

# Trade Surveillance for Regulatory Reporting

Access unique insights into trading behaviour, including market abuse and financial crime, with Trade Surveillance for Regulatory Reporting: LSEG's innovative multi-asset and multi-market detection solution.

## The challenges

MiFID II has led to the regulatory and operational fracturing of UK and EU markets, an increase in the number of execution venues, and increased transparency requirements for numerous asset classes.

Compliance with the Market Abuse Regulation (MAR) increasingly requires firms to identify market abuse and financial crime in this dynamic environment, on both a cross-market and cross-product basis.

These higher operational burdens and the market data costs associated with large numbers of execution venues make many in-house surveillance solutions inefficient, costly and unreliable.

## Our solution

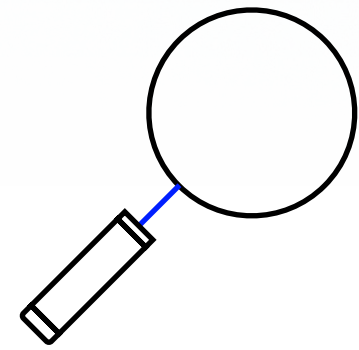
Trade Surveillance offers a unique solution to these challenges: a multi-market surveillance tool that provides alerts to clients based on data already held by LSEG.

Trade Surveillance for Regulatory Reporting is a comprehensive solution to these complex and costly challenges, providing a multi-market, multi-asset surveillance tool for EU and UK market participants in scope for MiFID II.

It produces tailored alerts by combining your firm's existing MiFID II transaction reporting data held at LSEG with a consolidated UK and EU order book built from public equity, fixed income and derivative books, embedding aggregated cross-product exposure.

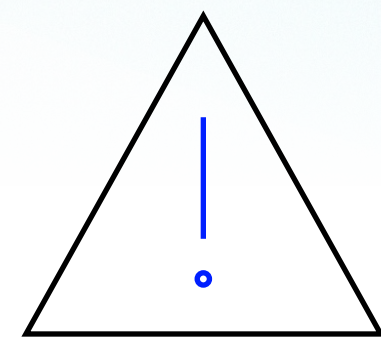


## Key capabilities



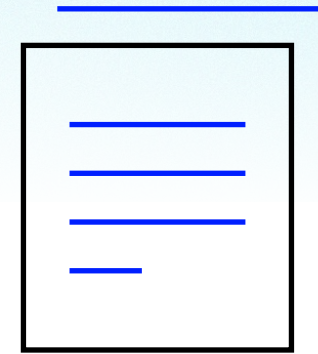
### Capitalise on your existing transaction reporting data

A value-added service using data you already send to the Regulatory Reporting ARM, combined with public market data feeds to alert you to potentially unusual activity. Our methodology is similar to that used by UK and EU competent authorities to identify potential market abuse.



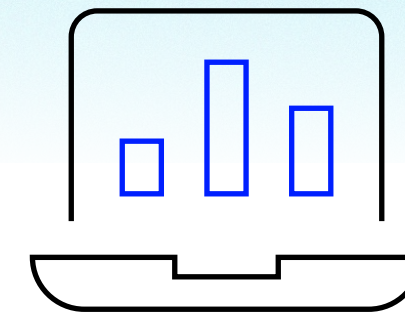
### Behavioural anomaly detection alerts

Bespoke behavioural anomaly detection alerts highlight unusual trader behaviour, providing you with better insights into your traders' or clients' activity.



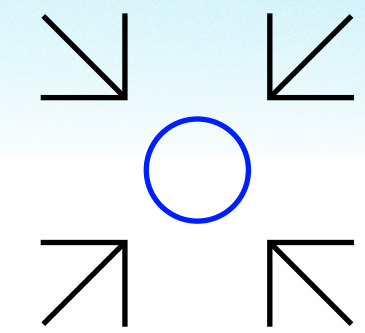
### Detailed and unique alerting

We are creating enhanced orderbook snapshots which, alongside your transaction reporting data, key reference data and Refinitiv Data Product news, will enable you to better understand and analyse complex cross-market, cross-asset manipulation. Specifically tailored outputs will allow you to better focus your team's efforts.



### Replay the market

See where your transactions sit on the consolidated order book and analyse trader behaviour. Use our Market Replay tool to get a unique, step by step view of your position against public venues.



### Plug and play

Benefit from efficient delivery, zero installation and no additional implementation costs. Access the service instantly via your existing LSEG master agreement, with no procurement process, data integrity project or technical build required.



# Why choose us?

With years of industry expertise and trusted data accuracy, we deliver insights that enhance your operations and provide new opportunities to make use of your regulatory data. Turn reactive into proactive, get ahead of regulations, and upskill your teams through access to our services and solutions.

For more information, please contact our sales team.



## Find out more

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