

## Policy Framework from December 2010 to December 2016

## CGIAR policies and guidelines (not part of COF)

The System Management Board has endorsed all current CGIAR policies and guidelines previously approved by the Consortium Board

| Consortium Policies and Guidelines  | Consortium<br>Approval Date             | Effective on         | Endorsed by<br>Centers as<br>mandatory<br>as of [Date] | % of Centers endorsement | Mandatory for all<br>Centers as of |
|---|---|----------------------|--|--------------------------|------------------------------------|
| Consortium level Monitoring Principles  | September 8,<br>2011 (CB6)              | September 8,<br>2011 | N/A*   | N/A*                     | September 8, 2011                  |
| Consortium level Gender Strategy - (revised and approved by the CB in November 2011)  | September 8,<br>2011 (CB6) <sup>1</sup> | September 8,<br>2011 | N/A*   | N/A*                     | September 8, 2011                  |
| CGIAR Branding Guidelines - revised in July 2016  | May 23, 2012<br>(CB8)                   | May 23, 2012         | N/A*   | N/A*                     | May 23, 2012                       |
| CGIAR Consortium Policy Adoption Process: formalized (revised) and approved by the CB on March 20, 2014 (CB15) <sup>2</sup> | June 13, 2013<br>(CB12)                 | March 20,<br>2014    | June 13,<br>2013                                       | 100%                     | March 20, 2014                     |
| Good Governance Center Board Checklist (aide-mémoire)   | June 13, 2013                           |                      | Not<br>mandatory                                       |                          |                                    |
| CGIAR Consortium Financial Certification Framework  Amended 26 March, 2015  | June 13, 2013                           | June 13, 2013        | June 13,<br>2013                                       |                          | June 13, 2013                      |
| Implementation Guidelines for the CGIAR Principles on the Management of Intellectual Assets                                 | June 14, 2013<br>(CB12)                 | June 14, 2013        | N/A*   | N/A*                     | June 14, 2013                      |
| CGIAR Open Access and Data Management Policy  | October 2,<br>2013 (CB13)               | October 2,<br>2013   | November<br>20, 2013                                   | 100%                     | November 20, 2013                  |
| CGIAR Open Access and Data Management Implementation Guidelines   | July 23, 2014<br>(CO)                   | July 23, 2014        | N/A  | N/A                      | July 23, 2014                      |
| Shared Services in the CGIAR Consortium-<br>A strategy  | March 20,<br>2014 (CB15)                | March 20,<br>2014    | N/A³   | N/A                      | N/A                                |

<sup>\*</sup>Policy approved by CB before 1 July 2013 therefore the 75% Center endorsement rule set out in the Policy Adoption Process does not apply

<sup>&</sup>lt;sup>1</sup> Initially approved by the CB on Sept. 8, 2011 but a revised Consortium Level Gender Strategy was approved by the CB in November 2011.

<sup>&</sup>lt;sup>2</sup> This was initially part of the GRCC's recommendations endorsed by Centers on June 13, 2013 and approved by the CB on June 13, 2013. Subsequently revised and approved by the CB on March 20, 2014.

 $<sup>^{\</sup>rm 3}$  This was approved by the CB and shared with Centers but not formally endorsed by Centers.