



CGIAR System Management Board Register of in-session and electronic decisions

Purpose:

This document sets out the decisions taken by the CGIAR System Management Board, both in formal meeting sessions and when conducting business electronically, pursuant to Articles 9.4 through 8.8 of the Charter of the CGIAR System Organization.

This document constitutes a public record of the CGIAR System Management Board, and is updated on an as-needed basis each time a decision is taken.

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CGIAR System Management Board - Register of Decisions

Last Updated: 3 November 2017



Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/DP1	SMB1	In-session	Agenda	2	The System Management Board approves the agenda for the 1st System Management Board meeting (document SMB1-01, Revision 1).	11 & 13 July 2016	Paris, France
SMB/M1/DP2	SMB1	In-session	Nominations/ Appointments	2	<p>The System Management Board:</p> <p>1. Notes the appointment by the Centers of the following nine voting members of the Board as identified in document number SMB01-03 (System Management Board Members and Interim Chair):</p> <p>(i) Independent members for a one-year term commencing on 1 July 2016, renewable for up to two years:</p> <p>a. Catherine Bertini; and</p> <p>b. Eugene Terry</p> <p>(ii) Center Board members or Directors General for a one-year term commencing on 1 July 2016, noting such persons serve in their personal capacity and not as a representative of any Center:</p> <p>a. Shenggen Fan (Director General)</p> <p>b. Martin Kropff (Director General)</p> <p>c. Bushra Naz-Malik (Center Board of Trustees member)</p> <p>d. Gordon MacNeil (Center Board of Trustees member)</p> <p>e. Jimmy Smith (Director General)</p> <p>f. Margret Thalwitz (Center Board of Trustees Chair)</p> <p>g. Ann Tutwiler (Director General)</p> <p>2. Notes the appointment by the CGIAR Fund Council of Nicholas Austin as Interim Executive Director of the CGIAR System Organization, who serves by nature of that appointment as an ex-officio non-voting member of the Board.</p> <p>3. Approves the Terms of Reference for the Interim Chair of the Board as set out in appendix 1 of document SMB1-03.</p> <p>4. Appoints Martin Kropff as Interim Chair of the Board effective immediately and until a successor is appointed.</p>	11 & 13 July 2016	Paris, France
SMB/M1/DP3	SMB1	In-session	Policies and procedures	2	<p>The System Management Board:</p> <p>1. Approves with immediate effect the rules of procedure for the System Management Board as set out in Appendix 1 to document SMB1-04 as interim rules of procedure until such time as amended ('Interim Rules of Procedure').</p> <p>2. Requests the System Management Office to collate preliminary comments received on the Interim Rules of Procedure during the meeting, and provide those to working group to be formed to consider strengthening the System Management Board's rules of governance.</p>	11 & 13 July 2016	Paris, France
SMB/M1/DP4	SMB1	In-session	Committees & Working Groups	2	The System Management Board nominates the Interim Executive Director as the System Management Board's representative on the System Council's Fund Effectiveness Working Group, and requests the Interim Executive Director to ensure the views of the System Management Board are introduced into ongoing deliberations and that feedback is received by the System Management Board as the work proceeds.	11 & 13 July 2016	Paris, France
SMB/M1/DP5	SMB1	In-session	Funding Matters	6	<p>The System Management Board endorses for recommendation to the CGIAR System Council for approval, the proposal (as set out in document SMB1-09, Revision 1) that the System Council:</p> <p>1. Agrees that Centers be allowed to carry any funding that was provided for the phase 1 CGIAR Research Programs ('CRPs'), but that is unspent and uncommitted at 31 December 2016 ('Unused Funding') forward into 2017.</p> <p>2. Agrees that that Unused Funding be transferred to, and utilized by, the most appropriate phase 2 CRP and agrees that in the situation where a phase 1 CRP is ending, integrating or divided and re-integrated into different phase 2 CRPs, authority is delegated by the System Council to the CGIAR System Organization ('System Organization') to manage the reallocation of the Unused Funding to the phase 2 CRP portfolio.</p> <p>3. Agrees that in order to ensure that Unused Funding is managed effectively and efficiently towards the end of the phase 1 CRP, authority is delegated by the System Council to the System Organization to develop guidelines that specify the parameters under which Centers will be able to commit W1-2 funding until 31 December 2016.</p>	11 & 13 July 2016	Paris, France

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/DP6	SMB1	In-session	Transition	7	<p>The System Management Board, recognizing the agreement of the CGIAR System Centers and Funders to establish a new governance structure as described in the CGIAR System Framework and the Charter of the CGIAR System Organization, and having reviewed the paper, 'Revised proposed approach to existing CGIAR agreements for current CRPs and 2016 system costs' (circulated to all Centers on 1 June 2016, as a document for the Third Meeting of Centers and Funders on the CGIAR System):</p> <p>A. Approves the following decisions to implement the transitional arrangement:</p> <ol style="list-style-type: none"> 1. Agrees that the System Management Office assume the functions of the Fund Office under the Joint Agreement ('Joint Agreement') between the Consortium of International Agricultural Research Centers ('Consortium') and the Fund Council, represented by the International Bank for Reconstruction and Development ('IBRD'); provided that the Joint Agreement will be terminated upon the closing of the CGIAR Fund; 2. Agrees that the System Management Office assume the functions of the Fund Office under the Consortium Performance Agreements ('CPAs') between the Consortium and the Fund Council, represented by IBRD; provided that the CPAs will be terminated upon the completion of the relevant CRP; 3. Agrees that the System Management Office assume the functions of the Fund Office under (i) the Fund Use Agreement ('FAO Fund Use Agreement') among FAO for itself, ISPC, IEA and GFAR, the Fund Council, represented by IBRD, and the Trustee, and (ii) the Fund Use Agreement ('Consortium Fund Use Agreement') among the Consortium, the Fund Council, represented by IBRD, and the Trustee (FAO Fund Use Agreement, and together with Consortium Fund Use Agreement, referred to as the 'Fund Use Agreements'); provided that the Fund Use Agreements will be terminated upon the closing of the CGIAR Fund. 	11 & 13 July 2016	Paris, France
SMB/M1/DP6 cont.	SMB1	In-session	Transition	7	<ol style="list-style-type: none"> 4. Agrees to the modifications to Annex 2 to the Contribution Agreements to provide for the transitional arrangements, as described in paragraph B.8 below; and 5. Agrees that the decisions in paragraphs 1 - 4 above shall only become effective upon the adoption of decisions by the Fund Council and System Council to agree to the following: <ul style="list-style-type: none"> 5.1 the Fund Council shall adopt decisions in July 2016, to agree to the following: <ul style="list-style-type: none"> 5.1.1 to have the functions of the Fund Council and the Fund Office under the Joint Agreement, CPAs and Fund Use Agreements transferred to the System Council and the System Management Office, respectively; provided that the Joint Agreement, CPAs and Fund Use Agreements will be terminated as indicated in paragraphs 1 - 3 above; and 5.1.2 to the modifications to Annex 2 to the Contribution Agreements to provide for the transitional arrangements, as described in paragraph B.8 below; and 5.2 The System Council shall adopt decisions, at its first meeting in July 2016, to agree to the following: <ul style="list-style-type: none"> 5.2.1 the System Council will assume the functions of the Fund Council as transferred to it under the Joint Agreement, CPAs and Fund Use Agreements; provided that the Joint Agreement, CPAs and Fund Use Agreements will be terminated as indicated in paragraphs 1 – 3 above; and 5.2.2 the System Council will assume the functions of the Fund Council as transferred to it under the Contribution Agreements as indicated in paragraph B.8 below. <p>B. Notes the following with respect to the transition:</p> <ol style="list-style-type: none"> 6. That with respect to the FAO Fund Use Agreement, the System Council intends to propose to the FAO and the Trustee to add the following paragraphs as new Section E to the FAO Fund Use Agreement, to become effective, notwithstanding Section D.8 of the FAO Fund Use Agreement, upon (i) signatures of written agreement by FAO and the Trustee, and (ii) effectiveness of the System Council's decision in paragraph A.3 above: <p>“E. Transitional Arrangement</p> <p>E.1 Should there be any situation which requires actions of the Fund Council and/or the Fund Office under this Agreement upon the dissolution of the Fund Council and the Fund Office, the System Council and the System Management Office (each, as defined in the CGIAR System Framework) will act in place of the Fund Council and Fund Office, respectively, and all references in this Agreement to (i) Fund Council will be construed as meaning System Council and (ii) Fund Office will be construed as meaning System Management Office.</p> <p>E.2 Notwithstanding Section C.5 of this Agreement, this Agreement shall be terminated upon closure of the CGIAR Fund and the course of action specified under Section C.5 following any termination event shall apply accordingly.”</p> 	11 & 13 July 2016	Paris, France

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SMB/M1/DP6 cont.	SMB1	In-session	Transition	7	<p>7. That with respect to the Consortium Fund Use Agreement, the Fund Council intends to propose to the Consortium (now known as the System Organization as of 1 July 2016) and the Trustee, to add the following paragraphs as new Section 22 to the Consortium Fund Use Agreement, to become effective, notwithstanding Section 18 of the Consortium Fund Use Agreement, upon (i) signatures of written agreement by the Consortium (or the CGIAR System Organization that will operate with effect from 1 July 2016 based on the legal personality of the CGIAR Consortium) and the Trustee and (ii) effectiveness of the Fund Council's decision in paragraph A.3 above:</p> <p>“22. (i) Should there be any situation which requires actions of the Fund Council and/or the Fund Office under this Agreement upon the dissolution of the Fund Council and the Fund Office, the System Council and the System Management Office (each, as defined in the CGIAR System Framework) will act in place of the Fund Council and Fund Office, respectively, and all references in this Agreement to (a) Fund Council will be construed as meaning System Council and (b) Fund Office will be construed as meaning System Management Office.</p> <p>(ii) Notwithstanding Section 17 of this Agreement, this Agreement shall be terminated upon closure of the CGIAR Fund and the course of action specified under Section 17 following any termination event shall apply accordingly.”</p> <p>8. That pursuant to paragraph 8.2 of Section A of Annex 2 to the Contribution Agreements and Contribution Arrangements (collectively, 'Contribution Agreements') between the Fund Donors and the Trustee, the Fund Council, in its representative capacity for all Fund Donors, intends to add the following paragraphs as paragraph 10 of Section A of Annex 2 to the Contribution Agreements:</p> <p>“10. Transitional Arrangement</p> <p>10.1 Should there be any situation which requires actions of the Fund Council and/or the Fund Office under the Contribution Agreements and Arrangements upon the dissolution of the Fund Council and the Fund Office, the System Council and the System Management Office (each, as defined in the CGIAR System Framework) will act in place of the Fund Council and Fund Office, respectively, and all references in the Contribution Agreements and Arrangements to (i) Fund Council will be construed as meaning System Council and (ii) Fund Office will be construed as meaning System Management Office.</p> <p>10.2 Notwithstanding paragraph 7.2 of Section A of this Annex, any withdrawal by the Fund Donor of all or part of its Fund Donor Share following the establishment of a new trust fund by the Trustee to replace the CGIAR Fund may be made with the prior written notice of less than 180 days if such shorter period is agreed with the Trustee.”</p>	11 & 13 July 2016	Paris, France
SMB/M1/DP7	SMB1	In-session	Policies and procedures	7	<p>1. Subject to paragraph 2 below, the System Management Board endorses until such time as amended or revoked as relevant to the subject matter, all of the current policies, procedures, guidelines and other requirements previously approved by the Consortium Board with the following modifications:</p> <p>a. all relevant appearances of the CGIAR Consortium and its derivatives are changed to CGIAR System Organization;</p> <p>b. all relevant appearances of Consortium Office are changed to System Management Office;</p> <p>c. all relevant appearances of Consortium Chief Executive Officer or Consortium CEO are changed to Executive Director of the CGIAR System Organization;</p> <p>d. all relevant appearances of CGIAR Consortium Board are changed to System Management Board;</p> <p>e. all relevant appearances of Fund Council are changed to System Council; and</p> <p>f. all relevant appearances of Fund Office are changed to System Management Office.</p>	11 & 13 July 2016	Paris, France

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
					<p>2. In respect of the following polices, the System Management Board approves the policies subject to the following being incorporated into the policies:</p> <p>a. Honoraria arrangements:</p> <p>i. System Management Board independent members and Center Board of Trustees members: entitled to receive the same annual honorarium as for the former external independent Consortium Board members, but with no additional allowance in respect of serving on a committee of the System Management Board; and</p> <p>ii. Directors General: no entitlement to an honorarium, on the basis of receipt of a salary in the role of Director General of their Center.</p> <p>b. Policy for Travel Expense Management: Reimbursement of System Management Board-related costs during travel are based on expenses incurred, and the typical standard of travel is economy class.</p>		
SMB/M1/DP8	SMB1	In-session	Committees & Working Groups	3	<p>1. The System Management Board appoints the following persons as members of the System Management Board's Audit and Risk Committee to serve in their personal capacity, for a term that begins upon appointment and continues at the pleasure of the Board:</p> <p>System Management Board members</p> <p>a. Bushra Naz-Malik, Chair</p> <p>b. Eugene Terry</p> <p>c. Gordon McNeil</p> <p>Non-System Management Board members</p> <p>d. Bob Semple, Board member of CIMMYT</p> <p>2. The System Management Board requests the Audit and Risk Committee Chair to propose, for approval at the next System Management Board meeting, a Terms of Reference for the Audit and Risk Committee, prepared in consultation with the Audit and Risk Committee and with the support of the System Management Office. As an early area of focus, the System Management Board requests that the Audit and Risk Committee develops for consideration at the next board meeting, a comprehensive proposal for a CGIAR System risk management framework and internal audit function to link with the System Council's Audit and Risk Committee.</p>	11 & 13 July 2016	Paris, France
SMB/M1/DP9	SMB1	In-session	Committees & Working Groups	5	<p>1. The System Management Board agrees to form the following working groups, to support the System Management Board's deliberations on the matters arising for early consideration, drawing on information sources that include meeting documents SMB1-8A (Preliminary System Management Board discussion items), SMB1-8B (Consortium Board Handover Materials), SMB1-10 (Funding CGIAR System actions and entities moving forward), and SMB1-17 (Discussion Paper, Linking Mechanisms with the General Assembly of the Centers):</p> <p>a. Working Group One: Resource Mobilization: To identify mechanisms for system-level resource mobilization and the strategic expansion of funding, including through innovative financing initiatives and mechanisms to stabilize the flow of funds, with a focus on both traditional and non-traditional funding sources, and building on the work of the former Senior Steering Group on funding.</p> <p>b. Working Group Two: Rules of Governance: To strengthen the Rules of Procedure of the System Management Board and support the development of the Rules of Procedure for the General Assembly. Will include the following two sub-groups to feed into the Working Group's overall outputs:</p> <p>i. Drawing on the ISPC, addressing conflicts of interest for System Management Board ("Board") members in regard to the discharge of the Board's role to recommend to the System Council the guidelines and criteria for prioritization and for annual allocation of Unrestricted Funding across CGIAR Research or other conflicts of interest as may arise; and</p>	11 & 13 July 2016	Paris, France

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/DP9 cont.	SMB1	In-session	Committees & Working Groups	5	<p>ii. Drawing on the work of the nominations committee for the inaugural Board members, identifying options for the nominations processes to be utilized by the General Assembly of Centers for Board members and System Council representatives.</p> <p>c. Working Group Three: Cost-sharing for Host Centers: To identify opportunities for shared corporate services and other means of improving financial efficiency where multiple centers operate in a host country.</p> <p>d. Working Group Four: Funding System Actions and Entities: To work with the Interim Executive Director on identifying the actions and entities to be supported through system-level investments, and a fair mechanism with the appropriate incentives for distributing responsibility for system costs.</p> <p>2. The System Management Board requests the Interim Chair to confirm the final membership of each working group taking into account nominations received from System Management Board members, Active Observers, the Centers and such other persons as considered key to discussions within each group, and the desirability of working groups operating efficiently and with a manageable number of persons.</p>	11 & 13 July 2016	Paris, France
SMB/M1/DP10	SMB1	In-session	Nominations/ Appointments	5	<p>1. The System Management Board notes:</p> <p>a. The role of the General Assembly of Centers to approve the Center representatives to serve as ex-officio non-voting members on the System Council pursuant to Article 5.6(f) of the Charter of the CGIAR System Organization.</p> <p>b. The Center's appointment of the inaugural members of the System Management Board (as recorded in document SMB1-03, System Management Board Members and Interim Chair) with effect from 1 July 2016.</p> <p>2. The System Management Board appoints, as an interim measure pending formal commencement of operations of the General Assembly of the Centers, the following System Management Board members as the two Center ex-officio non-voting members to attend the 2nd System Council meeting in Mexico in September 2016 for and on behalf of the Centers:</p> <p>a. Ann Tutwiler b. Bushra Naz-Malik</p>	11 & 13 July 2016	Paris, France
SMB/M1/EDP1	SMB1	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 1st System Management Board meeting, as set forth in document SMB/M1/12.	8 September 2016	N/A

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/EDP2	SMB1	Electronic	Reporting	N/A	Pursuant to Article 8.(kk) of the Charter of the CGIAR System Organization, the System Management Board approves the 2015 CGIAR Research Program Portfolio Report as set out at Annex 1 to paper SMB-M1-EDP2.	8 September 2016	N/A
SMB/M2/DP1	SMB2	In-session	Agenda	1	The Board adopts the Provisional Agenda for the 2 nd System Management Board meeting (Document SMB2-01, Revision 1)	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP2	SMB2	In-session	Committees & Working Groups	1	The System Management Board ratifies the Summary of Committees and Working Groups of the System Management Board as at 1 September 2016.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP3	SMB2	In-session	Nominations/ Appointments	1	The Board: 1.Ratifies the job description for the role of Executive Director, as finalized by the CGIAR Executive Director Search Committee in February 2016; and set forth at Appendix 1 to Board meeting document SMB2-05. 2.Appoints Elwyn Grainger-Jones to serve as the Executive Director of the CGIAR System Organization for a four-year term beginning on 3 October 2016.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP4	SMB2	In-session	2017-2022 Research Portfolio	2	The Board agrees to submit to the System Council a revised 2017 – 2022 CGIAR Portfolio of 11 CRPs and 3 platforms, with two flagship programs (Maize FP5 and Fish FP3) removed.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP5	SMB2	In-session	Funding Matters	4	The Board approves the carry-over guidelines and requests the System Management Office to prepare a communication note to Center Directors General about the principles and their implementation with respect to carry-over funds.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP6	SMB2	In-session	Committees & Working Groups	6	The Board appoints Ann Tutwiler, Eugene Terry, and Gordon MacNeil to serves as members of the Joint System Council/System Management Board Working Group, recognizing that the Working Group should have Board members who are independent from CRP approval process as well as those with system knowledge.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP7	SMB2	In-session	Committees & Working Groups	6	The Board agrees to set up a Working Group for dryland research program(s) and nominated Catherine Bertini, Margret Thalwitz, the System Management Office Executive Director, and Eugene Terry as Chair, to serve on the Working Group. It was agreed that the group will provide a time frame for the task at a later date.	26-27 September 2016	Mexico City, Mexico
SMB-M2-EDP1	SMB2	Virtually	Reporting	n/a	Pursuant to Article 6.1 (kk) of the Charter of the CGIAR System Organization, the System Management Board approves the 2015 CGIAR Annual Report.	21 October 2016	N/A
SMB-M2-EDP2	SMB2	Virtually	Meeting Summaries	n/a	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 2nd System Management Board meeting, as set forth in document SMB2-10.	10 November 2016	N/A

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M3/DP1	SMB3	In-session	Agenda	1	The System Management Board adopted the Agenda (Document SMB3-01).	1 November 2016	Virtual
SMB/M3/DP2	SMB3	In-session	Funding Matters	4	The System Management Board endorsed for proposal to the System Council for consideration, and if thought appropriate, approval of: <ul style="list-style-type: none"> a. Proposed 2017 annual work plans and budgets of CGIAR System entities and actions in the total amount of US\$ 16.24 million, subject to any reduction being possible to identify in the request for Window 1 funding to support internal audit costs prior to submission. b. The financing of the 2017 System entity costs through the continued application of the CGIAR System cost sharing percentage mechanism; and c. The disbursement to the System Organization and/or Centers of all unallocated Window 1 funds remaining in the CGIAR Fund as of 14 December 2016 as a pre-disbursement of funding to be used to support approved 2017 CGIAR Portfolio and System administrative costs. 	1 November 2016	Virtual
SMB/M3/DP3	SMB3	In-session	Audit Matters	5	The System Management Board approved the appointment of PricewaterhouseCoopers ("PwC") Rome as the System Organization's external auditors for 2016 and 2017, subject to: <ul style="list-style-type: none"> a. The audit fee for the 2016 external audit not exceeding €18,000; and b. The ARC's receipt and review of a satisfactory proposal for the scope of the 2017 external audit, noting the material differences in the 2017 external audit by reason of the organization's move to IFRS for that year. 	1 November 2016	Virtual
SMB/M4/DP1	SMB4	In-session	Agenda	1	The Board adopted the Agenda (Document SMB4-01, Revision 1)	17 December 2016	Washington, D.C.
SMB/M4/DP2	SMB4	In-session	Meeting Summaries	1	The Board approved the Meeting Summary of the Board's 3rd Meeting, as set out in document SMB3-07.	17 December 2016	Washington, D.C.
SMB/M4/DP3	SMB4	In-session	2017-2022 Research Portfolio	3	The Board endorsed a high level process for an expert review group to be formed to consider the issue of how to most appropriately formulate a submission (or submissions) to the System Council to bring the essential research questions back into the CGIAR Portfolio by 1 January 2018, the draft timetable for such process to be updated and circulated following the Board meeting to all Centers.	17 December 2016	Washington, D.C.
SMB/M4/DP4	SMB4	In-session	Committees & Working Groups	6	The Board approved the Terms of Reference of the Audit and Risk Committee, as set out in Document SMB4-06B.	17 December 2016	Washington, D.C.
SMB/M4/DP5	SMB4	In-session	Nominations/ Appointments	7	The Board endorsed the ongoing appointment of Martin Kropff as System Management Board Interim Chair until a successor is appointed.	17 December 2016	Washington, D.C.
SMB/M4/DP6	SMB4	In-session	Nominations/ Appointments	7	The Board endorsed the Interim Chair's recommendation to appoint Eugene Terry as System Management Board Vice-Chair effective immediately, and continuing until 30 June 2017.	17 December 2016	Washington, D.C.
SMB/M4/DP7	SMB4	In-session	Rules of Governance	7	The Board approved the proposed framework for the declaration of interests by Board, Committee and adhoc Working Group members, with the elements of: <ul style="list-style-type: none"> a. Annual declaration: Using a pro forma to be filled in by all members; b. In advance of each meeting: Declaration of any conflict of interest associated with the agenda items - and annotated to the Agenda if necessary. An annex of any declared conflicts to be attached to each Meeting Summary; c. Any other time: Declaration of conflict of interest when it presents itself; and d. The final decision on how to manage conflict of interest situations to be made by either the Board Chair and the Chair of the Audit and Risk Committee if the latter is an independent Chair. Alternatively, if this criterion cannot be met, it will be for the Board Chair and Executive Director as a non-voting member to resolve. 	17 December 2016	Washington, D.C.

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M4/DP8	SMB4	In-session	Rules of Governance	7	The Board endorsed the proposal of the Board's adhoc working group on Rules of Governance (as set out in Document SMB4-07a) that the General Assembly of Centers be invited to consider at its inaugural meeting on 24-25 January 2017 an amendment to the balance of independent members of the System Management Board, together with a timetable and potential process of appointment of System Management Board voting members to fill vacancies arising with effect from 1 July 2017.	17 December 2016	Washington, D.C.
SMB/M4/EDP1	SMB4	Electronic	Administrative Matters	N/A	The System Management Board endorses the Directors and Officers liability insurance policy taken out with QBE and effective 1 January 2017, in accordance with the CGIAR System Organization's Delegations of Authority document dated 26 March 2015.	20 January 2017	N/A
SMB/M4/EDP2	SMB4	Electronic	Funding Matters	N/A	Taking note of the documents included in Appendix 1 and Appendices 2.1-2.2, the System Management Board approves the following documents: 1. the Template Financial Framework Agreement Cover Pages (Appendix 2.3); 2. the Form of the Decision Letter (Appendix 2.4); and 3. the Form of the Window 3 Instruction Letter from Center to Trustee (Appendix 2.5).	22 February 2017	N/A
SMB/M4/EDP3	SMB4	Electronic	Rules of Governance	N/A	The System Management Board approves the CGIAR Framework for Declarations of Interest (version 14 February 2017).	9 March 2017	N/A
SMB/M4/EDP4	SMB4	Electronic	Administrative Matters	N/A	Pursuant to Section 8.1(u) of the Charter of the CGIAR System Organization, the System Management Board ratifies the Fund Use Agreement, dated 1 January 2017, by and among the Food and Agriculture Organization of the United Nations, for itself, on behalf of the Independent Science and Partnership Council and the Independent Evaluation Arrangement and the CGIAR System Organization.	9 March 2017	N/A
SMB/M4/EDP5	SMB4	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 4th System Management Board meeting, as set forth in document SMB4-09.	2 March 2017	N/A
SMB/M5/DP1	SMB5	In-session	Agenda	1	The Board adopted the Agenda (Document SMB5-01, Revision 1)	28-29 March 2017	Rome, Italy
SMB/M5/DP2	SMB5	In-session	2017-2022 Research Portfolio	2	Subject to further revision based upon inputs from the ad hoc call with System Council members on 30 March, the Board: 1) endorsed the overall recommendation that a single proposal to be developed to take up key, prioritised research that was included in the former GLDC proposal; 2) adopted the recommendation that ICRISAT take the lead on developing that proposal, taking up the key considerations outlined by the expert panel, and according to the guidelines for full proposals and review criteria dated 19 December 2015, against which ISPC will undertake its review; and 3) emphasized the need to ensure that there is a different and more innovative and prioritized process for program design, with the engagement of all the key stakeholders.	28-29 March 2017	Rome, Italy
SMB/M5/DP3	SMB5	In-session	Funding Matters	4	The Board; 1) endorsed the approach that W1 would be allocated across all funding gaps between W2 and System Council indicative W1/2 allocations such that these are reduced by the same percentage amount until W1 funding is exhausted. This would aim to offer a middle ground between full and zero coverage of the gap with W1, thus creating some incentive for W2 fund-raising while partially helping reduce gaps for all CRPs (just at different rates); and 2) approved the 2017 CGIAR Research Financing Plan (2017 FinPlan), as amended to take into account the Board's endorsed position.	28-29 March 2017	Rome, Italy
SMB/M5/DP4	SMB5	In-session	Committees & Working Groups	8	The Board authorized the Interim Chair of the System Management Board to form a nominations committee to identify the initial members of the Board's second Standing Committee taking into consideration the outcomes of the Centers' decisions on the voting members of the System Management Board from 1 July 2017.	28-29 March 2017	Rome, Italy
SMB/M5/DP5	SMB5	In-session	Committees & Working Groups	8	In respect of the Board's ad hoc working groups, the Board: 1) Approved an extension of the term of the Resource Mobilization Working Group, subject to the adoption of a revised Terms of Reference; 2) Approved an extension of the term of the Rules of Governance Working Group until 1 July 2017; Interim Chair's Summary, SMB5 Issued: 7 April 2017 5th CGIAR System Management Board meeting, Interim Chair's Summary, SMB5-13 28-29 March 2017, Washington, D.C Page 5 of 11 3) Endorsed the addition of Shenggen Fan as an additional member of the Rules of Governance Working Group; 4) Approved an extension of the term of the Working Group on CGIAR Country Collaboration until 30 June 2017; and 5) Endorsed the nature and direction of the new design and plan for CGIAR Country Collaboration.	28-29 March 2017	Rome, Italy

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M5/DP6	SMB5	In-session	Audit Matters	9	The Board approved the Interim Charter of the CGIAR IAU (dated 22 March 2017), for use in the period until the Internal Audit Function arrangements for the provision of assurance and advisory services to the System Council and the CGIAR System Organization are agreed between the System Council and the System Management Board, and then formally approved by the System Management Board.	28-29 March 2017	Rome, Italy
SMB/M5/EDP1	SMB5	Intersessional	Nominations/ Appointments	N/A	The System Management Board at its 5th meeting on 28-29 March 2017 in Rome adopted a process to assist in the identification of persons to serve in CGIAR senior representation roles, with the final decision to be made by the Chair of the Board. In accordance with this process, the Interim Chair has taken the decision to appoint Ann Tutwiler to serve as the CGIAR representative on the Crop Trust Executive Board for a one year term until 31 March 2018, with the then Chair of the Board to take a decision for the forthcoming year.	14 April 2017	N/A
SMB/M6/DP1	SMB6	In-session	Agenda	1	The Board adopted the Agenda (Document SMB6-01, Revision 1)	18 April 2017	Virtual
SMB/M6/DP2	SMB6	In-session	Audit Matters	2	The Board approved the CGIAR System Organization Audited Financial Statements for the year ended 31 December 2016.	18 April 2017	Virtual
SMB/M6/EDP1	SMB6	Electronic	Administrative Matters	N/A	The System Management Board authorizes the Executive Director to enter into, on behalf of the System Organization, an agreement for the hosting of the Secretariat of the 4 per 1000 Initiative.	16 June 2017	N/A
SMB/M6/EDP2	SMB6	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 5th System Management Board meeting, as set forth in document SMB5-14.	19 June 2017	N/A
SMB/M6/EDP3	SMB6	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 6th System Management Board meeting, as set forth in document SMB6-06.	19 June 2017	N/A
SMB/M6/EDP4	SMB6	Electronic	Nominations/ Appointments	N/A	The Board endorsed the ongoing appointment of Martin Kropff as System Management Board Interim Chair until a successor is appointed.	26 July 2017	N/A
SMB/M6/EDP5A	SMB6	Electronic	Nominations/ Appointments	N/A	1. The System Management Board ratifies the continuing appointment of the following persons as members of its Audit and Risk Committee to serve in their personal capacity: a. Gordon MacNeil, SMB member, until 30 June 2018 or until a successor is appointed b. Eugene Terry, an independent member on an interim basis, until 31 October 2017 or until a successor is appointed c. Bushra Naz-Malik, a Center Board of Trustee member, until 31 December 2017 or until a successor is appointed d. Bob Semple, a Center Board of Trustee member, until 31 December 2017 or until a successor is appointed 2. The System Management Board requests the Audit and Risk Committee to undertake a process to search for, and recommend to the Board for appointment of, an external independent person with no affiliation to the CGIAR System for the three years prior to his/her nomination for appointment to the Audit and Risk Committee. 3. The System Management Board requests the Audit and Risk Committee Chair, Bushra Malik, to make enquiries of, and recommend to, the Board for approval, the appointment of two additional SMB members to serve as members of the ARC, one of whom shall be a Center Board of Trustee member and the other person shall be one of the independent members of the SMB.	26 July 2017	N/A
SMB/M6/EDP5B	SMB6	Electronic	Policies and procedures	N/A	The System Management Board approves the payment of an honorarium to members of the Board's Standing Committees who are not also System Management Board members of US\$ 14,000 (pro-rated for the period served in any calendar year). Such honorarium covers all duties in respect of membership of the Committee, including any requested attendance at in-person meetings.	26 July 2017	N/A
SMB/M6/EDP6	SMB6	Electronic	Reporting	N/A	The System Management Board endorses the CGIAR Financial Report for Year 2016.	26 July 2017	N/A
SMB/M6/EDP7	SMB6	Electronic	Evaluation	N/A	The System Management Board endorses the Management Response to the IEA's evaluation of Gender: Volume I – Gender in Research	26 July 2017	N/A
SMB/M6/EDP8	SMB6	Electronic	Evaluation	N/A	The System Management Board endorses the Management Response to the IEA's evaluation of Gender: Volume II – Gender in the Workplace	26 July 2017	N/A
SMB/M6/EDP9	SMB6	Electronic	Evaluation	N/A	The System Management Board endorses the Management Response to the IEA's evaluation of Genebanks CRP.	26 July 2017	N/A
SMB/Retreat- Aug2017/DP1	SMB Retreat 26 Aug 2017	In-session	Agenda	1	The Board adopted the retreat Agenda (SMB-Aug2017-Retreat_ProvisionalAgenda)	26 August 2017	Amsterdam, Netherlands
SMB/Retreat- Aug2017/DP2	SMB Retreat 26 Aug 2017	In-session	Nominations/ Appointments	2	Pursuant to Article 7.3 of the Charter, the System Management Board appointed Marco Ferroni to serve as Chair of the System Management Board from 1 September 2017 until 30 June 2019.	26 August 2017	Amsterdam, Netherlands
SMB/Retreat- Aug2017/DP3	SMB Retreat 26 Aug 2017	In-session	Nominations/ Appointments	2	The Board approved the Terms of Reference of the Chair of the System Management Board as set out in Retreat document 1 (18 August 2017).	26 August 2017	Amsterdam, Netherlands
SMB/M6/EDP10	SMB6	Electronic	CGIAR Portfolio 2010-2016	N/A	Pursuant to Article 8(kk) of the Charter of the CGIAR System Organization, the System Management Board endorses the 2016 CGIAR Research Program Portfolio Report.	31 August 2017	N/A
SMB/M6/EDP11	SMB6	Electronic	Funding Matters	N/A	The Board decided that the Crop Trust should not be exempted from paying Cost Sharing Percentage (CSP) on endowment funds.	8 September 2017	N/A
SMB/M6/EDP12	SMB6	Electronic	Reporting	N/A	The System Management Board endorses the 2016 CGIAR Annual Report.	3 October 2017	N/A
SMB/M7/DP1	SMB7	In-session	Agenda	1	The Board adopted the Agenda (Document SMB7-01, Issued 20 September)	27 September 2017	Virtual

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M7/DP2	SMB7	In-session	2017-2022 Research Portfolio	3	The Board endorsed the GLDC proposal and agreed to recommend the proposal to the System Council for inclusion in the 2017-2022 CGIAR Portfolio for the period 2018-2022 on the basis that the proposal meets the required technical standard of a CGIAR Research Program.	27 September 2017	Virtual
SMB/M7/EDP1	SMB7	Electronic	Policies and procedures	N/A	The Board approved the two draft CGIAR statements for Treaty Meeting, Kigali, 30 October - 3 November 2017: - Draft Statement re. 'Report on the Practice of the CGIAR Centers for Plant Genetic Resources for Food and Agriculture under Development' - Draft opening CGIAR statement for opening session of the GB7	25 October 2017	N/A