



#HorizonEU



2021 - 2027

**PROGRAMME** 

# **HE CL4 SPACE**TIPS FOR PROPOSERS

Info and Brokerage Day - Warsaw

3 June 2025

**Marko Curavić** 

**Head of Unit HaDEA.B4 - Space Research** 

Research and Innovation

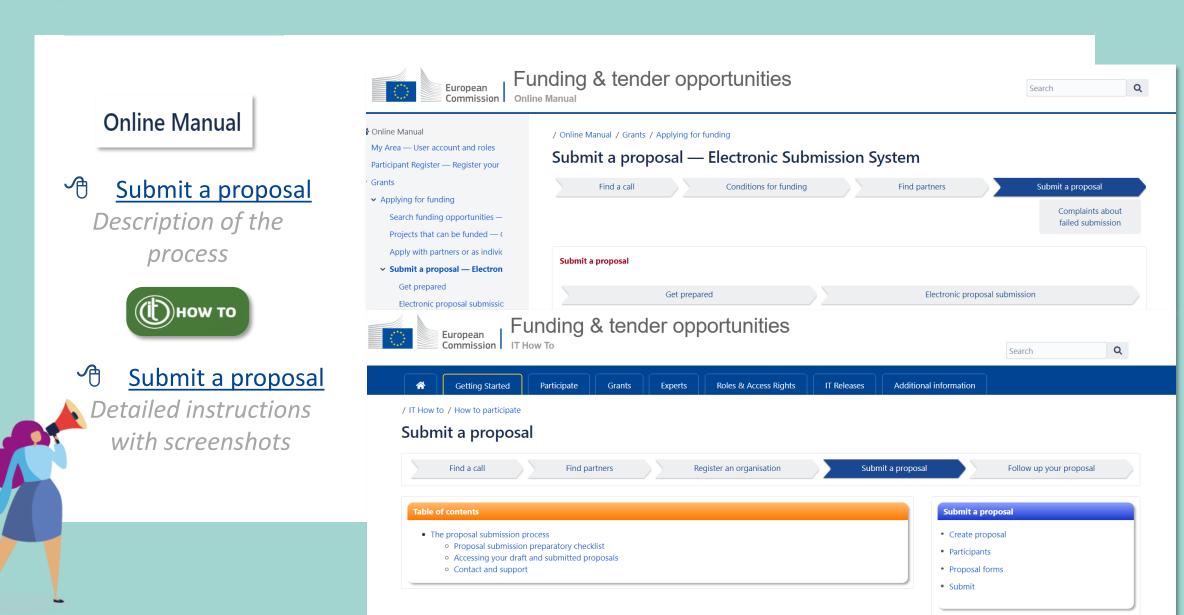
## Horizon Europe Cluster 4 – 2025 Call Overview



Call ID	Number of Topics	Call budget	Call Opening	Call Deadline
HORIZON-CL4-2025-01 "Industry"	28	EUR 494.00 million	22 May 2025	23 September 2025
HORIZON-CL4-2025-02 "Space"	20	EUR 139.00 million	22 May 2025	25 September 2025
HORIZON-CL4-2025-03 "Digital" (CNECT)	21	EUR 404,10 million	10 June 2025	02 October 2025
HORIZON-CL4-2025-04 "Digital" (HaDEA)	7	EUR 134.00 million	10 June 2025	02 October 2025
HORIZON-CL4-2025-05-two-stage "Industry"	6	EUR 161.00 million	22 May 2025	<b>Stage 1:</b> 23 September 2025 <b>Stage 2:</b> 14 April 2026

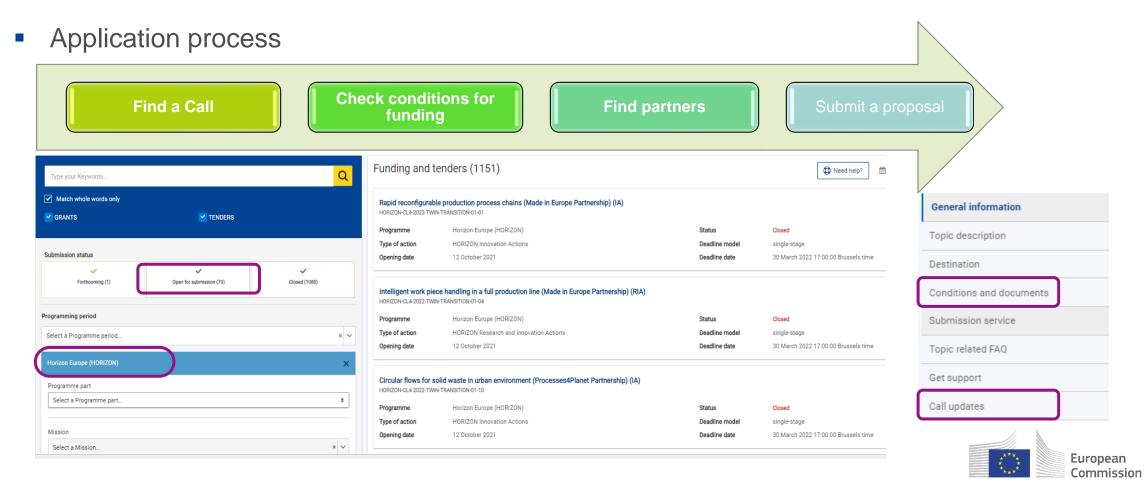


### **Guidance and Manuals**



### **Funding & Tenders portal**

 All participants applying for EU funding must register their organization in the <u>Participant</u> <u>Register</u> on the Funding & Tenders Portal.







### **EU COUNTRIES**

- Member States (MS)
   including their outermost regions.
- The Overseas Countries and Territories (OCTs) linked to the MS.



### **NON-EU COUNTRIES**

- Countries associated to Horizon Europe (AC).
- Low and middle income countries: See <u>HE</u> <u>Programme Guide</u>.
- Other countries when announced in the call or exceptionally if their participation is essential.



### **SPECIFIC CASES**

- Affiliated entities established in countries eligible for funding.
- EU bodies
- International organisations (IO):
  - International European research organisations are eligible for funding.
  - Other IO are not eligible (only exceptionally if participation is essential)
  - IO in a MS or AC are eligible for funding for Training and mobility actions and when announced in the call conditions.

### Restrictions to the eligibility to participate

Legal basis: HE Article 22.5, see also Work Programme General Annex B

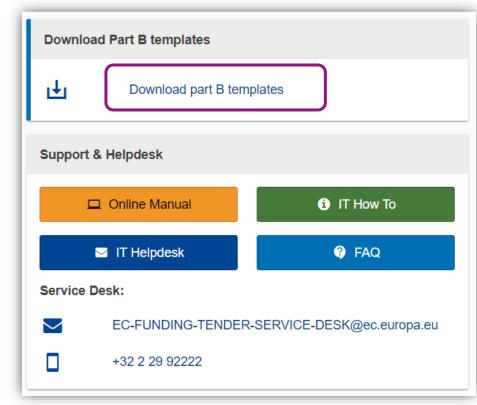
- At the level of work programme topics (e.g., in HORIZON-CL4-2025-02-SPACE-71)
- In non-dependence and strategic autonomy context, to guarantee the protection of the strategic interests of the Union and its Member States, participation may be limited to legal entities established in Member States and a few listed other countries.
- These entities must not be directly or indirectly controlled by a non-eligible country or by a non-eligible country entity
- If this is the case, there may be a possibility of **guarantees** provided by their eligible country of establishment, that their participation to the action would not negatively impact the Union's strategic, assets, interests, autonomy, or security.
- → see Declaration on Ownership and Control

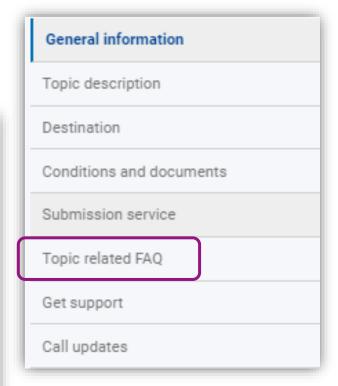


### Submission System - Create a proposal



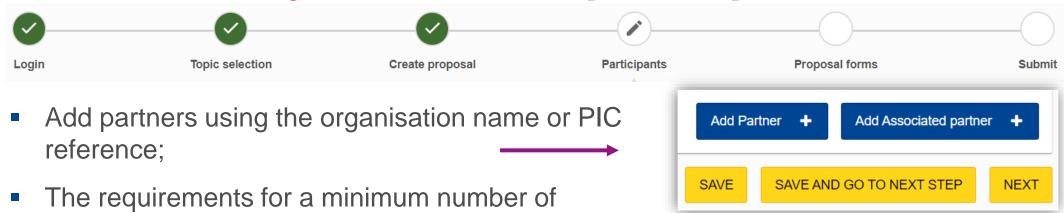
- Proposals must be submitted electronically via the <u>Funding</u>
   <u>& Tenders Portal Electronic Submission System</u>. Paper or e-mail submissions are NOT possible;
- Proposals must be complete, i.e. include all documents and annexes (if required), using the templates provided in the Submission System;







## **Submission System – Add participants**

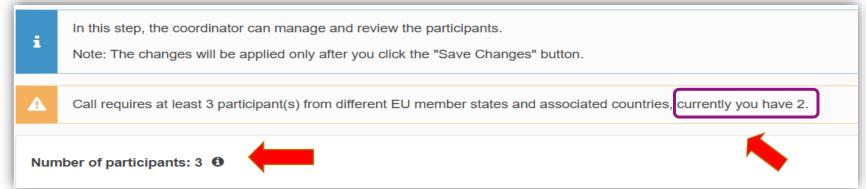


In most cases (RIA/IA), topics require at least three independent legal entities established either in a MS or AC:

participants are specific for each call. A message

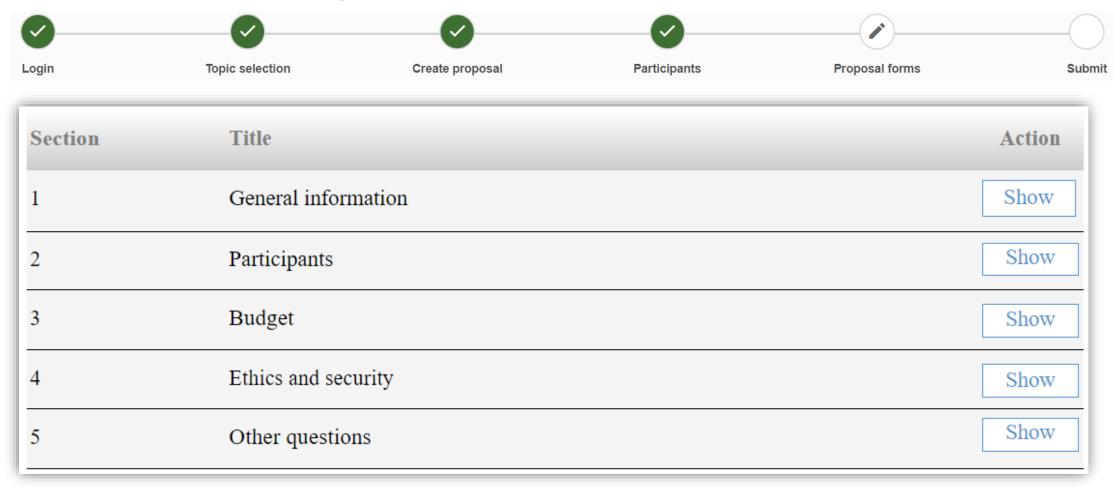
specifying the Consortium Eligibility

requirements will be displayed;





### **Submission System - Application Form Part A**



Only one contact per organisation should make changes to the proposal at a time.
 Editing the form in 2 or more tabs/browsers in parallel will lead to data loss.



## **Submission System - Application Form Part A**



- For Lump Sum Grants there is an additional declaration in General Information;
  - 10) For Lump Sum Grants with a detailed budget table: We understand and accept that the EU lump sum grants must be reliable proxies for the actual costs of a project and confirm that the detailed budget for the proposal has been established in accordance with our usual cost accounting practices and in compliance with the basic eligibility conditions for EU actual cost grants (see <u>AGA Annotated Grant Agreement, art 6</u>) and exclude costs that are ineligible under the Programme. Purchases and subcontracting costs must be done taking into account best value for money and must be free of conflict of interest. \*
- For Lump Sum Grants the Budget table provides information only on the total requested grant amount per beneficiary;



Annex for Lump Sum Grants – Detailed budget table (not needed for First Stage applications)

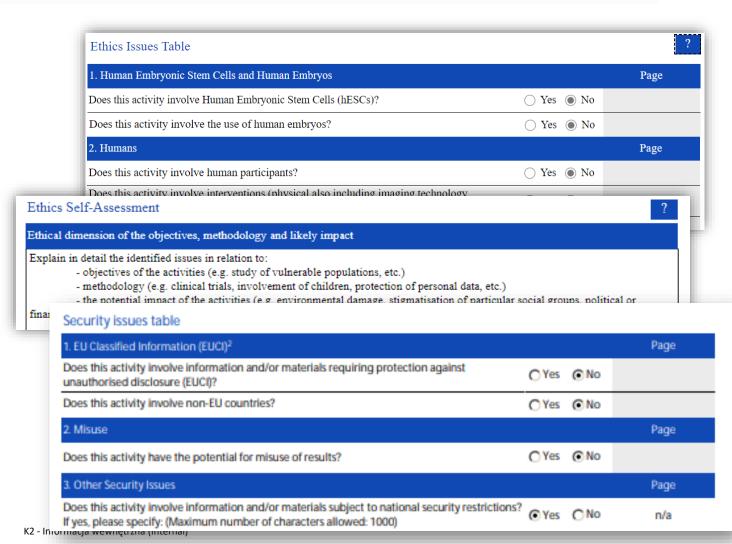


### **Submission System - Application Form Part A**



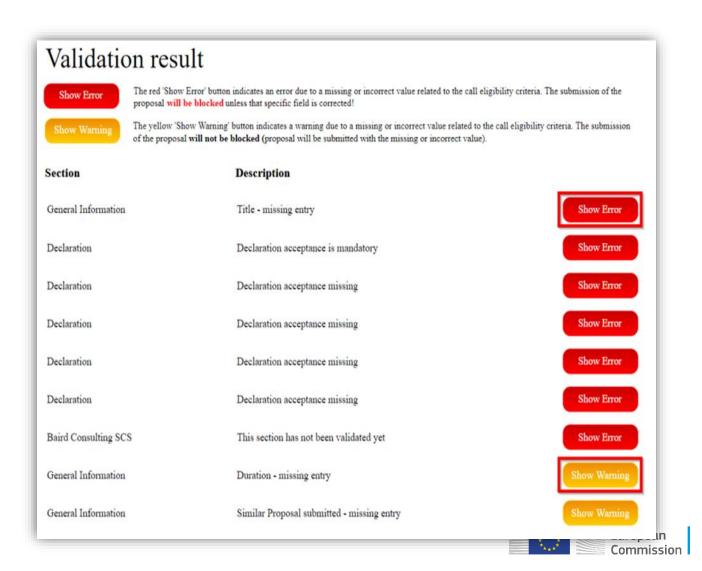
### 4- Ethics & Security

- Please go through the tables and indicate which elements concern your proposal by answering Yes or No. If you answer Yes to any of the questions, please provide additional information in the designated box;
- All reserve list and successful proposals undergo an ethics evaluation;
- All successful proposals are security pre-screened and may go to security scrutiny;

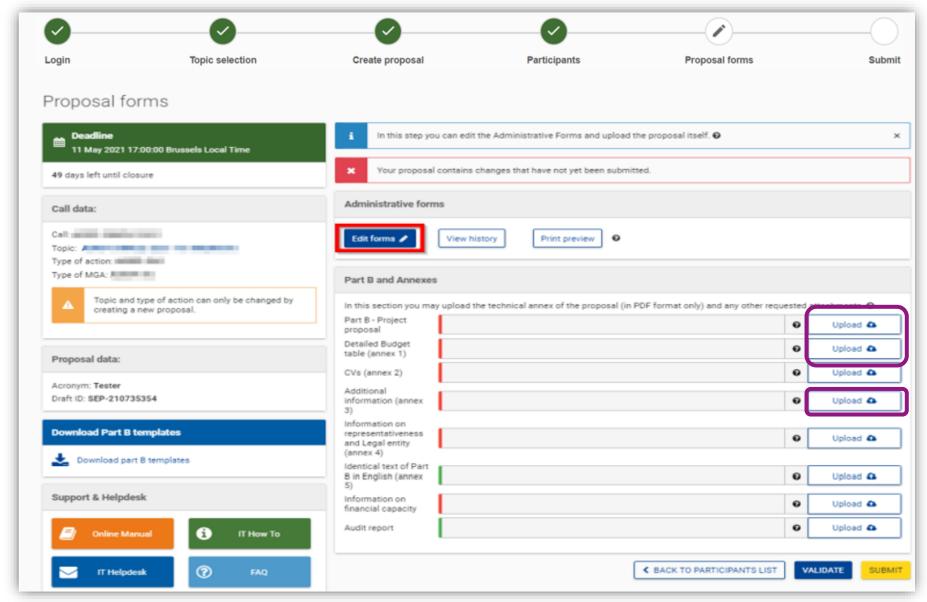


### Submission System – Edit Forms validation

- Before you exit, validate Part A Forms;
- Address any error detected and save;
- Validate again;
- Save & exit;



## Submission System – Validate your proposal





### **Annex - Information on security issues**

- Does not count towards the page limit
- Provided by the proposal submission tool (programme/topic specific settings) and must be completed if the topic is flagged in the work programme or you wish to declare security issues
- Annotated template (do not delete any parts but mark as N/A if not relevant for your proposal):
  - 1. Sensitive information with security recommendation
  - 2. Classified information, Security Aspects Letter, Security Classification Guide
  - 3. Security staff, Project Security Officer, Security Advisory Board
  - 4. Other project specific security measures

Will be screened and may lead to security scrutiny process

### INFORMATION ON SECURITY ISSUES (SECURITY SECTION)

If part of your Application Form, this section must be pre-filled already at proposal stage (not counted lowards the page-limit). If of part of the Application Form, it will be provided to you during grant preparation. It will then become part of your Grant igneement fin Annex 1. Description of Action) and will become binding.

▲ Do NOT delete any text. All the subsections should remain but marked as not applicable (N/A) if not relevant for your project.

In order to fill in the template, please consult first the guidance How to handle security-sensitive projects and Classification o information in Horizon Europe projects.

### Summary of the project security issues

Describe the security issues you identified in your project. Focus on the security subject matters and explain the potential misuse of the research results. Relate to the security-sensitive type of activities as explained in the guidance (see How to handle security-sensitive projects).

1. Sensitive information with security recommendation

If your project involves sensitive information requiring limited dissemination due to security reasons, fill in the 'Sensitive information with security recommendation' table below.

### Please be awar

- In principle, third parties, i.e. outside the consortium and the granting authority, should have no
  access to sensitive deliverables with security recommendation.
- However, when it is known in advance that a specific pre-identified group of recipients/recipients with an established need-to-know exists, you should insert them in the table.
- You should conduct an assessment of the recipients' need-to-know, which should be made available to the granting authority, if requested.
- The 'Sensitive information with security recommendation' table may be modified throughout the
  project duration. Any modification can be done only with the prior formal written approval of the
  granting authority.
- The table below should not include information that is sensitive for non-security related reason
   (e.g. intellectual property or commercial secrets, etc.).

Sanative information with eacurity recommendation

sensitive information with security recommendation							
Number and name of the deliverable	Name of lead participant	Date of production	Name of entity authorised for access				

Add as many rows as needed.

0

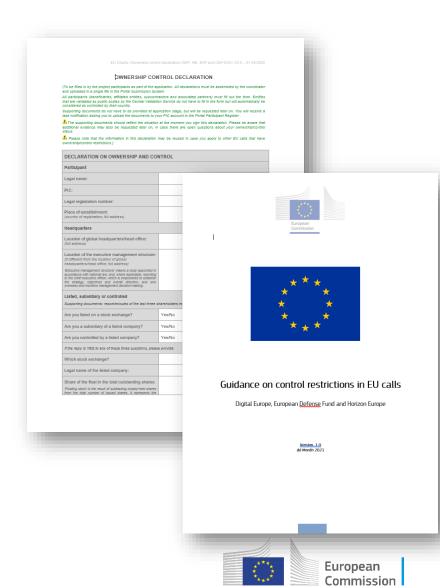


### **Annex - Declaration on Ownership and Control**

- Does not count towards the page limit
- Must be completed by all participants (except entities already validated as public bodies by the EC Central Validation Services) if requested by the proposal submission tool (programme/topic specific settings, Article 22.5)
- If selected for funding, participants may be contacted to provide evidence (not at proposal stage)
- Can become a complex and lengthy process and affect the consortium → be transparent early
- Annotated template
  - 1. Declaration on Ownership and control
  - 2. If there is foreign ownership/control:

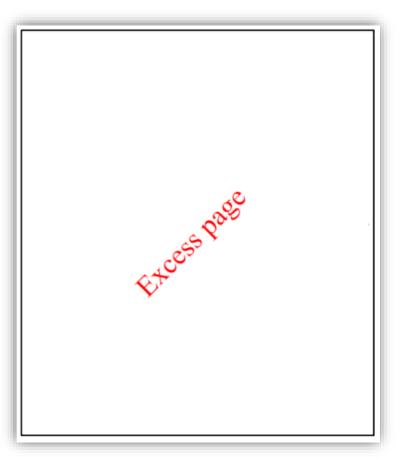
    Guarantees and Measures-put-in-place

    K2-Informacja wewnętrzna (Internal)



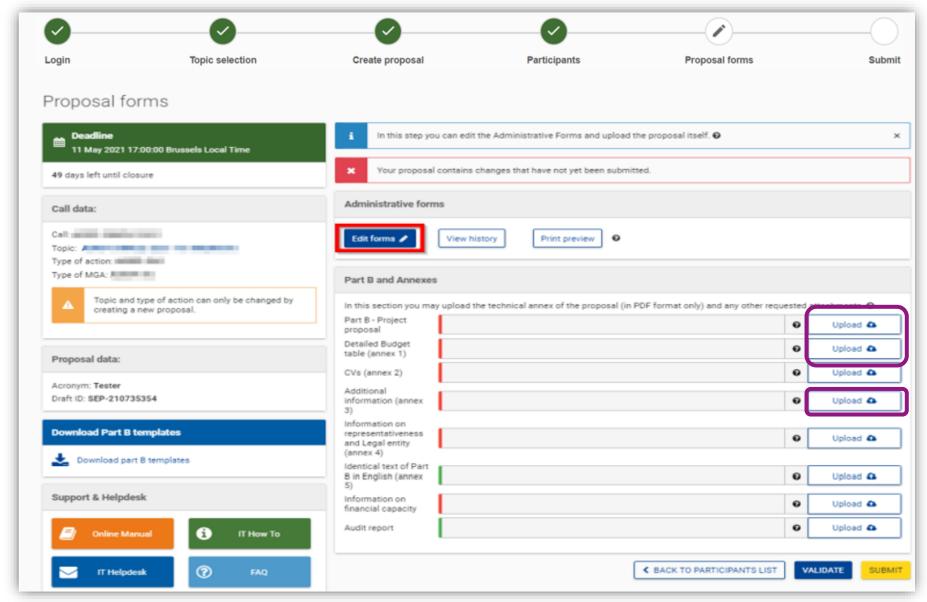
### Submission System – Complete your proposal

- You must upload Application Form Part B Technical description of the project - and Annexes if required in the call conditions (e.g.,, in CO-FUND topics, topics with Financial support to third parties, security-sensitive topics etc.);
- Limitations in document page number, font size, and page margins;
- The document to be converted into PDF must only contain the final, "clean" content;
- Check that your PDF conversion software has successfully converted all the pages of your original document;
- For Lump Sum Grants, upload as an Annex the detailed budget table as an excel (.xlsx format) – use only the template provided in the Submission platform
- Post-upload verification.





## Submission System – Validate your proposal

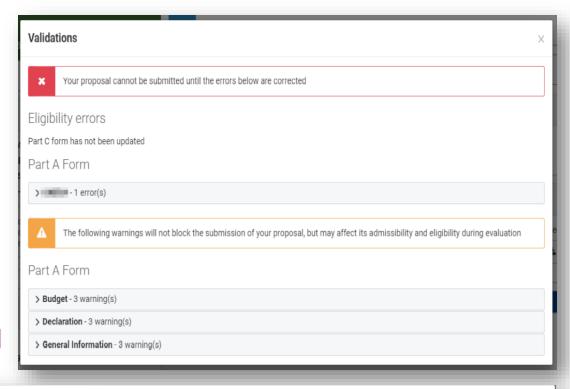




### Validate and submit your proposal

- Make sure that your application/proposal meets the call requirements;
- Run a validation of your draft proposal (this includes all fields in Part A, the uploaded files under Part B and Annexes);
- When the consortium has been modified after having edited the form Part A, you will get the following message. Click Edit Form, review the participants section and click on Save and Close. Then click on Validate again;
- Make sure you click on "Submit";





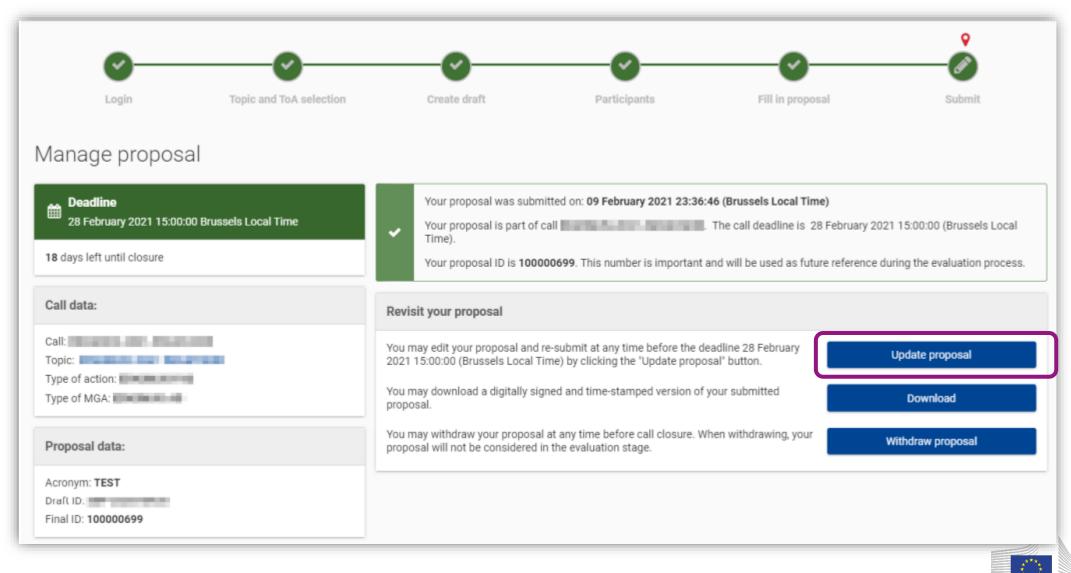
Changes have been made in Step Participants since the last save of the form in Step Proposal Forms. In order to be able to submit the proposal you have to open the administrative form ('edit forms' button), revise the changes, validate and, after making sure that there are no blocking errors, save the form.



X

**Validations** 

## Submit your proposal



European

## Completeness of documents + Annexes

Documents	IA/RIA/CSA	IA/RIA/CSA Lump Sum
Application Form Part A— Structured data introduced online in the Submission System	<b>✓</b>	<b>✓</b>
Application Form Part B — Technical description of the project (Word document to be filled in and uploaded as pdf)	<b>✓</b>	<b>✓</b>
Annex - on Financial Support to Third Parties / Ownership Control (pdf)	(if foreseen in topic conditions)	(if foreseen in topic conditions)
Annex – budget table for lump sums (excel)		<b>✓</b>



## Guidance for use of generative AI tools

- ✓ Applicants should be **fully transparent and declare the use of Al tools in their application and the way the tools have been utilized.** Specifically :
- I. verify the accuracy, validity and appropriateness of content generated by AI tools and correct any errors/inconsistencies
- II. provide the list of sources, including AI tools used to generate content and citations, and doublecheck citations to ensure their accuracy and proper references
- III. be conscious of the risks of plagiarism when using AI tools which reproduce content from other sources; original sources may need to be checked and referenced to avoid plagiarism
- IV. be respectful of personal data and confidential information by not entering these on Al platforms that are not managed on proprietary servers
- V. be aware of the limitations of AI tools, including their potential for bias, errors, and gaps in knowledge.

### Pay attention to:

- ✓ Eligible activities are the ones described in the call conditions.
- ✓ Consortium composition (experience, capabilities, role of each member)
- ✓ Some topics may restrict the participation to entities established in MS and controlled by MS or its legal entities
- ✓ Be meticulous about Ownership and Control Assessment, Security Issues
- ✓ Page limit!
- ✓ Include the relevant information under the right section (as submitted and not on its potential if certain changes were to be made)
- ✓ Evaluations criteria: clearly indicated in the WP and in the call text
- ✓ Read thoroughly the call text and the work programme



DO

### **DON'T**

- Read carefully the Work Programme & Frequently Asked Questions;
- Regularly check for topic updates on Funding &Tender's portal;
- Pay attention to Call/Topic Conditions, especially the eligibility conditions;
- Follow the templates provided in the Submission System;
- Read the evaluation criteria (e.g. Horizon Europe General Annexes);
- Submit as many times you wish, updating your application, before the call deadline;

- Don't include activities not eligible for funding;
- Proposed activities should have an exclusive focus on civil application
- Don't forget to mark "resubmission" when relevant;
- Do not add information where it does not belong (follow Part B template's structure);
- Don't wait until the last minute to submit an application;
- Blind evaluation do not disclose applicants' identity;



# Thank you!

### # HorizonEU

http://ec.europa.eu/horizon-europe



Unless otherwise noted the reuse of this presentation is authorised under the CC BY 4.0 license. For any use or reproduction of elements that are not owned by the EU, permission may need to be sought directly from the respective right holders. Image credits: © ivector #235536634, #249868181, #251163013, #266009682, #273480523, #362422833, #241215668, #244690530, #245719946, #251163053, #252508849, 2020. Source: Stock.Adobe.com. Icons © Flaticon – all rights reserved.