

Univest Stock Broking Private Limited

Number of Client Complaints

Data for the month ending: January 2026

Sl. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month **		Average Resolution Time ^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	10	6	4	4	0	< 5
3	Stock Exchanges	0	0	0	0	0	0	0
4	Depository	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	10	6	4	4	0	<5

Registered Office: Office No. 123, F.F Plot No - R/9 & 10 Sharan Business, Sector 26, Gandhinagar, Gujarat–382026, CIN: U66120GJ2024PTC148608 Email: hello@unibrokers.in,
Corporate Office: Ground Floor, Unitech Commercial Tower 2, Arya Samaj Road, Block B, Greenwood City, Unit 1-3, Sector 45, Gurugram, Haryana- 122003

Univest Stock Broking Private Limited

Trend of Monthly Disposal of Complaints

Sl. No.	Month	Carried forward from previous month	Received	Resolved	Pending
1	June 2024	0	1	0	1
2	July 2024	1	0	0	1
3	August 2024	1	0	0	1
4	September 2024	1	3	4	0
5	October 2024	0	1	1	0
6	November 2024	0	1	0	1
7	December 2024	1	0	1	0
8	January 2025	0	2	2	0
9	February 2025	0	0	0	0
10	March 2025	0	0	0	0
11	April 2025	0	5	3	2
12	May 2025	2	4	5	1
13	June 2025	1	6	6	1
14	July 2025	1	1	1	1
15	August 2025	1	2	1	2
16	September 2025	2	11	12	1
17	October 2025	1	2	0	3
18	November 2025	3	5	7	1
19	December 2025	1	3	4	0
20	January 2026	0	10	4	6
Grand Total			57	51	6

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of Annual Disposal of Complaints

Sl. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year *	Pending at the end of the year #
1	2024-25	0	8	8	0
2	2025-26				
Grand Total		0	8	8	0

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.