorder;</li> </ul> </li> </ul> <p>NOTE 4 This exemption has been allowed because this technology is falling out of use and it is expected that within a few years it will no longer exist. This exemption will not be extended to other technologies.</p> <ul style="list-style-type: none"> <li>– a player while connected to an external amplifier that does not allow the user to walk around while in use.</li> </ul>		

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>For equipment that is clearly designed or intended primarily for use by children, the limits of the relevant toy standards may apply.</p> <p>The relevant requirements are given in EN 71-1:2011, 4.20 and the related tests methods and measurement distances apply.</p>		
10.6.1.2	<p><b>Non-ionizing radiation from radio frequencies in the range 0 to 300 GHz</b></p> <p>The amount of non-ionizing radiation is regulated by European Council Recommendation 1999/519/EC of 12 July 1999 on the limitation of exposure of the general public to electromagnetic fields (0 Hz to 300 GHz). For intentional radiators, ICNIRP guidelines should be taken into account for Limiting Exposure to Time-Varying Electric, Magnetic, and Electromagnetic Fields (up to 300 GHz). For hand-held and body mounted devices, attention is drawn to EN 50360 and EN 50566.</p>		N/A
10.6.2	<b>Classification of devices without the capacity to estimate sound dose</b>		N/A
10.6.2.1	<p><b>General</b></p> <p>This standard is transitioning from short-term based (30 s) requirements to long-term based (40 hour) requirements. These clauses remain in effect only for devices that do not comply with sound dose estimation as stipulated in EN 50332-3.</p> <p>For classifying the acoustic output <math>L_{Aeq,T}</math>, measurements are based on the A-weighted equivalent sound pressure level over a 30 s period.</p> <p>For music where the average sound pressure (long term <math>L_{Aeq,T}</math>) measured over the duration of the song is lower than the average produced by the programme simulation noise, measurements may be done over the duration of the complete song. In this case, <math>T</math> becomes the duration of the song.</p> <p>NOTE Classical music, acoustic music and broadcast typically has an average sound pressure (long term <math>L_{Aeq,T}</math>) which is much lower than the average programme simulation noise. Therefore, if the player is capable to analyse the content and compare it with the programme simulation noise, the warning does not need to be given as long as the average sound pressure of the song does not exceed the required limit. For example, if the player is set with the programme simulation noise to 85 dB, but the average music level of the song is only 65 dB, there is no need to give a warning or ask an acknowledgement as long as the average sound level of the song is not above the basic limit of 85 dB.</p>		N/A
10.6.2.2	<p><b>RS1 limits (to be superseded, see 10.6.3.2)</b></p> <p>RS1 is a class 1 acoustic energy source that does</p>		N/A

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>not exceed the following:</p> <ul style="list-style-type: none"> <li>– for equipment provided as a package (player with its listening device), and with a proprietary connector between the player and its listening device, or where the combination of player and listening device is known by other means such as setting or automatic detection, the <math>L_{Aeq,T}</math> acoustic output shall be <math>\leq 85</math> dB when playing the fixed “programme simulation noise” described in EN 50332-1.</li> <li>– for equipment provided with a standardized connector (for example, a 3,5 phone jack) that allows connection to a listening device for general use, the unweighted r.m.s. output voltage shall be <math>\leq 27</math> mV (analogue interface) or -25 dBFS (digital interface) when playing the fixed “programme simulation noise” described in EN 50332-1.</li> <li>– The RS1 limits will be updated for all devices as per 10.6.3.2.</li> </ul>		
<b>10.6.2.3</b>	<p><b>RS2 limits (to be superseded, see 10.6.3.3)</b></p> <p>RS2 is a class 2 acoustic energy source that does not exceed the following:</p> <ul style="list-style-type: none"> <li>– for equipment provided as a package (player with its listening device), and with a proprietary connector between the player and its listening device, or when the combination of player and listening device is known by other means such as setting or automatic 130 detection, the <math>L_{Aeq,T}</math> acoustic output shall be <math>\leq 100</math> dB(A) when playing the fixed “programme simulation noise” as described in EN 50332-1.</li> <li>– for equipment provided with a standardized connector (for example, a 3,5 phone jack) that allows connection to a listening device for general use, the unweighted r.m.s. output voltage shall be <math>\leq 150</math> mV (analogue interface) or -10 dBFS (digital interface) when playing the fixed “programme simulation noise” as described in EN 50332-1.</li> </ul>		N/A
<b>10.6.2.4</b>	<p><b>RS3 limits</b></p> <p>RS3 is a class 3 acoustic energy source that exceeds RS2 limits.</p>		N/A
<b>10.6.3</b>	<b>Classification of devices (new)</b>		N/A
<b>10.6.3.1</b>	<p><b>General</b></p> <p>Previous limits (10.6.2) created abundant false negative and false positive PMP sound level warnings. New limits, compliant with The Commission Decision of 23 June 2009, are given below.</p>		N/A
<b>10.6.3.2</b>	<p><b>RS1 limits (new)</b></p> <p>RS1 is a class 1 acoustic energy source that does not exceed the following:</p>		N/A

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>– for equipment provided as a package (player with its listening device), and with a proprietary connector between the player and its listening device, or where the combination of player and listening device is known by other means such as setting or automatic detection, the <math>L_{Aeq,T}</math> acoustic output shall be <math>\leq 80</math> dB when playing the fixed “programme simulation noise” described in EN 50332-1.</p> <p>– for equipment provided with a standardized connector (for example, a 3,5 phone jack) that allows connection to a listening device for general use, the unweighted r.m.s. output voltage shall be <math>\leq 15</math> mV (analogue interface) or -30 dBFS (digital interface) when playing the fixed “programme simulation noise” described in EN 50332-1.</p>		
<b>10.6.3.3</b>	<p><b>RS2 limits (new)</b></p> <p>RS2 is a class 2 acoustic energy source that does not exceed the following:</p> <p>– for equipment provided as a package (player with its listening device), and with a proprietary connector between the player and its listening device, or where the combination of player and listening device is known by other means such as setting or automatic detection, the weekly sound exposure level, as described in EN 50332-3, shall be <math>\leq 80</math> dB when playing the fixed “programme simulation noise” described in EN 50332-1.</p> <p>– for equipment provided with a standardized connector (for example, a 3,5 phone jack) that allows connection to a listening device for general use, the unweighted r.m.s. output level, integrated over one week, as described in EN50332-3, shall be <math>\leq 15</math> mV (analogue interface) or -30 dBFS (digital interface) when playing the fixed “programme simulation noise” described in EN 50332-1.</p>		N/A
<b>10.6.4</b>	<b>Requirements for maximum sound exposure</b>		N/A
<b>10.6.4.1</b>	<p><b>Measurement methods</b></p> <p>All volume controls shall be turned to maximum during tests.</p> <p>Measurements shall be made in accordance with EN 50332-1 or EN 50332-2 as applicable.</p>		N/A
<b>10.6.4.2</b>	<p><b>Protection of persons</b></p> <p>Except as given below, protection requirements for parts <b>accessible to ordinary persons, instructed persons and skilled persons</b> are given in 4.3.</p> <p>NOTE 1 Volume control is not considered a <b>safeguard</b>.</p> <p>Between RS2 and an <b>ordinary person</b>, the <b>basic safeguard</b> may be replaced by an <b>instructional</b></p>		N/A



IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	<p><b>safeguard</b> in accordance with Clause F.5, except that the <b>instructional safeguard</b> shall be placed on the equipment, or on the packaging, or in the instruction manual.</p> <p>Alternatively, the <b>instructional safeguard</b> may be given through the equipment display during use.</p> <p>The elements of the <b>instructional safeguard</b> shall be as follows:</p> <p style="text-align: center;">  </p> <ul style="list-style-type: none"> <li>– element 1a: the symbol , IEC 60417-6044 (2011-01)</li> <li>– element 2: “High sound pressure” or equivalent wording</li> <li>– element 3: “Hearing damage risk” or equivalent wording</li> <li>– element 4: “Do not listen at high volume levels for long periods.” or equivalent wording</li> </ul> <p>An <b>equipment safeguard</b> shall prevent exposure of an <b>ordinary person</b> to an RS2 source without intentional physical action from the <b>ordinary person</b> and shall automatically return to an output level not exceeding what is specified for an RS1 source when the power is switched off.</p> <p>The equipment shall provide a means to actively inform the user of the increased sound level when the equipment is operated with an output exceeding RS1. Any means used shall be acknowledged by the user before activating a mode of operation which allows for an output exceeding RS1. The acknowledgement does not need to be repeated more than once every 20 h of cumulative listening time.</p> <p>NOTE 2 Examples of means include visual or audible signals. Action from the user is always needed.</p> <p>NOTE 3 The 20 h listening time is the accumulative listening time, independent of how often and how long the personal music player has been switched off.</p> <p>A <b>skilled person</b> shall not be unintentionally exposed to RS3.</p>		
<b>10.6.5</b>	<b>Requirements for dose-based systems</b>		N/A
<b>10.6.5.1</b>	<p><b>General requirements</b></p> <p>Personal music players shall give the warnings as provided below when tested according to EN 50332-3, using the limits from this clause.</p> <p>The manufacturer may offer optional settings to allow the users to modify when and how they wish to receive the notifications and warnings to promote a better user experience without defeating the safeguards. This allows the users to be</p>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	<p>informed in a method that best meets their physical capabilities and device usage needs. If such optional settings are offered, an administrator (for example, parental restrictions, business/educational administrators, etc.) shall be able to lock any optional settings into a specific configuration.</p> <p>The personal music player shall be supplied with easy to understand explanation to the user of the dose management system, the risks involved, and how to use the system safely. The user shall be made aware that other sources may significantly contribute to their sound exposure, for example work, transportation, concerts, clubs, cinema, car races, etc.</p>		
<b>10.6.5.2</b>	<p><b>Dose-based warning and requirements</b></p> <p>When a dose of 100 % CSD is reached, and at least at every 100 % further increase of CSD, the device shall warn the user and require an acknowledgement. In case the user does not acknowledge, the output level shall automatically decrease to compliance with class RS1.</p> <p>The warning shall at least clearly indicate that listening above 100 % CSD leads to the risk of hearing damage or loss.</p>		N/A
<b>10.6.5.3</b>	<p><b>Exposure-based requirements</b></p> <p>With only dose-based requirements, cause and effect could be far separated in time, defying the purpose of educating users about safe listening practice. In addition to dose-based requirements, a PMP shall therefore also put a limit to the short-term sound level a user can listen at.</p> <p>The exposure-based limiter (EL) shall automatically reduce the sound level not to exceed 100 dB(A) or 150 mV integrated over the past 180 s, based on methodology defined in EN 50332-3.</p> <p>The EL settling time (time from starting level reduction to reaching target output) shall be 10 s or faster.</p> <p>Test of EL functionality is conducted according to EN 50332-3, using the limits from this clause. For equipment provided as a package (player with its listening device), the level integrated over 180 s shall be 100 dB or lower. For equipment provided with a standardized connector, the unweighted level integrated over 180 s shall be no more than 150 mV for an analogue interface and no more than -10 dBFS for a digital interface.</p> <p>NOTE In case the source is known not to be music (or test signal), the EL may be disabled.</p>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
<b>10.6.6</b>	<b>Requirements for listening devices (headphones, earphones, etc.)</b>		N/A
<b>10.6.6.1</b>	<p><b>Corded listening devices with analogue input</b></p> <p>With 94 dB <math>L_{Aeq}</math> acoustic pressure output of the listening device, and with the volume and sound settings in the listening device (for example, built-in volume level control, additional sound features like equalization, etc.) set to the combination of positions that maximize the measured acoustic output, the input voltage of the listening device when playing the fixed “programme simulation noise” as described in EN 50332-1 shall be <math>\geq 75</math> mV.</p> <p>NOTE The values of 94 dB and 75 mV correspond with 85 dB and 27 mV or 100 dB and 150 mV.</p>		N/A
<b>10.6.6.2</b>	<p><b>Corded listening devices with digital input</b></p> <p>With any playing device playing the fixed “programme simulation noise” described in EN 50332-1, and with the volume and sound settings in the listening device (for example, built-in volume level control, additional sound features like equalization, etc.) set to the combination of positions that maximize the measured acoustic output, the <math>L_{Aeq,T}</math> acoustic output of the listening device shall be <math>\leq 100</math> dB with an input signal of -10 dBFS.</p>		N/A
<b>10.6.6.3</b>	<p><b>Cordless listening devices</b></p> <p>In cordless mode,</p> <ul style="list-style-type: none"> <li>– with any playing and transmitting device playing the fixed programme simulation noise described in EN 50332-1; and</li> <li>– respecting the cordless transmission standards, where an air interface standard exists that specifies the equivalent acoustic level; and</li> <li>– with volume and sound settings in the receiving device (for example, built-in volume level control, additional sound features like equalization, etc.) set to the combination of positions that maximize the measured acoustic output for the above mentioned programme simulation noise, the <math>L_{Aeq,T}</math> acoustic output of the listening device shall be <math>\leq 100</math> dB with an input signal of -10 dBFS.</li> </ul>		N/A
<b>10.6.6.4</b>	<p><b>Measurement method</b></p> <p><i>Measurements shall be made in accordance with EN 50332-2 as applicable.</i></p>		N/A
<b>3</b>	<b>Modification to the whole document</b>		--

IEC 62368-1							
Clause	Requirement + Test	Result - Remark			Verdict		
	<b>Delete</b> all the “country” notes in the reference document according to the following list:				N/A		
		0.2.1	Note 1 and 2	1	Note 4 and 5	3.3.8.1	Note 2
		3.3.8.3	Note 1	4.1.15	Note	4.7.3	Note 1 and 2
		5.2.2.2	Note	5.4.2.3.2.2 Table 12	Note c	5.4.2.3.2.4	Note 1 and 3
		5.4.2.3.2.4 Table 13	Note 2	5.4.2.5	Note 2	5.4.5.1	Note
		5.4.10.2.1	Note	5.4.10.2.2	Note	5.4.10.2.3	Note
		5.5.2.1	Note	5.5.6	Note	5.6.4.2.1	Note 2 and 3 and 4
		5.6.8	Note 2	5.7.6	Note	5.7.7.1	Note 1 and Note 2
		8.5.4.2.3	Note	10.2.1 Table 39	Note 3 and 4 and 5	10.5.3	Note 2
		10.6.4	Note 3	F.3.3.6	Note 3	Y.4.1	Note
		Y.4.5	Note				
<b>4</b>	<b>Modification to Clause 1</b>						
<b>1</b>	<b>Add the following note:</b>  <i>NOTE Z1 The use of certain substances in electrical and electronic equipment is restricted within the EU: see Directive 2011/65/EU.</i>						P
<b>5</b>	<b>Modification to 4.Z1</b>					--	

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>4.Z1</b>	<p><b>Add the following new subclause after 4.9:</b></p> <p>To protect against excessive current, short-circuits and earth faults in circuits connected to an a.c. <b>mains</b>, protective devices shall be included either as integral parts of the equipment or as parts of the building installation, subject to the following, a), b) and c):</p> <p>a) except as detailed in b) and c), protective devices necessary to comply with the requirements of B.3.1 and B.4 shall be included as parts of the equipment;</p> <p>b) for components in series with the mains input to the equipment such as the supply cord, appliance coupler, r.f.i. filter and switch, short-circuit and earth fault protection may be provided by protective devices in the building installation;</p> <p>c) it is permitted for <b>pluggable equipment type B</b> or <b>permanently connected equipment</b>, to rely on dedicated overcurrent and short-circuit protection in the building installation, provided that the means of protection, e.g. fuses or circuit breakers, is fully specified in the installation instructions.</p> <p>If reliance is placed on protection in the building installation, the installation instructions shall so state, except that for <b>pluggable equipment type A</b> the building installation shall be regarded as providing protection in accordance with the rating of the wall socket outlet.</p>		N/A
<b>6</b>	<b>Modification to 5.4.2.3.2.4</b>		--
<b>5.4.2.3.2.4</b>	<p><b>Add the following to the end of this subclause:</b></p> <p>The requirement for interconnection with <b>external circuit</b> is in addition given in EN 50491-3:2009.</p>		N/A
<b>7</b>	<b>Modification to 10.2.1</b>		--
<b>10.2.1</b>	<p>Add the following to <sup>c)</sup> and <sup>d)</sup> in table 39:</p> <p>For additional requirements, see 10.5.1.</p>		N/A
<b>8</b>	<b>Modification to 10.5.1</b>		--



IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>10.5.1</b>	<p><b>Add the following after the first paragraph:</b></p> <p>For RS 1 compliance is checked by measurement under the following conditions:</p> <p>In addition to the normal operating conditions, all controls adjustable from the outside by hand, by any object such as a tool or a coin, and those internal adjustments or pre-sets which are not locked in a reliable manner, are adjusted so as to give maximum radiation whilst maintaining an intelligible picture for 1 h, at the end of which the measurement is made.</p> <p>NOTE Z1 Soldered joints and paint lockings are examples of adequate locking.</p> <p>The dose-rate is determined by means of a radiation monitor with an effective area of 10 cm<sup>2</sup>, at any point 10 cm from the outer surface of the apparatus.</p> <p>Moreover, the measurement shall be made under fault conditions causing an increase of the high voltage, provided an intelligible picture is maintained for 1 h, at the end of which the measurement is made.</p> <p>For RS1, the dose-rate shall not exceed 1 µSv/h taking account of the background level.</p> <p>NOTE Z2 These values appear in Directive 96/29/Euratom of 13 May 1996.</p>		N/A
<b>9</b>	<b>Modification to G.7.1</b>		--
<b>G.7.1</b>	<p><b>Add the following note:</b></p> <p>NOTE Z1 The harmonized code designations corresponding to the IEC cord types are given in Annex ZD.</p>	Added.	N/A
<b>10</b>	<b>Modification to Bibliography</b>		--

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	<p><b>Add the following notes for the standards indicated:</b></p> <p>IEC 60130-9 NOTE Harmonized as EN 60130-9.  IEC 60269-2 NOTE Harmonized as HD 60269-2.  IEC 60309-1 NOTE Harmonized as EN 60309-1.  IEC 60364 NOTE some parts harmonized in HD 384/HD 60364 series.  IEC 60601-2-4 NOTE Harmonized as EN 60601-2-4.  IEC 60664-5 NOTE Harmonized as EN 60664-5.  IEC 61032:1997 NOTE Harmonized as EN 61032:1998 (not modified).  IEC 61508-1 NOTE Harmonized as EN 61508-1.  IEC 61558-2-1 NOTE Harmonized as EN 61558-2-1.  IEC 61558-2-4 NOTE Harmonized as EN 61558-2-4.  IEC 61558-2-6 NOTE Harmonized as EN 61558-2-6.  IEC 61643-1 NOTE Harmonized as EN 61643-1.  IEC 61643-21 NOTE Harmonized as EN 61643-21.  IEC 61643-311 NOTE Harmonized as EN 61643-311.  IEC 61643-321 NOTE Harmonized as EN 61643-321.  IEC 61643-331 NOTE Harmonized as EN 61643-331.</p>		N/A
<b>11</b>	<b>ADDITION OF ANNEXES</b>		--
<b>ZB</b>	<b>ANNEX ZB, SPECIAL NATIONAL CONDITIONS (EN)</b>		P
<b>4.1.15</b>	<p><b>Denmark, Finland, Norway and Sweden</b></p> <p>To the end of the subclause the following is added:  <b>Class I pluggable equipment type A</b> intended for connection to other equipment or a network shall, if safety relies on connection to reliable earthing or if surge suppressors are connected between the network terminals and <b>accessible</b> parts, have a marking stating that the equipment shall be connected to an earthed <b>mains</b> socket-outlet.</p> <p>The marking text in the applicable countries shall be as follows:</p> <p>In <b>Denmark</b>: "Apparatets stikprop skal tilsluttes en stikkontakt med jord som giver forbindelse til stikproppens jord."  In <b>Finland</b>: "Laite on liitettävä suojakoskettimilla varustettuun pistorasiaan"  In <b>Norway</b>: "Apparatet må tilkoples jordet stikkontakt"  In <b>Sweden</b>: "Apparaten skall anslutas till jordat uttag"</p>		N/A

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
4.7.3	<p><b>United Kingdom</b></p> <p>To the end of the subclause the following is added:</p> <p>The torque test is performed using a socket-outlet complying with BS 1363, and the plug part shall be assessed to the relevant clauses of BS 1363. Also see Annex G.4.2 of this annex</p>		N/A
5.2.2.2	<p><b>Denmark</b></p> <p>After the 2nd paragraph add the following:</p> <p>A warning (marking safeguard) for high touch current is required if the touch current exceeds the limits of 3,5 mA a.c. or 10 mA d.c.</p>		N/A
5.4.11.1 and Annex G	<p><b>Finland and Sweden</b></p> <p>To the end of the subclause the following is added:</p> <p>For separation of the telecommunication network from earth the following is applicable:</p> <p>If this insulation is solid, including insulation forming part of a component, it shall at least consist of either</p> <ul style="list-style-type: none"> <li>• two layers of thin sheet material, each of which shall pass the electric strength test below, or</li> <li>• one layer having a distance through insulation of at least 0,4 mm, which shall pass the electric strength test below.</li> </ul> <p>If this insulation forms part of a semiconductor component (e.g. an optocoupler), there is no distance through insulation requirement for the insulation consisting of an insulating compound completely filling the casing, so that clearances and creepage distances do not exist, if the component passes the electric strength test in accordance with the compliance clause below and in addition</p> <ul style="list-style-type: none"> <li>• passes the tests and inspection criteria of 5.4.8 with an electric strength test of 1,5 kV multiplied by 1,6 (the electric strength test of 5.4.9 shall be performed using 1,5 kV),</li> </ul> <p>and</p> <ul style="list-style-type: none"> <li>• is subject to routine testing for electric strength during manufacturing, using a test voltage of 1,5 kV.</li> </ul> <p>It is permitted to bridge this insulation with a capacitor complying with EN 60384-14:2005, subclass Y2.</p>		N/A

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>A capacitor classified Y3 according to EN 60384-14:2005, may bridge this insulation under the following conditions:</p> <ul style="list-style-type: none"> <li>the insulation requirements are satisfied by having a capacitor classified Y3 as defined by EN 60384-14, which in addition to the Y3 testing, is tested with an impulse test of 2,5 kV defined in 5.4.11;</li> <li>the additional testing shall be performed on all the test specimens as described in EN 60384-14;</li> </ul> <p>the impulse test of 2,5 kV is to be performed before the endurance test in EN 60384-14, in the sequence of tests as described in EN 60384-14.</p>		
5.5.2.1	<p><b>Norway</b></p> <p>After the 3rd paragraph the following is added:</p> <p>Due to the IT power system used, capacitors are required to be rated for the applicable line-to-line voltage (230 V).</p>		N/A
5.5.6	<p><b>Finland, Norway and Sweden</b></p> <p>To the end of the subclause the following is added:</p> <p>Resistors used as <b>basic safeguard</b> or bridging <b>basic insulation in class I pluggable equipment type A</b> shall comply with G.10.1 and the test of G.10.2.</p>		N/A
5.6.1	<p><b>Denmark</b></p> <p><b>Add</b> to the end of the subclause</p> <p>Due to many existing installations where the socket-outlets can be protected with fuses with higher rating than the rating of the socket-outlets the protection for pluggable equipment type A shall be an integral part of the equipment.</p> <p><i>Justification:</i></p> <p>In Denmark an existing 13 A socket outlet can be protected by a 20 A fuse.</p>		N/A
5.6.4.2.1	<p><b>Ireland and United Kingdom</b></p> <p>After the indent for <b>pluggable equipment type A</b>, the following is added:</p> <p>– the <b>protective current rating</b> is taken to be 13 A, this being the largest rating of fuse used in the <b>mains</b> plug.</p>		N/A

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
5.6.4.2.1	<p><b>France</b></p> <p>After the indent for <b>pluggable equipment type A</b>, the following is added:            – in certain cases, the <b>protective current rating</b> of the circuit supplied from the mains is taken as 20 A instead of 16 A.</p>		N/A
5.6.5.1	<p>To the second paragraph the following is added:</p> <p>The range of conductor sizes of flexible cords to be accepted by terminals for equipment with a rated current over 10 A and up to and including 13 A is: 1,25 mm<sup>2</sup> to 1,5 mm<sup>2</sup> in cross-sectional area.</p>		N/A
5.6.8	<p><b>Norway</b></p> <p>To the end of the subclause the following is added: Equipment connected with an earthed mains plug is classified as <b>class I equipment</b>. See the Norway marking requirement in 4.1.15. The symbol IEC 60417-6092, as specified in F.3.6.2, is accepted.</p>		N/A
5.7.6	<p><b>Denmark</b></p> <p>To the end of the subclause the following is added:</p> <p>The installation instruction shall be affixed to the equipment if the <b>protective conductor current</b> exceeds the limits of 3,5 mA a.c. or 10 mA d.c.</p>		N/A
5.7.6.2	<p><b>Denmark</b></p> <p>To the end of the subclause the following is added: The warning (marking safeguard) for high touch current is required if the touch current or the protective current exceed the limits of 3,5 mA .</p>		N/A
5.7.7.1	<p><b>Norway and Sweden</b></p> <p>To the end of the subclause the following is added: The screen of the television distribution system is normally not earthed at the entrance of the building and there is normally no equipotential bonding system within the building. Therefore the protective earthing of the building installation needs to be isolated from the screen of a cable distribution system.</p> <p>It is however accepted to provide the insulation external to the equipment by an adapter or an interconnection cable with galvanic isolator, which may be provided by a retailer, for example.</p> <p>The user manual shall then have the following or similar information in Norwegian and Swedish language respectively, depending on in what country the equipment is intended to be used in:</p> <p>“Apparatus connected to the protective earthing of the building installation through the mains</p>		N/A



IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	<p>connection or through other apparatus with a connection to protective earthing – and to a television distribution system using coaxial cable, may in some circumstances create a fire hazard. Connection to a television distribution system therefore has to be provided through a device providing electrical isolation below a certain frequency range (galvanic isolator, see EN 60728-11)”</p> <p>NOTE In Norway, due to regulation for CATV-installations, and in Sweden, a galvanic isolator shall provide electrical insulation below 5 MHz. The insulation shall withstand a dielectric strength of 1,5 kV r.m.s., 50 Hz or 60 Hz, for 1 min.</p> <p>Translation to Norwegian (the Swedish text will also be accepted in Norway):</p> <p>“Apparater som er koplet til beskyttelsesjord via nettplugg og/eller via annet jordtilkoplede utstyr – og er tilkoplede et koaksialbasert kabel-TV nett, kan forårsake brannfare. For å unngå dette skal det ved tilkopling av apparater til kabel-TV nett installeres en galvanisk isolator mellom apparatet og kabel-TV nettet.”</p> <p>Translation to Swedish:  “Apparater som är kopplad till skyddsjord via jordat vägguttag och/eller via annan utrustning och samtidigt är kopplad till kabel-TV nät kan i vissa fall medföra risk för brand. För att undvika detta skall vid anslutning av apparaten till kabel-TV nät galvanisk isolator finnas mellan apparaten och kabel-TV nätet.”.</p>		
<b>8.5.4.2.3</b>	<p><b>United Kingdom</b></p> <p>Add the following after the 2<sup>nd</sup> dash bullet in 3<sup>rd</sup> paragraph:</p> <p>An emergency stop system complying with the requirements of IEC 60204-1 and ISO 13850 is required where there is a risk of personal injury.</p>		N/A
<b>B.3.1 and B.4</b>	<p><b>Ireland and United Kingdom</b></p> <p>The following is applicable:</p> <p>To protect against excessive currents and short-circuits in the primary circuit of <b>direct plug-in equipment</b>, tests according to Annexes B.3.1 and B.4 shall be conducted using an external miniature circuit breaker complying with EN 60898-1, Type B, rated 32A. If the equipment does not pass these tests, suitable protective devices shall be included as an integral part of the <b>direct plug-in equipment</b>, until the requirements of Annexes B.3.1 and B.4 are met</p>		N/A

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>G.4.2</b>	<p><b>Denmark</b></p> <p>To the end of the subclause the following is added:</p> <p>Supply cords of single phase appliances having a rated current not exceeding 13 A shall be provided with a plug according to DS 60884-2-D1:2011.</p> <p>CLASS I EQUIPMENT provided with socket-outlets with earth contacts or which are intended to be used in locations where protection against indirect contact is required according to the wiring rules shall be provided with a plug in accordance with standard sheet DK 2-1a or DK 2-5a.</p> <p>If a single-phase equipment having a RATED CURRENT exceeding 13 A or if a polyphase equipment is provided with a supply cord with a plug, this plug shall be in accordance with the standard sheets DK 6-1a in DS 60884-2-D1 or EN 60309-2.</p> <p>Mains socket outlets intended for providing power to Class II apparatus with a rated current of 2,5 A shall be in accordance DS 60884-2-D1:2011 standard sheet DKA 1-4a.</p> <p>Other current rating socket outlets shall be in compliance with Standard Sheet DKA 1-3a or DKA 1-1c.</p> <p>Mains socket-outlets with earth shall be in compliance with DS 60884-2-D1:2011 Standard Sheet DK 1-3a, DK 1-1c, DK1-1d, DK 1-5a or DK 1-7a</p> <p><i>Justification:</i> Heavy Current Regulations, Section 6c</p>		N/A
<b>G.4.2</b>	<p><b>United Kingdom</b></p> <p>To the end of the subclause the following is added:</p> <p>The plug part of direct plug-in equipment shall be assessed to BS 1363: Part 1, 12.1, 12.2, 12.3, 12.9, 12.11, 12.12, 12.13, 12.16, and 12.17, except that the test of 12.17 is performed at not less than 125 °C. Where the metal earth pin is replaced by an Insulated Shutter Opening Device (ISOD), the requirements of clauses 22.2 and 23 also apply.</p>		N/A

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>G.7.1</b>	<p><b>United Kingdom</b></p> <p>To the first paragraph the following is added:</p> <p>Equipment which is fitted with a flexible cable or cord and is designed to be connected to a mains socket conforming to BS 1363 by means of that flexible cable or cord shall be fitted with a 'standard plug' in accordance with the Plugs and Sockets etc. (Safety) Regulations 1994, Statutory Instrument 1994 No. 1768, unless exempted by those regulations.</p> <p>NOTE "Standard plug" is defined in SI 1768:1994 and essentially means an approved plug conforming to BS 1363 or an approved conversion plug.</p>		N/A
<b>G.7.1</b>	<p><b>Ireland</b></p> <p>To the first paragraph the following is added:</p> <p>Apparatus which is fitted with a flexible cable or cord shall be provided with a plug in accordance with Statutory Instrument 525: 1997, "13 A Plugs and Conversion Adapters for Domestic Use Regulations: 1997. S.I. 525 provides for the recognition of a standard of another Member State which is equivalent to the relevant Irish Standard</p>		N/A
<b>G.7.2</b>	<p><b>Ireland and United Kingdom</b></p> <p>To the first paragraph the following is added:</p> <p>A power supply cord with a conductor of 1,25 mm<sup>2</sup> is allowed for equipment which is rated over 10 A and up to and including 13 A.</p>		N/A

IEC 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict

ZC	ANNEX ZC, NATIONAL DEVIATIONS (EN)		
10.5.2	<p><b>Germany</b></p> <p>The following requirement applies:</p> <p>For the operation of any cathode ray tube intended for the display of visual images operating at an acceleration voltage exceeding 40 kV, authorization is required, or application of type approval (Bauartzulassung) and marking.</p> <p><i>Justification:</i> German ministerial decree against ionizing radiation (Röntgenverordnung), in force since 2002-07-01, implementing the European Directive 96/29/EURATOM.</p> <p><b>NOTE</b> Contact address: Physikalisch-Technische Bundesanstalt, Bundesallee 100, D-38116 Braunschweig, Tel.: Int+49-531-592-6320, Internet: <a href="http://www.ptb.de">http://www.ptb.de</a></p>		N/A

<b>ZD</b>	<b>IEC and CENELEC CODE DESIGNATIONS FOR FLEXIBLE CORDS (EN)</b>		N/A
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	<table border="1"> <thead> <tr> <th rowspan="2">Type of flexible cord</th> <th colspan="2">Code designations</th> </tr> <tr> <th>IEC</th> <th>CENELEC</th> </tr> </thead> <tbody> <tr> <td colspan="3"><b>PVC insulated cords</b></td> </tr> <tr> <td>Flat twin tinsel cord</td> <td>60227 IEC 41</td> <td>H03VH-Y</td> </tr> <tr> <td>Light polyvinyl chloride sheathed flexible cord</td> <td>60227 IEC 52</td> <td>H03VV-F H03VVH2-F</td> </tr> <tr> <td>Ordinary polyvinyl chloride sheathed flexible cord</td> <td>60227 IEC 53</td> <td>H05VV-F H05VVH2-F</td> </tr> <tr> <td colspan="3"><b>Rubber insulated cords</b></td> </tr> <tr> <td>Braided cord</td> <td>60245 IEC 51</td> <td>H03RT-F</td> </tr> <tr> <td>Ordinary tough rubber sheathed flexible cord</td> <td>60245 IEC 53</td> <td>H05RR-F</td> </tr> <tr> <td>Ordinary polychloroprene sheathed flexible cord</td> <td>60245 IEC 57</td> <td>H05RN-F</td> </tr> <tr> <td>Heavy polychloroprene sheathed flexible cord</td> <td>60245 IEC 66</td> <td>H07RN-F</td> </tr> <tr> <td colspan="3"><b>Cords having high flexibility</b></td> </tr> <tr> <td>Rubber insulated and sheathed cord</td> <td>60245 IEC 86</td> <td>H03RR-H</td> </tr> <tr> <td>Rubber insulated, crosslinked PVC sheathed cord</td> <td>60245 IEC 87</td> <td>H03RV4-H</td> </tr> <tr> <td>Crosslinked PVC insulated and sheathed cord</td> <td>60245 IEC 88</td> <td>H03V4V4-H</td> </tr> <tr> <td colspan="3"><b>Cords insulated and sheathed with halogen-free thermoplastic compounds</b></td> </tr> <tr> <td>Light halogen-free thermoplastic insulated and sheathed flexible cords</td> <td></td> <td>H03Z1Z1-F H03Z1Z1H2-F</td> </tr> <tr> <td>Ordinary halogen-free thermoplastic insulated and sheathed flexible cords</td> <td></td> <td>H05Z1Z1-F H05Z1Z1H2-F</td> </tr> </tbody> </table>	Type of flexible cord	Code designations		IEC	CENELEC	<b>PVC insulated cords</b>			Flat twin tinsel cord	60227 IEC 41	H03VH-Y	Light polyvinyl chloride sheathed flexible cord	60227 IEC 52	H03VV-F H03VVH2-F	Ordinary polyvinyl chloride sheathed flexible cord	60227 IEC 53	H05VV-F H05VVH2-F	<b>Rubber insulated cords</b>			Braided cord	60245 IEC 51	H03RT-F	Ordinary tough rubber sheathed flexible cord	60245 IEC 53	H05RR-F	Ordinary polychloroprene sheathed flexible cord	60245 IEC 57	H05RN-F	Heavy polychloroprene sheathed flexible cord	60245 IEC 66	H07RN-F	<b>Cords having high flexibility</b>			Rubber insulated and sheathed cord	60245 IEC 86	H03RR-H	Rubber insulated, crosslinked PVC sheathed cord	60245 IEC 87	H03RV4-H	Crosslinked PVC insulated and sheathed cord	60245 IEC 88	H03V4V4-H	<b>Cords insulated and sheathed with halogen-free thermoplastic compounds</b>			Light halogen-free thermoplastic insulated and sheathed flexible cords		H03Z1Z1-F H03Z1Z1H2-F	Ordinary halogen-free thermoplastic insulated and sheathed flexible cords		H05Z1Z1-F H05Z1Z1H2-F		N/A
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**Annex 2: Photo  
Photo documentation**

**Photo 1 Overall view**



**Photo 2 Overall view**





Annex 2: Photo

Photo 3 Overall view



Photo 4 Internal view





Annex 2: Photo

Photo 5 Internal view

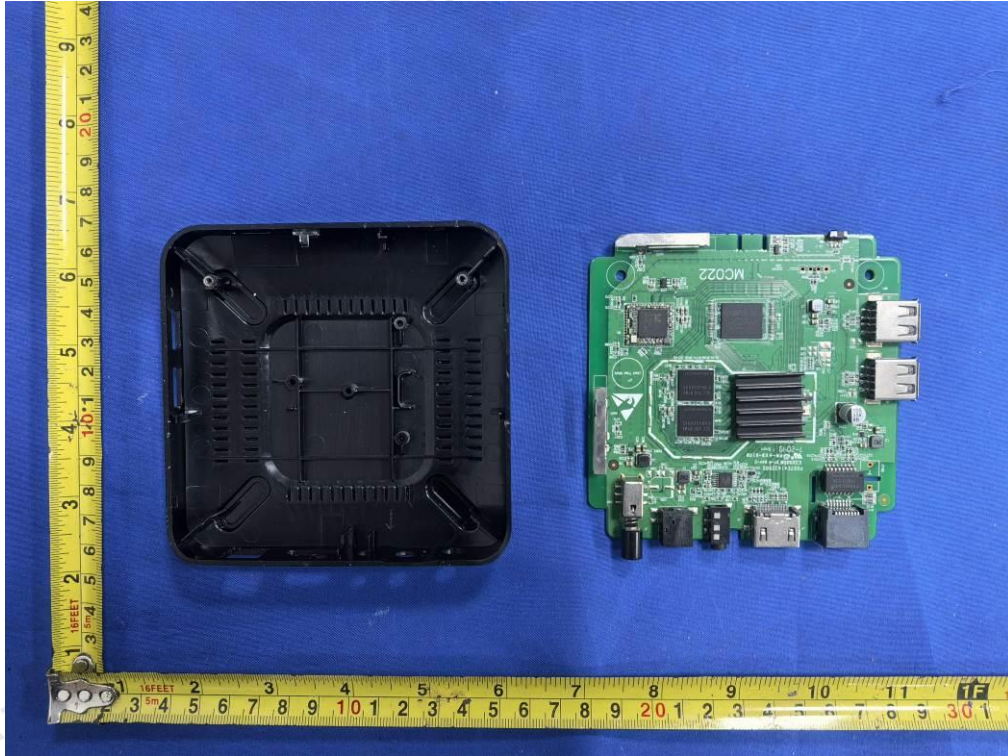
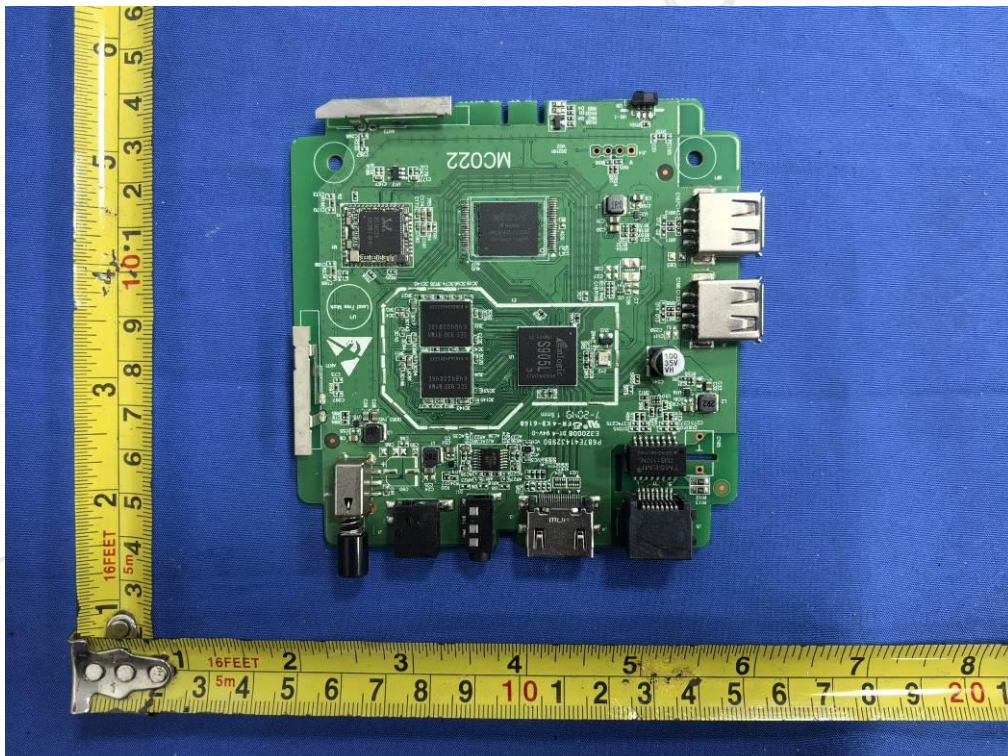


Photo 6 PCB view





Annex 2: Photo

Photo 7 PCB view

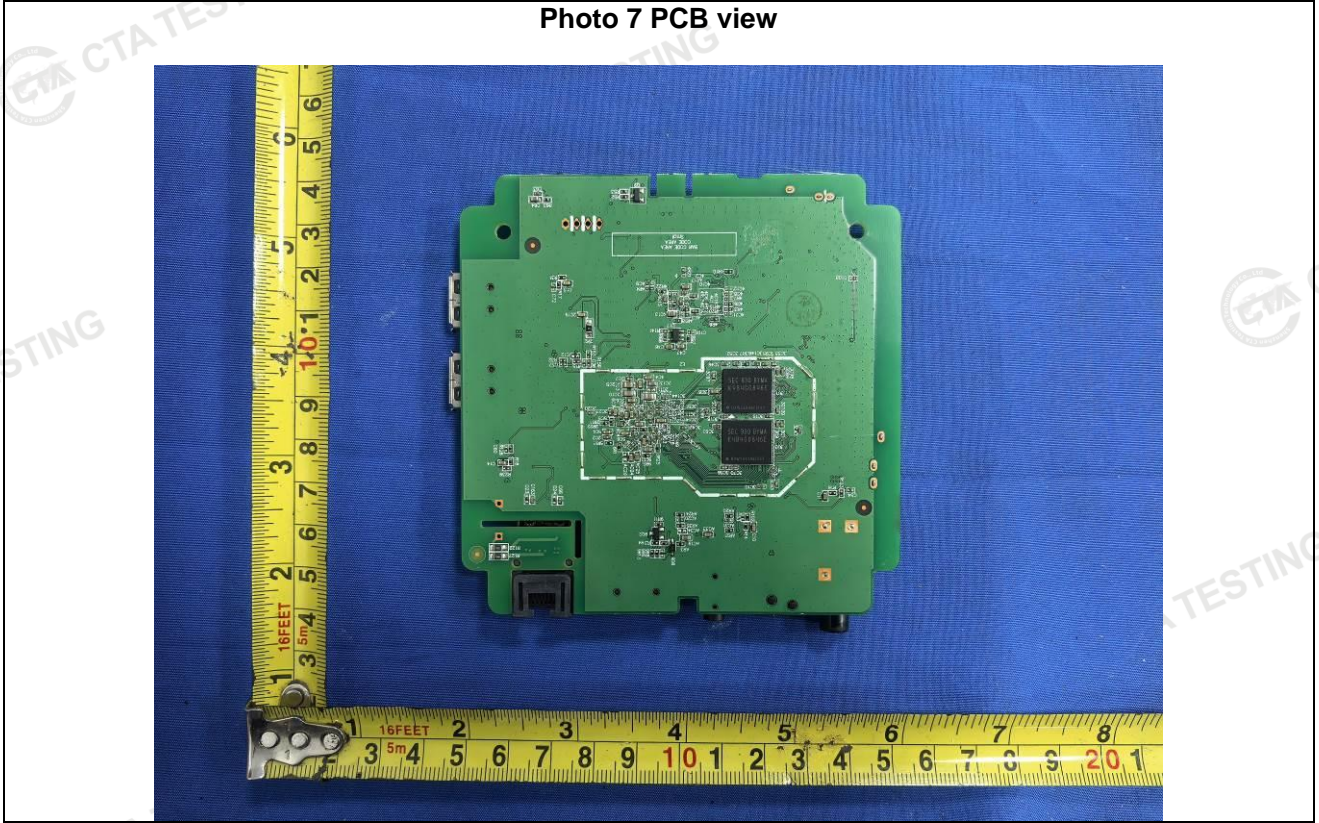


Photo 8 Adapter view



----- END OF THE REPORT -----



# CERTIFICATE OF COMPLIANCE

Directives: 2014/53/EU

Certification number: CTE250418007

Issued date: Apr. 28, 2025

Applicant: Shenzhen Haochuangyi Technology Co.,Ltd  
1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community,  
Dalang Street, Longhua District, Shenzhen, China

Manufacturer: Shenzhen Haochuangyi Technology Co.,Ltd  
1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community,  
Dalang Street, Longhua District, Shenzhen, China

EUT Name: H96Max S905L3

Trade Mark: H96 Max

Model number: H96Max S905L3

Laboratory: Shenzhen CTA Testing Technology Co., Ltd.  
Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street,  
Bao'an District, Shenzhen, China

The equipment, as described herewith, was tested pursuant to applicable test procedure and complies with the requirements of Applicable Directives: 2014/53/EU RED DIRECTIVE:

Requirement	Applied Standards	Test Report No.	Result
Radio	ETSI EN 300 328 V2.2.2 (2019-07)	CTA25041800701 CTA25041800702	Conform
EMC	ETSI EN 301 489-1 V2.2.3 (2019-11) ETSI EN 301 489-17 V3.3.1 (2024-09) EN 55032:2015+A11:2020/EN 55035:2017+A11:2020 EN IEC 61000-3-2:2019/A2:2024 EN 61000-3-3:2013/A2:2021/AC:2022	CTA25041800703	Conform
Health	EN IEC 62311:2020	CTA25041800704	Conform
Safety	EN IEC 62368-1:2020+A11:2020	CTA25041800705	Conform

The test results are traceable to the international or national standards.



Note: The verification is only valid for the equipment and configuration described, in conjunction with the test data detailed above. The CE mark as shown beside can be used, under the responsibility of the manufacturer, after completion of an EC Directive of Conformity and compliance with all relevant EC Directive.

Authorized by:

Eric Wang



Shenzhen CTA Testing Technology Co., Ltd.

Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street, Bao'an District, Shenzhen, China

Tel: +86-755 2322 5875

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Web: <http://www.cta-test.cn>





# Test Report

**Applicant** : Shenzhen Haochuangyi Technology Co.,Ltd  
**Address** : 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen, China  
**Manufacturer** : Shenzhen Haochuangyi Technology Co.,Ltd  
**Address** : 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen, China

**Report on the submitted samples said to be:**

**Sample Name(s)** : H96Max S905L3  
**Trade Mark** : H96 Max  
**Model** : H96Max S905L3  
**Series model** : N/A  
**Description of differences** : N/A  
**Sample Received Date** : Feb.17, 2025  
**Testing Period** : Feb.17, 2025~ Apr.28, 2025  
**Date of Report** : Apr.28, 2025  
**Test Location** : No.103, No.10, Phase I, Zone 3, Xinxing Industrial Park, Xinhe, Fuhai Street, Bao'an District, Shenzhen, Guangdong, China  
**Testing Laboratory** : Shenzhen ETR Standard Technology Co., Ltd  
**Results** : Please refer to next page(s).

TEST REQUEST	CONCLUSION
As specified by client, based on the performed tests on submitted sample, the result of Lead(Pb), Cadmium(Cd), Mercury(Hg), Hexavalent Chromium(Cr(VI)), PBBs, PBDEs, Dibutyl Phthalate(DBP), Butylbenzyl Phthalate(BBP), Di-2-ethylhexyl Phthalate(DEHP) and Diisobutyl phthalate(DIBP) content comply with the limits set by RoHS Directive 2011/65/EU with amendment (EU) 2015/863.	<b>PASS</b>

Signed for and on behalf of ETR

Victory Wu

**A. EU RoHS Directive 2011/65/EU and its amendment directives**

Test method: Refer to IEC 62321-1:2013&IEC 62321-2:2021&IEC 62321-3-1:2013, Screening by X-ray Fluorescence Spectroscopy (XRF).

Test result(s):

Sample No.	Sample Description	Screening Result(s)				
		Cd	Pb	Hg	Cr▼	Br▼
1	Black Label	BL	BL	BL	BL	BL
2	Black plastic	BL	BL	BL	BL	BL
3	Black plastic	BL	BL	BL	BL	BL
4	Silver metal	BL	BL	BL	BL	N/A
5	Black plastic	BL	BL	BL	BL	BL
6	Black plastic	BL	BL	BL	BL	BL
7	Silver metal needle	BL	BL	BL	BL	N/A
8	Silver metal	BL	BL	BL	BL	N/A
9	Black plastic	BL	BL	BL	BL	BL
10	Silver metal	BL	BL	BL	BL	N/A
11	Silver metal needle	BL	BL	BL	BL	N/A
12	Black plastic	BL	BL	BL	BL	BL
13	Silver metal	BL	BL	BL	BL	N/A
14	Black metal gasket	BL	BL	BL	BL	N/A
15	Surface mount capacitor	BL	BL	BL	BL	BL
16	chip resistor	BL	BL	BL	BL	BL
17	Black IC	BL	BL	BL	BL	BL
18	PCB	BL	BL	BL	BL	BL
19	tin solder	BL	BL	BL	BL	N/A
20	Silver metal	BL	BL	BL	BL	N/A
21	Black plastic	BL	BL	BL	BL	BL
22	Copper colored metal needle	BL	BL	BL	BL	N/A
23	Black plastic	BL	BL	BL	BL	BL
24	Black plastic wire cover	BL	BL	BL	BL	BL
25	Silver metal wire	BL	BL	BL	BL	N/A
26	Silver metal foil	BL	BL	BL	BL	N/A
27	Light blue metal foil	BL	BL	BL	BL	N/A
28	Transparent plastic	BL	BL	BL	BL	BL
29	White plastic	BL	BL	BL	BL	BL
30	Copper colored metal wire	BL	BL	BL	BL	N/A
31	Purple plastic wire sheath	BL	BL	BL	BL	BL



Sample No.	Sample Description	Screening Result(s)				
		Cd	Pb	Hg	Cr <sup>▼</sup>	Br <sup>▼</sup>
32	Grey plastic wire cover	BL	BL	BL	BL	BL
33	White plastic wire cover	BL	BL	BL	BL	BL
34	Red plastic wire cover	BL	BL	BL	BL	BL
35	Silver metal	BL	BL	BL	BL	N/A
36	Black plastic	BL	BL	BL	BL	BL
37	Green plastic	BL	BL	BL	BL	BL
38	Silver metal	BL	BL	BL	BL	N/A
39	Black gasket	BL	BL	BL	BL	BL
40	Silver metal needle	BL	BL	BL	BL	N/A
41	Dark yellow plastic	BL	BL	BL	BL	BL
42	Dark yellow plastic	BL	BL	BL	BL	BL
43	Silver metal	BL	BL	BL	BL	N/A
44	Silver metal	BL	BL	BL	BL	N/A
45	Black plastic	BL	BL	BL	BL	BL
46	Silver metal	BL	BL	BL	BL	N/A
47	Black gasket	BL	BL	BL	BL	BL
48	Silver metal needle	BL	BL	BL	BL	N/A
49	Dark yellow plastic	BL	BL	BL	BL	BL
50	Dark yellow plastic	BL	BL	BL	BL	BL
51	Silver metal	BL	BL	BL	BL	N/A
52	Silver metal	BL	BL	BL	BL	N/A
53	Yellow plastic	BL	BL	BL	BL	BL
54	Black plastic	BL	BL	BL	BL	BL
55	ferrous metal	BL	BL	BL	BL	N/A
56	Copper colored metal wire	BL	BL	BL	BL	N/A
57	Silver metal	BL	BL	BL	BL	N/A
58	Surface mount capacitor	BL	BL	BL	BL	BL
59	chip resistor	BL	BL	BL	BL	BL
60	Black IC	BL	BL	BL	BL	BL
61	tin solder	BL	BL	BL	BL	N/A
62	PCB	BL	BL	BL	BL	BL
63	Black plastic wire cover	BL	BL	BL	BL	BL
64	Red plastic wire cover	BL	BL	BL	BL	BL
65	Green plastic wire cover	BL	BL	BL	BL	BL
66	Copper colored metal wire	BL	BL	BL	BL	N/A



Sample No.	Sample Description	Screening Result(s)				
		Cd	Pb	Hg	Cr▼	Br▼
67	Red plastic button	BL	BL	BL	BL	BL
68	White plastic button	BL	BL	BL	BL	BL
69	Black plastic button	BL	BL	BL	BL	BL
70	Black plastic	BL	BL	BL	BL	BL
71	Silver metal	BL	BL	BL	BL	N/A
72	Transparent plastic	BL	BL	BL	BL	BL
73	Silver metal	BL	BL	BL	BL	N/A
74	Surface mount capacitor	BL	BL	BL	BL	BL
75	chip resistor	BL	BL	BL	BL	BL
76	Black IC	BL	BL	BL	BL	BL
77	tin solder	BL	BL	BL	BL	N/A
78	PCB	BL	BL	BL	BL	BL

Note:

- Results were obtained by XRF for primary screening, and further chemical testing by ICP(for Cd, Pb, Hg), UV-Vis(for Cr(VI)) and GC-MS(for PBBs, PBDEs) are recommended to be performed, if the concentration exceeds the below warning value according to IEC 62321-3-1:2013(Unit: mg/kg).

Element	Polymers	Metals	Composite material
Cd	$BL \leq (70-3\sigma) < X < (130+3\sigma) \leq OL$	$BL \leq (70-3\sigma) < X < (130+3\sigma) \leq OL$	$LOD < X < (150+3\sigma) \leq OL$
Pb	$BL \leq (700-3\sigma) < X < (1300+3\sigma) \leq OL$	$BL \leq (700-3\sigma) < X < (1300+3\sigma) \leq OL$	$BL \leq (500-3\sigma) < X < (1500+3\sigma) \leq OL$
Hg	$BL \leq (700-3\sigma) < X < (1300+3\sigma) \leq OL$	$BL \leq (700-3\sigma) < X < (1300+3\sigma) \leq OL$	$BL \leq (500-3\sigma) < X < (1500+3\sigma) \leq OL$
Cr	$BL \leq (700-3\sigma) < X$	$BL \leq (700-3\sigma) < X$	$BL \leq (500-3\sigma) < X$
Br	$BL \leq (300-3\sigma) < X$	N/A	$BL \leq (250-3\sigma) < X$

Remark:

- BL= Below Limit
  - OL= Over Limit
  - X= The range of needing to do further testing
  - 3σ= The reproducibility of analytical instruments
  - N/A= Not applicable
  - LOD= Detection limit
- The XRF screening test for RoHS elements – The reading may be different to the actual content in the sample be of non-uniformity composition.
  - The maximum permissible limit is quoted from the document RoHS Directive 2011/65/EU with amendment (EU) 2015/863.
  - ▼=For restricted substances PBBs and PBDEs, the results show the total Br content, the restricted substance was Cr(VI), and the results showed the total Cr content.

<b>RoHS Restricted Substances</b>	<b>Maximum Concentration Value (mg/kg) (by weight in homogenous materials)</b>
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Cadmium(Cd)	100
Lead(Pb)	1000
Mercury(Hg)	1000
Hexavalent Chromium(Cr(VI))	1000
Polybrominated biphenyls(PBBs)	1000
Polybrominated diphenylethers(PBDEs)	1000
Dibutyl Phthalate(DBP)	1000
Butylbenzyl Phthalate(BBP)	1000
Di-(2-ethylhexyl) Phthalate(DEHP)	1000
Diisobutyl phthalate(DIBP)	1000

**Disclaimers:**

This XRF Screening report is for reference purposes only. The applicant shall make its/his/her own judgment as to whether the information provided in this XRF screening report is sufficient for its/his/her purposes. The result shown in this XRF screening report will differ based on various factors, including but not limited to, the sample size, thickness, area, surface flatness, equipment parameters and matrix effect (e.g. plastic, rubber, metal, glass, ceramic etc.). Further wet chemical pre-treatment with relevant chemical equipment analysis are required to obtain quantitative data.

**B. EU RoHS Directive 2011/65/EU with amendment (EU) 2015/863 on Lead(Pb), Cadmium(Cd), Mercury(Hg), Hexavalent Chromium(Cr(VI)), PBBs, PBDEs, DBP, BBP, DEHP & DIBP content**

**Test method:****Lead(Pb) & Cadmium(Cd) Content:**

Refer to IEC 62321-5:2013, by acid digestion and analysis was performed by inductively coupled plasma optical emission spectrometer (ICP-OES) or atomic absorption spectrometer (AAS).

**Mercury(Hg) Content:**

Refer to IEC 62321-4:2013+AMD1:2017 CSV, by acid digestion and analysis was performed by inductively coupled plasma optical emission spectrometer (ICP-OES).

**Hexavalent Chromium(Cr(VI)) Content:**

Refer to IEC 62321-7-1:2015 or IEC 62321-7-2:2017, analysis was performed by UV-visible spectrophotometer (UV-Vis).

**PBBs & PBDEs Content:**

Refer to IEC 62321-6:2015, by solvent extraction and analysis was performed by gas chromatography-mass spectrometer (GC-MS).

**Phthalates(DBP, BBP, DEHP & DIBP) Content:**

Refer to IEC 62321-8:2017, by solvent extraction and analysis was performed by gas chromatography-mass spectrometer (GC-MS).

**Test result(s):****1) Phthalates(DBP, BBP, DEHP & DIBP)**

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		1+2+3+5	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000





Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		6+9+12+15	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		16+17+18+21	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		23+24+28+29	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		31+32+33+34	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000



Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		36+37+39+41	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		42+45+47+49	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		50+53+54+58	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		59+60+62+63	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000



Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		64+65+67+68	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		69+70+72+74	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

Tested Item(s)	MDL (mg/kg)	Test Result(s) (mg/kg)	Limit (mg/kg)
		75+76+78	
Dibutyl Phthalate(DBP) Content	50	N.D.	1000
Butylbenzyl Phthalate(BBP) Content	50	N.D.	1000
Di-(2-ethylhexyl) Phthalate(DEHP) Content	50	N.D.	1000
Diisobutyl phthalate(DIBP) Content	50	N.D.	1000

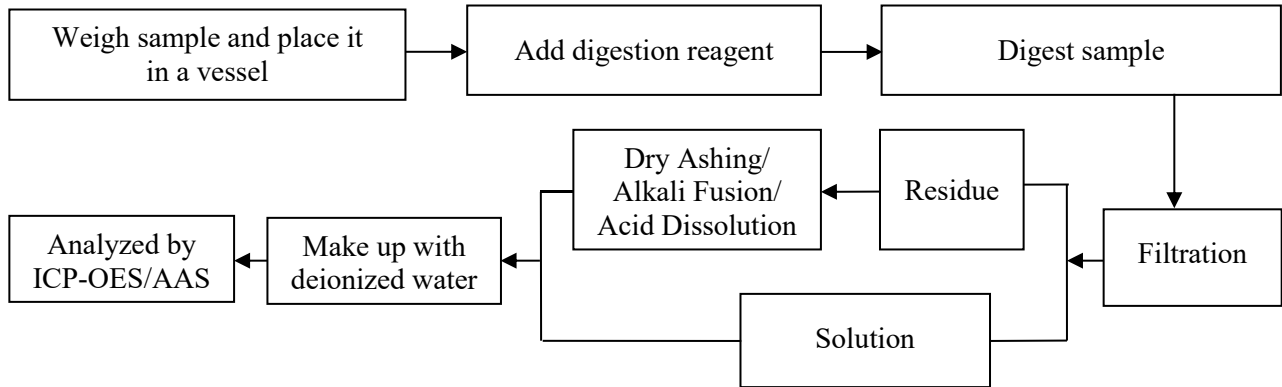


## Note:

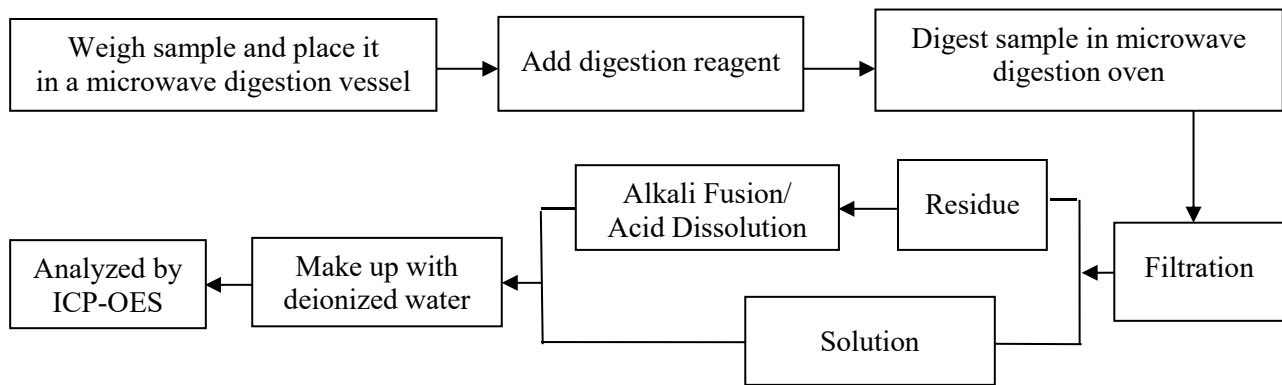
- MDL = Method Detection Limit
  - N.D. = Not Detected (<MDL or LOQ)
  - mg= milligram
  - LOQ = Limit Of Quantification, The LOQ of Hexavalent chromium is 0.10 µg/cm<sup>2</sup>
  - ★ = a. The sample is positive for Cr(VI) if the Cr(VI) concentration is greater than 0.13µg/cm<sup>2</sup>. The sample coating is considered to contain Cr(VI).  
b. The sample is negative for Cr(VI) if Cr(VI) is N.D.(concentration less than 0.10µg/cm<sup>2</sup>). The sample coating is considered a non- Cr(VI) based coating.  
c. The result between 0.10µg/cm<sup>2</sup> and 0.13µg/cm<sup>2</sup> is considered to be inconclusive, unavoidable coating variations may influence the determination.
  - Information on storage conditions and production date of the tested samples is unavailable and thus Cr(VI) results represent status of the sample at the time of testing.
  - According to customer's requirement, only the appointed materials have been tested.
- #1 According to RoHS Directive 2011/65/EU and its amendments, Lead is exempted as an alloying element in Copper containing up to 4% (40000ppm) by weight.
- #2 According to RoHS Directive 2011/65/EU and its amendments, Lead is exempted in glass of cathode ray tubes, electronic components and fluorescent tubes.
- #3 According to RoHS Directive 2011/65/EU and its amendments, Lead is exempted in electronic ceramic parts (e.g. piezoelectronic devices).
- #4 According to RoHS Directive 2011/65/EU and its amendments, Lead is exempted in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead).
- #5 According to RoHS Directive 2011/65/EU and its amendments, Lead is exempted as an alloying element in Aluminum containing up to 0.4% (4000ppm) by weight.
- #6 According to RoHS Directive 2011/65/EU and its amendments, Cadmium and its compounds in electrical contact are exempted.
- #7 According to RoHS Directive 2011/65/EU and its amendments, Lead is exempted in steel for machining purposes and in galvanised steel containing up to 0.35% (3500ppm) by weight.

### Test Process

1. Lead(Pb) & Cadmium(Cd): IEC 62321-5:2013

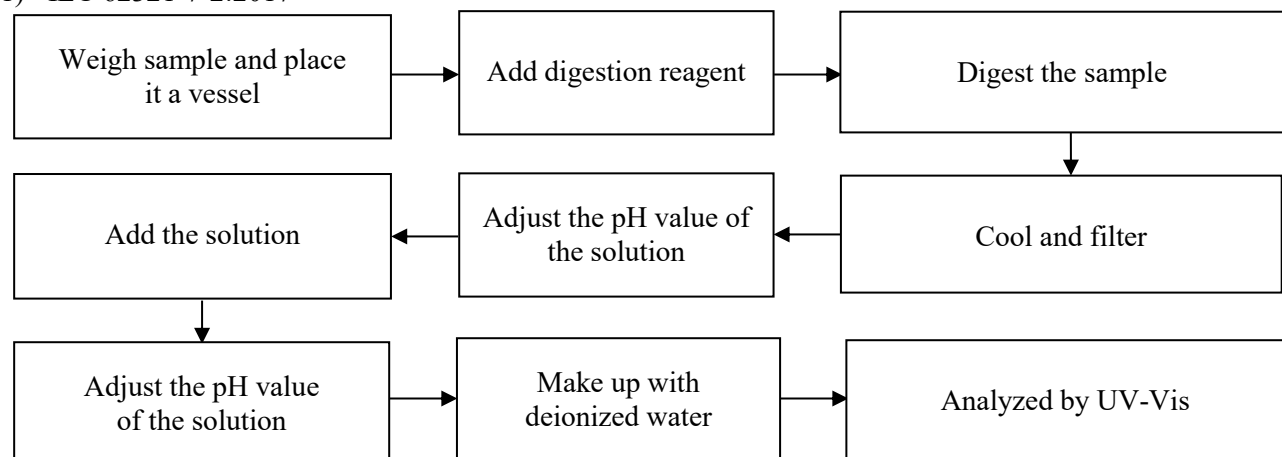


2. Mercury(Hg): IEC 62321-4:2013+AMD1:2017 CSV



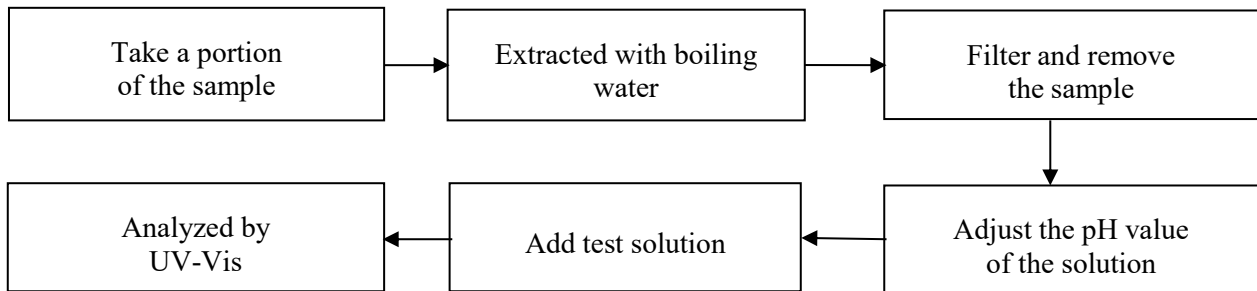
3. Hexavalent Chromium(Cr(VI))

1) IEC 62321-7-2:2017

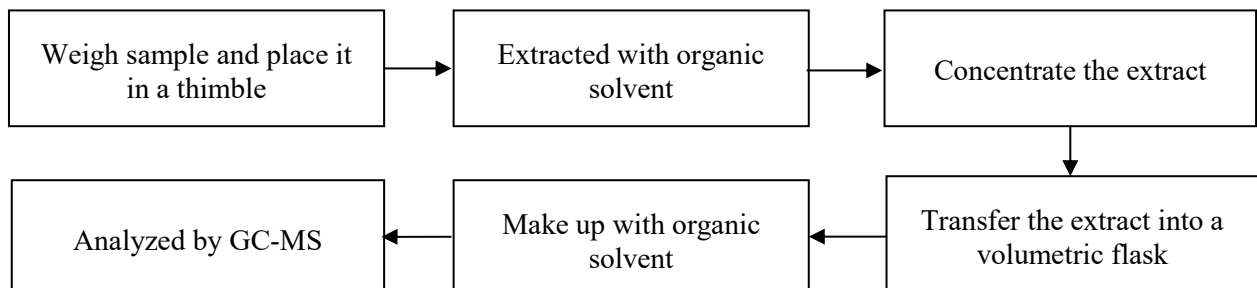




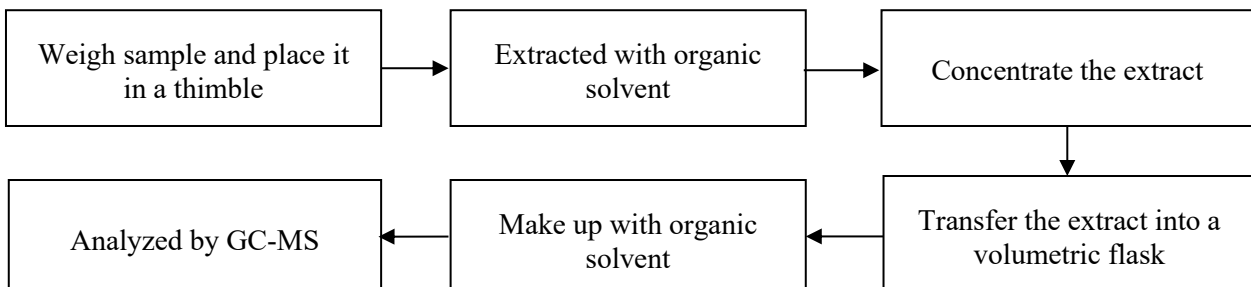
## 2) IEC 62321-7-1:2015



## 4. Polybrominated Biphenyls(PBBs) &amp; Polybrominated Diphenyl Ethers(PBDEs) : IEC 62321-6:2015



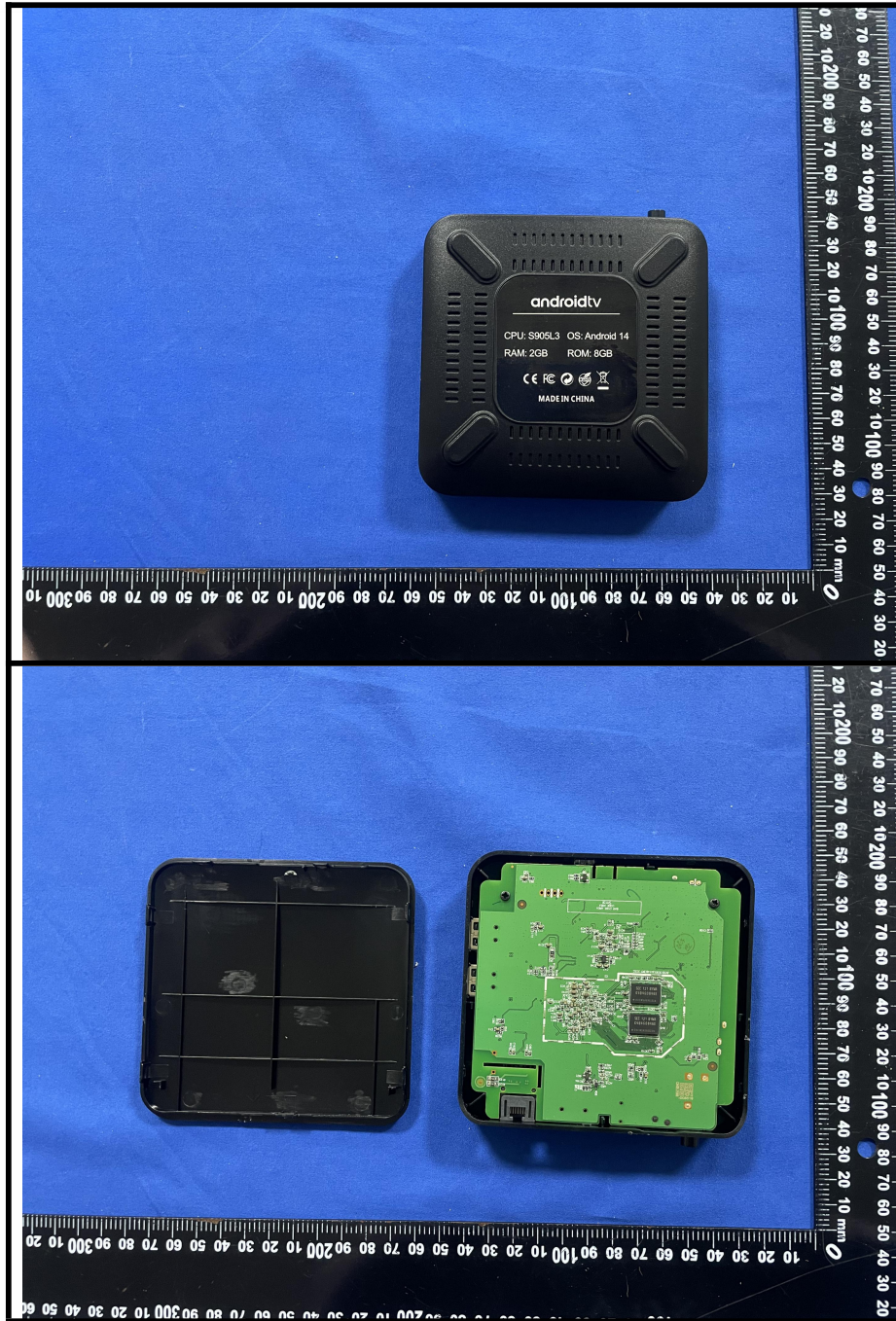
## 5. Phthalates(DBP, BBP, DEHP &amp; DIBP) : IEC 62321-8:2017

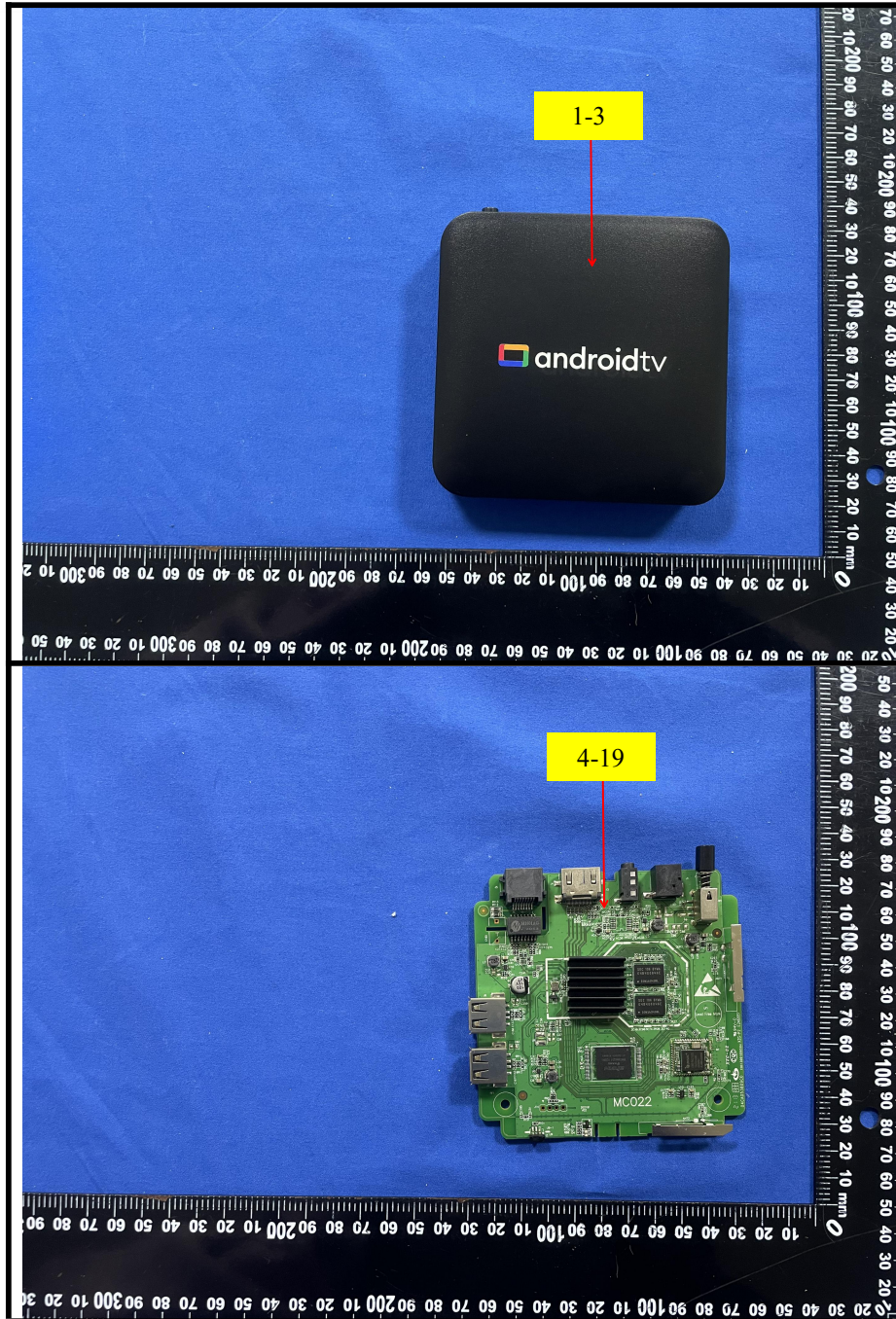


# The photo(s) of the sample

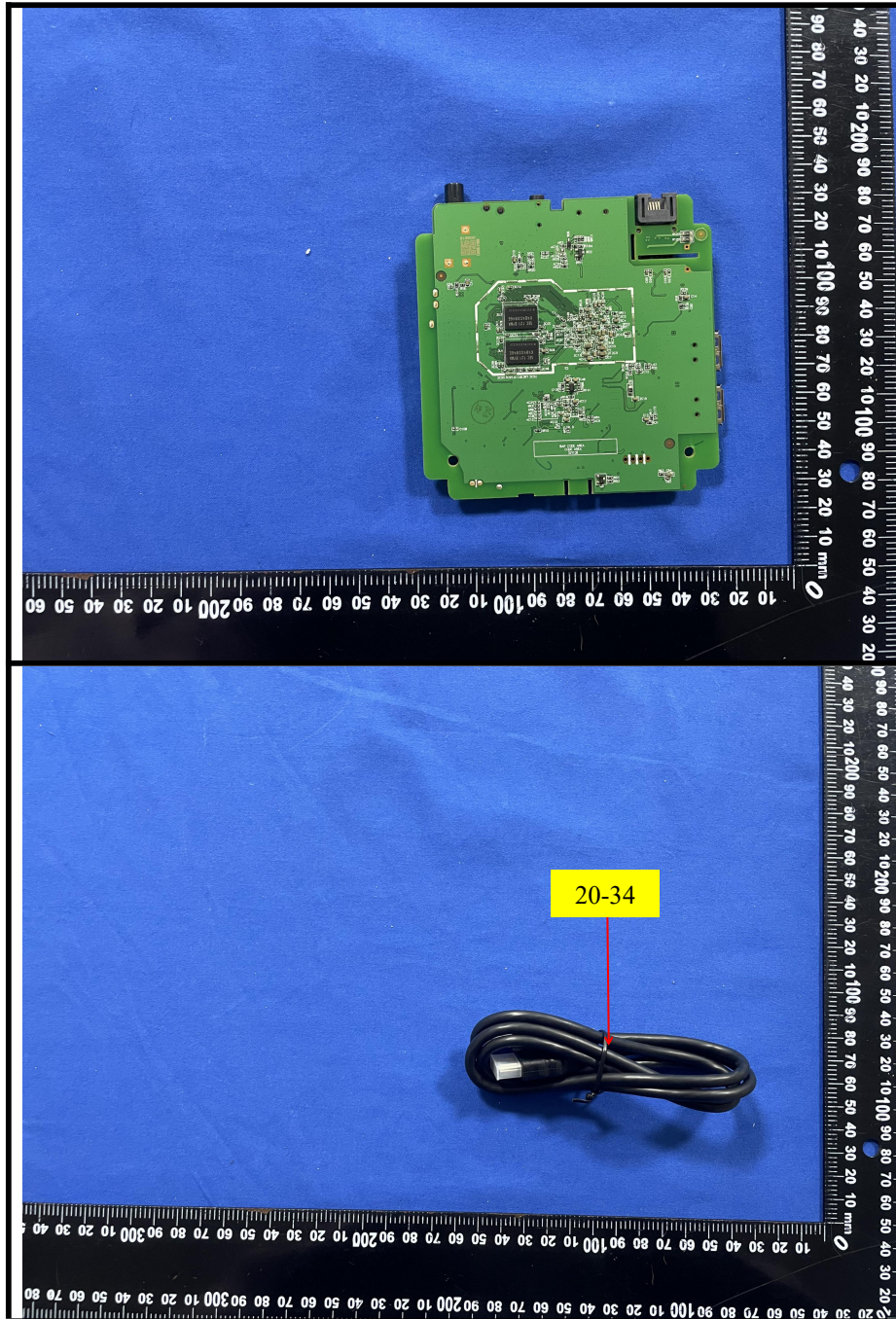


















**Statement:**

1. The test report is invalid without the signature of the approver and the special seal for the company's report;
2. The company name, address and sample information shown on the report were provided by the applicant who should be responsible for the authenticity which are not verified by ETR;
3. The test results in this report are only responsible for the tested samples;
4. Without written approval of ETR, this report can't be reproduced except in full;
5. In case of any discrepancy between the corresponding Chinese and English contents in the test report, the Chinese version shall prevail.
6. This report is based on the original report "ET-25020243C" with the adapter replaced, while the rest remains unchanged. The original report number ET-25020243C is invalid.

\*\*\* End of Report \*\*\*



## Shenzhen ETR Standard Technology Co., Ltd.

No.103, No.10, Phase I, Zone 3, Xinxing Industrial Park, Xinhe, Fuhai Street,  
Bao'an District, Shenzhen, Guangdong, China

Tel: +86-755-85259392 Email: etr800@etrtest.com <http://www.etrtest.com>

# Attestation of Compliance

No.:ET-25020243CC-1

**Applicant** : Shenzhen Haochuangyi Technology Co.,Ltd  
**Address** : 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community,  
Dalang Street,Longhua District, Shenzhen,China  
**Manufacturer** : Shenzhen Haochuangyi Technology Co.,Ltd  
**Address** : 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community,  
Dalang Street,Longhua District, Shenzhen,China  
**Product** : H96Max S905L3  
**Model(s)** : H96Max S905L3  
**Trade Mark** : H96 Max

### Test Standards:

IEC 62321-1:2013, IEC 62321-2:2021,  
IEC 62321-3-1:2013, IEC 62321-5:2013,  
IEC 62321-4:2013+AMD1:2017 CSV,  
IEC 62321-6:2015, IEC 62321-7-1:2015,  
IEC 62321-7-2:2017, IEC 62321-8:2017

The submitted products have been tested by us with the listed standards.

This attestation of compliance is issued according to the council directive 2011/65/EU and its amendment directives (EU) 2015/863. It confirms that the listed product complies with all essential requirements of the RoHS directive and applies only to the sample and its technical documentation submitted to Shenzhen ETR standard Technology Co., Ltd. for testing.

After preparation of the necessary technical documentation as well as the EC conformity declaration the required CE marking can be affixed on the product. Other relevant directives have to be observed. The certificate applies to the tested sample above mentioned only and shall not imply an assessment of the whole production. It is only valid in connection with the test report number: **ET-25020243C-1**.

# RoHS







**Shenzhen CTA Testing Technology Co., Ltd.**

Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street, Bao'an District, Shenzhen, China

**TEST REPORT**

**UL 62368-1**

**Audio/video, information and communication technology equipment**

**Part 1: Safety requirements**

Report reference No. ....: CTA25021501001-M1

Tested by (name + signature) .....: Kevin Liu



Approved by (name + signature).....: Eric Wang

Date of issue .....: Mar. 18, 2025

Testing Laboratory Name .....: Shenzhen CTA Testing Technology Co., Ltd.

Address .....: Room 106, Building 1, Yibaolai Industrial Park, Qiaotou Community, Fuhai Street, Bao 'an District, Shenzhen, China

Applicant's Name .....: Shenzhen Haochuangyi Technology Co.,Ltd

Address .....: 1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen, China

**Test specification**

Standard.....: UL 62368-1:2019 Edition 3

Test procedure .....: Test report

Non-standard test method .....: N/A

Test Report Form No. ....: IEC62368\_1E

TRF originator.....: UL(US)

Master TRF .....: Dated 2022-04-14

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Test item description .....: H96Max S905L3

Trademark .....: H96 Max

Manufacturer .....: Shenzhen Haochuangyi Technology Co.,Ltd  
1101, Building 6, Changyi Industrial Plant, No.1 Lirong Road, Xinshi Community, Dalang Street, Longhua District, Shenzhen, China

Model and/or type reference .....: H96Max S905L3

Ratings.....: Input: 12V===1.0A,  
From AC ADAPTER 100-240V~, 50/60Hz, 0.33A

**List of Attachments (including a total number of pages in each attachment):**

Appendix 1: National differences  
Appendix 2: Photo document

**Summary of testing:**

The product covered by this report has been tested and complies with the applicable requirements of this standard.

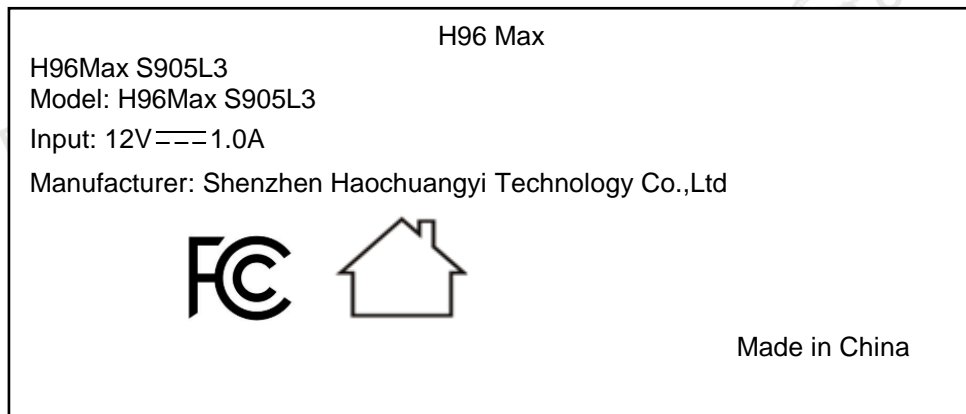
**Summary of compliance with National Differences:**

List of countries addressed: United States of America and Canada DIFFERENCES for details.

The product fulfils the requirements of UL 62368-1:2019

**Copy of marking plat**

The artwork below may be only a draft. The use of certification marks on a product must be authorized by the respective NCBs that own these marks.



Remark:



**Test item particulars:**

<b>Product group</b> .....	<input checked="" type="checkbox"/> end product	<input type="checkbox"/> built-in component
<b>Classification of use by</b> .....	<input checked="" type="checkbox"/> Ordinary person	<input checked="" type="checkbox"/> Children likely present
	<input type="checkbox"/> Instructed person	
	<input type="checkbox"/> Skilled person	
<b>Supply connection</b> .....	<input type="checkbox"/> AC mains	<input type="checkbox"/> DC mains
	<input checked="" type="checkbox"/> not mains connected:	
	<input checked="" type="checkbox"/> ES1	<input type="checkbox"/> ES2 <input type="checkbox"/> ES3
<b>Supply tolerance</b> .....	<input type="checkbox"/> +10%/-10%	
	<input type="checkbox"/> +20%/-15%	
	<input type="checkbox"/> + %/ - %	
	<input checked="" type="checkbox"/> None	
<b>Supply connection – type</b> .....	<input type="checkbox"/> pluggable equipment type A -	
	<input type="checkbox"/> non-detachable supply cord	
	<input type="checkbox"/> appliance coupler	
	<input type="checkbox"/> direct plug-in	
	<input type="checkbox"/> pluggable equipment type B -	
	<input type="checkbox"/> non-detachable supply cord	
	<input type="checkbox"/> appliance coupler	
	<input type="checkbox"/> permanent connection	
	<input type="checkbox"/> mating connector	<input checked="" type="checkbox"/> other: <u>Not directly connected to mains</u>
<b>Considered current rating of protective device</b> .....	<input type="checkbox"/> A;	
	Location:	<input type="checkbox"/> building <input type="checkbox"/> equipment
	<input checked="" type="checkbox"/> N/A	
<b>Equipment mobility</b> .....	<input checked="" type="checkbox"/> movable	<input type="checkbox"/> hand-held <input type="checkbox"/> transportable
	<input type="checkbox"/> direct plug-in	<input type="checkbox"/> stationary <input type="checkbox"/> for building-in
	<input type="checkbox"/> wall/ceiling-mounted	<input type="checkbox"/> SRME/rack-mounted
	<input type="checkbox"/> other:	
<b>Overvoltage category (OVC)</b> .....	<input type="checkbox"/> OVC I	<input type="checkbox"/> OVC II <input type="checkbox"/> OVC III
	<input type="checkbox"/> OVC IV	<input checked="" type="checkbox"/> other: <u>Not directly connected to mains</u>
<b>Class of equipment</b> .....	<input type="checkbox"/> Class I	<input type="checkbox"/> Class II <input checked="" type="checkbox"/> Class III
	<input type="checkbox"/> Not classified	<input type="checkbox"/>
<b>Special installation location</b> .....	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> restricted access area
	<input type="checkbox"/> outdoor location	<input type="checkbox"/>
<b>Pollution degree (PD)</b> .....	<input type="checkbox"/> PD 1	<input checked="" type="checkbox"/> PD 2 <input type="checkbox"/> PD 3
<b>Manufacturer's specified T<sub>ma</sub></b> .....	40 °C	<input type="checkbox"/> Outdoor: minimum °C
<b>IP protection class</b> .....	<input checked="" type="checkbox"/> IPX0	<input type="checkbox"/> IP__
<b>Power systems</b> .....	<input type="checkbox"/> TN <input type="checkbox"/> TT <input type="checkbox"/> IT -	V <sub>L-L</sub>
	<input checked="" type="checkbox"/> not AC mains	
<b>Altitude during operation (m)</b> .....	<input checked="" type="checkbox"/> 2000 m or less	<input type="checkbox"/> m
<b>Altitude of test laboratory (m)</b> .....	<input checked="" type="checkbox"/> 2000 m or less	<input type="checkbox"/> m
<b>Mass of equipment (kg)</b> .....	0.122kg	

**Possible test case verdicts:**

- test case does not apply to the test object.....: N/A
- test object does meet the requirement.....: P (Pass)
- test object does not meet the requirement.....: F (Fail)

**Testing:**

Date of receipt of test item .....: 2025-02-15

Date (s) of performance of tests .....: 2025-02-15 to 2025-02-20

**General remarks:**

"(See Enclosure #)" refers to additional information appended to the report.  
 "(See appended table)" refers to a table appended to the report.

Throughout this report a  comma /  point is used as the decimal separator.

General product information and other remarks:

**Product Description –**

- 1.The product is H96Max S905L3 intended to be used for audio/video, information and communication technology equipment, which supplied by a 12V DC port according to IEC/EN 62368-1 and meet ES1, PS2 requirements.
- 2.The product was submitted and evaluated for use at the maximum ambient temperature (Tma) permitted by the manufacturer's specification of: 40°C.
- 3.The equipment was evaluated for a maximum operating altitude up to 2000m.
- 4.Based on the original report CTA25021501001, only adapter rating on the report home page are added.

**Model Differences –**

N/A

<b>OVERVIEW OF ENERGY SOURCES AND SAFEGUARDS</b>				
<b>Clause</b>	<b>Possible Hazard</b>			
5	Electrically-caused injury			
Class and Energy Source (e.g. ES3: Primary circuit)	Body Part (e.g. Ordinary)	Safeguards		
		B	S	R
ES1: Input port	Ordinary	N/A	N/A	N/A
ES1: All internal circuits	Ordinary	N/A	N/A	N/A
6	Electrically-caused fire			
Class and Energy Source (e.g. PS2: 100 Watt circuit)	Material part (e.g. Printed board)	Safeguards		
		B	1 <sup>st</sup> S	2 <sup>nd</sup> S
PS2	Enclosure	See 6.3	Metallic	N/A
PS2	PCB	See 6.3	Min. V-1	N/A
PS2	Internal / external wiring	See 6.3	See 6.5	N/A
PS2	Other combustible components / materials	See 6.3	See 6.4.5	N/A
7	Injury caused by hazardous substances			
Class and Energy Source (e.g. Ozone)	Body Part (e.g., Skilled)	Safeguards		
		B	S	R
N/A	N/A	N/A	N/A	N/A
8	Mechanically-caused injury			
Class and Energy Source (e.g. MS3: Plastic fan blades)	Body Part (e.g. Ordinary)	Safeguards		
		B	S	R
MS1: the mass of equipment	Ordinary	N/A	N/A	N/A
MS1: Edges and corners	Ordinary	N/A	N/A	N/A
9	Thermal burn			
Class and Energy Source (e.g. TS1: Keyboard caps)	Body Part (e.g., Ordinary)	Safeguards		
		B	S	R
TS1: Accessible parts	Ordinary	N/A	N/A	N/A
10	Radiation			
Class and Energy Source (e.g. RS1: PMP sound output)	Body Part (e.g., Ordinary)	Safeguards		
		B	S	R
RS1: LED	Ordinary	N/A	N/A	N/A
Supplementary Information:				

“B” – Basic Safeguard; “S” – Supplementary Safeguard; “R” – Reinforced Safeguard

#### ENERGY SOURCE DIAGRAM

**Optional.** Manufacturers are to provide the energy sources diagram identify declared energy sources and identifying the demarcations are between power sources. Recommend diagram be provided included in power supply and multipart systems.

Insert diagram below. Example diagram designs are; Block diagrams; image(s) with layered data; mechanical drawings

ES     PS     MS     TS     RS

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>4</b>	<b>GENERAL REQUIREMENTS</b>		P
4.1.1	Acceptance of materials, components and subassemblies		P
4.1.2	Use of components		P
4.1.3	Equipment design and construction		P
4.1.4	Specified ambient temperature for outdoor use (°C) .....:		N/A
4.1.5	Constructions and components not specifically covered		P
4.1.8	Liquids and liquid filled components (LFC)	(See G.15)	N/A
4.1.15	Markings and instructions	(See Annex F)	P
4.4.3	Safeguard robustness		P
4.4.3.1	General		P
4.4.3.2	Steady force tests	(See Clause T.3, T.4, T.5)	P
4.4.3.3	Drop tests		N/A
4.4.3.4	Impact tests	(See Annex T.6)	P
4.4.3.5	Internal accessible safeguard tests	Not applicable	N/A
4.4.3.6	Glass impact tests	(See Clause T.9, Annex U)	N/A
4.4.3.7	Glass fixation tests		N/A
	Glass impact test (1J)		N/A
	Push/pull test (10 N)		N/A
4.4.3.8	Thermoplastic material tests	(See Annex T.8)	P
4.4.3.9	Air comprising a safeguard		N/A
4.4.3.10	Accessibility, glass, safeguard effectiveness		P
4.4.4	Displacement of a safeguard by an insulating liquid		N/A
4.4.5	Safety interlocks	(See Annex K)	N/A
<b>4.5</b>	<b>Explosion</b>		P
4.5.1	General	(See Annex M for batteries)	N/A
4.5.2	No explosion during normal/abnormal operating condition	(See Clause B.2, B.3)	P
	No harm by explosion during single fault conditions	(See Clause B.4)	P
<b>4.6</b>	<b>Fixing of conductors</b>		N/A
	Fix conductors not to defeat a safeguard		N/A
	Compliance is checked by test .....	(See Clause T.2)	N/A
<b>4.7</b>	<b>Equipment for direct insertion into mains socket-outlets</b>		N/A
4.7.2	Mains plug part complies with relevant standard ...:		N/A
4.7.3	Torque (Nm) .....		N/A



UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>4.8</b>	<b>Equipment containing coin/button cell batteries</b>		N/A
4.8.1	General		N/A
4.8.2	Instructional safeguard .....		N/A
4.8.3	Battery compartment door/cover construction		N/A
	Open torque test		N/A
4.8.4.2	Stress relief test		N/A
4.8.4.3	Battery replacement test		N/A
4.8.4.4	Drop test		N/A
4.8.4.5	Impact test		N/A
4.8.4.6	Crush test		N/A
4.8.5	Compliance		N/A
	30N force test with test probe		N/A
	20N force test with test hook		N/A
<b>4.9</b>	<b>Likelihood of fire or shock due to entry of conductive object</b>		N/A
<b>4.10</b>	<b>Component requirements</b>		N/A
4.10.1	Disconnect Device	(See Annex L)	N/A
4.10.2	Switches and relays	(See Annex G)	N/A
<b>5</b>	<b>ELECTRICALLY-CAUSED INJURY</b>		P
<b>5.2</b>	<b>Classification and limits of electrical energy sources</b>		P
5.2.2	ES1, ES2 and ES3 limits		P
5.2.2.2	Steady-state voltage and current limits .....	(See appended table 5.2)	P
5.2.2.3	Capacitance limits .....	(See appended table 5.2)	N/A
5.2.2.4	Single pulse limits.....	(See appended table 5.2)	N/A
5.2.2.5	Limits for repetitive pulses .....	(See appended table 5.2)	N/A
5.2.2.6	Ringing signals	(See Annex H)	N/A
5.2.2.7	Audio signals	(See Clause E.1)	N/A
<b>5.3</b>	<b>Protection against electrical energy sources</b>		N/A
5.3.1	General Requirements for accessible parts to ordinary, instructed and skilled persons		N/A
5.3.1 a)	Accessible ES1/ES2 derived from ES2/ES3 circuits		N/A
5.3.1 b)	Skilled persons not unintentional contact ES3 bare conductors		N/A
5.3.2.1	Accessibility to electrical energy sources and safeguards		N/A
	Accessibility to outdoor equipment bare parts		N/A
5.3.2.2	Contact requirements		N/A

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
	Test with test probe from Annex V		—
5.3.2.2 a)	Air gap – electric strength test potential (V) .....	(See appended table 5.4.9)	N/A
5.3.2.2 b)	Air gap – distance (mm) .....		N/A
5.3.2.3	Compliance		N/A
5.3.2.4	Terminals for connecting stripped wire		N/A
<b>5.4</b>	<b>Insulation materials and requirements</b>		N/A
5.4.1.2	Properties of insulating material		N/A
5.4.1.3	Material is non-hygroscopic		N/A
5.4.1.4	Maximum operating temperature for insulating materials .....	(See appended table)	N/A
5.4.1.5	Pollution degrees .....		N/A
5.4.1.5.2	Test for pollution degree 1 environment and for an insulating compound		N/A
5.4.1.5.3	Thermal cycling test		N/A
5.4.1.6	Insulation in transformers with varying dimensions		N/A
5.4.1.7	Insulation in circuits generating starting pulses		N/A
5.4.1.8	Determination of working voltage .....	(See appended table 5.4.1.8)	N/A
5.4.1.9	Insulating surfaces		N/A
5.4.1.10	Thermoplastic parts on which conductive metallic parts are directly mounted		N/A
5.4.1.10.2	Vicat test .....	(See appended table 5.4.1.10.2)	N/A
5.4.1.10.3	Ball pressure test .....	(See appended table 5.4.1.10.3)	N/A
5.4.2	Clearances		N/A
5.4.2.1	General requirements		N/A
	Clearances in circuits connected to AC Mains, Alternative method	(See Annex X)	N/A
5.4.2.2	Procedure 1 for determining clearance		N/A
	Temporary overvoltage .....		—
5.4.2.3	Procedure 2 for determining clearance		N/A
5.4.2.3.2.2	a.c. mains transient voltage .....		—
5.4.2.3.2.3	d.c. mains transient voltage .....		—
5.4.2.3.2.4	External circuit transient voltage .....		—
5.4.2.3.2.5	Transient voltage determined by measurement .....		—
5.4.2.4	Determining the adequacy of a clearance using an electric strength test .....	(See appended table 5.4.2)	N/A
5.4.2.5	Multiplication factors for clearances and test voltages .....		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
5.4.2.6	Clearance measurement.....:	(See appended table 5.4.2)	N/A
5.4.3	Creepage distances		N/A
5.4.3.1	General		N/A
5.4.3.3	Material group .....		—
5.4.3.4	Creepage distances measurement.....:	(See appended table 5.4.3)	N/A
5.4.4	Solid insulation		N/A
5.4.4.1	General requirements		N/A
5.4.4.2	Minimum distance through insulation .....	(See appended table 5.4.4.2)	N/A
5.4.4.3	Insulating compound forming solid insulation		N/A
5.4.4.4	Solid insulation in semiconductor devices		N/A
5.4.4.5	Insulating compound forming cemented joints		N/A
5.4.4.6	Thin sheet material		N/A
5.4.4.6.1	General requirements		N/A
5.4.4.6.2	Separable thin sheet material		N/A
	Number of layers (pcs) .....		N/A
5.4.4.6.3	Non-separable thin sheet material		N/A
	Number of layers (pcs) .....		N/A
5.4.4.6.4	Standard test procedure for non-separable thin sheet material.....:	(See appended table 5.4.9)	N/A
5.4.4.6.5	Mandrel test		N/A
5.4.4.7	Solid insulation in wound components		N/A
5.4.4.9	Solid insulation at frequencies >30 kHz, $E_p$ , $K_R$ , $d$ , $V_{PW}$ (V).....:	(See appended Table 5.4.4.9)	N/A
	Alternative by electric strength test, tested voltage (V), $K_R$ .....:	(See appended Tables 5.4.4.9 and 5.4.9)	N/A
5.4.5	Antenna terminal insulation		N/A
5.4.5.1	General		N/A
5.4.5.2	Voltage surge test		N/A
5.4.5.3	Insulation resistance (M $\Omega$ ) .....		N/A
	Electric strength test.....:	(See appended table 5.4.9)	N/A
5.4.6	Insulation of internal wire as part of supplementary safeguard		N/A
5.4.7	Tests for semiconductor components and for cemented joints		N/A
5.4.8	Humidity conditioning		N/A
	Relative humidity (%), temperature ( $^{\circ}$ C), duration (h).....:		—
5.4.9	Electric strength test		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
5.4.9.1	Test procedure for type test of solid insulation.....:	(See appended table 5.4.9)	N/A
5.4.9.2	Test procedure for routine test		N/A
5.4.10	Safeguards against transient voltages from external circuits		N/A
5.4.10.1	Parts and circuits separated from external circuits		N/A
5.4.10.2	Test methods		N/A
5.4.10.2.1	General		N/A
5.4.10.2.2	Impulse test.....:	(See appended table 5.4.9)	N/A
5.4.10.2.3	Steady-state test.....:	(See appended table 5.4.9)	N/A
5.4.10.3	Verification for insulation breakdown for impulse test.....:		N/A
5.4.11	Separation between external circuits and earth		N/A
5.4.11.1	Exceptions to separation between external circuits and earth		N/A
5.4.11.2	Requirements		N/A
	SPDs bridge separation between external circuit and earth		N/A
	Rated operating voltage $U_{op}$ (V).....:		—
	Nominal voltage $U_{peak}$ (V).....:		—
	Max increase due to variation $\Delta U_{sp}$ .....:		—
	Max increase due to ageing $\Delta U_{sa}$ .....:		—
5.4.11.3	Test method and compliance.....:	(See appended table 5.4.9)	N/A
5.4.12	Insulating liquid		N/A
5.4.12.1	General requirements		N/A
5.4.12.2	Electric strength of an insulating liquid.....:	(See appended table 5.4.9)	N/A
5.4.12.3	Compatibility of an insulating liquid.....:	(See appended table 5.4.9)	N/A
5.4.12.4	Container for insulating liquid.....:		N/A
<b>5.5</b>	<b>Components as safeguards</b>		N/A
5.5.1	General		N/A
5.5.2	Capacitors and RC units		N/A
5.5.2.1	General requirement		N/A
5.5.2.2	Safeguards against capacitor discharge after disconnection of a connector.....:	(See appended table 5.5.2.2)	N/A
5.5.3	Transformers		N/A
5.5.4	Optocouplers	(See sub-clause 5.4 or Clause G.12)	N/A
5.5.5	Relays	(See sub-clause 5.4)	N/A
5.5.6	Resistors	(See Clause G.10)	N/A

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Clause	Requirement + Test	Result - Remark	Verdict
5.5.7	SPDs	(See Clause G.8)	N/A
5.5.8	Insulation between the mains and an external circuit consisting of a coaxial cable .....		N/A
5.5.9	Safeguards for socket-outlets in outdoor equipment		N/A
	RCD rated residual operating current (mA) .....		—
<b>5.6</b>	<b>Protective conductor</b>		N/A
5.6.2	Requirement for protective conductors		N/A
<b>5.6</b>	<b>Protective conductor</b>		N/A
5.6.2	Requirement for protective conductors		N/A
5.6.2.1	General requirements		N/A
5.6.2.2	Colour of insulation		N/A
5.6.3	Requirement for protective earthing conductors		N/A
	Protective earthing conductor size (mm <sup>2</sup> ) .....		—
	Protective earthing conductor serving as a reinforced safeguard		N/A
	Protective earthing conductor serving as a double safeguard		N/A
5.6.4	Requirements for protective bonding conductors		N/A
5.6.4.1	Protective bonding conductors		N/A
	Protective bonding conductor size (mm <sup>2</sup> ).....		—
5.6.4.2	Protective current rating (A) .....		N/A
5.6.5	Terminals for protective conductors		N/A
5.6.5.1	Terminal size for connecting protective earthing conductors (mm).....		N/A
	Terminal size for connecting protective bonding conductors (mm).....		N/A
5.6.5.2	Corrosion		N/A
5.6.6	Resistance of the protective bonding system		N/A
5.6.6.1	Requirements		N/A
5.6.6.2	Test Method.....	(See appended table 5.6.6)	N/A
5.6.6.3	Resistance ( $\Omega$ ) or voltage drop .....	(See appended table 5.6.6)	N/A
5.6.7	Reliable connection of a protective earthing conductor		N/A
5.6.8	Functional earthing		N/A
	Conductor size (mm <sup>2</sup> ) .....		N/A
	Class II with functional earthing marking .....		N/A
	Appliance inlet cl & cr (mm).....		N/A
<b>5.7</b>	<b>Prospective touch voltage, touch current and protective conductor current</b>		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
5.7.2	Measuring devices and networks		N/A
5.7.2.1	Measurement of touch current		N/A
5.7.2.2	Measurement of voltage		N/A
5.7.3	Equipment set-up, supply connections and earth connections		N/A
5.7.4	Unearthed accessible parts.....:	(See appended table 5.7.4)	N/A
5.7.5	Earthed accessible conductive parts.....:	(See appended table 5.7.5)	N/A
5.7.6	Requirements when touch current exceeds ES2 limits		N/A
	Protective conductor current (mA).....:		N/A
	Instructional Safeguard .....		N/A
5.7.7	Prospective touch voltage and touch current associated with external circuits		N/A
5.7.7.1	Touch current from coaxial cables		N/A
5.7.7.2	Prospective touch voltage and touch current associated with paired conductor cables		N/A
5.7.8	Summation of touch currents from external circuits		N/A
	a) Equipment connected to earthed external circuits, current (mA) .....		N/A
	b) Equipment connected to unearthed external circuits, current (mA) .....		N/A
<b>5.8</b>	<b>Backfeed safeguard in battery backed up supplies</b>		N/A
	Mains terminal ES .....	(See appended table 5.8)	N/A
	Air gap (mm) .....		N/A

<b>6</b>	<b>ELECTRICALLY- CAUSED FIRE</b>		P
<b>6.2</b>	<b>Classification of PS and PIS</b>		P
6.2.2	Power source circuit classifications.....:	(See appended table 6.2.2)	P
6.2.3	Classification of potential ignition sources		N/A
6.2.3.1	Arcing PIS .....	(See appended table 6.2.3.1)	N/A
6.2.3.2	Resistive PIS .....	(See appended table 6.2.3.2)	N/A
<b>6.3</b>	<b>Safeguards against fire under normal operating and abnormal operating conditions</b>		N/A
6.3.1	No ignition and attainable temperature value less than 90 % defined by ISO 871 or less than 300 °C for unknown materials.....:	(See appended table B.1.5 and B.3)	N/A
	Combustible materials outside fire enclosure .....		N/A
<b>6.4</b>	<b>Safeguards against fire under single fault conditions</b>		P
6.4.1	Safeguard method	Method of "control of fire spread" is used.	P

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Clause	Requirement + Test	Result - Remark	Verdict
6.4.2	Reduction of the likelihood of ignition under single fault conditions in PS1 circuits		N/A
6.4.3	Reduction of the likelihood of ignition under single fault conditions in PS2 and PS3 circuits		N/A
6.4.3.1	Supplementary safeguards		N/A
6.4.3.2	Single Fault Conditions.....:	(See appended table B.4)	N/A
	Special conditions for temperature limited by fuse		N/A
6.4.4	Control of fire spread in PS1 circuits		N/A
6.4.5	Control of fire spread in PS2 circuits		P
6.4.5.2	Supplementary safeguards		N/A
6.4.6	Control of fire spread in PS3 circuits		N/A
6.4.7	Separation of combustible materials from a PIS		N/A
6.4.7.2	Separation by distance		N/A
6.4.7.3	Separation by a fire barrier		N/A
6.4.8	Fire enclosures and fire barriers		N/A
6.4.8.2	Fire enclosure and fire barrier material properties		N/A
6.4.8.2.1	Requirements for a fire barrier		N/A
6.4.8.2.2	Requirements for a fire enclosure		N/A
6.4.8.3	Constructional requirements for a fire enclosure and a fire barrier		N/A
6.4.8.3.1	Fire enclosure and fire barrier openings		N/A
6.4.8.3.2	Fire barrier dimensions		N/A
6.4.8.3.3	Top openings and properties		N/A
	Openings dimensions (mm).....:		N/A
6.4.8.3.4	Bottom openings and properties		N/A
	Openings dimensions (mm).....:		N/A
	Flammability tests for the bottom of a fire enclosure	(See Clause S.3)	N/A
	Instructional Safeguard.....:		N/A
6.4.8.3.5	Side openings and properties		N/A
	Openings dimensions (mm).....:		N/A
6.4.8.3.6	Integrity of a fire enclosure, condition met: a), b) or c).....:		N/A
6.4.8.4	Separation of a PIS from a fire enclosure and a fire barrier distance (mm) or flammability rating.....:		N/A
6.4.9	Flammability of insulating liquid.....:		N/A
<b>6.5</b>	<b>Internal and external wiring</b>		P
6.5.1	General requirements	Internal wiring rated VW-1	P

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Clause	Requirement + Test	Result - Remark	Verdict
6.5.2	Requirements for interconnection to building wiring .....:		N/A
6.5.3	Internal wiring size (mm <sup>2</sup> ) for socket-outlets.....:		N/A
<b>6.6</b>	<b>Safeguards against fire due to the connection to additional equipment</b>		N/A

<b>7</b>	<b>INJURY CAUSED BY HAZARDOUS SUBSTANCES</b>		N/A
<b>7.2</b>	<b>Reduction of exposure to hazardous substances</b>		N/A
<b>7.3</b>	<b>Ozone exposure</b>		N/A
<b>7.4</b>	<b>Use of personal safeguards or personal protective equipment (PPE)</b>		N/A
	Personal safeguards and instructions .....		—
<b>7.5</b>	<b>Use of instructional safeguards and instructions</b>		N/A
	Instructional safeguard (ISO 7010) .....		—
<b>7.6</b>	<b>Batteries and their protection circuits</b>		N/A

<b>8</b>	<b>MECHANICALLY-CAUSED INJURY</b>		P
<b>8.2</b>	<b>Mechanical energy source classifications</b>		P
<b>8.3</b>	<b>Safeguards against mechanical energy sources</b>		P
<b>8.4</b>	<b>Safeguards against parts with sharp edges and corners</b>		P
8.4.1	Safeguards		P
	Instructional Safeguard .....		N/A
8.4.2	Sharp edges or corners	Edges and corners of the enclosure are rounded.	P
<b>8.5</b>	<b>Safeguards against moving parts</b>		N/A
8.5.1	Fingers, jewellery, clothing, hair, etc., contact with MS2 or MS3 parts		N/A
	MS2 or MS3 part required to be accessible for the function of the equipment		N/A
	Moving MS3 parts only accessible to skilled person		N/A
8.5.2	Instructional safeguard.....:		N/A
8.5.4	Special categories of equipment containing moving parts		N/A
8.5.4.1	General		N/A
8.5.4.2	Equipment containing work cells with MS3 parts		N/A
8.5.4.2.1	Protection of persons in the work cell		N/A
8.5.4.2.2	Access protection override		N/A
8.5.4.2.2.1	Override system		N/A
8.5.4.2.2.2	Visual indicator		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
8.5.4.2.3	Emergency stop system		N/A
	Maximum stopping distance from the point of activation (m) .....		N/A
	Space between end point and nearest fixed mechanical part (mm).....		N/A
8.5.4.2.4	Endurance requirements		N/A
	Mechanical system subjected to 100 000 cycles of operation		N/A
	- Mechanical function check and visual inspection		N/A
	- Cable assembly.....		N/A
8.5.4.3	Equipment having electromechanical device for destruction of media		N/A
8.5.4.3.1	Equipment safeguards		N/A
8.5.4.3.2	Instructional safeguards against moving parts.....		N/A
8.5.4.3.3	Disconnection from the supply		N/A
8.5.4.3.4	Cut type and test force (N) .....		N/A
8.5.4.3.5	Compliance		N/A
8.5.5	High pressure lamps		N/A
	Explosion test .....		N/A
8.5.5.3	Glass particles dimensions (mm).....		N/A
<b>8.6</b>	<b>Stability of equipment</b>		N/A
8.6.1	General		N/A
	Instructional safeguard.....		N/A
8.6.2	Static stability		N/A
8.6.2.2	Static stability test.....		N/A
8.6.2.3	Downward force test		N/A
8.6.3	Relocation stability		N/A
	Wheels diameter (mm).....		—
	Tilt test		N/A
8.6.4	Glass slide test		N/A
8.6.5	Horizontal force test .....		N/A
<b>8.7</b>	<b>Equipment mounted to wall, ceiling or other structure</b>		N/A
8.7.1	Mount means type.....		N/A
8.7.2	Test methods		N/A
	Test 1, additional downwards force (N).....		N/A
	Test 2, number of attachment points and test force (N) .....		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Test 3 Nominal diameter (mm) and applied torque (Nm) .....		N/A
<b>8.8</b>	<b>Handles strength</b>		N/A
8.8.1	General		N/A
8.8.2	Handle strength test		N/A
	Number of handles .....		—
	Force applied (N) .....		—
<b>8.9</b>	<b>Wheels or casters attachment requirements</b>		N/A
8.9.2	Pull test		N/A
<b>8.10</b>	<b>Carts, stands and similar carriers</b>		N/A
8.10.1	General		N/A
8.10.2	Marking and instructions .....		N/A
8.10.3	Cart, stand or carrier loading test		N/A
	Loading force applied (N) .....		N/A
8.10.4	Cart, stand or carrier impact test		N/A
8.10.5	Mechanical stability		N/A
	Force applied (N) .....		—
8.10.6	Thermoplastic temperature stability		N/A
<b>8.11</b>	<b>Mounting means for slide-rail mounted equipment (SRME)</b>		N/A
8.11.1	General		N/A
8.11.2	Requirements for slide rails		N/A
	Instructional Safeguard .....		N/A
8.11.3	Mechanical strength test		N/A
8.11.3.1	Downward force test, force (N) applied .....		N/A
8.11.3.2	Lateral push force test		N/A
8.11.3.3	Integrity of slide rail end stops		N/A
8.11.4	Compliance		N/A
<b>8.12</b>	<b>Telescoping or rod antennas</b>		N/A
	Button/ball diameter (mm) .....		—

<b>9</b>	<b>THERMAL BURN INJURY</b>		P
<b>9.2</b>	<b>Thermal energy source classifications</b>		P
<b>9.3</b>	<b>Touch temperature limits</b>		P
9.3.1	Touch temperatures of accessible parts .....	(See appended table)	P
9.3.2	Test method and compliance		P
<b>9.4</b>	<b>Safeguards against thermal energy sources</b>		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
<b>9.5</b>	<b>Requirements for safeguards</b>		N/A
9.5.1	Equipment safeguard		N/A
9.5.2	Instructional safeguard.....:		N/A
<b>9.6</b>	<b>Requirements for wireless power transmitters</b>		N/A
9.6.1	General		N/A
9.6.2	Specification of the foreign objects		N/A
9.6.3	Test method and compliance.....:	(See appended table 9.6)	N/A

<b>10</b>	<b>RADIATION</b>		P
<b>10.2</b>	<b>Radiation energy source classification</b>		P
10.2.1	General classification		P
	Lasers.....:		—
	Lamps and lamp systems .....	LED indicator RS1	—
	Image projectors.....:		—
	X-Ray.....:		—
	Personal music player .....		—
<b>10.3</b>	<b>Safeguards against laser radiation</b>		N/A
	The standard(s) equipment containing laser(s) comply.....:		N/A
<b>10.4</b>	<b>Safeguards against optical radiation from lamps and lamp systems (including LED types)</b>		P
10.4.1	General requirements	LED indicator RS1	P
	Instructional safeguard provided for accessible radiation level needs to exceed		N/A
	Risk group marking and location .....		N/A
	Information for safe operation and installation		N/A
10.4.2	Requirements for enclosures		N/A
	UV radiation exposure.....:	(See Annex C)	N/A
10.4.3	Instructional safeguard.....:		N/A
<b>10.5</b>	<b>Safeguards against X-radiation</b>		N/A
10.5.1	Requirements		N/A
	Instructional safeguard for skilled persons.....:		—
10.5.3	Maximum radiation (pA/kg).....:	(See appended tables B.3 & B.4)	—
<b>10.6</b>	<b>Safeguards against acoustic energy sources</b>		N/A
10.6.1	General		N/A
10.6.2	Classification		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Acoustic output $L_{Aeq,T}$ , dB(A) .....		N/A
	Unweighted RMS output voltage (mV) .....		N/A
	Digital output signal (dBFS) .....		N/A
10.6.3	Requirements for dose-based systems		N/A
10.6.3.1	General requirements		N/A
10.6.3.2	Dose-based warning and automatic decrease		N/A
10.6.3.3	Exposure-based warning and requirements		N/A
	30 s integrated exposure level (MEL30).....		N/A
	Warning for MEL $\geq$ 100 dB(A) .....		N/A
10.6.4	Measurement methods		N/A
10.6.5	Protection of persons		N/A
	Instructional safeguards .....		N/A
10.6.6	Requirements for listening devices (headphones, earphones, etc.)		N/A
10.6.6.1	Corded listening devices with analogue input		N/A
	Listening device input voltage (mV) .....		N/A
10.6.6.2	Corded listening devices with digital input		N/A
	Max. acoustic output $L_{Aeq,T}$ , dB(A).....		N/A
10.6.6.3	Cordless listening devices		N/A
	Max. acoustic output $L_{Aeq,T}$ , dB(A).....		N/A

<b>B</b>	<b>NORMAL OPERATING CONDITION TESTS, ABNORMAL OPERATING CONDITION TESTS AND SINGLE FAULT CONDITION TESTS</b>		P
<b>B.1</b>	<b>General</b>		P
B.1.5	Temperature measurement conditions	(See appended table B.1.5)	P
<b>B.2</b>	<b>Normal operating conditions</b>		P
B.2.1	General requirements.....	(See Test Item Particulars and appended test tables)	P
	Audio Amplifiers and equipment with audio amplifiers .....	(See Annex E)	N/A
B.2.3	Supply voltage and tolerances		P
B.2.5	Input test.....	(See appended table B.2.5)	P
<b>B.3</b>	<b>Simulated abnormal operating conditions</b>		P
B.3.1	General		P
B.3.2	Covering of ventilation openings		P
	Instructional safeguard .....		N/A
B.3.3	DC mains polarity test		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
B.3.4	Setting of voltage selector		N/A
B.3.5	Maximum load at output terminals		P
B.3.6	Reverse battery polarity		N/A
B.3.7	Audio amplifier abnormal operating conditions		N/A
B.3.8	Safeguards functional during and after abnormal operating conditions .....	(See appended table B.3)	P
<b>B.4</b>	<b>Simulated single fault conditions</b>		<b>P</b>
B.4.1	General		P
B.4.2	Temperature controlling device		N/A
B.4.3	Blocked motor test		N/A
B.4.4	Functional insulation		P
B.4.4.1	Short circuit of clearances for functional insulation		P
B.4.4.2	Short circuit of creepage distances for functional insulation		P
B.4.4.3	Short circuit of functional insulation on coated printed boards		N/A
B.4.5	Short-circuit and interruption of electrodes in tubes and semiconductors		P
B.4.6	Short circuit or disconnection of passive components		P
B.4.7	Continuous operation of components		N/A
B.4.8	Compliance during and after single fault conditions .....	(See appended table B.4)	P
B.4.9	Battery charging and discharging under single fault conditions	(See Annex M)	N/A
<b>C</b>	<b>UV RADIATION</b>		<b>N/A</b>
<b>C.1</b>	<b>Protection of materials in equipment from UV radiation</b>		<b>N/A</b>
C.1.2	Requirements		N/A
C.1.3	Test method		N/A
<b>C.2</b>	<b>UV light conditioning test</b>		<b>N/A</b>
C.2.1	Test apparatus .....		N/A
C.2.2	Mounting of test samples		N/A
C.2.3	Carbon-arc light-exposure test		N/A
C.2.4	Xenon-arc light-exposure test		N/A
<b>D</b>	<b>TEST GENERATORS</b>		<b>N/A</b>
<b>D.1</b>	<b>Impulse test generators</b>		<b>N/A</b>
<b>D.2</b>	<b>Antenna interface test generator</b>		<b>N/A</b>
<b>D.3</b>	<b>Electronic pulse generator</b>		<b>N/A</b>

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Clause	Requirement + Test	Result - Remark	Verdict
<b>E</b>	<b>TEST CONDITIONS FOR EQUIPMENT CONTAINING AUDIO AMPLIFIERS</b>		N/A
<b>E.1</b>	<b>Electrical energy source classification for audio signals</b>		N/A
	Maximum non-clipped output power (W).....:		—
	Rated load impedance ( $\Omega$ ) .....		—
	Open-circuit output voltage (V) .....		—
	Instructional safeguard.....:	See Clause F.5	—
<b>E.2</b>	<b>Audio amplifier normal operating conditions</b>		N/A
	Audio signal source type .....		—
	Audio output power (W) .....		—
	Audio output voltage (V).....:		—
	Rated load impedance ( $\Omega$ ) .....		—
	Requirements for temperature measurement	(See Table B.1.5)	N/A
E.3	Audio amplifier abnormal operating conditions	(See Table B.3, B.4)	N/A
<b>F</b>	<b>EQUIPMENT MARKINGS, INSTRUCTIONS, AND INSTRUCTIONAL SAFEGUARDS</b>		P
<b>F.1</b>	<b>General</b>		P
	Language .....	Instructions in English are reviewed.	—
<b>F.2</b>	<b>Letter symbols and graphical symbols</b>		P
F.2.1	Letter symbols according to IEC60027-1	Letter symbols for quantities and units are complied with IEC 60027-1.	P
F.2.2	Graphic symbols according to IEC, ISO or manufacturer specific	Graphical symbols are complied with IEC 60417, ISO 3864-2, ISO 7000 or ISO 7010.	P
<b>F.3</b>	<b>Equipment markings</b>		P
F.3.1	Equipment marking locations	The required marking is located on the enclosure of the equipment and is easily visible	P
F.3.2	Equipment identification markings		P
F.3.2.1	Manufacturer identification .....	See copy of marking plate	P
F.3.2.2	Model identification .....	See copy of marking plate	P
F.3.3	Equipment rating markings		P
F.3.3.1	Equipment with direct connection to mains		N/A
F.3.3.2	Equipment without direct connection to mains		P
F.3.3.3	Nature of the supply voltage.....:	Not directly connected to mains	N/A
F.3.3.4	Rated voltage .....	See the marking	P
F.3.3.5	Rated frequency .....		N/A
F.3.3.6	Rated current or rated power .....	See the marking	P

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Clause	Requirement + Test	Result - Remark	Verdict
F.3.3.7	Equipment with multiple supply connections		N/A
F.3.4	Voltage setting device		N/A
F.3.5	Terminals and operating devices	See below.	N/A
F.3.5.1	Mains appliance outlet and socket-outlet markings .....:	No such devices on the equipment.	N/A
F.3.5.2	Switch position identification marking .....:	No such switch on the equipment.	N/A
F.3.5.3	Replacement fuse identification and rating markings.....:	No such components	N/A
	Instructional safeguards for neutral fuse .....:		N/A
F.3.5.4	Replacement battery identification marking .....:		N/A
F.3.5.5	Neutral conductor terminal		N/A
F.3.5.6	Terminal marking location		N/A
F.3.6	Equipment markings related to equipment classification		N/A
F.3.6.1	Class I equipment	Class III equipment	N/A
F.3.6.1.1	Protective earthing conductor terminal.....:		N/A
F.3.6.1.2	Protective bonding conductor terminals .....:		N/A
F.3.6.2	Equipment class marking .....:		N/A
F.3.6.3	Functional earthing terminal marking .....:		N/A
F.3.7	Equipment IP rating marking .....:		N/A
F.3.8	External power supply output marking .....:		N/A
F.3.9	Durability, legibility and permanence of marking	Marking is considered to be legible and easily discernible. See also the following details	P
F.3.10	Test for permanence of markings	The label was subjected to the permanence of marking test. The label was rubbed with cloth soaked with water for 15 sec. And then again for 15 sec. With the cloth soaked with petroleum spirit. After this test there was no damage to the label. The marking on the label did not fade. There was no curling and lifting of the label edge. After each test, the marking remained legible.	P
<b>F.4</b>	<b>Instructions</b>		P
	a) Information prior to installation and initial use		N/A
	b) Equipment for use in locations where children not likely to be present		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
	c) Instructions for installation and interconnection		P
	d) Equipment intended for use only in restricted access area		N/A
	e) Equipment intended to be fastened in place		N/A
	f) Instructions for audio equipment terminals		N/A
	g) Protective earthing used as a safeguard		N/A
	h) Protective conductor current exceeding ES2 limits		N/A
	i) Graphic symbols used on equipment		P
	j) Permanently connected equipment not provided with all-pole mains switch		N/A
	k) Replaceable components or modules providing safeguard function		N/A
	l) Equipment containing insulating liquid		N/A
	m) Installation instructions for outdoor equipment		N/A
<b>F.5</b>	Instructional safeguards		N/A
<b>G</b>	<b>COMPONENTS</b>		P
<b>G.1</b>	<b>Switches</b>		N/A
G.1.1	General		N/A
G.1.2	Ratings, endurance, spacing, maximum load		N/A
G.1.3	Test method and compliance		N/A
<b>G.2</b>	<b>Relays</b>		N/A
G.2.1	Requirements		N/A
G.2.2	Overload test		N/A
G.2.3	Relay controlling connectors supplying power to other equipment		N/A
G.2.4	Test method and compliance		N/A
<b>G.3</b>	<b>Protective devices</b>		N/A
G.3.1	Thermal cut-offs		N/A
	Thermal cut-outs separately approved according to IEC 60730 with conditions indicated in a) & b)		N/A
	Thermal cut-outs tested as part of the equipment as indicated in c)		N/A
G.3.1.2	Test method and compliance		N/A
G.3.2	Thermal links		N/A
G.3.2.1	a) Thermal links tested separately according to IEC 60691 with specifics		N/A
	b) Thermal links tested as part of the equipment		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
G.3.2.2	Test method and compliance		N/A
G.3.3	PTC thermistors		N/A
G.3.4	Overcurrent protection devices		N/A
G.3.5	Safeguards components not mentioned in G.3.1 to G.3.4		N/A
G.3.5.1	Non-resettable devices suitably rated and marking provided		N/A
G.3.5.2	Single faults conditions .....	(See appended table B.4)	N/A
<b>G.4</b>	<b>Connectors</b>		N/A
G.4.1	Spacings		N/A
G.4.2	Mains connector configuration .....		N/A
G.4.3	Plug is shaped that insertion into mains socket-outlets or appliance coupler is unlikely		N/A
<b>G.5</b>	<b>Wound components</b>		N/A
G.5.1	Wire insulation in wound components		N/A
G.5.1.2	Protection against mechanical stress		N/A
G.5.2	Endurance test		N/A
G.5.2.1	General test requirements		N/A
G.5.2.2	Heat run test		N/A
	Test time (days per cycle) .....		—
	Test temperature (°C).....		—
G.5.2.3	Wound components supplied from the mains		N/A
G.5.2.4	No insulation breakdown		N/A
G.5.3	Transformers		N/A
G.5.3.1	Compliance method.....		N/A
	Position.....		N/A
	Method of protection.....		N/A
G.5.3.2	Insulation		N/A
	Protection from displacement of windings .....		—
G.5.3.3	Transformer overload tests		N/A
G.5.3.3.1	Test conditions		N/A
G.5.3.3.2	Winding temperatures		N/A
G.5.3.3.3	Winding temperatures - alternative test method		N/A
G.5.3.4	Transformers using FIW		N/A
G.5.3.4.1	General		N/A
	FIW wire nominal diameter.....		—
G.5.3.4.2	Transformers with basic insulation only		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
G.5.3.4.3	Transformers with double insulation or reinforced insulation .....		N/A
G.5.3.4.4	Transformers with FIW wound on metal or ferrite core		N/A
G.5.3.4.5	Thermal cycling test and compliance		N/A
G.5.3.4.6	Partial discharge test		N/A
G.5.3.4.7	Routine test		N/A
G.5.4	Motors		N/A
G.5.4.1	General requirements		N/A
G.5.4.2	Motor overload test conditions		N/A
G.5.4.3	Running overload test		N/A
G.5.4.4.2	Locked-rotor overload test		N/A
	Test duration (days) .....		—
G.5.4.5	Running overload test for DC motors		N/A
G.5.4.5.2	Tested in the unit		N/A
G.5.4.5.3	Alternative method		N/A
G.5.4.6	Locked-rotor overload test for DC motors		N/A
G.5.4.6.2	Tested in the unit		N/A
	Maximum Temperature .....		N/A
G.5.4.6.3	Alternative method		N/A
G.5.4.7	Motors with capacitors		N/A
G.5.4.8	Three-phase motors		N/A
G.5.4.9	Series motors		N/A
	Operating voltage .....		—
<b>G.6</b>	<b>Wire Insulation</b>		N/A
G.6.1	General		N/A
G.6.2	Enamelled winding wire insulation		N/A
<b>G.7</b>	<b>Mains supply cords</b>		N/A
G.7.1	General requirements		N/A
	Type .....		—
G.7.2	Cross sectional area (mm <sup>2</sup> or AWG) .....		N/A
G.7.3	Cord anchorages and strain relief for non-detachable power supply cords		N/A
G.7.3.2	Cord strain relief		N/A
G.7.3.2.1	Requirements		N/A
	Strain relief test force (N) .....		N/A
G.7.3.2.2	Strain relief mechanism failure		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
G.7.3.2.3	Cord sheath or jacket position, distance (mm) .....		N/A
G.7.3.2.4	Strain relief and cord anchorage material		N/A
G.7.4	Cord Entry		N/A
G.7.5	Non-detachable cord bend protection		N/A
G.7.5.1	Requirements		N/A
G.7.5.2	Test method and compliance		N/A
	Overall diameter or minor overall dimension, $D$ (mm) .....		—
	Radius of curvature after test (mm) .....		—
G.7.6	Supply wiring space		N/A
G.7.6.1	General requirements		N/A
G.7.6.2	Stranded wire		N/A
G.7.6.2.1	Requirements		N/A
G.7.6.2.2	Test with 8 mm strand		N/A
<b>G.8</b>	<b>Varistors</b>		N/A
G.8.1	General requirements		N/A
G.8.2	Safeguards against fire		N/A
G.8.2.1	General		N/A
G.8.2.2	Varistor overload test		N/A
G.8.2.3	Temporary overvoltage test		N/A
<b>G.9</b>	<b>Integrated circuit (IC) current limiters</b>		N/A
G.9.1	Requirements		N/A
	IC limiter output current (max. 5A).....		—
	Manufacturers' defined drift .....		—
G.9.2	Test Program		N/A
G.9.3	Compliance		N/A
<b>G.10</b>	<b>Resistors</b>		N/A
G.10.1	General		N/A
G.10.2	Conditioning		N/A
G.10.3	Resistor test		N/A
G.10.4	Voltage surge test		N/A
G.10.5	Impulse test		N/A
G.10.6	Overload test		N/A
<b>G.11</b>	<b>Capacitors and RC units</b>		N/A
G.11.1	General requirements		N/A
G.11.2	Conditioning of capacitors and RC units		N/A

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
G.11.3	Rules for selecting capacitors		N/A
<b>G.12</b>	<b>Optocouplers</b>		N/A
	Optocouplers comply with IEC 60747-5-5 with specifics		N/A
	Type test voltage $V_{ini,a}$ .....		—
	Routine test voltage, $V_{ini,b}$ .....		—
<b>G.13</b>	<b>Printed boards</b>		P
G.13.1	General requirements	Approved Printed board used	P
G.13.2	Uncoated printed boards		P
G.13.3	Coated printed boards		N/A
G.13.4	Insulation between conductors on the same inner surface		N/A
G.13.5	Insulation between conductors on different surfaces		N/A
	Distance through insulation .....		N/A
	Number of insulation layers (pcs) .....		—
G.13.6	Tests on coated printed boards		N/A
G.13.6.1	Sample preparation and preliminary inspection		N/A
G.13.6.2	Test method and compliance		N/A
<b>G.14</b>	<b>Coating on components terminals</b>		N/A
G.14.1	Requirements .....	(See Clause G.13)	N/A
<b>G.15</b>	<b>Pressurized liquid filled components</b>		N/A
G.15.1	Requirements		N/A
G.15.2	Test methods and compliance		N/A
G.15.2.1	Hydrostatic pressure test		N/A
G.15.2.2	Creep resistance test		N/A
G.15.2.3	Tubing and fittings compatibility test		N/A
G.15.2.4	Vibration test		N/A
G.15.2.5	Thermal cycling test		N/A
G.15.2.6	Force test		N/A
G.15.3	Compliance		N/A
<b>G.16</b>	<b>IC including capacitor discharge function (ICX)</b>		N/A
G.16.1	Condition for fault tested is not required		N/A
	ICX with associated circuitry tested in equipment		N/A
	ICX tested separately		N/A
G.16.2	Tests		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
	Smallest capacitance and smallest resistance specified by ICX manufacturer for impulse test .....		—
	Mains voltage that impulses to be superimposed on .....		—
	Largest capacitance and smallest resistance for ICX tested by itself for 10000 cycles test .....		—
G.16.3	Capacitor discharge test.....		N/A
<b>H</b>	<b>CRITERIA FOR TELEPHONE RINGING SIGNALS</b>		N/A
<b>H.1</b>	<b>General</b>		N/A
<b>H.2</b>	<b>Method A</b>		N/A
<b>H.3</b>	<b>Method B</b>		N/A
H.3.1	Ringing signal		N/A
H.3.1.1	Frequency (Hz) .....		—
H.3.1.2	Voltage (V) .....		—
H.3.1.3	Cadence; time (s) and voltage (V) .....		—
H.3.1.4	Single fault current (mA):.....		—
H.3.2	Tripping device and monitoring voltage		N/A
H.3.2.1	Conditions for use of a tripping device or a monitoring voltage		N/A
H.3.2.2	Tripping device		N/A
H.3.2.3	Monitoring voltage (V) .....		N/A
<b>J</b>	<b>INSULATED WINDING WIRES FOR USE WITHOUT INTERLEAVED INSULATION</b>		N/A
<b>J.1</b>	<b>General</b>		N/A
	Winding wire insulation .....		—
	Solid round winding wire, diameter (mm) .....		N/A
	Solid square and rectangular (flatwise bending) winding wire, cross-sectional area (mm <sup>2</sup> ) .....		N/A
<b>J.2/J.3</b>	Tests and Manufacturing	(See separate test report)	—
<b>K</b>	<b>SAFETY INTERLOCKS</b>		N/A
<b>K.1</b>	<b>General requirements</b>		N/A
	Instructional safeguard .....		N/A
<b>K.2</b>	<b>Components of safety interlock safeguard mechanism</b>		N/A
<b>K.3</b>	<b>Inadvertent change of operating mode</b>		N/A
<b>K.4</b>	<b>Interlock safeguard override</b>		N/A
<b>K.5</b>	<b>Fail-safe</b>		N/A
K.5.1	Under single fault condition		N/A
<b>K.6</b>	<b>Mechanically operated safety interlocks</b>		N/A

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
K.6.1	Endurance requirement		N/A
K.6.2	Test method and compliance .....		N/A
<b>K.7</b>	<b>Interlock circuit isolation</b>		N/A
K.7.1	Separation distance for contact gaps & interlock circuit elements		N/A
	In circuit connected to mains, separation distance for contact gaps (mm).....:		N/A
	In circuit isolated from mains, separation distance for contact gaps (mm).....:		N/A
	Electric strength test before and after the test of K.7.2 .....	(See appended table 5.4.9)	N/A
K.7.2	Overload test, Current (A) .....		N/A
K.7.3	Endurance test		N/A
K.7.4	Electric strength test		N/A
<b>L</b>	<b>DISCONNECT DEVICES</b>		N/A
L.1	General requirements		N/A
L.2	Permanently connected equipment		N/A
L.3	Parts that remain energized		N/A
L.4	Single-phase equipment		N/A
L.5	Three-phase equipment		N/A
L.6	Switches as disconnect devices		N/A
L.7	Plugs as disconnect devices		N/A
L.8	Multiple power sources		N/A
	Instructional safeguard.....:		N/A
<b>M</b>	<b>EQUIPMENT CONTAINING BATTERIES AND THEIR PROTECTION CIRCUITS</b>		N/A
M.1	General requirements		N/A
M.2	Safety of batteries and their cells		N/A
M.2.1	Batteries and their cells comply with relevant IEC standards.....:		N/A
M.3	Protection circuits for batteries provided within the equipment		N/A
M.3.1	Requirements		N/A
M.3.2	Test method		N/A
	Overcharging of a rechargeable battery		N/A
	Excessive discharging		N/A
	Unintentional charging of a non-rechargeable battery		N/A
	Reverse charging of a rechargeable battery		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
M.3.3	Compliance	(See appended table M.3)	N/A
<b>M.4</b>	<b>Additional safeguards for equipment containing a portable secondary lithium battery</b>		N/A
M.4.1	General		N/A
M.4.2	Charging safeguards		N/A
M.4.2.1	Requirements		N/A
M.4.2.2	Compliance .....	(See appended table M.4.2)	N/A
M.4.3	Fire enclosure .....		N/A
M.4.4	Drop test of equipment containing a secondary lithium battery		N/A
M.4.4.2	Preparation and procedure for the drop test		N/A
M.4.4.3	Drop, Voltage on reference and dropped batteries (V); voltage difference during 24 h period (%): .....		N/A
M.4.4.4	Check of the charge/discharge function		N/A
M.4.4.5	Charge / discharge cycle test		N/A
M.4.4.6	Compliance		N/A
<b>M.5</b>	<b>Risk of burn due to short-circuit during carrying</b>		N/A
M.5.1	Requirement		N/A
M.5.2	Test method and compliance		N/A
<b>M.6</b>	<b>Safeguards against short-circuits</b>		N/A
M.6.1	External and internal faults		N/A
M.6.2	Compliance		N/A
<b>M.7</b>	<b>Risk of explosion from lead acid and NiCd batteries</b>		N/A
M.7.1	Ventilation preventing explosive gas concentration		N/A
	Calculated hydrogen generation rate .....		N/A
M.7.2	Test method and compliance		N/A
	Minimum air flow rate, Q (m <sup>3</sup> /h) .....		N/A
M.7.3	Ventilation tests		N/A
M.7.3.1	General		N/A
M.7.3.2	Ventilation test – alternative 1		N/A
	Hydrogen gas concentration (%) .....		N/A
M.7.3.3	Ventilation test – alternative 2		N/A
	Obtained hydrogen generation rate .....		N/A
M.7.3.4	Ventilation test – alternative 3		N/A
	Hydrogen gas concentration (%) .....		N/A
M.7.4	Marking.....		N/A

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>M.8</b>	<b>Protection against internal ignition from external spark sources of batteries with aqueous electrolyte</b>		N/A
M.8.1	General		N/A
M.8.2	Test method		N/A
M.8.2.1	General		N/A
M.8.2.2	Estimation of hypothetical volume $V_z$ (m <sup>3</sup> /s).....:		—
M.8.2.3	Correction factors.....:		—
M.8.2.4	Calculation of distance $d$ (mm).....:		—
<b>M.9</b>	<b>Preventing electrolyte spillage</b>		N/A
M.9.1	Protection from electrolyte spillage		N/A
M.9.2	Tray for preventing electrolyte spillage		N/A
<b>M.10</b>	Instructions to prevent reasonably foreseeable misuse		N/A
	Instructional safeguard.....:		N/A
<b>N</b>	<b>ELECTROCHEMICAL POTENTIALS</b>		N/A
	Material(s) used.....:		—
<b>O</b>	<b>MEASUREMENT OF CREEPAGE DISTANCES AND CLEARANCES</b>		N/A
	Value of $X$ (mm).....:		—
<b>P</b>	<b>SAFEGUARDS AGAINST CONDUCTIVE OBJECTS</b>		P
<b>P.1</b>	<b>General</b>		P
<b>P.2</b>	<b>Safeguards against entry or consequences of entry of a foreign object</b>		N/A
P.2.1	General		N/A
P.2.2	Safeguards against entry of a foreign object		N/A
	Location and Dimensions (mm).....:		—
P.2.3	Safeguards against the consequences of entry of a foreign object		N/A
P.2.3.1	Safeguard requirements		N/A
	The ES3 and PS3 keep-out volume in Figure P.3 not applicable to transportable equipment		N/A
	Transportable equipment with metalized plastic parts.....:		N/A
P.2.3.2	Consequence of entry test.....:		N/A
<b>P.3</b>	<b>Safeguards against spillage of internal liquids</b>		
P.3.1	General		N/A
P.3.2	Determination of spillage consequences		N/A
P.3.3	Spillage safeguards		N/A
P.3.4	Compliance		N/A

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
<b>P.4</b>	<b>Metallized coatings and adhesives securing parts</b>		N/A
P.4.1	General		N/A
P.4.2	Tests		N/A
	Conditioning, T <sub>c</sub> (°C).....:		—
	Duration (weeks) .....		—
<b>Q</b>	<b>CIRCUITS INTENDED FOR INTERCONNECTION WITH BUILDING WIRING</b>		N/A
<b>Q.1</b>	<b>Limited power sources</b>		N/A
Q.1.1	Requirements		N/A
	a) Inherently limited output		N/A
	b) Impedance limited output		N/A
	c) Regulating network limited output		N/A
	d) Overcurrent protective device limited output		N/A
	e) IC current limiter complying with G.9		N/A
Q.1.2	Test method and compliance .....	(See appended table Q.1)	N/A
	Current rating of overcurrent protective device (A) .....		N/A
<b>Q.2</b>	<b>Test for external circuits – paired conductor cable</b>		N/A
	Maximum output current (A) .....		N/A
	Current limiting method .....		—
<b>R</b>	<b>LIMITED SHORT CIRCUIT TEST</b>		N/A
<b>R.1</b>	<b>General</b>		N/A
<b>R.2</b>	<b>Test setup</b>		N/A
	Overcurrent protective device for test .....		—
<b>R.3</b>	<b>Test method</b>		N/A
	Cord/cable used for test .....		—
<b>R.4</b>	<b>Compliance</b>		N/A
<b>S</b>	<b>TESTS FOR RESISTANCE TO HEAT AND FIRE</b>		N/A
<b>S.1</b>	<b>Flammability test for fire enclosures and fire barrier materials of equipment where the steady state power does not exceed 4 000 W</b>		N/A
	Samples, material.....:		—
	Wall thickness (mm) .....		—
	Conditioning (°C).....:		—
	Test flame according to IEC 60695-11-5 with conditions as set out		N/A
	- Material not consumed completely		N/A
	- Material extinguishes within 30s		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
	- No burning of layer or wrapping tissue		N/A
<b>S.2</b>	<b>Flammability test for fire enclosure and fire barrier integrity</b>		N/A
	Samples, material.....:		—
	Wall thickness (mm).....:		—
	Conditioning (°C).....:		—
<b>S.3</b>	<b>Flammability test for the bottom of a fire enclosure</b>		N/A
S.3.1	Mounting of samples		N/A
S.3.2	Test method and compliance		N/A
	Mounting of samples.....:		—
	Wall thickness (mm).....:		—
<b>S.4</b>	<b>Flammability classification of materials</b>		N/A
<b>S.5</b>	<b>Flammability test for fire enclosure materials of equipment with a steady state power exceeding 4 000 W</b>		N/A
	Samples, material.....:		—
	Wall thickness (mm).....:		—
	Conditioning (°C).....:		—
<b>T</b>	<b>MECHANICAL STRENGTH TESTS</b>		P
<b>T.1</b>	<b>General</b>		P
<b>T.2</b>	<b>Steady force test, 10 N.....:</b>	(See appended table T.2)	N/A
<b>T.3</b>	<b>Steady force test, 30 N.....:</b>	(See appended table T.3)	N/A
<b>T.4</b>	<b>Steady force test, 100 N.....:</b>	(See appended table T.4)	N/A
<b>T.5</b>	<b>Steady force test, 250 N.....:</b>	(See appended table T.5)	P
<b>T.6</b>	<b>Enclosure impact test</b>	(See appended table T.6)	P
	Fall test		P
	Swing test		P
<b>T.7</b>	<b>Drop test.....:</b>	(See appended table T.7)	N/A
<b>T.8</b>	<b>Stress relief test.....:</b>	(See appended table T.8)	P
<b>T.9</b>	<b>Glass Impact Test.....:</b>	(See appended table T.9)	N/A
<b>T.10</b>	<b>Glass fragmentation test</b>		N/A
	Number of particles counted.....:		N/A
<b>T.11</b>	<b>Test for telescoping or rod antennas</b>		N/A
	Torque value (Nm).....:		N/A
<b>U</b>	<b>MECHANICAL STRENGTH OF CATHODE RAY TUBES (CRT) AND PROTECTION AGAINST THE EFFECTS OF IMPLOSION</b>		N/A
<b>U.1</b>	<b>General</b>		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Instructional safeguard :		N/A
<b>U.2</b>	<b>Test method and compliance for non-intrinsically protected CRTs</b>		N/A
<b>U.3</b>	<b>Protective screen</b>		N/A
<b>V</b>	<b>DETERMINATION OF ACCESSIBLE PARTS</b>		N/A
<b>V.1</b>	<b>Accessible parts of equipment</b>		N/A
V.1.1	General		N/A
V.1.2	Surfaces and openings tested with jointed test probes		N/A
V.1.3	Openings tested with straight unjointed test probes		N/A
V.1.4	Plugs, jacks, connectors tested with blunt probe		N/A
V.1.5	Slot openings tested with wedge probe		N/A
V.1.6	Terminals tested with rigid test wire		N/A
<b>V.2</b>	<b>Accessible part criterion</b>		N/A
<b>X</b>	<b>ALTERNATIVE METHOD FOR DETERMINING CLEARANCES FOR INSULATION IN CIRCUITS CONNECTED TO AN AC MAINS NOT EXCEEDING 420 V PEAK (300 V RMS)</b>		N/A
	Clearance .....	(See appended table X)	N/A
<b>Y</b>	<b>CONSTRUCTION REQUIREMENTS FOR OUTDOOR ENCLOSURES</b>		N/A
<b>Y.1</b>	<b>General</b>		N/A
<b>Y.2</b>	<b>Resistance to UV radiation</b>		N/A
<b>Y.3</b>	<b>Resistance to corrosion</b>		N/A
<b>Y.3</b>	<b>Resistance to corrosion</b>		N/A
Y.3.1	Metallic parts of outdoor enclosures are resistant to effects of water-borne contaminants by.....:		N/A
Y.3.2	Test apparatus		N/A
Y.3.3	Water – saturated sulphur dioxide atmosphere		N/A
Y.3.4	Test procedure .....		N/A
Y.3.5	Compliance		N/A
<b>Y.4</b>	<b>Gaskets</b>		N/A
Y.4.1	General		N/A
Y.4.2	Gasket tests		N/A
Y.4.3	Tensile strength and elongation tests		N/A
	Alternative test methods .....		N/A
Y.4.4	Compression test		N/A
Y.4.5	Oil resistance		N/A
Y.4.6	Securing means	(See Annex P.4)	N/A
<b>Y.5</b>	<b>Protection of equipment within an outdoor enclosure</b>		N/A
Y.5.1	General		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
Y.5.2	Protection from moisture		N/A
	Relevant tests of IEC 60529 or Y.5.3 .....		N/A
Y.5.3	Water spray test		N/A
Y.5.4	Protection from plants and vermin		N/A
Y.5.5	Protection from excessive dust		N/A
Y.5.5.1	General		N/A
Y.5.5.2	IP5X equipment		N/A
Y.5.5.3	IP6X equipment		N/A
<b>Y.6</b>	<b>Mechanical strength of enclosures</b>		N/A
Y.6.1	General		N/A
Y.6.2	Impact test .....	(See Table T.6)	N/A

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict

5.2	TABLE: Classification of electrical energy sources						P
Supply Voltage	Location (e.g. circuit designation)	Test conditions	Parameters				ES Class
			U (V)	I (mA)	Type <sup>1)</sup>	Additional Info <sup>2)</sup>	
12Vdc	All circuits	Normal:	--	--	SS	--	ES1 (declared)
		Abnormal:	--	--	SS	--	
		Single fault – SC/OC)	--	--	SS	--	

Supplementary information:

1) Type: Steady state (SS), Capacitance (CP), Single pulse (SP), Repetitive pulses (RP), etc.

2) Additional Info: Frequency, Pulse duration, Pulse off time, Capacitance value, etc.

5.4.1.8	TABLE: Working voltage measurement				N/A
Location	RMS voltage (V)	Peak voltage (V)	Frequency (Hz)	Comments	

Supplementary information:

5.4.1.10.2	TABLE: Vicat softening temperature of thermoplastics				N/A
Method .....			ISO 306 / B50		—
Object/ Part No./Material	Manufacturer/trademark	Thickness (mm)	T softening (°C)		

Supplementary information:

5.4.1.10.3	TABLE: Ball pressure test of thermoplastics				N/A
Allowed impression diameter (mm) .....				≤ 2 mm	—
Object/Part No./Material	Manufacturer/trademark	Thickness (mm)	Test temperature (°C)	Impression diameter (mm)	

Supplementary information:

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict

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5.4.2, 5.4.3	TABLE: Minimum Clearances/Creepage distance							N/A
Clearance (cl) and creepage distance (cr) at/of/between:	$U_p$ (V)	$U_{rms}$ (V)	Freq <sup>1)</sup> (Hz)	Required cl (mm)	cl (mm)	E.S. <sup>2)</sup> (V)	Required cr (mm)	cr (mm)
Supplementary information:								
1) Only for frequency above 30 kHz								
2) Complete Electric Strength voltage (E.S. (V) when 5.4.2.4 applied)								

5.4.4.2	TABLE: Minimum distance through insulation				N/A
Distance through insulation (DTI) at/of	Peak voltage (V)	Insulation	Required DTI (mm)	Measured DTI (mm)	
Supplementary information:					

5.4.4.9	TABLE: Solid insulation at frequencies >30 kHz						N/A
Insulation material	$E_p$	Frequency (kHz)	$K_R$	Thickness $d$ (mm)	Insulation	$V_{pw}$ (Vpk)	
Supplementary information:							

5.4.9	TABLE: Electric strength tests			N/A
Test voltage applied between:	Voltage shape (Surge, Impulse, AC, DC, etc.)	Test voltage (V)	Breakdown Yes / No	
Supplementary information:				

5.5.2.2	TABLE: Stored discharge on capacitors	N/A
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UL 62368-1					
Clause	Requirement + Test			Result - Remark	Verdict
Location	Supply voltage (V)	Operating and fault condition <sup>1)</sup>	Switch position	Measured voltage (Vpk)	ES Class
Supplementary information:					
X-capacitors installed for testing:					
[ ] bleeding resistor rating:					
[ ] ICX:					
1) Normal operating condition (e.g., normal operation, or open fuse), SC= short circuit, OC= open circuit					

5.6.6	TABLE: Resistance of protective conductors and terminations				N/A
Location	Test current (A)	Duration (min)	Voltage drop (V)	Resistance (Ω)	
Supplementary information:					

5.7.4	TABLE: Unearthed accessible parts					N/A
Location	Operating and fault conditions	Supply Voltage (V)	Parameters			ES class
			Voltage (V <sub>rms</sub> or V <sub>pk</sub> )	Current (A <sub>rms</sub> or A <sub>pk</sub> )	Freq. (Hz)	
Supplementary information:						
Abbreviation: SC= short circuit; OC= open circuit						

5.7.5	TABLE: Earthed accessible conductive part				N/A
Supply voltage (V) .....					—
Phase(s) .....	[ ] Single Phase; [ ] Three Phase: [ ] Delta [ ] Wye				
Power Distribution System .....	[ ] TN [ ] TT [ ] IT				
Location	Fault Condition No in IEC 60990 clause 6.2.2	Touch current (mA)	Comment		
Supplementary Information:					

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Clause	Requirement + Test	Result - Remark	Verdict

5.8	TABLE: Backfeed safeguard in battery backed up supplies					N/A
Location	Supply voltage (V)	Operating and fault condition	Time (s)	Open-circuit voltage (V)	Touch current (A)	ES Class
Supplementary information:						
Abbreviation: SC= short circuit, OC= open circuit						

6.2.2	TABLE: Power source circuit classifications					P
Location	Operating and fault condition	Voltage (V)	Current (A)	Max. Power <sup>1)</sup> (W)	Time (S)	PS class
Input port	Normal operation	--	--	--	--	PS2 (declare)
USB output	Normal operation	4.99	2.62	13.15	3	PS1
USB output	R1 SC	5.02	0.5	2.51	3	PS1
Supplementary information:						
Abbreviation: SC= short circuit; OC= open circuit						
1) Measured after 3 s for PS1 and measured after 5 s for PS2 and PS3.						

6.2.3.1	TABLE: Determination of Arcing PIS				N/A
Location	Open circuit voltage after 3 s (Vpk)	Measured r.m.s current (A)	Calculated value	Arcing PIS? Yes / No	
Supplementary information:					

6.2.3.2	TABLE: Determination of resistive PIS			N/A
Location	Operating and fault condition	Dissipate power (W)		Arcing PIS? Yes / No
Supplementary information:				
Abbreviation: SC= short circuit; OC= open circuit				

8.5.5	TABLE: High pressure lamp				N/A
Lamp manufacturer	Lamp type	Explosion method	Longest axis of	Particle found	

UL 62368-1				
Clause	Requirement + Test		Result - Remark	Verdict
			glass particle (mm)	beyond 1 m Yes / No
Supplementary information:				

9.6	TABLE: Temperature measurements for wireless power transmitters							N/A
Supply voltage (V) .....								—
Max. transmit power of transmitter (W) .....								—
Foreign objects	w/o receiver and direct contact		with receiver and direct contact		with receiver and at distance of 2 mm		with receiver and at distance of 5 mm	
	Object (°C)	Ambient (°C)	Object (°C)	Ambient (°C)	Object (°C)	Ambient (°C)	Object (°C)	Ambient (°C)
Supplementary information:								

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Clause	Requirement + Test				Result - Remark		Verdict	
<b>5.4.1.4, 9.3, B.1.5, B.2.6</b>	<b>TABLE: Temperature measurements</b>						P	
Supply voltage (V) .....		12Vdc		--		—		
Ambient temperature during test $T_{amb}$ (°C) ....		See below		--		—		
Maximum measured temperature $T$ of part/at:		T (°C)				Allowed $T_{max}$ (°C)		
DC inlet		32.8	47.8	--	--	Ref.		
PCB near U1		60.5	75.5	--	--	130		
C241 body		42.8	57.8	--	--	105		
PCB near 3U1		50.5	65.5	--	--	130		
Plastic enclosure inside near main board		40.9	55.9	--	--	Ref.		
Ambient		25.0°C	Shift to 40°C	--	--	--		
<b>Touch Temperatures</b>								
Enclosure outside near main board		35.6	--	--	--	77		
Button		28.9	--	--	--	77		
Surface of the adapter		47.2	--	--	--	77		
Ambient		25.0°C	--	--	--	--		
Temperature T of winding:		$t_1$ (°C)	$R_1$ (Ω)	$t_2$ (°C)	$R_2$ (Ω)	T (°C)	Allowed $T_{max}$ (°C)	Insulation class
Supplementary information:								
Note 1: T <sub>ma</sub> should be considered as directed by applicable requirement.								
Note 2: Toch Temperatures, this is included in assessment of Toch Temperatures(Clause 9).								
Note 3: The maximum ambient temperature specified by manufacturer is 40°C.								

<b>B.2.5</b>		<b>TABLE: Input test</b>						P
U (V)	Hz	I (A)	I rated (A)	P (W)	P rated (W)	Fuse No	I fuse (A)	Condition/status
12	--	0.752	1.0	--	--	--	--	Normal operation
Supplementary information:								

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Clause	Requirement + Test	Result - Remark	Verdict

<b>B.3, B.4</b>	<b>TABLE: Abnormal operating and fault condition tests</b>					<b>P</b>
Ambient temperature T <sub>amb</sub> (°C).....:					23.8-24.5	—
Power source for EUT: Manufacturer, model/type, outputrating ..:					--	—
Component No.	Condition	Supply voltage (V)	Test time	Fuse no.	Fuse current (A)	Observation
Opening	Block	12Vdc	1hrs12 mins	--	--	Normal operation, no damage, no hazards, The max. Temperature: PCB near U1: 69.4°C, Enclosure outside near mian board: 42.3°C, Ambient: 24.1°C
C25	SC	12Vdc	10mins	--	--	Unit shut down, recoverable, no damage, no hazards.
C241	SC	12Vdc	10mins	--	--	Unit shut down, recoverable, no damage, no hazards.
Supplementary information:						
1. SC – Short Circuit; OC – Open Circuit; OL- Overload;						
2. No ignition during and after all tests;						
3. Output voltage comply with ES1 during and after all tests.						
4. NB – no indication of dielectric breakdown; NC – Cheesecloth remained intact; NT - Tissue paper remained intact.						
5. Output circuit is under ES1 limit.						

<b>M.3</b>	<b>TABLE: Protection circuits for batteries provided within the equipment</b>					<b>N/A</b>
Is it possible to install the battery in a reverse polarity position? .... :						—
Equipment Specification	Charging					
	Voltage (V)			Current (A)		
Manufacturer/type	Battery specification					
	Non-rechargeable batteries			Rechargeable batteries		
	Discharging current (A)	Unintentional charging current (A)	Charging		Discharging current (A)	Reverse charging current (A)
			Voltage (V)	Current (A)		
Note: The tests of M.3.2 are applicable only when above appropriate data is not available.						



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Clause	Requirement + Test	Result - Remark	Verdict

Specified battery temperature (°C)..... :							
Component No.	Fault condition	Charge/ discharge mode	Test time	Temp. (°C)	Current (A)	Voltage (V)	Observation
Supplementary information:							
Abbreviation: SC= short circuit; OC= open circuit NL= no chemical leakage; NS= no spillage of liquid; NE= no explosion; NF= no emission of flame or expulsion of molten metal.							

<b>M.4.2</b>	<b>TABLE: Charging safeguards for equipment containing a secondary lithium battery</b>						<b>N/A</b>
Maximum specified charging voltage (V) .....							—
Maximum specified charging current (A) .....							—
Highest specified charging temperature (°C) .....							
Lowest specified charging temperature (°C) .....							
Battery manufacturer/type	Operating and fault condition	Measurement			Observation		
		Charging voltage (V)	Charging current (A)	Temp. (°C)			
Supplementary information:							
Abbreviation: SC= short circuit; OC= open circuit; MSCV= maximum specified charging voltage; MSCC= maximum specified charging current; HSCT= highest specified charging temperature; LSCT= lowest specified charging temperature							

<b>Q.1</b>	<b>TABLE: Circuits intended for interconnection with building wiring (LPS)</b>						<b>N/A</b>
Output Circuit	Condition	U <sub>oc</sub> (V)	Time (s)	I <sub>sc</sub> (A)		S (VA)	
				Meas.	Limit	Meas.	Limit
Supplementary Information:							

<b>T.2, T.3, T.4, T.5</b>	<b>TABLE: Steady force test</b>	<b>P</b>
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UL 62368-1						
Clause	Requirement + Test			Result - Remark		Verdict
Location/Part	Material	Thickness (mm)	Probe	Force (N)	Test Duration (s)	Observation
Enclosure Top	Plastic	See 4.1.2	--	250	5	Enclosure remained intact, no crack/opening developed.
Enclosure Side	Plastic	See 4.1.2	--	250	5	Enclosure remained intact, no crack/opening developed.
Enclosure Bottom	Plastic	See 4.1.2	--	250	5	Enclosure remained intact, no crack/opening developed.
Supplementary information:						

T.6, T.9	TABLE: Impact test					P
Location/Part	Material	Thickness (mm)	Height (mm)	Observation		
Enclosure Top	Plastic	See 4.1.2	1300	Enclosure remained intact, no crack/opening developed.		
Enclosure Side	Plastic	See 4.1.2	1300	Enclosure remained intact, no crack/opening developed.		
Enclosure Bottom	Plastic	See 4.1.2	1300	Enclosure remained intact, no crack/opening developed.		
Supplementary information:						

T.7	TABLE: Drop test				N/A
Location/Part	Material	Thickness (mm)	Height (mm)	Observation	
Supplementary information:					

T.8	TABLE: Stress relief test				P

UL 62368-1					
Clause	Requirement + Test			Result - Remark	Verdict
Location/Part	Material	Thickness (mm)	Oven Temperature (°C)	Duration (h)	Observation
Enclosure	Plastic	See 4.1.2	70	7	Enclosure remained intact, no crack/opening developed.
Supplementary information:					

X	TABLE: Alternative method for determining minimum clearances distances			N/A
Clearance distanced between:	Peak of working voltage (V)	Required cl (mm)	Measured cl (mm)	
Supplementary information:				

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict

4.1.2	TABLE: Critical components information					P
Object / part No.	Manufacturer/ trademark	Type / model	Technical data	Standard	Mark(s) of conformity <sup>1)</sup>	
AC ADAPTER	ShenZhen Mao TWO Power Co., Ltd	XY-1200100	Input: 100- 240Vac, 50/60Hz, 0.33A  Output: 12Vdc, 1.0A	UL 62368-1	UL	
PCB	Interchangeable	Interchangeable	V-0, 130 °C	UL 796, UL 94	UL	
Plastic enclosure	SABIC INNOVATIVE PLASTICS B V	C6600(GG)(X) (VS)	V-0, 80°C, Thickness:1.5mm	UL94	UL E45329	
Supplementary information:						
1) Provided evidence ensures the agreed level of compliance. See OD-CB2039.						

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict

ATTACHMENT TO TEST REPORT			
IEC 62368-1			
U.S.A. AND CANADA NATIONAL DIFFERENCES			
(AUDIO/VIDEO, INFORMATION AND COMMUNICATION TECHNOLOGY EQUIPMENT – PART 1: SAFETY REQUIREMENTS)			
Differences according to ..... : CSA/UL 62368-1:2019			
TRF template used: ..... : IECEE OD-2020-F3, Ed. 1.1			
Attachment Form No. .... : US_CA_ND_IEC62368_1E			
Attachment Originator ..... : UL(US)			
Master Attachment ..... : Dated 2022-03-04			
Copyright © 2022 IEC System for Conformity Testing and Certification of Electrical Equipment (IECEE), Geneva, Switzerland. All rights reserved.			
IEC 62368-1 - US and Canadian National Differences			
Special National Conditions based on Regulations and Other National Differences			
1 (1DV.1) (1.3)	All equipment is to be designed to allow installation in accordance with the National Electrical Code (NEC), ANSI/NFPA 70, the Canadian Electrical Code (CEC), Part 1, CAN/CSA C22.1, and when applicable, the National Electrical Safety Code, IEEE C2. Also, for such equipment marked or otherwise identified, installation is allowed per the Standard for the Protection of Information Technology Equipment, ANSI/NFPA 75.		P
1 (1DV.2.1)	This standard includes additional requirements for equipment used for entertainment purposes intended for installation in general patient care areas of health care facilities. See Annex DVB.		N/A
1 (1DV.2.2)	This standard includes additional requirements for equipment intended for mounting under cabinets. See Annex DVC.		N/A
1 (1DV.2.3)	IEC 62368-3 clause 5 for DC power transfer at ES1 or ES2 voltage levels is considered informative. IEC 62368-3 clause 6 for remote power feeding telecommunication (RFT) circuits is considered normative (see ITU K.50). Alternatively, equipment with RFT circuits are given in either UL 2391 or CSA/UL 60950-21. RFT-C circuits are not permitted unless the RFT-C circuit complies with RFT-V limits ( $\leq 200V$ per conductor to earth).		N/A
1 (1DV.3)	For protection against direct lightning strikes, reference is made to NFPA 780 and CAN/CSA-B72 for additional requirements.		N/A



UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict
1 (DV.5)	Additional requirements apply to some forms of power distribution equipment, including sub-assemblies.		N/A
4.1 (4.1.17)	<i>For lengths exceeding 3.05 m, external interconnecting cable assemblies are required to be a suitable cable type (e.g., DP, CL2) specified in the NEC.</i>		N/A
	<i>For lengths 3.05 m or less, external interconnecting cable assemblies that are not types specified in the NEC generally are required to have special construction features and identification markings.</i>		N/A
4.6 (4.6.2)	Wire-wrap terminals have special construction and performance requirements.		N/A
4.8 (4.8.3, 4.8.4.5, 4.8.5)	Coin / button cell batteries have modified special construction and performance requirements.		N/A
5.4.2.3.2 (5.4.2.3.2. 1)	<i>Surge Arrestors and Transient Voltage Surge Suppressors installed external to the equipment are required to comply with the appropriate NEC and CEC requirements.</i>		N/A
5.5.9	Receptacles, rated 125-V, single phase, 15- or 20-A accessible to either ordinary, instructed, or skilled persons are required to be provided with GFCI Protection for Personnel if the equipment containing the receptacles is installed outdoors. The protection devices are required to comply with UL 943, and CAN/CSA C22.2 No.144.		N/A
5.6.3	Protective earthing conductors comply with the minimum conductor sizes in Table G.7, except as required by Table G.7ADV.1 for cord connected equipment, or Annex DVH for permanently connected equipment.		N/A
5.7.8 (5.7.8.1)	Equipment intended to receive telecommunication ringing signals is required to comply with a special touch current measurement tests.		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
6.5.1	PS3 wiring outside a fire enclosure is required to comply with single fault testing in B.4, or be current limited per one of the permitted methods.		N/A
Annex F (F.3.3.9)	Output terminals provided for supply of other equipment, except mains supply, are required to be marked with a maximum rating or reference to equipment permitted to be connected.		N/A
Annex F (F.3.7)	Outdoor Enclosures are required to be classified and marked in accordance with UL 50 or 50E, or CAN/CSA C22.2 No. 94.1 or 94.2.		N/A
Annex G (G.7)	Permanent connection of equipment to the mains supply by a power supply cord is not permitted, except for certain equipment, such as ATMs.		N/A
	Power supply cords are required to have attachment plugs rated not less than 125 percent of the rated current of the equipment.		N/A
	Flexible power supply cords are required to be compatible with Article 400 of the NEC, and Tables 11 and 12 of the CEC.		N/A
	Minimum cord length is required to be 1.5 m, with certain constructions such as external power supplies allowed to consider both input and output cord lengths into the requirement. Power supply cords are required to be no longer than 4.5 m in length if used in ITE Rooms.		N/A
	Power supply cords for outdoor equipment are required to be suitable outdoor use type as required by Section 400.4 of the NEC and Rule 4-012 of the CEC, i.e., marked "W."		N/A
Annex H.2	Continuous ringing signals under normal operating conditions up to 16 mA only are permitted if the equipment is subjected to special installation and performance restrictions.		N/A
Annex H.4	For circuits with other than ringing signals and with voltages exceeding 42.4 V <sub>peak</sub> or 60 V <sub>d.c.</sub> , the maximum acceptable current through a 2000 ohm resistor (or greater) connected across the voltage source with other loads disconnected is 7.1 mA peak or 30 mA d.c. under normal operating conditions.		N/A
Annex Q (Q.3)	Equipment with paired conductor and/or coax communications cables/wiring connected to building wiring are required to have special voltage, current, power and marking requirements.		N/A
Annex DVA (1)	Equipment that is designed such that it may be powered from a separate electrical service, is required to meet applicable requirements for service equipment for control and protection of services and their installation and complies with Article 230 of the National Electrical Code (NEC), NFPA 70 and Section 6 of the Canadian Electrical Code, Part I, CSA C22.1.		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
	Equipment intended for use in spaces used for environmental air (plenums) are subjected to special flammability requirements for heat and visible smoke release.		N/A
	For ITE room applications, automated information storage systems with combustible media greater than 0.76 m <sup>3</sup> (27 cu ft) are required to have a provision for connection of either automatic sprinklers or a gaseous agent extinguishing system with an extended discharge.		N/A
	Consumer products designed or intended primarily for children 12 years of age or younger are subject to additional requirements in accordance with U.S. and Canadian Regulations.		N/A
	Baby monitors are required to additionally comply with ASTM F2951, Consumer Safety Specification for Baby Monitors.		N/A
	Storage batteries and battery management equipment, other than associated with lead-acid batteries, and including battery backup systems that are not an integral part of stationary AV and ICT equipment, such as provided in separate cabinets, are required to be certified (listed) to the appropriate standard(s) for such storage batteries and equipment.		N/A
Annex DVA (5.6)	For Pluggable Equipment Type A, the protection in the installation is assumed to be 20A.		N/A
Annex DVA (6.3)	The maximum quantity of flammable liquid stored in equipment is required to comply with NFPA 30.		N/A
Annex DVA (6.4.8)	For ITE room applications, enclosures with combustible material measuring greater than 0.9 m <sup>2</sup> (10 sq ft) or a single dimension greater than 1.8 m (6 ft) are required to have a flame spread rating of 50 or less. For equipment with the same dimensions for other applications, an external surface that is not a fire enclosure requires a minimum flammability classification of V-1.		N/A
Annex DVA (10.3)	Equipment with lasers is required to meet the U.S. Code of Federal Regulations 21 CFR 1040 (and the Canadian Radiation Emitting Devices Act, REDR C1370).		N/A
Annex DVA (10.5)	Equipment that produces ionizing radiation is required to comply with the U.S. Code of Federal Regulations, 21 CFR 1020 (and the Canadian Radiation Emitting Devices Act, REDR C1370).		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
Annex DVA (F.3.3.4)	Equipment for use on a.c. mains supply systems with a neutral and more than one phase conductor (e.g. 120/240 V, 3-wire) require a special marking format for electrical ratings. Additional considerations apply for voltage ratings that exceed the attachment cap rating or that are lower than the "Normal Operating Condition" in Table 2 of CAN/CSA C22.2 No. 235."		N/A
Annex DVA (F.3.3.6)	Equipment identified for ITE (computer) room installation is required to be marked with the rated current.		N/A
Annex DVA (G.1)	Vertically-mounted disconnect switches and circuit breakers are required to have the "on" position indicated by the handle in the up position, where mounted in an enclosure, vertically mounted <b>disconnect switches</b> and <b>circuit breakers</b> with vertical operating means extending outside the enclosure are required to indicate in a location visible when accessing the external operating means whether the switch or circuit breaker is in the open (off) or closed (on) position.		N/A
Annex DVA (G.3.4)	Suitable NEC/CEC branch circuit protection rated at the maximum circuit rating is required for all standard supply outlets and receptacles (such as supplied in power distribution units) if the supply branch circuit protection is not suitable.		N/A
	Where a fuse is used to provide Class 2 or Class 3 current limiting, it is not operator-accessible unless it is non- interchangeable.		N/A
Annex DVA (G.4.2)	Equipment with isolated ground (earthing) receptacles is required to comply with NEC 250.146(D) and CEC 10-400 and 10-612.		N/A
Annex DVA (G.4.3)	Interconnection of units by conductors supplied by a limited power source, or a Class 2 circuit defined in the NEC/CEC may have field wiring connections other than specified in DVH.3, such as wire-wrap and crimp-on types, if the limited power source and Class 2 circuits are separated from all other circuits by barriers, routing or fixing.		N/A
Annex DVA (G.5.3)	Power distribution transformers distributing power at 100 volts or more, and rated 10 kVA or more, require special transformer overcurrent protection.		N/A
Annex DVA (G.5.4)	Motor control devices are required for cord-connected equipment with a mains-connected motor if the equipment is rated more than 12 A, or if the equipment has a nominal voltage rating greater than 120 V, or if the motor is rated more than 1/3 hp (locked rotor current over 43 A).		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
Annex DVA (G.7)	Flexible cords used outdoors are required to have the suffix "W" marked on the flexible cord.		N/A
Annex DVA (M)	For ITE room applications, equipment with battery systems capable of supplying 750 VA for five minutes are required to have a battery disconnect means that may be connected to the ITE room remote power-off circuit.		N/A
Annex DVA (Q)	If applicable per NEC 725.121(C), some limited power sources supplied from AV/ICT equipment are required to have a label indicating the maximum voltage and rated current output for per conductor for each connection point. Where multiple connection points have the same rating, a single label is permitted to be used.		N/A
	Wiring terminals intended to supply Class 2 outputs in accordance with the NEC or CEC Part 1 are required to be marked with the voltage rating and "Class 2" or equivalent. The marking is located adjacent to the terminals and visible during wiring.		N/A
	Applicable parts of Chapter 8 of the NEC, and Rules 54 and 60 of the CEC, may be applicable to ITE installed outdoors with connections to communication systems.		N/A
Annex DVB (1)	Additional requirements apply for equipment used for entertainment purposes intended for installation in general patient care areas of health care facilities.		N/A
Annex DVC (1)	Additional requirements apply for equipment intended for mounting under kitchen cabinets.		N/A
Annex DVE (4.1.1)	Some equipment, components, sub-assemblies and materials associated with the risk of fire, electric shock, or personal injury are required to have component or material ratings in accordance with the applicable national (U.S. and Canadian) component or material requirements. These equipment and components include: appliance couplers, attachment plugs, battery backup systems, circuit breakers, communication circuit accessories, connectors (used for current interruption of non-LPS circuits), direct plug-in equipment, electrochemical capacitor modules (energy storage modules with ultracapacitors), enclosures (outdoor), flexible cords and cables, fuses (branch circuit), ground-fault current interrupters, interconnecting cables, modular data centres, power supply cords, some power distribution equipment, printed wiring, protectors for communications circuits, receptacles, surge protective devices, vehicle battery adapters, wire connectors, and wire and cables.		N/A
Annex DVH	Equipment for permanent connection to the mains supply is subjected to additional requirements.		N/A



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Clause	Requirement + Test	Result - Remark	Verdict
Annex DVH (DVH.1)	Wiring methods (terminals, leads, etc.) used for the connection of the equipment to the mains are required to be in accordance with the NEC/CEC.		N/A
Annex DVH (DVH.2.1)	For safe and reliable connection to a mains, permanently connected equipment is to be provided.		N/A
Annex DVH (DVH.2.2)	Additional considerations for D.C. mains.		N/A
Annex DVH (DVH.3.2.1)	Terminals for permanent wiring, including protective earthing terminals, are required to be suitable for U.S./Canadian wire gauge sizes, rated 125 percent of the equipment rating, and be specially marked when specified.		N/A
Annex DVH (DVH.3.2.3)	Wire binding screws are not permitted to attach conductors larger than 10 AWG (5.3 mm <sup>2</sup> ).		N/A
Annex DVH (DVH.3.2.4)	All associated mains supply terminals are located in proximity to each other and to the main protective earthing terminal, if any.		N/A
Annex DVH (DVH.3.2.5)	Terminals are located, guarded or insulated so that, should a strand of a conductor escape when the conductor is fitted, there is no likelihood of accidental contact between such a strand and accessible conductive parts or unearthed conductive parts separated from accessible conductive parts by supplementary insulation only.		N/A
Annex DVH (DVH.3.3)	When field connection to an external circuit is via wires (example, free conductors), the wires are not smaller than 18 AWG (0.82 mm <sup>2</sup> ) and the free length of the wire inside an outlet box or wiring compartment is 150 mm or more.		N/A
Annex DVH (DVH.3.4)	Size of protective earthing conductors and terminals	(See sub-clause 5.6.5)	N/A
Annex DVH (DVH.4)	Permanently connected equipment is required to have a suitable wiring compartment and wire bending space.		N/A
Annex DVH (DVH.4.1)	Wire bending space		N/A
Annex DVH (DVH.4.2)	Volume of wiring compartment		N/A
Annex DVH (DVH.4.3)	Separation of circuits		N/A
Annex DVH (DVH.5)	Equipment markings and instructional safeguards		N/A

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Clause	Requirement + Test	Result - Remark	Verdict
Annex DVH (DVH.5.1)	Identification of protective earthing terminal		N/A
Annex DVH (DVH.5.2)	Identification of terminal for earthed conductor (neutral)		N/A
Annex DVH (DVH.5.3)	Identification of terminals for aluminium conductors		N/A
Annex DVH (DVH.5.4)	Wire temperature ratings		N/A
Annex DVH (DVH 5.5)	Equipment connected to a centralized d.c. power system, and having one pole of the DC mains input terminal connected to the main protective earthing terminal in the equipment, is required to comply with special earthing, wiring, marking and installation instruction requirements.		N/A
Annex DVI (6.7)	Equipment intended for connection to telecommunication network outside plant cable is required to be protected against overvoltage from power line crosses.		N/A
Annex DVJ (10.6.1)	Equipment connected to a telecommunication and cable distribution networks and supplied with an earphone intended to be held against, or in the ear is required to comply with special acoustic pressure requirements.		N/A

UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict

ZC	ANNEX ZC, NATIONAL DEVIATIONS (EN)		
10.5.2	<p><b>Germany</b></p> <p>The following requirement applies:</p> <p>For the operation of any cathode ray tube intended for the display of visual images operating at an acceleration voltage exceeding 40 kV, authorization is required, or application of type approval (Bauartzulassung) and marking.</p> <p><i>Justification:</i> German ministerial decree against ionizing radiation (Röntgenverordnung), in force since 2002-07-01, implementing the European Directive 96/29/EURATOM.</p> <p><b>NOTE</b> Contact address: Physikalisch-Technische Bundesanstalt, Bundesallee 100, D-38116 Braunschweig, Tel.: Int+49-531-592-6320, Internet: <a href="http://www.ptb.de">http://www.ptb.de</a></p>		N/A

<b>ZD</b>	<b>IEC and CENELEC CODE DESIGNATIONS FOR FLEXIBLE CORDS (EN)</b>		N/A
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UL 62368-1			
Clause	Requirement + Test	Result - Remark	Verdict

Type of flexible cord	Code designations		N/A
	IEC	CENELEC	
<b>PVC insulated cords</b>			
Flat twin tinsel cord	60227 IEC 41	H03VH-Y	
Light polyvinyl chloride sheathed flexible cord	60227 IEC 52	H03VV-F H03VVH2-F	
Ordinary polyvinyl chloride sheathed flexible cord	60227 IEC 53	H05VV-F H05VVH2-F	
<b>Rubber insulated cords</b>			
Braided cord	60245 IEC 51	H03RT-F	
Ordinary tough rubber sheathed flexible cord	60245 IEC 53	H05RR-F	
Ordinary polychloroprene sheathed flexible cord	60245 IEC 57	H05RN-F	
Heavy polychloroprene sheathed flexible cord	60245 IEC 66	H07RN-F	
<b>Cords having high flexibility</b>			
Rubber insulated and sheathed cord	60245 IEC 86	H03RR-H	
Rubber insulated, crosslinked PVC sheathed cord	60245 IEC 87	H03RV4-H	
Crosslinked PVC insulated and sheathed cord	60245 IEC 88	H03V4V4-H	
<b>Cords insulated and sheathed with halogen-free thermoplastic compounds</b>			
Light halogen-free thermoplastic insulated and sheathed flexible cords		H03Z1Z1-F H03Z1Z1H2-F	
Ordinary halogen-free thermoplastic insulated and sheathed flexible cords		H05Z1Z1-F H05Z1Z1H2-F	



**Annex 2: Photo  
Photo documentation**

**Photo 1 Overall view**



**Photo 2 Overall view**



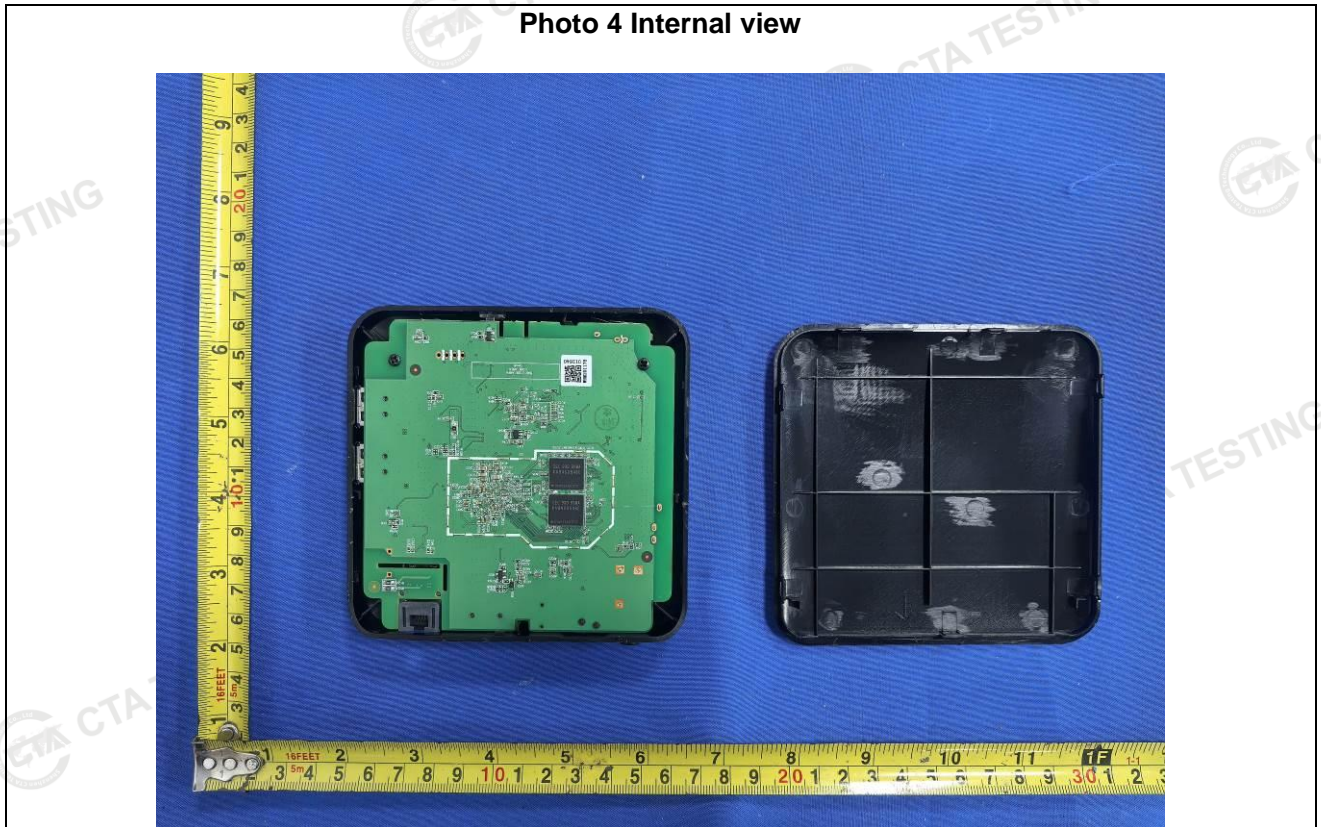


Annex 2: Photo

Photo 3 Overall view



Photo 4 Internal view





Annex 2: Photo

Photo 5 Internal view

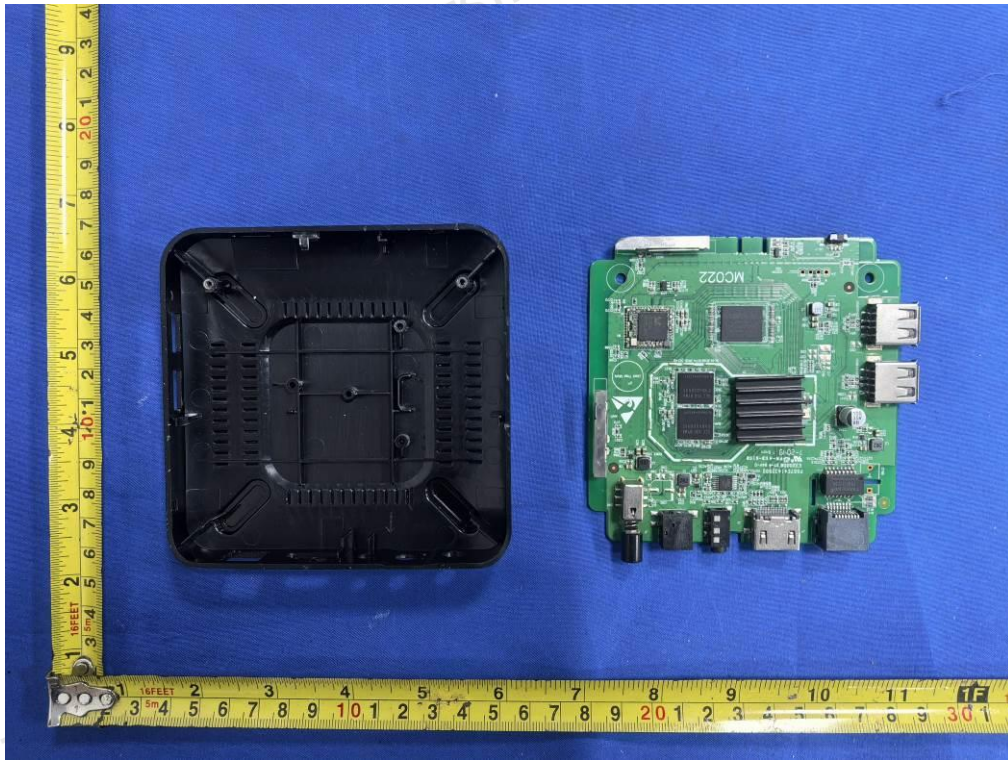
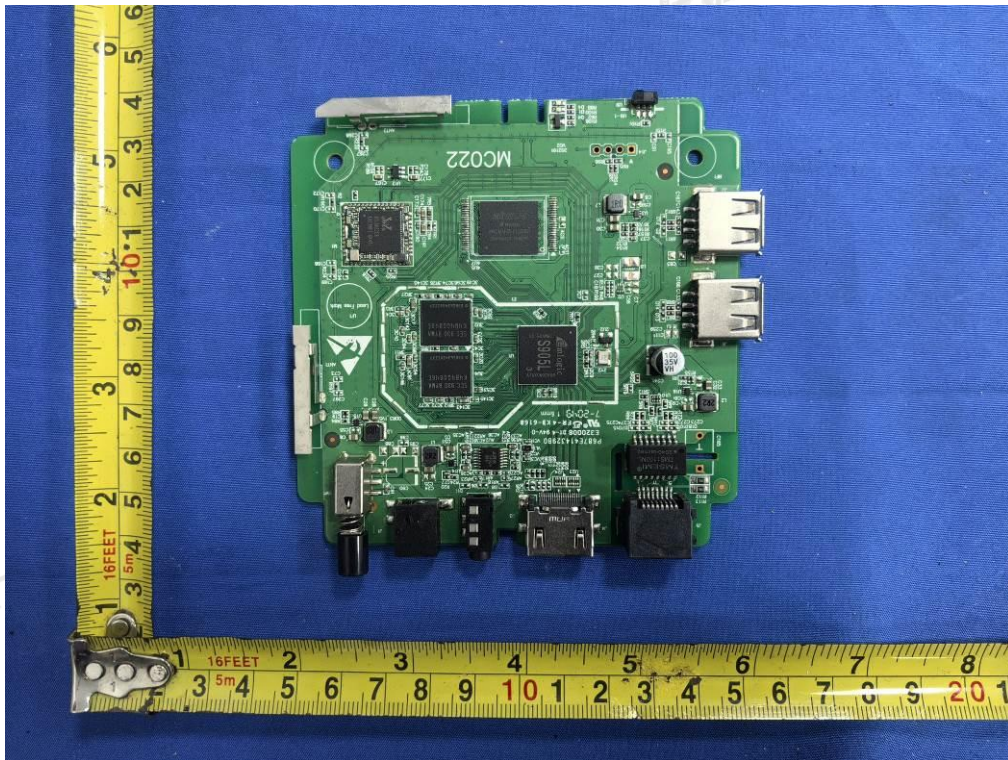


Photo 6 PCB view





Annex 2: Photo

Photo 7 PCB view



Photo 8 Adapter view



----- END OF THE REPORT -----