

Meeting Summary
3rd System Council Meeting
Virtual, 23 November 2016

Purpose:

This document presents the Meeting Summary for the 3rd meeting of the System Council held on 23 November 2016, as approved by the Council on a no objection basis with effect from 14 February 2017.

Distribution notice: This document may be distributed without restriction.

Prepared by: CGIAR System Management Office

Introduction:

This document presents a summary of the 3rd meeting of the System Council (“Council”) held virtually on 23 November 2016.

By way of overview:

- **Agenda items.** The meeting considered the five (5) agenda items set out in the table of contents on the following page.
- **Decisions.**** The Council took seven (7) decisions during its meeting, described in the text, and set forth in [Annex 1](#) as a compendium for ease of reference.
- The five (5) ‘**Action Points**’ ** referenced in the meeting summary serve as a basis for tracking the Council’s agreement on items for follow up. Progress on action points will be reported in advance of each in-person Council meeting.
- **Participants.** [Annex 2](#) sets out a list of meeting participants.
- **Definitions:** Terms such as CGIAR Research, CGIAR System (or System) and CGIAR Portfolio are as defined in the [CGIAR System Framework](#).

***The Decisions and the Action Points noted in the text are a transcript of the Decisions and Action Points included in the Chair’s Summary, as issued on 25 November 2016 and available here: http://cgiarweb.s3.amazonaws.com/wp-content/uploads/2016/11/SC3-05_ChairsSummary-SC3_23Nov2016.pdf*

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Agenda Item 1: Opening Session

1. The Council Chair, Juergen Voegelé, opened the meeting. A quorum was present.
2. **Decision SC/M3/DP1:** The System Council elected Philip Chiverton, representative of Sweden, as the non-voting Co Chair for the meeting pursuant to Article 5.2 of the CGIAR System Framework (“Framework”).
3. In advance of commencement of the formal agenda, Marcel Beukeboom, representative of the Netherlands, sought the Chair’s permission to provide a short update on the status of the Netherlands’ future funding intentions, reconfirming their intent to continue to fund Windows 1 & 2. Mr Beukeboom also advised he would be moving to a new role and that the Netherlands would henceforth be represented by Dr Melle Leenstra. To conclude his comments, Mr Beukeboom expressed his appreciation for the leadership shown by the System Council Chair and the commitment of his System Council colleagues, confirming that the Netherlands highly values the impact that CGIAR is having on food security.
4. The Chair tabled the Provisional Agenda, and agreed to take the following two items into Agenda Item 5, Other Business as proposed by the constituency indicated in the parenthesis:
 - a. Interim plan for the Flagships (“FPs”) under GLDC, which were assessed highly by ISPC but not included in the approved CGIAR 2017-2022 Portfolio (United States).
 - b. Update on legal agreements for the new CGIAR Trust Fund (Australia).
5. **Decision SC/M3/DP1A:** The Council **adopted** the Agenda (meeting document [SC3-01](#)).
6. There were no potential conflicts of interest declared by meeting participants having regard to the approved agenda.

Agenda Item 2: Allocating funding for the approved 2017-2022 CGIAR Portfolio

7. Referring to the decision the System Council made at its 2nd meeting in September 2016 (“SC2”), the Executive Director of the CGIAR System Organization, Elwyn Grainger-Jones, recalled that at the time of approving the strong 2017 – 2022 CGIAR Portfolio, the System Council:
 - a. Expressed its support for what it considered a strong and coherent Portfolio, with the System Management Board and System Council having taken some tough decisions to reach that point;

- b. Decided to approve the program elements for the 6-year proposals as an initial step at SC2, expressly reserving any decision on both “indicative funding” (for the proposal lifetime), and an “annual allocation” (for 2017) for a later time;
 - c. Agreed that the System Council would take decisions at its 3rd meeting on 2017 funding allocation decision for Window 1 and 2 (“W1-2”) amounts;
 - d. Formed a joint Funding Allocations Working Group (“FAWG”) with the System Management Board to both: (i) see if it was possible to collate the various sources of information in a way that could help the System Council take a decision at this meeting for W1-2 2017 allocations; and (ii) identify the information that would be required for the System Council to approve the guidelines and criteria for prioritization contemplated by Article 6.1(p) of the CGIAR System Framework, and annual allocation decisions based on those guidelines and criteria (Article 6.1(s)); and
 - e. Heard, as early indications from W1-2 Funders, anticipated 2017 funding for the new Portfolio that roughly amounted to US\$ 180 million new W1-2 funds, recognizing that many Funders were still involved in national-level discussions on actual contributions and the anticipated balance between W1 and W2.
8. Noting that the System Management Office has been providing support to the FAWG, the Executive Director emphasized that during the working group’s discussions it had become clear that there were several important topics on the table that would not be easily addressed in the time that had passed since SC2, including how to get better information about W2 usage, ‘fungibility’ (or substitutability) between W1 and W2 funds, and the modalities for mid-to-longer term funding for CGIAR’s genebanks.
 9. At the Chair’s invitation, Martin Kropff, Interim Chair of the System Management Board, introduced the budget request for 2017 allocation of W1-2 funds in the amount of US\$ 193.1 million as set out in meeting document [SC3-2A](#). He highlighted that strong progress has been made in the essential indicators through the effort of many, and emphasized that there is full awareness that this work must progress considerably further in 2017, recognizing the importance for Funders that a robust monitoring and evaluation framework is in place to ensure that CGIAR’s funding is being used in a way that maximizes delivery against CGIAR’s Strategy and Results Framework. Noting that the Council will discuss this proposed Framework at Agenda Item 3, the System Management Board shared that that it was the view of the Board that the progress that has been made provides a sufficient basis for the System Council to have confidence in making a 2017 W1-2 funding allocation decision at this meeting.
 10. Speaking to the meeting document [SC3-2B](#), Andrew Campbell, the Chair of the FAWG, emphasized the pragmatic approach taken by the joint working group in the constrained circumstances to develop the suggested approach to achieve alignment between the requested US\$ 193.1 million and the anticipated US\$ 180 million new W1-2 funds in 2017.

11. The FAWG Chair's observations included the following:
 - a. Given the strong preferences previously expressed by Funders for strategic allocations, an 'across the board' reduction of the budgets of all the programs and platforms to find a better alignment between expected funding and budget ceilings was not favored by the FAWG;
 - b. The FAWG had considered the various assessments presented during SC2, and applied adjustments to the 12 flagships that were assessed as being less compelling taking into account best available information on potential new 2017 funding;
 - c. The ongoing work of System Organization and CGIAR Centers to find further efficiencies in management and support costs was recognized and supported by the FAWG as an important area of focus; and
 - d. The less-than-optimal process that the FAWG had needed to adopt in the absence of an appropriate allocations methodology, and the difficulties presented by the constrained timeline under which the group operated. He expressed the strong view that the same approach should not be adopted in the future, and thus, he was not putting forward for System Council consideration the proposal in the meeting paper that the mandate of the FAWG be extended.
12. Maggie Gill, ISPC Chair, confirmed ISPC's participation in the FAWG as an additional resource and commended the process as an important first step towards the development of a future improved allocation approach. The need for Funder inputs into the prioritization process was raised, and it was confirmed that detailed work on developing prioritization and related tools have been included in ISPC's Work Plan and for 2017. Noting that ISPC's assessments are based on a robust process meeting international standards and practices, it was recommended that these assessments be considered as informational sources for the process of building responsibilities for monitoring CRPs in 2017 and beyond.
13. A question was raised regarding the Genebanks funding amount of US\$ 24.1 million from W1-2 in the 2017 budget request, noting that this is inconsistent with the decision made at the 14th meeting of the former Fund Council in November 2015 that, inter alia, *"a 3% levy would be applied to Window 2, 3 and bilateral funds, applicable only to the relevant CRPs and should be included as a line item in CRP budgets. Actions related to this decision will be initiated in 2016."*
14. Taking note of an observation from the floor that perhaps even if implemented, the earlier Fund Council decision may not have raised very much extra funding for the genebanks in 2017 considering the lead time required to incorporate such a decision

into bilateral grants, the System Council Chair noted that there is an unresolved question that appears necessary to take forward.

15. **SC/M3/AP1:** Recognizing the importance of the former Fund Council's decision at FC14 on funding the Genebanks, the System Management Office will put in motion a wider funding discussion amongst the System Council members (which includes the Genebanks decision, builds on the work done on the transition, and does not seek to reinvent the wheel). This work is to be overseen in due course by the System Council's Strategic Impact, Monitoring and Evaluation Committee.
16. The Chair then invited observations from System Council, with a focus on the proposals as set out in document SC3-02B, with inputs provided as follows:
 - a. A Funder commented that while agreeing with the overall logic and approach in the paper, Appendix 3 (a CRP-led collection of inputs into the FAWG discussions) of intended best uses of W1-2 funding was far from convincing in its present form, and some Funders would like to reserve comments on future practices without impeding the approval of a 2017 W1-2 funding allocation.
 - b. A Center Representative noted that some of the programs more impacted by the allocations were those at the 'development interface', focusing on translating outputs to outcomes and impact. The example of the World Food Prize-winning work on orange-fleshed sweet potato was cited as a case where the development outcomes had been prioritized and this should be borne in mind when considering prioritization.
 - c. The importance of positively communicating funding decisions was emphasized.
 - d. The leadership and work of the FAWG for this process was commended, but there was consensus among Funders that other work modalities could be taken to carry forward the FAWG's work, which could be formally constituted and convened such as the System Council's standing committee on Strategic Impact, Monitoring and Evaluation Committee. Several funders commented that future work should be moved to a more formal committee structure.
 - e. The Interim Chair of the System Management Board echoed that funding decisions should be communicated positively, and confirmed that Centers support the pragmatic decision. He reconfirmed the Board's commitment to providing input into further development of the funding allocations process.
17. **SC/M3/AP2:** The System Management Office will support establishment of the System Council's Strategic Impact, Monitoring and Evaluation Committee (as contemplated by Article 8.2(a) of the CGIAR System Framework), building on the work that has been done to date by the Fund Effectiveness Working Group, and

seeking System Council endorsement of the Terms of Reference as soon as possible, in order that the committee can take up its key role.

18. **SC/M3/DP2:** The System Council **approved**, pursuant to Article 6.1. (s) of the CGIAR System Framework, for the 2017 calendar year, the allocation of US\$ 191.1 million W1-2 funds (“2017 W1-2 Allocation Amount”) for the approved 2017-2022 CGIAR Portfolio, comprised of 11 CGIAR Research Programs (‘CRPs’) and 3 Platforms, which sum is allocated between the CRPs and Platforms as set out in table 1 of Annex 1 of this meeting summary.
19. **SC/M3/DP3:**
- (1) The System Council **approved** the use of US\$ 8.4 million of Window 1 funds from the CGIAR Balancing Fund as a contribution toward the 2017 W1-2 Allocation Amount.
 - (2) The System Council **noted** that as a further contribution towards the 2017 W1-2 Allocation Amount, part of the financing for the ‘CGIAR Big Data Coordination Platform’ will be satisfied by the existing US\$ 2.6 million Window 1 resources that are available, comprising funds that were originally identified for the Open Access/ Open Data special initiatives grant, the work of which will continue in 2017 as part of the Big Data Coordination Platform.

Agenda Item 3: Setting up a Performance Management System for CGIAR Research

20. At the Chair’s invitation, the Executive Director introduced the work on the proposed integrated framework for a Performance Management System, noting its critical importance given the diverse and cross-cutting nature and complexity of the new CGIAR Portfolio. He commended the work of colleagues from the Monitoring, Evaluation & Learning Community of Practice (“MELCoP”), the IEA and ISPC, in developing the proposed framework thus far, noting that work will continue into 2017 to finalize additional elements as follows:
- a. In the immediate term, linking back to the earlier discussions on W1-2 funding allocation for 2017, additional work will be undertaken in consultation with Centers to ensure that robust 2017 performance targets be included the legal agreements signed between the CGIAR System Organization and the Lead Centers.
 - b. Referring to the schedule for phased implementation of the performance management system, highlights of each phase was summarized as follows:
 - i. The first phase includes developing a draft of interim CRP Annual Reporting template with linkages to the Strategy and Results Framework (“SRF”), in consultation with the System Council;

- ii. Starting in 2017, the second phase focuses on implementation of the CRP Annual Reporting template including putting together the System level reporting and finalizing the Action Plan; and
 - iii. In the third finalization phase information coming from the Framework will be collected and analyzed to assess performance and value for money across the System.
 - c. The phased implementation approach is carefully planned with the intention to minimize transaction costs and maximize efficiency by examining and combining multiple layers of assessments and reporting for greater economies of scale.
 - d. In the longer term, the performance management framework aims to build confidence at System-level and attract funding for the System.
21. The ISPC Chair commented that research outcome measurement should take prioritization into account, in order to better understand research outcomes, identify where there are clear CGIAR contributions and greater CGIAR impacts. The importance of separating out measurement of performance in areas that were within CGIAR's 'Sphere of Control', and then having linkages to areas that were in CGIAR's 'Sphere of Influence' and 'Sphere of Interest' was emphasized. It was noted that the Standing Panel on Impact Assessment ("SPIA") and ISPC would like to continue to involve in the process to ensure a joint approach in the work plan for Strengthening Impact Assessment in CGIAR ("SIAC") over the next three years.
22. The Senior Evaluation Officer of IEA noted IEA's support for the proposed framework whereby performance information from different resources will be considered. It was recommended that the outputs of 'Sphere of Control' measurements be linked more to the qualitative aspects of the achievement. Recognizing the magnitude of challenges has been considered at outcome level, it was commented that aggregation of these challenges at CRP level should be anticipated.
23. A number of Funders expressed appreciation for efforts and progress made so far to develop the integrated framework including the following comments:
- a. It was recognized that the proposed integrated framework is designed to demonstrate impact of CGIAR Research.
 - b. The distinction between research relevance and quality, and research use and effectiveness was noted. Research accountability has also been considered and integrated into the framework.
 - c. It was appreciated that an on-line interoperable platform for planning, monitoring and reporting, such as MARLO (Managing Agriculture Research for

Learning and Outcomes) setup by CCAFS is included in the schedule of implementation.

- d. The added value of engagement with various stakeholders including Centers, partners, and communities of practices (e.g. MELCoP) in the process of developing the integrated framework was noted.
24. In the discussion on further development of the framework the following key considerations were raised:
- a. The importance of ensuring that transaction costs remains at manageable level;
 - b. The need for clarity in terminology and concepts such as activities, outputs, outcomes, and impacts;
 - c. Broad stakeholder engagement in the process of developing impact metrics and indicators;
 - d. That the principle of open access to data be borne in mind;
 - e. Noting the preliminary nature of the proposed framework, that indicators should be further standardized across CRPs to ensure that these can be aggregated and compared; and
 - f. That quantitative measurement for results from W1-2 funds is essential to demonstrate value for money and return for investment, and should therefore be separated from those of other funding modalities.
25. One of the Center's non-voting members welcomed the comments received and agreed that there is room for further standardization of indicators. However, he highlighted the challenges inherent in holding CRP Leaders accountable for the indicators given the unpredictable nature of funding at this time.
26. The Interim Chair of the System Management Board recognized the progress made and welcomed the support expressed by the Funders for the development of the framework completed thus far. He noted that more attention should be given to addressing comments relating to W1-2 funding to ensure that the System can most effectively demonstrate outcomes and impacts of W1-2 funds.
27. **SC/M3/AP3**: The System Management Office will provide an action plan update on the progress of the development of a comprehensive performance management framework, to be provided virtually in the coming months.

Agenda Item 4: 2017 funding for System Actions and Entities

28. The Co-Chair recalled for System Council members that at SC2, an indicative list of nine System actions/entities and the indicative budgets for 2017 for six of those actions/entities, had been presented for information purposes. He advised that following consideration of possible scenarios by the System Management Board's Working Group on Funding System Actions and Entities, the System Council is now being asked to consider and approve a budget envelope for operational System-wide actions and entities in the amount of US\$ 16.24 million for 2017 (as described in meeting document ([SC3-04](#))), noting also that the paper also seeks approval for the disbursement of existing Window 1 funds held on CGIAR's behalf by the Trustee.
29. At the Co-Chair's invitation, the Executive Director summarized the deliberations and key conclusions of the Working Group on Funding System Actions and Entities noting the following key points:
 - a. There is a declining trend for the budgets of System entities, from US\$ 18.8 million for 2015 to \$ 18.1 million for 2016, and at \$ 16.24 million for 2017. The 2017 amount represents 1.8% of the total CGIAR Portfolio budget (all sources).
 - b. The 2017 budget request presented in the meeting paper is slightly higher than that presented at SC2, due to now including an indicative amount for the Internal Audit Function and an amount budgeted for the 2017 inaugural meeting of the General Assembly of the Centers.
 - c. The budget amounts should in some cases be considered as ceiling amounts, noting potential changes to the current Terms of Reference including for ISPC, IEA and Internal Audit.
 - d. The System Management Office budget represents a 7 % reduction against 2016 and a 12% reduction against the 2015 combined budgets of the former Fund Office and former Consortium Office. Work is underway to develop a business plan for the System Management Office with attention to potential efficiency savings, and it is planned to consult on this at the forthcoming General Assembly of the Centers on 24-25 January 2017.
 - e. There is a strong preference from the Working Group on Funding System Actions and Entities to propose multi-year budget plans that could be assessed while work continues to finalize Terms of Reference of the relevant System entities.
 - f. No preferable mechanism having been identified, the cost sharing percentage ("CSP") is being recommended by the System Management Board to apply.

30. Regarding the Internal Audit Function, the System Organization's Senior Advisor, Governance, summarized ongoing work in this regard as follows:
- a. The System Management Board's Audit and Risk Committee ("ARC") is developing a proposal on potential timetable and process development of the system-wide risk management framework that is required to be approved by the System Council under the terms of the CGIAR System Framework, which will be considered at the System Management Board's 17 December 2016, and brought to the System Council during 2017;
 - b. A regional approach to internal audit is being considered by some Centers for 2017, thus being an important development to build into discussions on what may constitute an appropriate framework for the Internal Audit Function contemplated by the CGIAR System Framework and Charter of the CGIAR System Organization;
 - c. The System Management Board anticipates it will be in a position to propose at the System Council's May 2017 meeting, a draft Terms of Reference for the Internal Audit Function. Work on this proposal will involve broad consultation across the Centers, the Funders, and other System entities to avoid any duplication in the assurance systems operating across the System; and
 - d. In all the circumstances, the proposed budget for Internal Audit Function set out in the meeting papers should be regarded as provisional, as the final budget will depend on where the System Council and System Management Board arrive at in terms of an appropriate internal audit function arrangement.
31. In response to questions raised regarding CSP the following clarifications were provided:
- a. The current policy of withholding W1 to cover the funding gap if CSP collections didn't not cover the calculated amount was envisaged to continue;
 - b. No deficit is anticipated regarding the budget of the System entities based on the current CSP level of 2% (recalling that System costs represent 1.8% of total CGIAR budgets)
 - c. CSP will remain at 2% for the next three years, and will be collected on all bilateral funds (with the exception of legacy projects, which have been decreasing since 2014 and will further decrease over the coming year);
 - d. Two collection methods of CSP exist presently although there is no difference in the financial result. A standard rule about CSP application is being developed by a working group of Center Corporate Services Executives who next meet in January 2017, and once developed, is proposed to be included a

revision of Financial Guidelines Series No.5 (CGIAR Cost Allocation Guidelines);
and

- e. A request was made for support from System Council members to ensure that the CSP is included and calculated in all bilateral funding proposals at regional and country level. In a similar vein, it was requested that the Centers provide full information to Funders when national offices reject payment of the CRP in bilateral grants, to enable them to intervene.
32. A representative of EIARD confirmed that EIARD supports the downward trend of overall CGIAR System costs, and noted that this principle should also apply to costs of the System Council (at US\$6 million for 2017). It was also advised that EIARD does not support the reestablishment of Peer Review Team at the System Council level in terms of System Entities budgets approval process.
33. In response to a question raised on System Management Board costs, it was confirmed that Center Directors General who are selected to serve on the Board are not remunerated for this activity, and that an honorarium is only provided for independent members and non-executive members from Center Boards of Trustees.
34. A representative of GFAR reconfirmed GFAR's commitment to partnering with the System Organization on site integration activities in the context of GCARD's national and regional dialogues, as co-organized with the CGIAR. GFAR also queried, for future reference, the lack of information or budget regarding the Partnership Forum mentioned, but understandably not described in the meeting papers because the Partnership Forum was not planned for 2017. The importance of taking into account comparative cost advantages in planning a future CGIAR Partnership Forum was emphasized.
35. **SC/M3/DP4:** The System Council **approved** the 2017 annual work plans and budgets of CGIAR System entities and actions in the amount of US\$ 16.24 million as set out in meeting document SC3-04.
36. **SC/M3/DP5:** The System Council **approved** the financing of the 2017 System entity costs through the continued application of the CGIAR System cost sharing percentage mechanism.
37. **SC/M3/DP6:** The System Council **approved** the disbursement to the System Organization and/or Centers of all unallocated Window 1 funds remaining in the CGIAR Fund as of 14 December 2016 as a pre-disbursement of funding to be used to support approved 2017 CGIAR Portfolio and System administrative costs.

Agenda Item 5: Other Business

Interim plan for the Flagships under the former Grain Legumes and Dryland Cereals Proposal

38. The System Council Member for the USA drew attention to the discussions held during SC2 regarding development of an interim funding mechanism for those flagship programs under the former Grain Legumes and Dryland Cereals (“GLDC”) proposal which were highly rated by ISPC, including the breeding and crop productivity flagships. It was noted that a concept note has been developed following brief consultations amongst a number of the Funders. He also confirmed that the concept note is focused on providing an interim mechanism and is open to participation by any Funders who are interested. The Chair encouraged Funders who are interested to involve themselves in the proposal, and that feedback should be collected from Funders and Centers to ensure that key elements do not fall through the cracks.
39. The Interim Chair of the System Management Board advised that a Working Group had been formed to undertake a process that is expected to lead to the presentation of a proposal (or proposals) at the relevant time in regard to the research questions from the former GLDC proposal, and which clearly fit into and enhance the CGIAR Portfolio and meet the quality and standards that are required to be part of that Portfolio.
40. **SC/M3/AP5:** The USA will lead a conversation with relevant players and actors amongst the Centers and Funders on what could be done as an interim process, notionally for a one-year transition period through to end-2017, and provide an update to the System Council by 31 January 2017.

Update on legal agreements for the new CGIAR Trust Fund

41. At the Chair’s invitation, the System Organization General Counsel provided an update on the status of the final draft of the trustee, funding and contribution agreements, confirming that these would be sent to the System Council by 24 November for final consultation. She advised that the documents would then be submitted for approval of the System Council by end of November 2016 if no major issues were raised during the consultation period. The Financial agreement between the System Organization and each Center will also be submitted along with these legal documents for information purposes. Appreciation was expressed for the efforts and collaboration of the Legal Working Group in bringing these documents to this stage.
42. The Chair summarized the decisions made during this meeting as set forth in Annex 1, and noted the effectiveness of the virtual meeting setup.
43. **SC/M3/AP4:** The System Management Office will review the modalities of System Council operations and present options for the System Council to consider, with the view to potentially meeting virtually on one or two occasions each year, in addition to one in-person meeting each year.
44. The Chair thanks participants and closed the meeting.

Annex 1: Compendium of Decisions taken – 3rd System Council meeting

SC/M3/DP1: Meeting Co-Chair

The System Council **elected** Philip Chiverton, representative of Sweden, as the non-voting Co Chair for the meeting pursuant to Article 5.2 of the CGIAR System Framework (“Framework”).

SC/M3/DP1A: Agenda

The Council **adopted** the Agenda (meeting document [SC3-01](#)).

SC/M3/DP2: 2017 Window 1-2 Allocation of Funding for the 2017-2022 CGIAR Portfolio

The System Council **approved**, pursuant to Article 6.1(s) of the CGIAR System Framework, for the 2017 calendar year, the allocation of US\$ 191.1 million W1-2 funds (“2017 W1-2 Allocation Amount”) for the approved 2017-2022 CGIAR Portfolio, comprised of 11 CGIAR Research Programs (‘CRPs’) and 3 Platforms, which sum is allocated between the CRPs and Platforms as set out in table 1 below.

Table 1 – 2017 Allocation of W1-2 funding

		2017 W1-2 allocation based on FAWG proposal		Key
		All Funding Sources	W1&2 (Note A)	
Fish	Excl FP3 ***	17.6	3.8	* FP2
FTA		71.1	8.8	* FP2
Livestock		37.3	14.0	* FP3 & FP5
Maize	Excl FP5 ***	65.6	11.3	
Rice		78.3	16.1	** FP2 & FP3
RTB		113.9	22.2	** FP5
Wheat		42.8	14.8	** FP1 & FP4
A4NH		90.8	19.4	** FP1, FP4 & FP5
CCAFS		56.9	20.8	** FP4
PIM		92.3	18.3	** FP1, FP4 & FP5
WLE		47.9	7.9	* FP5
Genebanks		31.6	24.9	
Excellence in Breeding		10.0	2.0	
Big Data Coordination		6.7	6.7	
	Sub total		191.1	
			-2.6	Adjustment for OA/OD funds held
			-8.4	Balancing fund contribution
	Total anticipated new W1-2	762.8	180.1	

Note A: The System Council's decision to not provide any W1-2 funding to the 5 affected flagships in 2017 (i.e. those identified with the symbol "*" above) and to exclude two other flagships from the approved 2017 - 2022 CGIAR Portfolio for the present (i.e. those identified with "****" above), was implemented with a proportional reduction also being made to the Management & Support Costs budget line for the 5 affected CRPs (Fish, FTA, Livestock, Maize, and WLE), thus giving rise to the individual CRP and Platform W1-2 totals for 2017 that are set out above. The 5 CRPs that were affected by the M&S reduction were not affected by the reductions recommended by the FAWG (as applied to those flagships identified with "*" above).

SC/M3/DP3: Contribution of funding towards the 2017 W1-2 Allocation Amount

1. The System Council **approved** the use of US\$ 8.4 million of Window 1 funds from the CGIAR Balancing Fund as a contribution toward the 2017 W1-2 Allocation Amount.
2. The System Council **noted** that as a further contribution towards the 2017 W1-2 Allocation Amount, part of the financing for the ‘CGIAR Big Data Coordination Platform’ will be satisfied by the existing US\$ 2.6 million Window 1 resources that are available, comprising funds that were originally identified for the Open Access/ Open Data special initiatives grant, the work of which will continue in 2017 as part of the Big Data Coordination Platform.

SC/M3/DP4: 2017 annual work programs and budgets for CGIAR System entities

The System Council **approved** the 2017 annual work plans and budgets of CGIAR System entities and actions in the amount of US\$ 16.24 million as set out in meeting document SC3-04.

SC/M3/DP5: Mechanism for financing of System entity costs

The System Council **approved** the financing of the 2017 System entity costs through the continued application of the CGIAR System cost sharing percentage mechanism.

SC/M3/DP6: Managing residual CGIAR Fund Window 1 funding at end 2016

The System Council **approved** the disbursement to the System Organization and/or Centers of all unallocated Window 1 funds remaining in the CGIAR Fund as of 14 December 2016 as a pre-disbursement of funding to be used to support approved 2017 CGIAR Portfolio and System administrative costs.

Annex 2: List of meeting participants

Meeting leadership		
Juergen Voegele (System Council Chair)		
Philip Chiverton; Sweden (Co-Chair, 3rd System Council meeting)		
<i>*Indicates that the Member/Active Observer is being represented at the meeting by the alternate or a delegated representative.</i>		
System Council Voting Members	System Council Member Representative	System Council Member Alternates & Other Delegation Members
Australia	Andrew Campbell	Mellissa Wood
Bill & Melinda Gates Foundation	Tony Cavaliere*	
Canada	Christophe Kadji*	Roxanne Robert
East Asia & Pacific	CHINA: Feng Dongxin	CHINA: Zhai Lin; CHINA: Huang Dandan
European Commission	Bernard Rey	Roberto Aparicio-Martin
Germany and Belgium	Stefan Schmitz	Michel Bernhardt
Japan	Masashi Takizawa*	Norihito Kanamori
Netherlands	Marcel Beukeboom	Melle Leenstra
Norway	Daniel van Gilst	
South Asia	INDIA: Chhabilendra Roul	
Sweden	<i>Served as meeting co-Chair</i>	Renée Ankarfjaerd
Switzerland	Michel Evéquoz	
United Kingdom	Alan Tollervey	
USA	Rob Bertram	Eric Witte; Tom Moritz
West Asia & North Africa	TURKEY: Turgut Orman*	
World Bank	Mark Cackler	Irina Klytchnikova
Non-voting Ex-officio members	Member	Alternate
Interim Chair, System Management Board	Martin Kropff	
Executive Director, System Management Organization	Elwyn Grainger-Jones	
Center Representative 1	Rodney Cooke **	
Center Representative 2 (and System Management Board Member)	Jimmy Smith **	
FAO	Samy Gaiji*	
<i>** Interim appointment for July - December 2016</i>		

Active Observers		
GFAR	Mark Holderness*	Harry Palmier
IEA	Rachel Sauvinet-Bedouin	Sirkka Immonen
ISPC	Maggie Gill	Leslie Lipper
Invited attendees		
France - Host Country	Marion Guillou	
Trustee	Darius Stangu	
Additional participants		
World Bank	Jonathan Wadsworth	
CGIAR System Organization	Karmen Bennett, Senior Advisor Governance	
	Olwen Cussen, Assistant to the Executive Office	
	Philippe Ellul, Senior Science Officer	
	Peter Gardiner, Director of Science	
	Albin Hubscher, Director of Finance and Corporate Services	
	Elise Perset, General Counsel	
Support, SMB Interim Chair	Kathy Sexsmith	
Apologies - System Council Voting Members		
AfDB		
Latin America & Caribbean		
Mexico		
Sub-Saharan Africa		
Apologies - Non-voting Ex-officio members		
IFAD		