CGIAR System Internal Audit Function's 2018 activities and 2019-2021 work plan

System risk families	2018	2019	2020	2021 (indicative areas)
1. CGIAR is no longer a front runner	[mainly covered by other assurance providers based on assurance mapping however will be under review throughout the planning cycle]			
2. CGIAR loses its central role in AR4D		• 2019-1 Communication to support brand recognition (ASR)	• 2020-1 Effective oversight of Genebanks platform (ASR)	• Funding strategy framework and managing funder contributions (ASR)
3. Non-adherence to appropriate values	• 2018 – New Anti-harassment and whistle-blowing practices (ADV)	• 2019-2 Ethical research frameworks (ASR) • 2019-3 CGIAR staff security frameworks (ASR) • 2019-4 Effective staff grievance practices (ADV)	• 2020-2 Gender mainstreaming in work place review (ADV) • 2020-3 Non-research ethical frameworks (ADV)	• Activities to support quality of science (ADV) • Control environment (ADV) • CGIAR crisis management (ASR) • Financing modalities and fund allocation (ASR)
4. Unsatisfactory evidence and assurance received	• 2018-1 Independence of external auditors (ADV) • 2018-2 Baseline study of quality of Centers internal audit services (ADV) • 2018-3 Procurement policy status at CGIAR (ADV)	• 2019-5 Assurance activities across the System (ADV)	• 2020-4 Common CRP reporting system (ASR) • 2020-5 Research data management practices in CGIAR (ASR)	• CGIAR M&E arrangements (ADV) • Performance management system implementation (ASR)
5. Poor execution	• 2018-4 Active Directory (ASR) • 2018-5 CGIAR System Risk Management self-assessment tool (ADV) • 2018-6 Common IT systems (ASR)	• 2019-6 Cloud computing (ASR) • 2019-7 Review of investment policies & practices (ADV)	• 2020-6 IT security and Business Continuity (ASR) • 2020-7 CGIAR System Risk Management Framework implementation (ADV)	• Shared Advisory Secretariat operations (ASR) • System policies (ASR) • Board effectiveness (ADV) • Communities of practice (ADV) • Study of financial management maturity (ADV)
Total	7	7	7	7 of 12