

Formation of the System Management Board's Standing Committee on Strategic Impact, Monitoring and Evaluation ("SIMEC")

Purpose: To outline an overall suggested approach and timetable for the formation of the System Management Board's SIMEC for feedback at SMB5 on 28 and 29 March 2017.

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17 March 2017

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- 2. Background and Introduction**
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1. Action(s) for SMB5, 28-29 March 2017

Seeking inputs on the SMB SIMEC role and functions to be used in the development of the Terms of Reference

Endorsement of the principles, membership approach and timetable for the formation of the SMB SIMEC

2a. Charter provisions for SMB SIMEC

- 1. Formed by Article 9.11 of the Charter:** as a Standing Committee of the Board
- 2. Purpose of the SIMEC:** to assist the Board in-
 - the review of research program evaluations,
 - oversight of the strategic direction of the CGIAR System organization; and
 - efficiency, effectiveness and impact of the CGIAR Research according to a robust and rigorous results based management framework.

2b. July 2016 SMB preliminary decisions

1. SIMEC membership:

1. To be appointed after consultation amongst the Board on composition,
2. Engagement of external independent subject matter experts
3. According to the scope of the Terms of Reference

2. Suggested **priority areas of work**:

1. Framing the Board's input into the TOR of the ISPC and IEA
2. Oversee and provide inputs into development of integrated framework for a performance management system for CGIAR research
3. Oversee the development of guidelines and criteria for prioritization and annual allocation of Unrestricted Funding across the CGIAR Portfolio

2c. System Council SIMEC

- The System Council, according to Framework, Article 8.2 (a), will also have a standing committee on Strategic Impact, Monitoring and Evaluation.
- Purpose of the Council's SIMEC: to assist the System Council in review of research program evaluations, oversight of the strategic direction of the System Organization and efficiency, effectiveness and impact of CGIAR Research.
- The Council's SIMEC is currently being established with a timetable of:
 - **March 2017**- Terms of Reference to be developed with consultation and call for membership
 - **April 2017**- Voting for membership and proposed first virtual meeting of SIMEC- 28 April (tbc)

Important to note:

- Since both SIMECs will cover similar purpose and functions, there is a critical need to avoid duplication and confusion over roles and responsibilities.
- Intentionally the timetable for the SMB SIMEC formation follows that of the SC SIMEC in order to be clear on each Committee's mandate.

2d. Possible breakdown of roles for SMB and SC SIMECs by main functional areas



Main functional areas	SMB SIMEC Role: <i>(linked to functions in Charter Article 6.1)</i>	SC SIMEC Role: <i>(linked to functions in Framework Article 6.1)</i>
	Operational	High-level strategy
Strategy and Results Framework	Developing (a, b)	Reviewing (a), Approving (a)
Advisory Bodies of the System Council	Inputs (h), Collaboration	Approving (e), Overseeing, Assessing (f, g)
Governance related to strategy, impact, monitoring and evaluation (e.g CGIAR Policies)	Recommending (q), Monitoring compliance (r), Taking corrective action (r)	Identifying(k), Approving (k)
Performance management and reporting	Recommending (ii, mm) Reviewing reports (jj), Taking corrective action	Providing strategic guidance Reviewing (r, w, x, aa), Approving (u, v, y),
Evaluations	Inputs in to plans, Reviewing (tt), Management responses (uu) Strengthening system evaluation (ww)	Commissioning (ee), Reviewing and endorsing(ff) Approving (cc, gg) Strengthening system evaluation (hh)
Other advice	As necessary	As necessary

3a. Proposed principles for formation of SMB SIMEC

Functions-

- i. The SMB SIMEC functions should be complementary to, but not duplicating those of the SC SIMEC
- ii. Terms of Reference for the SMB SIMEC to be developed through consultation with relevant stakeholders and in consideration of the SC SIMEC ToR

Operation-

- iii. Find effective collaboration mechanisms between the Council and Board's SIMEC, so that these two important committees can provide effective value to the system

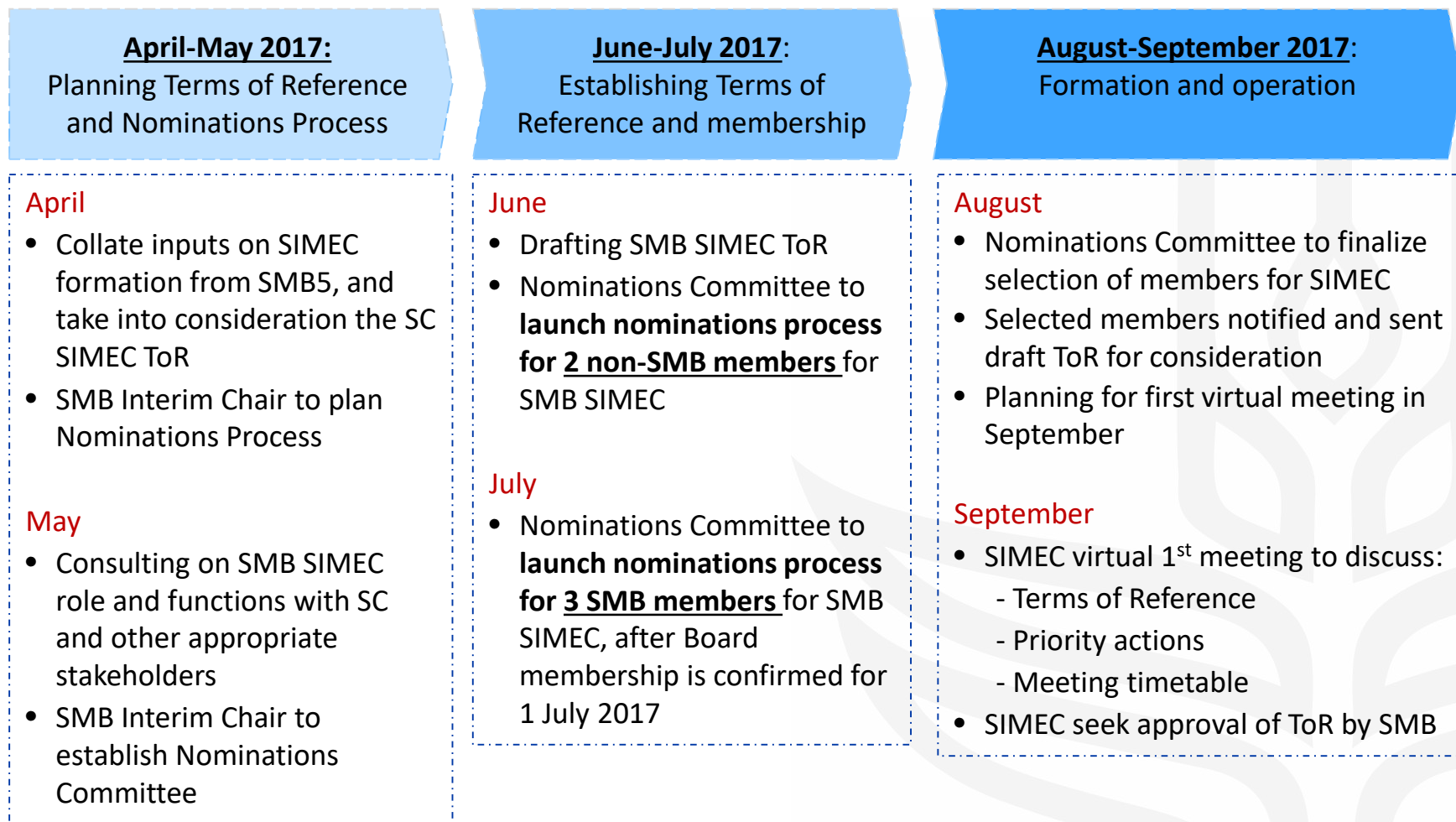
Membership-

- iv. Membership should aim to capture broad, diverse views that can help filter information in a meaningful way to provide valuable guidance to the Board
- v. Membership of the SMB SIMEC should reflect key technical expertise, an understanding of the importance of the topic as well as a key understanding of the value for money concept.

3b. Proposed approach for membership of SIMEC

1. **Members**, five in total, to include:
 - 3 members of the System Management Board
 - 2 members not from the System Management Board, including:
 - *1 Independent member (not involved in CGIAR for past 2 years)*
 - *1 member from a Center Board*
2. Invitation by SIMEC Chair to the following, as **additional resources** when needed:
 - Head of IEA
 - Head of ISPC
 - Chair of the System Council SIMEC
3. **Support** to be provided by the System Management Office
4. Approach to **establish membership**:
 - Interim Board Chair to plan Nominations Process and establish an adhoc Nominations Committee
 - Nominations Committee to carry out processes to identify members

3c. Proposed timetable for SMB SIMEC formation



4. Action(s) requested at SMB5, 28-29 March 2017

- Seeking inputs on the SMB SIMEC role and functions to be used in the development of the Terms of Reference
- Endorsement of the principles, membership approach and timetable for the formation of the SMB SIMEC