CGIAR System Board
Register of in-session and electronic decisions

Purpose:

This document sets out the decisions taken by the CGIAR System Board (previously known as 'CGIAR System Management Board', both in formal meeting sessions and when conducting business electronically, pursuant to Articles 9.4 through 9.8 of the Charter of the CGIAR System Organization.

This document constitutes a public record of the CGIAR System Board and is updated on an as-needed basis each time a decision is taken.

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Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/DP1	SMB1	In-session	Agenda	2	The System Management Board approves the agenda for the 1st System Management Board meeting (document SMB1-01, Revision 1).	11 & 13 July 2016	Paris, France
SMB/M1/DP2	SMB1	In-session	Nominations/ Appointments	2	The System Management Board: 1. Notes the appointment by the Centers of the following nine voting members of the Board as identified in document number SMB01-03 (System Management Board Members and Interim Chair): (i) Independent members for a one-year term commencing on 1 July 2016, renewable for up to two years: a. Catherine Bertini; and b. Eugene Terry (ii) Center Board members or Directors General for a one-year term commencing on 1 July 2016, noting such persons serve in their personal capacity and not as a representative of any Center: a. Shenggen Fan (Director General) b. Martin Kropff (Director General) c. Bushra Naz-Malik (Center Board of Trustees member) d. Gordon MacNeil (Center Board of Trustees member) e. Jimmy Smith (Director General) f. Margret Thalwitz (Center Board of Trustees Chair) g. Ann Tutwiler (Director General) 2. Notes the appointment by the CGIAR Fund Council of Nicholas Austin as Interim Executive Director of the CGIAR System Organization, who serves by nature of that appointment as an ex-officio non-voting member of the Board. 3. Approves the Terms of Reference for the Interim Chair of the Board as set out in appendix 1 of document SMB1-03. 4. Appoints Martin Kropff as Interim Chair of the Board effective immediately and until a successor is appointed.	11 & 13 July 2016	Paris, France
SMB/M1/DP3	SMB1	In-session	Policies and procedures	2	The System Management Board: 1. Approves with immediate effect the rules of procedure for the System Management Board as set out in Appendix 1 to document SMB1-04 as interim rules of procedure until such time as amended ('Interim Rules of Procedure'). 2. Requests the System Management Office to collate preliminary comments received on the Interim Rules of Procedure during the meeting, and provide those to working group to be formed to consider strengthening the System Management Board's rules of governance.	11 & 13 July 2016	Paris, France
SMB/M1/DP4	SMB1	In-session	Committees & Working Groups	2	The System Management Board nominates the Interim Executive Director as the System Management Board's representative on the System Council's Fund Effectiveness Working Group, and requests the Interim Executive Director to ensure the views of the System Management Board are introduced into ongoing deliberations and that feedback is received by the System Management Board as the work proceeds.	11 & 13 July 2016	Paris, France
SMB/M1/DP5	SMB1	In-session	Funding Matters	6	The System Management Board endorses for recommendation to the CGIAR System Council for approval, the proposal (as set out in document SMB1-09, Revision 1) that the System Council: 1. Agrees that Centers be allowed to carry any funding that was provided for the phase 1 CGIAR Research Programs ('CRPs'), but that is unspent and uncommitted at 31 December 2016 ('Unused Funding') forward into 2017. 2. Agrees that that Unused Funding be transferred to, and utilized by, the most appropriate phase 2 CRP and agrees that in the situation where a phase 1 CRP is ending, integrating or divided and re-integrated into different phase 2 CRPs, authority is delegated by the System Council to the CGIAR System Organization ('System Organization') to manage the reallocation of the Unused Funding to the phase 2 CRP portfolio. 3. Agrees that in order to ensure that Unused Funding is managed effectively and efficiently towards the end of the phase 1 CRP, authority is delegated by the System Council to the System Organization to develop guidelines that specify the parameters under which Centers will be able to commit W1-2 funding until 31 December 2016.	11 & 13 July 2016	Paris, France

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/DP6	SMB1	In-session	Transition	7	The System Management Board, recognizing the agreement of the CGIAR System Centers and Funders to establish a new governance structure as described in the CGIAR System Framework and the Charter of the CGIAR System Organization, and having reviewed the paper, 'Revised proposed approach to existing CGIAR agreements for current CRPs and 2016 system costs' (circulated to all Centers on 1 June 2016, as a document for the Third Meeting of Centers and Funders on the CGIAR System): **A. Approves the following decisions to implement the transitional arrangement:** 1. Agrees that the System Management Office assume the functions of the Fund Office under the Joint Agreement ('Joint Agreement') between the Consortium of International Agricultural Research Centers ('Consortium') and the Fund Council, represented by the International Bank for Reconstruction and Development ('IBRD'); provided that the Joint Agreement will be terminated upon the closing of the CGIAR Fund; 2. Agrees that the System Management Office assume the functions of the Fund Office under the Consortium Performance Agreements ('CPAs') between the Consortium and the Fund Council, represented by IBRD; provided that the CPAs will be terminated upon the completion of the relevant CRP; 3. Agrees that the System Management Office assume the functions of the Fund Office under (i) the Fund Use Agreement ('FAO Fund Use Agreement') among FAO for itself, ISPC, IEA and GFAR, the Fund Council, represented by IBRD, and the Trustee, and (ii) the Fund Use Agreement ('Consortium Fund Use Agreement') among the Consortium, the Fund Council, represented by IBRD, and the Trustee (FAO Fund Use Agreement, and together with Consortium Fund Use Agreement, referred to as the 'Fund Use Agreements'); provided that the Fund Use Agreements will be terminated upon the closing of the CGIAR Fund. 4. Agrees to the modifications to Annex 2 to the Contribution Agreements to provide for the transitional arrangements, as described in paragraph B.8 below; and 5. Agrees that the decisions in paragraphs 1 - 4 above shall only become effective upon the adoption of decisions by the Fund Council and System Council to agree to the following: 5.1 the Fund Council shall adopt decisions in July 2016, to agree to the following: 5.1.1 to have the functions of the Fund Council and the Fund Office under the Joint Agreement, CPAs and Fund Use Agreements transferred to the System Council and the System Management Office, respectively; provided that the Joint Agreement, CPAs and Fund Use Agreements will be terminated as indicated in paragraphs 1 - 3 above; and 5.1.2 to the modifications to Annex 2 to the Contribution Agreements to provide for the transitional arrangements, as described in paragraph B.8 below; and 5.2 The System Council shall adopt decisions, at its first meeting in July 2016, to agree to the following: 5.2.1 the System Council will assume the functions of the Fund Council as transferred to it under the Joint Agreement, CPAs and Fund Use Agreements; provided that the Joint Agreement, CPAs and Fund Use Agreements will be terminated as indicated in paragraphs 1 – 3 above; and 5.2.2 the System Council will assume the functions of the Fund Council as transferred to it under the Contribution Agreements as indicated in paragraph B.8 below. B. Notes the following with respect to the transition: 6. That with respect to the FAO Fund Use Agreement, the System Council intends to propose to the FAO and the Trustee to add the following paragraphs as new Section E to the FAO Fund Use Agreement, to become effective, notwithstanding Section D.8 of the FAO Fund Use Agreement, upon (i) signatures of written agreement by FAO and the Trustee, and (ii) effectiveness of the System Council's decision in paragraph A.3 above: "E. Transitional Arrangement E.1 Should there be any situation which requires actions of the Fund Council and/or the Fund Office under this Agreement upon the dissolution of the Fund Council and the Fund Office, the System Council and the System Management Office (each, as defined in the CGIAR System Framework) will act in place of the Fund Council and Fund Office, respectively, and all references in this Agreement to (i) Fund Council will be construed as meaning System Council and (ii) Fund Office will be construed as meaning System Management Office. E.2 Notwithstanding Section C.5 of this Agreement, this Agreement shall be terminated upon closure of the CGIAR Fund and the course of action specified under Section C.5 following any termination event shall apply accordingly."	11 & 13 July 2016	Paris, France

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/DP6 cont.	SMB1	In-session	Transition	7	7. That with respect to the Consortium Fund Use Agreement, the Fund Council intends to propose to the Consortium (now known as the System Organization as of 1 July 2016) and the Trustee, to add the following paragraphs as new Section 22 to the Consortium Fund Use Agreement, to become effective, notwithstanding Section 18 of the Consortium Fund Use Agreement, upon (i) signatures of written agreement by the Consortium (or the CGIAR System Organization that will operate with effect from 1 July 2016 based on the legal personality of the CGIAR Consortium) and the Trustee and (ii) effectiveness of the Fund Council's decision in paragraph A.3 above: "22. (i) Should there be any situation which requires actions of the Fund Council and/or the Fund Office under this Agreement upon the dissolution of the Fund Council and the Fund Office, the System Council and the System Management Office (each, as defined in the CGIAR System Framework) will act in place of the Fund Council and Fund Office, respectively, and all references in this Agreement to (a) Fund Council will be construed as meaning System Council and (b) Fund Office will be construed as meaning System Management Office. (ii) Notwithstanding Section 17 of this Agreement, this Agreement shall be terminated upon closure of the CGIAR Fund and the course of action specified under Section 17 following any termination event shall apply accordingly." 8. That pursuant to paragraph 8.2 of Section A of Annex 2 to the Contribution Agreements and Contribution Arrangements (collectively, 'Contribution Agreements') between the Fund Donors and the Trustee, the Fund Council, in its representative capacity for all Fund Donors, intends to add the following paragraphs as paragraph 10 of Section A of Annex 2 to the Contribution Agreements: "10. Transitional Arrangement 10.1 Should there be any situation which requires actions of the Fund Council and/or the Fund Office under the Contribution Agreements and Arrangements upon the dissolution of the Fund Council and the Fund Office, the System Council and the System Management Office (each, as defined in the CGIAR System Framework) will act in place of the Fund Council and Fund Office, respectively, and all references in the Contribution Agreements and Arrangements to (i) Fund Council will be construed as meaning System Council and (ii) Fund Office will be construed as meaning System Management Office. 10.2 Notwithstanding paragraph 7.2 of Section A of this Annex, any withdrawal by the Fund Donor of all or part of its Fund Donor Share following the establishment of a new trust fund by the Trustee to replace the CGIAR Fund may be made with the prior written notice of less than 180 days if such shorter period is agreed with the Trustee."	11 & 13 July 2016	Paris, France
SMB/M1/DP7	SMB1	In-session	Policies and procedures	7	1. Subject to paragraph 2 below, the System Management Board endorses until such time as amended or revoked as relevant to the subject matter, all of the current policies, procedures, guidelines and other requirements previously approved by the Consortium Board with the following modifications: a. all relevant appearances of the CGIAR Consortium and its derivatives are changed to CGIAR System Organization; b. all relevant appearances of Consortium Office are changed to System Management Office; c. all relevant appearances of Consortium Chief Executive Officer or Consortium CEO are changed to Executive Director of the CGIAR System Organization; d. all relevant appearances of CGIAR Consortium Board are changed to System Management Board; e. all relevant appearances of Fund Council are changed to System Council; and f. all relevant appearances of Fund Office are changed to System Management Office. 2. In respect of the following polices, the System Management Board approves the policies subject to the following being incorporated into the policies: a. Honoraria arrangements: i. System Management Board independent members and Center Board of Trustees members: entitled to receive the same annual honorarium as for the former external independent Consortium Board members, but with no additional allowance in respect of serving on a committee of the System Management Board; and ii. Directors General: no entitlement to an honorarium, on the basis of receipt of a salary in the role of Director General of their Center. b. Policy for Travel Expense Management: Reimbursement of System Management Board-related costs during travel are based on expenses incurred, and the typical standard of travel is economy class.	11 & 13 July 2016	Paris, France

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/DP8	SMB1	In-session	Committees & Working Groups	3	1. The System Management Board appoints the following persons as members of the System Management Board's Audit and Risk Committee to serve in their personal capacity, for a term that begins upon appointment and continues at the pleasure of the Board: System Management Board members a. Bushra Naz-Malik, Chair b. Eugene Terry c. Gordon McNeil Non-System Management Board members d. Bob Semple, Board member of CIMMYT 2. The System Management Board requests the Audit and Risk Committee Chair to propose, for approval at the next System Management Board meeting, a Terms of Reference for the Audit and Risk Committee, prepared in consultation with the Audit and Risk Committee and with the support of the System Management Office. As an early area of focus, the System Management Board requests that the Audit and Risk Committee develops for consideration at the next board meeting, a comprehensive proposal for a CGIAR System risk management framework and internal audit function to link with the System Council's Audit and Risk Committee.	11 & 13 July 2016	Paris, France
SMB/M1/DP9	SMB1	In-session	Committees & Working Groups	5	1. The System Management Board agrees to form the following working groups, to support the System Management Board's deliberations on the matters arising for early consideration, drawing on information sources that include meeting documents SMB1-8A (Preliminary System Management Board discussion items), SMB1-8B (Consortium Board Handover Materials), SMB1-10 (Funding CGIAR System actions and entities moving forward), and SMB1-17 (Discussion Paper, Linking Mechanisms with the General Assembly of the Centers): a. Working Group One: Resource Mobilization: To identify mechanisms for system-level resource mobilization and the strategic expansion of funding, including through innovative financing initiatives and mechanisms to stabilize the flow of funds, with a focus on both traditional and non-traditional funding sources, and building on the work of the former Senior Steering Group on funding. b. Working Group Two: Rules of Governance: To strengthen the Rules of Procedure of the System Management Board and support the development of the Rules of Procedure for the General Assembly. Will include the following two sub-groups to feed into the Working Group's overall outputs: i. Drawing on the ISPC, addressing conflicts of interest for System Management Board ("Board") members in regard to the discharge of the Board's role to recommend to the System Council the guidelines and criteria for prioritization and for annual allocation of Unrestricted Funding across CGIAR Research or other conflicts of interest as may arise; and ii. Drawing on the work of the nominations committee for the inaugural Board members, identifying options for the nominations processes to be utilized by the General Assembly of Centers for Board members and System Council representatives. c. Working Group Three: Cost-sharing for Host Centers: To identify opportunities for shared corporate services and other means of improving financial efficiency where multiple centers operate in a host country. d. Working Group Four: Funding System Actions and Entities: To work with the Interim Executive Director on identifying the actions and entities to be supported through system-level investments, and a fair mechanism with the appropriate incentives for distributing responsibility for system costs. 2. The System Management Board requests the Interim Chair to confirm the final membership of each working group taking into account nominations received from System Management Board members, Active Observers, the Centers and such other persons as considered key to discussions within each group, and the desirability of working groups operating efficiently and with a manageable number of persons.	11 & 13 July 2016	Paris, France

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M1/DP10	SMB1	In-session	Nominations/ Appointments	5	1. The System Management Board notes: a. The role of the General Assembly of Centers to approve the Center representatives to serve as ex-officio non-voting members on the System Council pursuant to Article 5.6(f) of the Charter of the CGIAR System Organization. b. The Center's appointment of the inaugural members of the System Management Board (as recorded in document SMB1-03, System Management Board Members and Interim Chair) with effect from 1 July 2016. 2. The System Management Board appoints, as an interim measure pending formal commencement of operations of the General Assembly of the Centers, the following System Management Board members as the two Center ex-officio non-voting members to attend the 2nd System Council meeting in Mexico in September 2016 for and on behalf of the Centers: a. Ann Tutwiler b. Bushra Naz-Malik	11 & 13 July 2016	Paris, France
SMB/M1/EDP1	SMB1	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 1st System Management Board meeting, as set forth in document SMB/M1/12.	8 September 2016	N/A
SMB/M1/EDP2	SMB1	Electronic	Reporting	N/A	Pursuant to Article 8.(kk) of the Charter of the CGIAR System Organization, the System Management Board approves the 2015 CGIAR Research Program Portfolio Report as set out at Annex 1 to paper SMB-M1-EDP2.	8 September 2016	N/A
SMB/M2/DP1	SMB2	In-session	Agenda	1	The Board adopts the Provisional Agenda for the 2 nd System Management Board meeting (Document SMB2-01, Revision 1)	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP2	SMB2	In-session	Committees & Working Groups	1	The System Management Board ratifies the Summary of Committees and Working Groups of the System Management Board as at 1 September 2016.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP3	SMB2	In-session	Nominations/ Appointments	1	The Board: 1.Ratifies the job description for the role of Executive Director, as finalized by the CGIAR Executive Director Search Committee in February 2016; and set forth at Appendix 1 to Board meeting document SMB2-05. 2.Appoints Elwyn Grainger-Jones to serve as the Executive Director of the CGIAR System Organization for a four-year term beginning on 3 October 2016.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP4	SMB2	In-session	Programmatic Matters	2	The Board agrees to submit to the System Council a revised 2017 – 2022 CGIAR Portfolio of 11 CRPs and 3 platforms, with two flagship programs (Maize FP5 and Fish FP3) removed.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP5	SMB2	In-session	Funding Matters	4	The Board approves the carry-over guidelines and requests the System Management Office to prepare a communication note to Center Directors General about the principles and their implementation with respect to carry-over funds.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP6	SMB2	In-session	Committees & Working Groups	6	The Board appoints Ann Tutwiler, Eugene Terry, and Gordon MacNeil to serves as members of the Joint System Council/System Management Board Working Group, recognizing that the Working Group should have Board members who are independent from CRP approval process as well as those with system knowledge.	26-27 September 2016	Mexico City, Mexico
SMB/M2/DP7	SMB2	In-session	Committees & Working Groups	6	The Board agrees to set up a Working Group for dryland research program(s) and nominated Catherine Bertini, Margret Thalwitz, the System Management Office Executive Director, and Eugene Terry as Chair, to serve on the Working Group. It was agreed that the group will provide a time frame for the task at a later date.	26-27 September 2016	Mexico City, Mexico

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB-M2-EDP1	SMB2	Virtually	Reporting	n/a	Pursuant to Article 6.1 (kk) of the Charter of the CGIAR System Organization, the System Management Board approves the 2015 CGIAR Annual Report.	21 October 2016	N/A
SMB-M2-EDP2	SMB2	Virtually	Meeting Summaries	n/a	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 2nd System Management Board meeting, as set forth in document SMB2-10.	10 November 2016	N/A
SMB/M3/DP1	SMB3	In-session	Agenda	1	The System Management Board adopted the Agenda (Document SMB3-01).	1 November 2016	Virtual
SMB/M3/DP2	SMB3	In-session	Funding Matters	4	The System Management Board endorsed for proposal to the System Council for consideration, and if thought appropriate, approval of:

a. Proposed 2017 annual work plans and budgets of CGIAR System entities and actions in the total amount of US$ 16.24 million, subject to any reduction being possible to identify in the request for Window 1 funding to support internal audit costs prior to submission.

b. The financing of the 2017 System entity costs through the continued application of the CGIAR System cost sharing percentage mechanism; and

c. The disbursement to the System Organization and/or Centers of all unallocated Window 1 funds remaining in the CGIAR Fund as of 14 December 2016 as a pre-disbursement of funding to be used to support approved 2017 CGIAR Portfolio and System administrative costs. | 1 November 2016 | Virtual |
| SMB/M3/DP3 | SMB3 | In-session | Audit and Risk Matters | 5 | The System Management Board approved the appointment of PricewaterhouseCoopers ("PwC") Rome as the System Organization's external auditors for 2016 and 2017, subject to:

a. The audit fee for the 2016 external audit not exceeding €18,000; and

b. The ARC's receipt and review of a satisfactory proposal for the scope of the 2017 external audit, noting the material differences in the 2017 external audit by reason of the organization's move to IFRS for that year. | 1 November 2016 | Virtual |
SMB/M4/DP1	SMB4	In-session	Agenda	1	The Board adopted the Agenda (Document SMB4-01, Revision 1)	17 December 2016	Washington, D.C.
SMB/M4/DP2	SMB4	In-session	Meeting Summaries	1	The Board approved the Meeting Summary of the Board's 3rd Meeting, as set out in document SMB3-07.	17 December 2016	Washington, D.C.
SMB/M4/DP3	SMB4	In-session	Programmatic Matters	3	The Board endorsed a high level process for an expert review group to be formed to consider the issue of how to most appropriately formulate a submission (or submissions) to the System Council to bring the essential research questions back into the CGIAR Portfolio by 1 January 2018, the draft timetable for such process to be updated and circulated following the Board meeting to all Centers.	17 December 2016	Washington, D.C.
SMB/M4/DP4	SMB4	In-session	Committees & Working Groups	6	The Board approved the Terms of Reference of the Audit and Risk Committee, as set out in Document SMB4-06B.	17 December 2016	Washington, D.C.
SMB/M4/DP5	SMB4	In-session	Nominations/ Appointments	7	The Board endorsed the ongoing appointment of Martin Kropff as System Management Board Interim Chair until a successor is appointed.	17 December 2016	Washington, D.C.
SMB/M4/DP6	SMB4	In-session	Nominations/ Appointments	7	The Board endorsed the Interim Chair's recommendation to appoint Eugene Terry as System Management Board Vice-Chair effective immediately, and continuing until 30 June 2017.	17 December 2016	Washington, D.C.
SMB/M4/DP7	SMB4	In-session	Rules of Governance	7	The Board approved the proposed framework for the declaration of interests by Board, Committee and adhoc Working Group members, with the elements of:

a. Annual declaration: Using a pro forma to be filled in by all members;
b. In advance of each meeting: Declaration of any conflict of interest associated with the agenda items - and annotated to the Agenda if necessary. An annex of any declared conflicts to be attached to each Meeting Summary;
c. Any other time: Declaration of conflict of interest when it presents itself; and
d. The final decision on how to manage conflict of interest situations to be made by either the Board Chair and the Chair of the Audit and Risk Committee if the latter is an independent Chair. Alternatively, if this criterion cannot be met, it will be for the Board Chair and Executive Director as a non-voting member to resolve. | 17 December 2016 | Washington, D.C. |

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M4/DP8	SMB4	In-session	Rules of Governance	7	The Board endorsed the proposal of the Board's adhoc working group on Rules of Governance (as set out in Document SMB4-07a) that the General Assembly of Centers be invited to consider at its inaugural meeting on 24-25 January 2017 an amendment to the balance of independent members of the System Management Board, together with a timetable and potential process of appointment of System Management Board voting members to fill vacancies arising with effect from 1 July 2017.	17 December 2016	Washington, D.C.
SMB/M4/EDP1	SMB4	Electronic	Administrative Matters	N/A	The System Management Board endorses the Directors and Officers liability insurance policy taken out with QBE and effective 1 January 2017, in accordance with the CGIAR System Organization's Delegations of Authority document dated 26 March 2015.	20 January 2017	N/A
SMB/M4/EDP2	SMB4	Electronic	Funding Matters	N/A	Taking note of the documents included in Appendix 1 and Appendices 2.1-2.2, the System Management Board approves the following documents: 1. the Template Financial Framework Agreement Cover Pages (Appendix 2.3); 2. the Form of the Decision Letter (Appendix 2.4); and 3. the Form of the Window 3 Instruction Letter from Center to Trustee (Appendix 2.5).	22 February 2017	N/A
SMB/M4/EDP3	SMB4	Electronic	Rules of Governance	N/A	The System Management Board approves the CGIAR Framework for Declarations of Interest (version 14 February 2017).	9 March 2017	N/A
SMB/M4/EDP4	SMB4	Electronic	Administrative Matters	N/A	Pursuant to Section 8.1(u) of the Charter of the CGIAR System Organization, the System Management Board ratifies the Fund Use Agreement, dated 1 January 2017, by and among the Food and Agriculture Organization of the United Nations, for itself, on behalf of the Independent Science and Partnership Council and the Independent Evaluation Arrangement and the CGIAR System Organization.	9 March 2017	N/A
SMB/M4/EDP5	SMB4	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 4th System Management Board meeting, as set forth in document SMB4-09.	2 March 2017	N/A
SMB/M5/DP1	SMB5	In-session	Agenda	1	The Board adopted the Agenda (Document SMB5-01, Revision 1)	28-29 March 2017	Rome, Italy
SMB/M5/DP2	SMB5	In-session	Programmatic Matters	2	Subject to further revision based upon inputs from the ad hoc call with System Council members on 30 March, the Board: 1) endorsed the overall recommendation that a single proposal to be developed to take up key, prioritised research that was included in the former GLDC proposal; 2) adopted the recommendation that ICRISAT take the lead on developing that proposal, taking up the key considerations outlined by the expert panel, and according to the guidelines for full proposals and review criteria dated 19 December 2015, against which ISPC will undertake its review; and 3) emphasized the need to ensure that there is a different and more innovative and prioritized process for program design, with the engagement of all the key stakeholders.	28-29 March 2017	Rome, Italy
SMB/M5/DP3	SMB5	In-session	Funding Matters	4	The Board; 1) endorsed the approach that W1 would be allocated across all funding gaps between W2 and System Council indicative W1/2 allocations such that these are reduced by the same percentage amount until W1 funding is exhausted. This would aim to offer a middle ground between full and zero coverage of the gap with W1, thus creating some incentive for W2 fund-raising while partially helping reduce gaps for all CRPs (just at different rates); and 2) approved the 2017 CGIAR Research Financing Plan (2017 FinPlan), as amended to take into account the Board's endorsed position.	28-29 March 2017	Rome, Italy
SMB/M5/DP4	SMB5	In-session	Committees & Working Groups	8	The Board authorized the Interim Chair of the System Management Board to form a nominations committee to identify the initial members of the Board's second Standing Committee taking into consideration the outcomes of the Centers' decisions on the voting members of the System Management Board from 1 July 2017.	28-29 March 2017	Rome, Italy
SMB/M5/DP5	SMB5	In-session	Committees & Working Groups	8	In respect of the Board's ad hoc working groups, the Board: 1) Approved an extension of the term of the Resource Mobilization Working Group, subject to the adoption of a revised Terms of Reference; 2) Approved an extension of the term of the Rules of Governance Working Group until 1 July 2017; 3) Endorsed the addition of Shenggen Fan as an additional member of the Rules of Governance Working Group; 4) Approved an extension of the term of the Working Group on CGIAR Country Collaboration until 30 June 2017; and 5) Endorsed the nature and direction of the new design and plan for CGIAR Country Collaboration.	28-29 March 2017	Rome, Italy
SMB/M5/DP6	SMB5	In-session	Audit and Risk Matters	9	The Board approved the Interim Charter of the CGIAR IAU (dated 22 March 2017), for use in the period until the Internal Audit Function arrangements for the provision of assurance and advisory services to the System Council and the CGIAR System Organization are agreed between the System Council and the System Management Board, and then formally approved by the System Management Board.	28-29 March 2017	Rome, Italy
SMB/M5/EDP1	SMB5	Intersessional	Nominations/ Appointments	N/A	The System Management Board at its 5th meeting on 28-29 March 2017 in Rome adopted a process to assist in the identification of persons to serve in CGIAR senior representation roles, with the final decision to be made by the Chair of the Board. In accordance with this process, the Interim Chair has taken the decision to appoint Ann Tutwiler to serve as the CGIAR representative on the Crop Trust Executive Board for a one year term until 31 March 2018, with the then Chair of the Board to take a decision for the forthcoming year.	14 April 2017	N/A

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M6/DP1	SMB6	In-session	Agenda	1	The Board adopted the Agenda (Document SMB6-01, Revision 1)	18 April 2017	Virtual
SMB/M6/DP2	SMB6	In-session	Audit and Risk Matters	2	The Board approved the CGIAR System Organization Audited Financial Statements for the year ended 31 December 2016.	18 April 2017	Virtual
SMB/M6/EDP1	SMB6	Electronic	Administrative Matters	N/A	The System Management Board authorizes the Executive Director to enter into, on behalf of the System Organization, an agreement for the hosting of the Secretariat of the 4 per 1000 Initiative.	16 June 2017	N/A
SMB/M6/EDP2	SMB6	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 5th System Management Board meeting, as set forth in document SMB5-14.	19 June 2017	N/A
SMB/M6/EDP3	SMB6	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 6th System Management Board meeting, as set forth in document SMB6-06.	19 June 2017	N/A
SMB/M6/EDP4	SMB6	Electronic	Nominations/ Appointments	N/A	The Board endorsed the ongoing appointment of Martin Kropff as System Management Board Interim Chair until a successor is appointed.	26 July 2017	N/A
SMB/M6/EDP5A	SMB6	Electronic	Nominations/ Appointments	N/A	1. The System Management Board ratifies the continuing appointment of the following persons as members of its Audit and Risk Committee to serve in their personal capacity: a. Gordon MacNeil, SMB member, until 30 June 2018 or until a successor is appointed b. Eugene Terry, an independent member on an interim basis, until 31 October 2017 or until a successor is appointed c. Bushra Naz-Malik, a Center Board of Trustee member, until 31 December 2017 or until a successor is appointed d. Bob Semple, a Center Board of Trustee member, until 31 December 2017 or until a successor is appointed 2. The System Management Board requests the Audit and Risk Committee to undertake a process to search for, and recommend to the Board for appointment of, an external independent person with no affiliation to the CGIAR System for the three years prior to his/her nomination for appointment to the Audit and Risk Committee. 3. The System Management Board requests the Audit and Risk Committee Chair, Bushra Malik, to make enquiries of, and recommend to, the Board for approval, the appointment of two additional SMB members to serve as members of the ARC, one of whom shall be a Center Board of Trustee member and the other person shall be one of the independent members of the SMB.	26 July 2017	N/A
SMB/M6/EDP5B	SMB6	Electronic	Policies and procedures	N/A	The System Management Board approves the payment of an honorarium to members of the Board's Standing Committees who are not also System Management Board members of US$ 14,000 (pro-rated for the period served in any calendar year). Such honorarium covers all duties in respect of membership of the Committee, including any requested attendance at in-person meetings.	26 July 2017	N/A
SMB/M6/EDP6	SMB6	Electronic	Reporting	N/A	The System Management Board endorses the CGIAR Financial Report for Year 2016.	26 July 2017	N/A
SMB/M6/EDP7	SMB6	Electronic	Evaluation	N/A	The System Management Board endorses the Management Response to the IEA's evaluation of Gender: Volume I – Gender in Research	26 July 2017	N/A
SMB/M6/EDP8	SMB6	Electronic	Evaluation	N/A	The System Management Board endorses the Management Response to the IEA's evaluation of Gender: Volume II – Gender in the Workplace	26 July 2017	N/A
SMB/M6/EDP9	SMB6	Electronic	Evaluation	N/A	The System Management Board endorses the Management Response to the IEA's evaluation of Genebanks CRP.	26 July 2017	N/A
SMB/Retreat-Aug2017/DP1	SMB Retreat 26 Aug 2017	In-session	Agenda	1	The Board adopted the retreat Agenda (SMB-Aug2017-Retreat_ProvisionalAgenda)	26 August 2017	Amsterdam, Netherlands
SMB/Retreat-Aug2017/DP2	SMB Retreat 26 Aug 2017	In-session	Nominations/ Appointments	2	Pursuant to Article 7.3 of the Charter, the System Management Board appointed Marco Ferroni to serve as Chair of the System Management Board from 1 September 2017 until 30 June 2019.	26 August 2017	Amsterdam, Netherlands
SMB/Retreat-Aug2017/DP3	SMB Retreat 26 Aug 2017	In-session	Nominations/ Appointments	2	The Board approved the Terms of Reference of the Chair of the System Management Board as set out in Retreat document 1 (18 August 2017).	26 August 2017	Amsterdam, Netherlands
SMB/M6/EDP10	SMB6	Electronic	Reporting	N/A	Pursuant to Article 8(kk) of the Charter of the CGIAR System Organization, the System Management Board endorses the 2016 CGIAR Research Program Portfolio Report.	31 August 2017	N/A
SMB/M6/EDP11	SMB6	Electronic	Funding Matters	N/A	The Board decided that the Crop Trust should not be exempted from paying Cost Sharing Percentage (CSP) on endowment funds.	8 September 2017	N/A
SMB/M6/EDP12	SMB6	Electronic	Reporting	N/A	The System Management Board endorses the 2016 CGIAR Annual Report.	3 October 2017	N/A
SMB/M7/DP1	SMB7	In-session	Agenda	1	The Board adopted the Agenda (Document SMB7-01, Issued 20 September)	27 September 2017	Virtual

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M7/DP2	SMB7	In-session	Programmatic Matters	3	The Board endorsed the GLDC proposal and agreed to recommend the proposal to the System Council for inclusion in the 2017-2022 CGIAR Portfolio for the period 2018-2022 on the basis that the proposal meets the required technical standard of a CGIAR Research Program.	27 September 2017	Virtual
SMB/M7/EDP1	SMB7	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 7th System Management Board meeting, as set forth in document SMB7-10.	20 November 2017	N/A
SMB/M7/EDP2	SMB7	Electronic	Policies and procedures	N/A	The Board approved the two draft CGIAR statements for Treaty Meeting, Kigali, 30 October - 3 November 2017: - Draft Statement re. 'Report on the Practice of the CGIAR Centers for Plant Genetic Resources for Food and Agriculture under Development' - Draft opening CGIAR statement for opening session of the GB7	25 October 2017	N/A
SMB/M8/DP1	SMB8	In-session	Agenda	1	The Board adopted the meeting Agenda (SMB8-01, 11 December 2017).	11-12 December 2017	Washington, D.C.
SMB/M8/DP1A	SMB8	In-session	Funding Matters	3	The Board endorsed the overall direction of the 2018/2019 CGIAR Resource Mobilization Work Plan (document SMB8-09A), recommending that as the System Management Office moves forward with implementation of the work plan, the following are addressed: 1. Provide greater definition of the objectives of resource mobilization actions, particularly articulating the nature of those efforts targeted at the System level to clarify the respective roles of CGIAR entities in the various activities; 2. Outline further details on the level of investment and instruments to be applied to resource mobilization efforts with existing compared to potential new Funders to the System; and 3. Further prioritization of resource mobilization efforts where possible.	11-12 December 2017	Washington, D.C.
SMB/M8/DP2	SMB8	In-session	Workplans	4	The Board endorsed the 2018 Workplan for the System Management Office (meeting document (SMB8-10).	11-12 December 2017	Washington, D.C.
SMB/M8/DP3	SMB8	In-session	Evaluation	7	Pursuant to Article 8.1(tt) of the Charter of the CGIAR System Organization, the Board endorsed commentaries on the following independent evaluations and reviews for submission to the System Council: a. Evaluation of Partnerships in CGIAR – October 2017 (SMB8-04D) b. Evaluation of Capacity Development Activities of CGIAR – October 2017 (SMB8-04E) c. Review of CGIAR Intellectual Assets Principles – October 2017 (SMB8-08A)	11-12 December 2017	Washington, D.C.
SMB/M8/DP4	SMB8	In-session	Nominations/ Appointments	7	The Board appointed Geoff Hawtin as the System Management Board's Gender Champion in response to the April 2017 Evaluation of Gender in CGIAR, and requested that he develop recommendations on the scope and areas of focus of the role and potential strategies to advance gender and broader diversity matters in the CGIAR System.	11-12 December 2017	Washington, D.C.
SMB/M8/DP5	SMB8	In-session	Evaluation	7	The Board endorsed the proposal to strategically incorporate evaluation recommendations relevant to the Board's mandate into the multi-year business cycle under development by the System Management Office.	11-12 December 2017	Washington, D.C.
SMB/M8/DP6	SMB8	In-session	Funding Matters	8	The Board: 1. Endorsed the 2018 CGIAR Portfolio Financing Plan ('2018 FinPlan') (meeting document SMB8-06) for submission to the System Council for approval, subject to a minor clarification in language in paragraph 12; and 2. Took note that should the System receive any additional 2017 Window 1 financial contributions beyond 2017 FinPlan expectations, such additional funds would deliver a positive benefit for the 2018 FinPlan, which would require flexibility being included in the 2018 FinPlan that is presented to the System Council for approval on an electronic no-objection basis in line with decisions taken by the System Council at its 5th meeting.	11-12 December 2017	Washington, D.C.
SMB/M8/DP7	SMB8	In-session	Reporting	9	The Board approved the 2016 CGIAR Intellectual Assets Management Report (SMB8-08C).	11-12 December 2017	Washington, D.C.
SMB/M8/DP8	SMB8	In-session	Policies and procedures	11	The Board approved the IFRS Compliant CGIAR Financial Reporting Guidelines (SMB8-02G) to replace the CGIAR Accounting Policies and Reporting Practices Manual, Financial Guidelines series, No. 2., noting that the opportunity exists to reach consensus on areas where there are currently differences in practice, to better facilitate comparable financial reporting across the System.	11-12 December 2017	Washington, D.C.

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M8/DP9	SMB8	In-session	Audit and Risk Matters	11	The Board approved: a. Based on the System Council's November 2017 approval of a Risk Management Framework of the CGIAR System, the Risk Management Guidelines of the CGIAR System (meeting document SMB8-11C); and b. Pursuant to Article 8.1(i) of the Charter of the CGIAR System Organization, the Terms of Reference for, and the process for fulfilling the CGIAR System Internal Audit Function (meeting document SMB8-11D).	11-12 December 2017	Washington, D.C.
SMB/M8/DP10	SMB8	In-session	Audit and Risk Matters	11	Subject to subsequent approval of the General Assembly of the Centers, the Board endorsed the System Council's 10 November 2017 decision to amend the CGIAR System Framework as indicated in the following extracted Articles to strengthen clarity in the role of the System Council regarding oversight of internal audit matters: 8.2(a) an Assurance Oversight Committee *[DELETE Audit and Risk Committee]* , with a majority of independent members, the purpose of which shall be to provide: The System Council with assurance of the completeness and effectiveness of the Internal Audit Function and the independence of external audit functions; a structured reporting line between internal and external auditors and the System Council; and oversight of system-wide governance, risk management and internal controls *[DELETE 6.1(j) Review findings and follow-up emanating from the Internal Audit Function]*	11-12 December 2017	Washington, D.C.
SMB/M8/DP11	SMB8	In-session	Performance Assessment	11	The Board endorsed an assessment approach of the Executive Director to commence in January 2018 for the 2017 performance year (meeting document SMB8-12A).	11-12 December 2017	Washington, D.C.
SMB/M8/DP12	SMB8	In-session	Performance Assessment	11	The Board endorsed a self-assessment process for the Board for the period July 2017-June 2018 as set out in meeting document SMB8-12B, and requested that the Chair of the Board's adhoc Rules of Governance Working Group support implementation of the Board's decision.	11-12 December 2017	Washington, D.C.
SMB/M8/DP13	SMB8	In-session	Nominations/ Appointments	12	The Board ratified the continuing appointment of the following persons as members of its Audit and Risk Committee to serve in their personal capacity and in good faith in the interests of the CGIAR System: a. Gordon MacNeil, SMB member, until 30 June 2018 or until a successor is appointed; b. Eugene Terry, an independent member, until 31 March 2018 or until a successor is appointed; c. Bushra Naz-Malik, a Center Board of Trustee member, until 31 March 2018 or until a successor is appointed; and d. Bob Semple, a Center Board of Trustee member, until 31 March 2018 or until a successor is appointed.	11-12 December 2017	Washington, D.C.
SMB/M8/DP14	SMB8	In-session	Committees & Working Groups	12	In respect of the Board's adhoc Rules of Governance Working Group formed in July 2016, the Board: 1. Approved an extension of its term and role until 31 December 2018; and 2. Requested that the group's membership be reconfirmed, bringing a proposal to the Board for approval if there is a proposed change in any of the group's 6 members.	11-12 December 2017	Washington, D.C.
SMB/M8/DP15	SMB8	In-session	Committees & Working Groups	12	The Board agreed that the full Board will deliver on the stated purpose of the Strategic Impact, Monitoring and Evaluation Standing Committee of the Board set out in Article 9.11(b) of the Charter of the System Organization.	11-12 December 2017	Washington, D.C.
SMB/M8/EDP1	SMB8	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approves the Meeting Summary of the 8th System Management Board meeting, as set forth in document SMB8-14.	8 February 2018	N/A
SMB/M8/EDP2	SMB8	Electronic	Evaluation	N/A	Pursuant to Article 8.1(tt) of the Charter of the CGIAR System Organization, the System Management Board endorsed the commentary on the evaluation, with minimal revisions made based on inputs by members of the System Management Board during the no-objection period to improve clarity and communication of the commentary.	8 March 2018	N/A
SMB/M8/EDP3	SMB8	Electronic	Audit and Risk Matters	N/A	Pursuant to Article 8.1(l) of the Charter of the CGIAR System Organization, the Board approved the Terms of Reference for the CGIAR Internal Audit Support Service.	8 March 2018	N/A

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M8/EDP4	SMB8	Electronic	Rules of Governance	N/A	Pursuant to the amendment provisions of Article 15.2 of the Charter of the CGIAR System Organization, and based on the prior approval of the General Assembly of Centers decided on 24 January 2018, and subject to subsequent approval by the System Council, the Board approved an amendment to Article 7.1 of the Charter as set out below in "strike-out" and bold underlined text: **Composition of the System Management Board** 7.1 The System Management Board shall comprise the following nine (9) voting members, as follows: a) ~~seven (7)~~ **six (6)** Center Board members or Directors General, as appointed by the Centers ('**Center affiliated members**"); and b) ~~two (2)~~ **three (3)** independent members, one of which should, whenever possible, be the Chair.	8 March 2018	N/A
SMB/M8/EDP5	SMB8	Electronic	Nominations/ Appointments	N/A	Pursuant to Article 8.1(g) of the Charter of the CGIAR System Organization, the Board ratifies the continuing appointment of the following persons as members of its Audit and Risk Committee to serve in their personal capacity: a. Gordon MacNeil, SMB member, until 31 August 2018 or until a successor is appointed b. Eugene Terry, an independent member, until 30 April 2018 or until a successor is appointed c. Bushra Naz-Malik, a Center Board of Trustee member, until 30 April 2018 or until a successor is appointed d. Bob Semple, a Center Board of Trustee member, until 30 April 2018 or until a successor is appointed	27 March 2018	N/A
SMB/M8/EDP6	SMB8	Electronic	Committees & Working Groups	N/A	Pursuant to Article 8.1(g) of the Charter of the CGIAR System Organization, the Board approves revisions to the Terms of Reference of the System Management Board's Audit and Risk Committee, with effect from 1 May 2018.	27 March 2018	N/A
SMB/M9/DP1	SMB9	In-session	Agenda	1	The Board adopted the Agenda (Document SMB9-01, Issued 28 March 2018)	10-11 April 2018	Montpellier
SMB/M9/DP2	SMB9	In-session	Audit and Risk Matters	7	The Board approved the CGIAR System Organization Audited Financial Statements for the year ended 31 December 2017	10-11 April 2018	Montpellier
SMB/M9/DP3	SMB9	In-session	Audit and Risk Matters	15	The Board approved the rolling workplan of the CGIAR System Internal Audit Function for 2018-2020 (as set out in meeting document SMB9-07).	10-11 April 2018	Montpellier
SMB/M9/DP4	SMB9	In-session	Audit and Risk Matters	15	The Board approved the Internal Audit Plan for the System Organization 2018-2020 (as set out in meeting document SMB9-09).	10-11 April 2018	Montpellier
SMB/M9/DP5	SMB9	In-session	Committees & Working Groups	15	The Board: i. Approved concluding the term of the System Management Board's ad hoc Working Group on CGIAR Country Collaboration with immediate effect on the basis that it has served its purpose. ii. Endorsed the proposal that the implementation of CGIAR Country Collaboration moving forward be coordinated by the System Management Office, building on key principles as articulated in the summarized recommendations of the Working Group (in Annex I of meeting document SMB9-10).	10-11 April 2018	Montpellier
SMB/M9/DP6	SMB9	In-session	Policies and procedures	15	The Board approved the System Management Board Delegations of Authority Policy (as set out in meeting document SMB9-11).	10-11 April 2018	Montpellier
SMB/M9/DP7	SMB9	In-session	Policies and procedures	15	The Board approved the CGIAR System Management Board Travel Policy (as set out in meeting document SMB9-12) .	10-11 April 2018	Montpellier
SMB/M9/DP8	SMB9	In-session	Nominations/ Appointments	16	The System Management Board: 1. Expressed its appreciation to the following two persons for their service on the System Management Board's Audit and Risk Committee (ARC): • Bushra Malik, term ending 30 April 2018 • Eugene Terry, term ending 30 April 2018 2. Appointed the following persons as members of the ARC to serve in their personal capacity, for the following terms or until their successor is appointed: • Bob Semple, for a second term until 30 April 2020 • Hong Kee Yong, for an initial term until 30 April 2020 • Nancy Andrews, for an initial term until 30 April 2021 3. Taking note of the provisions of article 16 of the ARC Terms of Reference and pending the appointment of an independent member of the ARC, appointed Gordon MacNeil, SMB member and continuing ARC member, to serve as ARC Chair until 31 August 2018 or until his successor is appointed.	10-11 April 2018	Montpellier

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M9/DP9	SMB9	In-session	Policies and procedures	6	1. Noting the risk to CGIAR's reputation/ability to operate if the Governing Body in 2019 finds that CGIAR Intellectual Assets Reports do not provide adequate information, and adopts a resolution to increase the power of Secretariat and GB to require information on an ad hoc basis from CGIAR, the Board recommended that the System Organization and CGIAR Centers work together to make additional information available to the Governing Body through expanded annual Intellectual Assets reports and/or other means. 2. The Board re-affirmed the importance of the subscription system as a component of a revised multilateral system and encouraged the Article 15 CGIAR Centers to confirm if they would, in principle, be willing to become subscribers (subject to satisfactory resolution of outstanding details) and if so, make a related statement to next Working Group meeting (October 2018). 3. Noting: a. growing controversy in international fora concerning benefit sharing and genomic sequence information; and b. the risk to CGIAR's reputation if it is perceived that Centers' genomic sequencing activities are not protecting/promoting the international public goods nature of the Centers' Article 15 collections, and/or not demonstrably linked to the generation of benefits for farmers in developing countries, The Board recommended to Centers that they: i. Build in and demonstrate/communicate benefit-sharing for developing countries and farmers in genomic sequencing projects, and collectively across the CGIAR System; and ii. Revisit the guiding principles developed ad hoc in 2017; consider raising their profile (e.g., for a CGIAR statement on genomic sequence information, conservation, sustainable use and benefit sharing). 4. Noting the importance of compliance with both the ITPGRFA and the Nagoya Protocol to protect the reputation of CGIAR the Board recommended that CGIAR Centers: i. Include a statement of compliance in annual Intellectual Assets reports to the System Organization, and/or additional information on how they are complying; or ii. Consider other means of providing public assurance of compliance.	10-11 April 2018	Montpellier
SMB/M9/EDP1	SMB9	Electronic	Nominations/ Appointments	N/A	Pursuant to Article 8.1(g) of the Charter of the CGIAR System Organization, the Board appointed Clarissa van Heerden as an independent member of its Audit and Risk Committee to serve in a personal capacity for an initial term from 1 August 2018 until 31 July 2020, or until a successor is appointed.	10 July 2018	N/A
SMB/M9/EDP2	SMB9	Electronic	Policies and procedures	N/A	Pursuant to Article 8.1(r) of the Charter of the CGIAR System Organization, the Board endorsed the Guidelines for CGIAR Research Centers to operate in compliance with the Nagoya Protocol (2018).	10 July 2018	N/A
SMB/M9/EDP3	SMB9	Electronic	Policies and procedures	N/A	Pursuant to Article 8.1(tt) of the Charter of the CGIAR System Organization, the Board endorsed the commentary on the Review of CGIAR's Open Access/Open Data Policy and implementation support for submission to the System Council.	20 July 2018	N/A
SMB/M9/EDP4	SMB9	Electronic	Committees & Working Groups	N/A	Pursuant to Article 9.10 of the Charter of the CGIAR System Organization, the Board approved the revised Terms of Reference of the System Management Board's adhoc Working Group on Rules of Governance (as issued on 20 July 2018).	6 August 2018	N/A
SMB/M9/EDP5	SMB9	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approved the Meeting Summary of the 9th System Management Board meeting, as set forth in document SMB9-14.	1 August 2018	N/A
SMB/M9/EDP6	SMB9	Electronic	Reporting	N/A	The Board endorsed the CGIAR Financial Report for Year 2017 for submission to the System Council.	3 September 2018	N/A
SMB/M9/EDP7	SMB9	Electronic	Audit and Risk Matters	N/A	The Board approved the CGIAR System Organization Audited Financial Statements for the year ended 31 December 2017 (as reissued on Friday 17 July 2018).	3 September 2018	N/A
SMB/M9/EDP8	SMB9	Electronic	Nominations/ Appointments	N/A	Pursuant to Article 8.1(g) of the Charter of the CGIAR System Organization, the Board ratifies the continuing appointment of Gordon MacNeil as a member and Chair of its Audit and Risk Committee to serve in his personal capacity for a term until 31 August 2019.	19 September 2018	N/A
SMB/M10/DP1	SMB10	In-session	Agenda	1	The Board adopted the Agenda (meeting document SMB10-01, issued 18 September 2018).	26-27 September 2018	Nairobi
SMB/M10/DP2	SMB10	In-session	Nominations/ Appointments	3	The Board appointed Claudia Sadoff as the CGIAR System Management Board's second Gender Champion, to join forces with Geoff Hawtin who was appointed in December 2017	26-27 September 2018	Nairobi
SMB/M10/DP3	SMB10	In-session	Programmatic Matters	3	The Board considered a proposal received from CIAT for the potential addition of a common bean flagship into the Grain Legumes and Dryland Cereals CRP. The Board recommended the proposal for submission to the System Council including a statement summarizing the key rationale for its position.	26-27 September 2018	Nairobi
SMB/M10/DP4	SMB10	In-session	Administrative Matters	10	The Board endorsed the creation of a CGIAR Rome Hub and invited initiating Centers to consult across Centers to solicit the scope of interest, facilitated by the System Organization if required.	26-27 September 2018	Nairobi

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M10/DP5	SMB10	In-session	Administrative Matters	10	For the inaugural Business Plan period, the Board reinforced Montpellier, France as the System Organization's Headquarters, whilst also agreeing to a more geographically distributed business model that enables some Rome Hub participation.	26-27 September 2018	Nairobi
SMB/M10/DP6	SMB10	In-session	Performance Assessment	8	The Board endorsed the proposed assessment process for the period July 2017-August 2018.	26-27 September 2018	Nairobi
SMB/M10/DP7	SMB10	In-session	Policies and procedures	8	The Board approved the following changes to the Board's Rules of Procedure: Article 9.2(a): The summary of the Board meeting shall be prepared and communicated as follows: i. The Board Secretary shall prepare a draft 'Chair's Summary' of each meeting of the Board within ~~ten (10) business days of the closure of the meeting~~ ten business days of the end of the week of the meeting. That summary shall include decisions taken, actions agreed and key issues raised. There shall be no verbatim records of meetings. ii. The draft summary shall be sent to all voting members of the Board for review and comment within ~~seven (7)~~ five (5) business days after distribution of the draft summary. Should no substantive inputs be received, the summary will be taken as approved. The Board's approval of any substantive edits will be sought within a two (2) business day period. iii. ~~The Board Secretary prepares a revised summary of the meeting taking into account any comments received and sends the revised summary to the voting members of the Board for approval on a no objection basis, copying all other meeting participants for their information, within seven (7) business days after distribution of the revised summary.~~ iv. The Board Secretary shall ensure that the approved Chair's Summary is posted on the CGIAR public website within 24 hours of the Board's approval. ~~a summary of the decisions taken at the Board meeting is posted on the website of the CGIAR System Organization within three (3) business days of the closure of the meeting.~~	26-27 September 2018	Nairobi
SMB/M10/DP8	SMB10	In-session	Reporting	9	The Board endorsed the CGIAR System Annual Performance Report on 2017 (incorporating the 2017 Intellectual Assets Report), expressing appreciation for the achievement of presenting aggregated data in a comprehensive way for the first time.	26-27 September 2018	Nairobi
SMB/M10/EDP1	SMB10	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approved the Meeting Summary of the 10th System Management Board meeting, as set forth in document SMB10-08	29 October 2018	N/A
SMB/M11/DP1	SMB11	In-session	Agenda	1	The Board adopted the Agenda (meeting document SMB11-01, issued 19 October 2018)	25 October 2018	Virtual
SMB/M11/DP2	SMB11	In-session	Business Plan	3	The Board endorsed "Strengthening the partnership, creating impact: CGIAR System 3-Year Business Plan (2019-2021)" for submission to the System Council, subject to editorial edits to be taken up.	25 October 2018	Virtual

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M11/DP3	SMB11	In-session	Committees & Working Groups	4	The Board: a. Endorsed the option presented by the Gender Equality and Diversity Reference Group that a call for proposals be issued for a "Gender Equality in Agriculture Research Platform", which would respond to the following: i. Overall the Platform should demonstrate CGIAR as a clear steward of its gender research work ii. The Platform should address the strategic and operational objectives outlined in the business case. iii. Proposals should be submitted by a CGIAR Center as Lead Center, which would carry overall fiduciary and programmatic responsibility for the Platform vis-a-vis the System Organization, and which would preferably work in partnership with at least 1 additional CGIAR Center iv. Platform Director with senior-level gender expertise v. Platform should be hosted by a CGIAR Center, preferably in a multi-Center location (not necessarily in Lead Center HQ) vi. Arrangements for Platform activities should be clearly articulated in the proposal, and should maximize involvement of other CGIAR entities vii. Additional governance through advisory body with a balance of perspectives from within CGIAR and from outside. viii. Adequate funding to be provided via the FinPlan with a resource mobilization strategy to outline additional fundraising by the Platform, plus a strategy for using funding to support wider gender research ix. Platform should have specific mechanisms for engaging gender researchers including building on positive activities undertaken currently by the Gender collaborative platform and ensure robust mechanisms for monitoring and reporting at System-level. x. A clear plan to outline necessary transition between current and future structures. b. Recommended that the call target a November 2019 System Council approval of a proposal, for a Research Platform to commence from January 2020.	25 October 2018	Virtual
SMB/M11/DP4	SMB11	In-session	Committees & Working Groups	4	The Board endorsed the recommendation from the Gender Equality and Diversity Reference Group that additional staffing capacity be secured to support delivery on the following SMB and Center-endorsed actions which are included in the 2019-2021 CGIAR System Business Plan: • Developing and implementing a 'Gender, Diversity and Inclusion Framework'; • Developing and implementing the 'CGIAR People Strategy'; and • Guiding the implementation of newly developed shared policies, guidelines and tools on (i) safeguarding, (ii) anti-bullying and harassment, (iii) whistleblowing and whistleblower protection, as well as a System Ethical Conduct Framework	25 October 2018	Virtual
SMB/M11/DP5	SMB11	In-session	Programmatic Matters	5	The Board endorsed the draft implementation plan for the Funders "Crops to End Hunger" initiative for submission to the System Council for their inputs.	25 October 2018	Virtual
SMB/M11/DP6	SMB11	In-session	Funding Matters	6	The Board endorsed the draft 2019-2021 CGIAR Research Financing Plan ('2019-2021 FinPlan') for submission to the System Council for their inputs.	25 October 2018	Virtual
SMB/M11/DP7	SMB11	In-session	Funding Matters	7	The Board agreed on the importance of putting forward the concept of an Alliance Opportunity Fund to the System Council for strategic input and recommended that the paper better articulate the rationale for its creation.	25 October 2018	Virtual
SMB/M11/EDP1	SMB11	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approved the Meeting Summary of the 11th System Management Board meeting, as set forth in document SMB11-07	6 November 2018	N/A
SMB/M11/EDP2	SMB11	Electronic	Administrative Matters	N/A	Pursuant to Article 5.4(a) of the Terms of Reference of the Shared Secretariat for CGIAR Advisory Services, the Board formally endorses the Job Description of the Director of the CGIAR System Advisory Services Shared Secretariat.	7 December 2018	N/A
SMB/M12/DP1	SMB12	In-session	Agenda	1	The Board adopted the Agenda (meeting document SMB12-01, issued 29 November 2018)	13 December 2018	Virtual
SMB/M12/DP2	SMB12	In-session	Programmatic Matters	2	The Board endorses the Implementation Plan for the 'Crops to End Hunger Initiative' for the period 2019-2021 with more in-depth conversations planned for the April 2019 SMB meeting.	13 December 2018	Virtual
SMB/M12/DP3	SMB12	In-session	Performance Assessment	4	The Board: i. Approved the assessment criteria for Standards 1-2 and 4-6 as set out in meeting document SMB12-05; ii. Noted the additional complexity involved in Standard 3; and endorsed the creation of a working group on Standard 3 that will put forward revised metrics for approval by the Board at its 13th meeting (2-4 April 2019).	13 December 2018	Virtual

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M12/DP4	SMB12	In-session	Audit and Risk Matters	7	The Board approved the 3-year rolling internal audit plan for the CGIAR System Organization for the period 2019-2021 as set out in meeting document SMB12-06a	13 December 2018	Virtual
SMB/M12/DP5	SMB12	In-session	Audit and Risk Matters	7	The Board approved the 3-year risk-based rolling internal audit plan for the CGIAR System for the period 2019-2021 as set out in meeting document SMB12-06b	13 December 2018	Virtual
SMB/M12/DP6	SMB12	In-session	Reporting	8	The Board endorsed the development of an annual CGIAR Integrity Report to be placed on www.cgiar.org to support transparency and demonstrate maturity of reporting.	13 December 2018	Virtual
SMB/M12/DP7	SMB12	In-session	Funding Matters	9	The Board approved the 2019-2021 CGIAR Research Financing Plan for submission to the System Council for endorsement.	13 December 2018	Virtual
SMB/M12/DP8	SMB12	In-session	Workplans	11	The Board approves the 2019-2021 Workplan and Budget for the System Management Office.	13 December 2018	Virtual
SMB/M12/DP9	SMB12	In-session	Rules of Governance	13	The System Management Board approves the nomenclature and other consequential amendments to the following documents arising from changes in the System's advisory bodies, as set out in the Annexes of meeting document SMB12-10: - CGIAR System Framework - Charter of the CGIAR System Organization - Policy on CGIAR System Cost Financing	13 December 2018	Virtual
SMB/M12/DP10	SMB12	In-session	Administrative Matters	13	The System Management Board approves the proposed record retention periods for System Management Board materials, as set out in document SMB12-11.	13 December 2018	Virtual
SMB/M12/EDP1A	SMB12	Electronic	Nominations/ Appointments	N/A	The System Management Board Chair appointed Barbara Wells as CGIAR's representative on the Crop Trust Executive Board for the 2019 calendar year (noting that she plans to stand down from the AIARC Board as soon as a smooth transition can be assured and by not later than 30 June 2019). [Note August 2019: Barbara will stand down from the AIARC Board in its November 2019 meeting]	21 December 2018	N/A
SMB/M12/EDP1B	SMB12	Electronic	Meeting Summaries	N/A	Pursuant to Article 9.7 of the Charter of the CGIAR System Organization, the System Management Board approved the Meeting Summary of the 12th System Management Board meeting, as set forth in document SMB12-12	8 January 2019	N/A
SMB/M12/EDP2	SMB12	Electronic	Audit and Risk Matters	N/A	Pursuant to Article 8.1(o) of the Charter of the CGIAR System Organization, the System Management Board approves the appointment of PwC Marseille to undertake the external audit of the annual financial statements of the CGIAR System Organization for the year ended 31 December 2018.	15 February 2019	N/A
SMB/M12/EDP3	SMB12	Electronic	Administrative Matters	N/A	A. The System Management Board amends paragraph 3.2.1 of Part A of the Personnel Policy Manual as set out in the line-marked paragraph below: 3.2.1 The System Organization is committed to ensuring a safe and secure work environment and has zero-tolerance for bullying, harassment, exploitation, and abuse. Discrimination of any kind, This environment shall be free of mental, verbal, sexual or any other form of harassment and discrimination, whether on the basis of race, national origin, religion, gender or any other form of personal identity (see Section 16) is unacceptable behavior and will be addressed using established disciplinary measures if necessary. The System Organization is also committed to ensuring the protection of children and vulnerable adults and fostering a workplace of dignity, where all staff treat others with respect as a person, regardless of individual and/or cultural differences. B. The Board approves this decision on an expedited basis.	13 March 2019	N/A
SMB/M13/DP1	SMB13	In-session	Agenda	1	The Board adopted the Agenda (meeting document SMB13-01, issued 12 March 2019).	2-3 April 2019	Montpellier
SMB/M13/DP2	SMB13	In-session	Programmatic Matters	2	The Board agreed that a CGIAR digital strategy needs to be developed, identifying CGIAR's comparative advantage relating to big data. This will involve making 'deep dives' as required in relation to a number of the strategy's dimensions and the Platform for Big Data in Agriculture is encouraged to engage with the System Management Office to ensure relevant matters are addressed appropriately.	2-3 April 2019	Montpellier
SMB/M13/DP3	SMB13	In-session	Intellectual Assets	4	The Board requested Centers to: i. Bring disclosures up to date related to Limited Exclusivity Agreements, Restricted Use Agreements and Intellectual Property Rights applications mentioned in recent annual CGIAR Intellectual Assets Management Reports by the end of July 2019; ii. Provide requisite information to the System Management Office.	2-3 April 2019	Montpellier

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M13/DP4	SMB13	In-session	Programmatic Matters	6	The Board approved the call for proposals for a Gender Equality in Food Systems Research Platform to be issued by 5 April 2019.	2-3 April 2019	Montpellier
SMB/M13/DP5	SMB13	In-session	Strategic Planning	7	The Board, noting that the successful delivery of the 2030 Plan will be underpinned by broad consultation across System stakeholders, endorsed the Roadmap for the development of the scientific elements of the new research portfolio for the 2030 Plan as set out in meeting document SMB13-05.	2-3 April 2019	Montpellier
SMB/M13/DP6	SMB13	In-session	Diversity and Inclusion	8	The Board adopted the minimum target of 35% representation of women in professional roles by end-2020 for CGIAR Centers and the System Organization collectively.	2-3 April 2019	Montpellier
SMB/M13/DP7	SMB13	In-session	Diversity and Inclusion	8	The Board recognized that: i. A gender balance of 40/40/20 (meaning a minimum of 40% male and minimum 40% female, with the remaining 20% to provide flexibility across genders) is a medium-to long-term aspiration for consideration in the context of the 2030 Plan. ii. Gender, diversity and inclusivity are separate, albeit related workstreams. iii. Gender, diversity and inclusivity are cultural issues above all and that it is incumbent on the System, as a global movement, to take a lead in bringing about change, by promoting constructive and proactive thinking about gender balance, diversity and inclusivity within the System. This means challenging stereotypes, assumptions and preconceptions, encouraging appropriate use of language and ways of framing issues, while acknowledging the need to be realistic about the constraints and requirements of operating in certain environments in terms of the System's commitment to ensure staff safety and wellbeing.	2-3 April 2019	Montpellier
SMB/M13/DP8	SMB13	In-session	Funding Matters	11	The Board endorsed the proposed option 1 set out in Appendix 1 of meeting document SMB13-09 for routing SPIA funding of US$ 1.3M in 2019 through a new module 'Improving and institutionalizing collection of data' to be administratively assigned to the Big Data platform.	2-3 April 2019	Montpellier
SMB/M13/DP9	SMB13	In-session	Policies and procedures	12	The Board approved the CGIAR System Cost Principles and Indirect Cost Guidelines as set out in meeting document SMB13-10.	2-3 April 2019	Montpellier
SMB/M13/DP10	SMB13	In-session	Policies and procedures	12	The Board approved the System Organization Personnel Policy Manual – Part A amendments as set out in meeting document SMB13-11.	2-3 April 2019	Montpellier
SMB/M13/DP11	SMB13	In-session	Committees & Working Groups	12	The Board approved the revised terms of reference for its Rules of Governance Working Group as set out in meeting document SMB13-12.	2-3 April 2019	Montpellier
SMB/M13/EDP1	SMB13	Electronic	Funding Matters	N/A	The Board endorsed the following materials for submission to the System Council for approval at its 8th meeting: -Proposal for a Funding support module for the Crops to End Hunger Initiative as part of the Excellence in Breeding Platform -W1 Special Alliance Fund: Center Alliances	29 April 2019	N/A
SMB/M13/EDP2	SMB13	Electronic	Audit and Risk Matters	N/A	The Board approved the CGIAR System Organization Audited Financial Statements for the year ended 31 December 2018	6 May 2019	N/A
SMB/M13/EDP3	SMB13	Electronic	Meeting Summaries	N/A	The Board approved the Chair's Summary of the 13th System Management Board meeting, as set forth in meeting document SMB13-14	3 May 2019	N/A
SMB/M13/EDP4	SMB13	Electronic	Funding Matters	N/A	The Board endorsed the System Council's 15-16 May 2019 decision to approve, as amendments to Article 3 and Annex B of the CGIAR System Framework, up to five temporary additional Funder voting seats on the System Council subject to meeting agreed criteria	18 July 2019	N/A
SMB/M13/EDP5	SMB13	Electronic	Nominations/ Appointments	N/A	The Board: 1. Expressed its appreciation to Gordon MacNeil for his service on the System Management Board and its Audit and Risk Committee since its formation in July 2016, including in the role of ARC Chair since July 2018. 2. Appointed Hilary Wild, Center-affiliated SMB member, to serve as a member of the ARC for an initial term from 1 September 2019 to 31 August 2021, or until such time as her successor is appointed. 3. Extended the term of Clarissa van Heerden, independent member of the ARC, until 31 August 2021 or until such time as her successor is appointed and, pursuant to paragraph 15 of the Terms of Reference of the ARC, designated her as ARC Chair with effect from 1 September 2019.	13 August 2019	N/A
SMB/M13/EDP6	SMB13	Electronic	Nominations/ Appointments	N/A	Pursuant to Article 7.3 of the Charter, the System Management Board re-appointed Marco Ferroni to serve as Chair of the System Management Board with effect from 1 September 2019 until the latter of 30 November 2021, or until his successor is appointed.	22 August 2019	N/A
SMB/M13/EDP7	SMB13	Electronic	Audit and Risk Matters	N/A	Pursuant to Article 8.1(o) of the Charter of the CGIAR System Organization, the System Management Board approved the appointment of PwC France to undertake the external audit of the 2019 annual financial statements of the CGIAR System Organization.	2 September 2019	N/A
SMB/M13/EDP8	SMB13	Electronic	Intellectual Assets	N/A	The System Management Board endorsed the 2018 CGIAR Intellectual Assets Management Report.	15 October 2019	N/A
SMB/M14/DP1	SMB14	In-session	Agenda	1	The System Management Board adopted the Agenda (meeting document SMB14-01, issued on 5 September 2019).	3 October 2019	Rome, Italy

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M14/DP2	SMB14	In-session	Programmatic Matters	4	With a quorum present, and taking into account declared conflicts of interest, the System Management Board delegated decision-making on SMB14 agenda item 4 to the three independent voting members of the System Management Board, for whom there was no perceived conflict of interest. Those members unanimously agreed to recommend to the System Council the 'GENDER' proposal, with a request that the proponents prepare for submission to the System Council by 29 October 2019, an updated cover letter and transition plan that lays out the means and process for a wider set of activities to be incorporated that include all Centers and the most strategic elements from the ENGAGE proposal. The System Management Board reinforced the need for strong leadership in the role of platform director, to ensure strong delivery from commencement. In recognition of CGIAR's strong community of researchers and partners that wish to collaborate on gender research, and in recognition of the ambition of the CGIAR System to elevate CGIAR's efforts, the System Management Board will also recommend in an updated FinPlan for 2020-2021 for the elevated gender platform to receive an increase in funding through window 2 allocations, beyond the US$ 2 million already budgeted.	3 October 2019	Rome, Italy
SMB/M14/DP3	SMB14	In-session	Reporting	5	The System Management Board endorsed the 2018 CGIAR Performance Report for release and presentation to the System Council, as set out in meeting document 14-05b.	3 October 2019	Rome, Italy
SMB/M14/DP4	SMB14	In-session	Reporting	5	The System Management Board endorsed a clarification on the information flows and roles regarding the 2019 Pilot Assessment of the 2019-2021 Program Performance Standards, as set out in meeting document 14-05c, further to its decision SMB/M12/DP3 taken on 13 December 2018.	3 October 2019	Rome, Italy
SMB/M14/DP5	SMB14	In-session	Reporting	6	The System Management Board endorsed the CGIAR Financial Report for Year 2018 for submission to the System Council as set out in meeting document SMB14-06a.	3 October 2019	Rome, Italy
SMB/M14/DP6	SMB14	In-session	Funding Matters	6	The System Management Board approved the application procedures and progress reporting standards for the Window 1 Special Alliance Fund as set out in meeting document SMB14-06b.	3 October 2019	Rome, Italy
SMB/M14/DP7	SMB14	In-session	Reporting	6	The System Management Board endorsed the Financial Management Standard assessment criteria as set out in meeting document SMB14-06c.	3 October 2019	Rome, Italy
SMB/M14/DP8	SMB14	In-session	Policies and procedures	6	The System Management Board endorsed the CGIAR Ethics Framework for presentation to the System Council for approval as set out in meeting document SMB14-06d.	3 October 2019	Rome, Italy
SMB/M14/DP9	SMB14	In-session	Policies and procedures	6	The System Management Board amended Part A of the Personnel Policy Manual as set out in Annex 1 of meeting document SMB14-06e, and noted the amendments made to Part B of the Personnel Policy Manual as set out in Annex 2 of the same.	3 October 2019	Rome, Italy
SMB/M14/DP10	SMB14	In-session	Policies and procedures	6	The System Management Board amended its Rules of Procedure as set out in meeting document SMB14-06f.	3 October 2019	Rome, Italy
SMB/M14/EDP1	SMB14	Electronic	Meeting Summaries	N/A	The System Management Board approved the Chair's Summary of the 14th System Management Board meeting, as set forth in meeting document SMB14-07	18 October 2019	N/A
SMB/M14/EDP2	SMB14	Electronic	Intellectual Assets	N/A	The System Management Board ratified the approval by the Article 15 Center Directors General dated 9 October 2019 of the positions that are proposed to be advanced by CGIAR in two upcoming intergovernmental negotiating meetings (namely, the Plant Treaty's Working Group to Enhance the Functioning of the Multilateral System of Access and Benefit-sharing, 23-26 October 2019, and the Plant Treaty's Governing Body, 11-16 November 2019), as set out in the decision materials.	18 October 2019	N/A
SMB/M14/EDP3	SMB14	Electronic	Policies and procedures	N/A	Pursuant to Article 8.1 (r) of the Charter of the CGIAR System Organization, the System Management Board approves the CGIAR System Organization Risk Management Policy.	7 November 2019	N/A
SMB/M15/DP1	SMB15	In-session	Agenda	1	The System Management Board adopted the Agenda (meeting document SMB15-01, the contents of which were communicated on 18 October 2019 pursuant to the Board's Rules of Procedures on the calling of extraordinary meetings of the Board).	28 October 2019	N/A
SMB/M15/DP2	SMB15	In-session	Strategic Planning	2	Noting the agreed position of the System Management Board ('Board') at its 14th meeting that the final System Reference Group ('SRG') recommendations should come back to the Board for formal endorsement prior to submission to the System Council, and that the Board members have actively participated in the SRG process, the Board: a. supports the SRG recommendations towards the One CGIAR approach as set out in the 25 October version; b. recognizes that the System Council may further shape the recommendations at its meeting in November 2019; c. understands the need for a sound and detailed implementation plan including communication processes that is founded on adaptive management principles to take One CGIAR forward on the time-sensitive path based on System Council endorsement; and d. stands ready to oversee the development and roll-out of such implementation plan.	28 October 2019	N/A
SMB/M15/EDP1	SMB15	Electronic	Meeting Summaries	N/A	The System Management Board approved the Chair's Summary of the 15th System Management Board meeting, as set forth in meeting document SMB15-05	12 November 2019	N/A
SMB/M16/DP1	SMB16	In-session	Agenda	1	The System Management Board adopted the Agenda (meeting document SMB16-01, Revision 1, issued on 14 January 2020)	28-29 January 2020	Amsterdam, Netherlands

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M16/DP2	SMB16	In-session	Funding Matters	4	The System Management Board: a. Approved the allocations of funds for Centers engaged in merger and alliance activities from the Window 1 Fund set up for that purpose and the bilateral grant funded by the Bill & Melinda Gates Foundation as follows: i. CIFOR/ICRAF: US$ 254,850 ii. Alliance of Bioversity and CIAT: US$ 1,366,148 iii. AfricaRice/IRRI: US$ 379,002 b. Proposed that a broader-scope One CGIAR transition fund be established for future support to such activities with criteria for the allocation of those funds to be approved by the SMB. *It is noted that SMB members affiliated with Centers who had applied for funding recused themselves from discussion and decision-making on this item.*	28-29 January 2020	Amsterdam, Netherlands
SMB/M16/DP3	SMB16	In-session	Funding Matters	5	The System Management Board endorsed the guidance note and 2020-2021 Revised CGIAR Research Financing Plan (as set out in meeting document SMB16-05) for distribution to the System Council for approval.	28-29 January 2020	Amsterdam, Netherlands
SMB/M16/DP4	SMB16	In-session	Policies and procedures	6	The System Management Board approved the Framework for Gender, Diversity and Inclusion in CGIAR's Workplaces and its accompanying Action Plan covering the 2-year period 2020-2021 (as set out in meeting document SMB16-06).	28-29 January 2020	Amsterdam, Netherlands
SMB/M16/DP5	SMB16	In-session	Committees & Working Groups	7	The System Management Board endorsed the establishment of a CGIAR Green Climate Fund Working Group (as set out in meeting document SMB16-07).	28-29 January 2020	Amsterdam, Netherlands
SMB/M16/DP6	SMB16	In-session	Workplans	8	Upon the recommendation of its Audit and Risk Committee, the System Management Board approved the CGIAR System Internal Audit Function rolling internal audit plan for the period 2020-2022 and associated budget.	28-29 January 2020	Amsterdam, Netherlands
SMB/M16/DP7	SMB16	In-session	Committees & Working Groups	2	The System Management Board: i. Approved the Terms of Reference for an inclusive Search Committee for the selection of a firm/consortia to serve as a Transition Program Management Unit ('TPMU') to provide professional program management services for the One CGIAR transition7. ii. Appointed the following individuals to serve on the Search Committee, each of whom bring experience in delivering and/or overseeing delivery of complex change management programs: • SMB member (Hilary Wild) • Independent Chair of the SMB's Audit & Risk Committee (Clarissa van Heerden) • Chair of the Independent Science for Development Council, and Active Observer to the SMB (Holger Meinke) • One representative from the voting members of the System Council (to be identified through consultation with the Chair of the System Council) • One representative of the CGIAR System Organization (to be nominated by the Executive Director of the System Organization) iii. Requested the Search Committee, once formed, to self-select a Chair, and for the Chair, on behalf of the Committee, to report on the outcomes of the selection process to the SMB by not later than its 17th meeting.	28-29 January 2020	Amsterdam, Netherlands
SMB/M16/EDP1	SMB16	Electronic	Meeting Summaries	N/A	The System Management Board approved the Chair's Summary of the 16th System Management Board meeting, as set forth in meeting document SMB16-10	6 February 2020	N/A
SMB/M16/EDP2	SMB16	Electronic	Committees & Working Groups	N/A	The System Management Board approved the initial membership of 6 of the One CGIAR Transition Advisory Groups to facilitate co-design work to move forward in a collaborative manner	18 February 2020	N/A
SMB/M17/DP1	SMB17	In-session	Agenda	1	The System Management Board adopted the Agenda (as set out in meeting document SMB17-02, first issued on 29 April and revised on 5 May 2020).	5-7 May 2020	N/A
SMB/M17/DP2	SMB17	In-session	Funding Matters		The System Management Board expressed its full support for the open, and full sharing of financial data and projections to help with presentation to CGIAR's funders of strategies to manage financial risks, and: a. Requested that all Centers supply the information that has been sought (refer the 20 April 2020 communication) to enable the presentation of a CGIAR response; and b. Agreed to leverage the expertise, leadership and judgment of the Center Audit Committee Chairs to ensure that any material changes in financial projections, assumptions, or mitigation strategies are duly communicated to the System Organization for incorporation in updated System-wide financial projections.	5-7 May 2020	N/A
SMB/M17/DP3	SMB17	In-session	Funding Matters	1	The System Management Board endorsed the following Covid-19 financial risk mitigation measures for further exploration and presentation to the System Council: a. W3/Bilaterals - in principle agreement on full-cost, 6 months extensions; temporary increase in Indirect Cost Rates (ICR) by 10%* in 2020; reorientation of project level outputs, deliverables and targets; b. W1/W2 - temporary increase (for 2020) of ICR by 10%; and c. Suspension of current partial de-linking rules and principles for 2020 and 2021 to facilitate Funder objectives to be explored, inclusive of a clearly documented analysis of pros and cons of any changes proposed. * Noting that an increase of 10% means from current 15% to 16.5% (by way of example)	5-7 May 2020	N/A

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M17/DP4	SMB17	In-session	Covid-19	1	The System Management Board endorsed the following approach for submission to the System Council relating to a CGIAR Covid-19 Hub: a. Be positioned within A4NH and implemented by Flagship 5 Improving Human Health which is co-implemented by IFPRI, ILRI, and the London School of Hygiene & Tropical Medicine; b. Build on the operational experience of the Anti-Microbial Resistance Hub, which is positioned in the same CRP and Flagship; c. Provide System-wide coordination on key Covid19 research initiatives, providing a 'one-stop shop' for Funders and partners to work with CGIAR; d. Coordinate critical near-term (2020-2021) work on Covid-19, across four key areas (market and nutrition driven food systems, One Health, inclusive public programs, and policies and investments), but without attempting to drive and manage all CGIAR Covid-19 related work; and e. Be funded by contributions from Funders through additional W2 contributions, and potentially play a role in supporting any broader funding initiatives by CGIAR in response to Covid-19.	5-7 May 2020	N/A
SMB/M17/DP5	SMB17	In-session	Nominations/ Appointments	2	The System Management Board: 1. Endorsed the content of the EMT candidate information pack, incorporating terms of reference for the Executive Management Team ('EMT'), with the caveat that it may be revised at any time prior to the EMT's appointment, subject to confirmation by voting members of the SMB (excluding those who are Center Directors General). 2. Approved the appointment of a seven-person Search Committee as follows: a. Chaired by SMB member, Namanga Ngongi; b. Six other members identified between the SMB Chair and the Search Committee Chair, subject to confirmation by voting members of the SMB (excluding those who are Center Directors General to mitigate perceived conflicts of interest), as follows: i. One additional SMB voting member (non-Director General) ii. Two voting members of the System Council (including Transition Consultation Forum co-chair) iii. Three broader voices, from amongst CGIAR, with possibility of former personnel; c. Suitably diverse membership; d. Delegated authority to appoint an Executive Appointment Firm to bring specialist candidate review skills (from among pre-qualified System Organization list), based on terms of reference substantially in the form of those presented to the System Management Board at SMB17. 3. Authorized the SMB Chair and Chair of the Search Committee to develop terms of reference for the Search Committee, for confirmation by voting members of the SMB (excluding those who are Center Directors General to mitigate perceived conflicts of interest).	5-7 May 2020	N/A
SMB/M17/DP6	SMB17	In-session	Nominations/ Appointments	2	The System Management Board: a. Approved the appointment of the provider recommended by the SMB's TPMU Search Committee subject to satisfactory outcome of negotiations on clarity of project delivery approach, the means of measuring success of TPMU activities and progress, confirmation of appropriate team diversity, and an agreed budget; Requested that the SMB Chair oversee the process of the System Management Office working with the provider to adjust the project delivery approach and key elements to ensure alignment to current implementation progress and priorities from the contract start date, which is preferably by not later than 1 June 2020; c. Subject to the above, authorized the Executive Director of the CGIAR System Organization to sign a contract with the provider up to a ceiling of USD 1.5m in 2020 (noting that any budget for 2021 would be a matter for consideration as part of 2021 budget process); and d. Requested that monthly project delivery updates be provided to the SMB by the Executive Director, and subsequently through the Executive Management Team on their appointment, with the Executive Director of the System Organization to be responsible for contract management in the interim.	5-7 May 2020	N/A
SMB/M17/DP7	SMB17	In-session	Programmatic Matters	2	The System Management Board endorsed for presentation to and discussion with the System Council in June 2020, the approach and timeline for development of the CGIAR high-level 2030 research strategy by 1 November 2020.	5-7 May 2020	N/A
SMB/M17/DP8	SMB17	In-session	Funding Matters	2	The System Management Board endorsed the following One CGIAR transitional funding approach for approval by the System Council: a. Repurpose the narrowly defined W1 Alliance Opportunity Fund2 to a One CGIAR Transitional Funding Initiative to support more expansive objectives of One CGIAR; b. Obtain Funder agreement to transfer $1.1M provision account balance* to the One CGIAR Transitional Funding Initiative; c. Allocate $6.5M draw down facility established in approved 2020 Financing Plan to the One CGIAR Transitional Funding Initiative; d. Establish indicative target of $40M-$70M to fund investments into One CGIAR through the One CGIAR Transitional Funding Initiative between 2021 and 2024; and e. When appointed, the One CGIAR Executive Management Team will develop business rules for investment of funds. * This is an existing funder designated contribution in support of One CGIAR transition	5-7 May 2020	N/A
SMB/M17/DP9	SMB17	In-session	Audit and Risk Matters	3	Upon the recommendation of its Audit and Risk Committee, the System Management Board approved the CGIAR System Organization Audited Financial Statements for the year ended 31 December 2019 (as set out in document SMB17-05A)	5-7 May 2020	N/A
SMB/M17/DP10	SMB17	In-session	Nominations/ Appointments	3	The System Management Board: 1. Expressed its appreciation to Bob Semple for his service on the System Management Board's Audit and Risk Committee since July 2016. 2. Appointed Hee Kong Yong, Center Audit Committee member, to continue serving as a member of the System Management Board's Audit and Risk Committee until 31 December 2020, or until such time as his successor is appointed.	5-7 May 2020	N/A
SMB/M17/DP11	SMB17	In-session	Performance Assessment	3	The System Management Board supported the proposed approach to preparing a Response Plan to the Pilot Assessment of Performance Management Standards (as set out in document SMB17-05B).	5-7 May 2020	N/A
SMB/M17/EDP1	SMB17	Electronic	Meeting Summaries	N/A	The System Management Board approved the Chair's Summary of the 17th System Management Board meeting	15 May 2020	N/A
SMB/M17/EDP2	SMB17	Electronic	Committees & Working Groups	N/A	The Terms of Reference for the System Management Board Ad-Hoc Search Committee for the inaugural One CGIAR Executive Management Team ('EMT Search Committee') are approved	18 May 2020	N/A

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SMB/M17/EDP3	SMB17	Electronic	Covid-19	N/A	Endorsement of CGIAR Covid-19 research response	22 May 2020	N/A
SMB/M17/EDP4	SMB17	Electronic	Nominations/ Appointments	N/A	Membership of the SMB Ad-Hoc Search Committee for the inaugural One CGIAR Executive Management Team: SMB affiliated members •Namanga Ngongi (Chair), appointed at SMB17 •Isatou Jallow SC voting members •Tony Cavalieri •AnnaMaria Oltorp Three other system voices •Suneetha Kadiyala •Karen Brooks •Michel Bernhardt	29 May 2020	N/A
SMB/M17/EDP5	SMB17	Electronic	Rules of Governance	N/A	Pursuant to the amendment provisions of Article 15.2 of the Charter of the CGIAR System Organization, and subject also to approval by the System Management Board, the System Council approves the following amendments to the Charter of the CGIAR System Organization as follows: Ref Proposed Charter text amendment - 7.2 The System Management Board shall comprise up to ten (10) voting members who, when taken as a collective whole, will have the skills, experience and gravitas required to enable the System Management Board to discharge its responsibilities under this Charter. Members of the management and employees of CGIAR System entities, including Centers, are not eligible to sit on the System Management Board as voting members. 7.3 The System Management Board shall appoint a Chair from amongst its voting members. 7.8 All System Management Board members, Active Observers and the Chair of the System Management Board's Audit and Risk Committee shall act in good faith in the interests of the CGIAR System and shall act according to the System Management Board's policy on ethics and conflicts of interest and shall respect the conflict of interest obligations of the entities they may be affiliated with. 7.9 The term of office of the initial System Management Board shall be three (3) years. Thereafter, new voting members of the System Management Board shall be elected by the Centers every two (2) years at a General Assembly of Centers. In the transition phase terms may be shorter or elections staggered to ensure a gradual replacement of the members of the System Management Board. Members from the Centers' Boards which are members of the System Management Board, when acting at the System Management Board, shall represent the CGIAR System.	9 July 2020	N/A
SMB/M17A/DP1	SMB17A	In-session	Nominations/ Appointments	N/A	The System Management Board: i.Agreed to appoint the following three persons to form the inaugural CGIAR Executive Management Team for a two-year term from 1 September 2020: •Claudia Sadoff, as EMT Convener, and Managing Director, Research Delivery and Impact, •Elwyn Grainger-Jones, as Managing Director, Institutional Strategy and Systems, and •Kundhavi Kadiresan, as Managing Director, Global Engagement and Innovation. ii.Confirmed the accountability and reporting line of the three Managing Directors as being direct to the SMB and their employment by the CGIAR System Organization from the 1 September start date, with the opportunity to review the geographic distribution of the EMT members based on arising operational needs after commencement; and iii.Delegated to the SMB Chair, the authority to finalize contractual terms with the three proposed appointees on the principle of equality in salary; announce the appointment decision on behalf of the SMB across CGIAR; and take such other steps as appropriate to ensure an on-time commencement of the Executive Management Team.	28 July 2020	N/A
SMB/M17/EDP6	SMB17	Electronic	Rules of Governance	N/A	The Checklist' to guide revision of Center governing instruments to implement Unified Governance is endorsed by the System Management Board. This final version will be shared with Center Board Secretaries and Legal Focal points to further guide decision to be taken by Center/Alliance boards by 18 September 2020 to put in place a CGIAR common board.	13 August 2020	N/A
SMB/M17/EDP7	SMB17	Electronic	Rules of Governance	N/A	The Framework and draft Terms of Reference for a One CGIAR Common Secretariat function is endorsed by the System Management Board. This final version will form the basis of ongoing work by CGIAR's Board Secretaries Community of practice to develop key elements of the 'Transition' Phase 1 set out therein to support the implementation of unified governance in One CGIAR.	13 August 2020	N/A
SMB/M17/EDP8	SMB17	Electronic	Evaluation	N/A	The CGIAR response to MOPAN 2019 Assessment of CGIAR is endorsed by the System Management Board. The final version of the CGIAR response to the MOPAN assessment will be submitted to the MOPAN secretariat by 18 August 2020. It will likely only be published Friday or Monday next week, due to MOPAN staffing issues.	13 August 2020	N/A
SMB/M17/EDP9	SMB17	Electronic	Rules of Governance	N/A	Pursuant to the amendment provisions of Article 15.2 of the Charter of the CGIAR System Organization, and with the concurrent approval by the System Council and the General Assembly of the Centers having been received, the System Management Board amended the Charter of the CGIAR System Organization with effect from 1 September 2020 in the form attached.	1 September 2020	N/A

Decision Reference	Meeting	In-session/ Electronic	Thematic Area	Agenda Item	Decision	Date(s)	Meeting Location
SB/M17/EDP10	SB17	Electronic	Reporting	N/A	The CGIAR System Board approved the 2019 CGIAR Intellectual Assets Management Report.	3 November 2020	N/A
SB/M17/EDP11	SB17	Electronic	Policies and procedures	N/A	The CGIAR System Board approved the CGIAR Code of Conduct for Governance Officials as a CGIAR Policy.	3 November 2020	N/A
SB/M17/EDP12	SB17	Electronic	Policies and procedures	N/A	The CGIAR System Board approved the CGIAR Research Ethics Code as a CGIAR Policy.	3 November 2020	N/A
SB/M17/EDP13	SB17	Electronic	Rules of Governance	N/A	The CGIAR System Board approved terms of reference for CGIAR common board members.	3 November 2020	N/A
SB/M17/EDP14	SB17	Electronic	Committees & Working Groups	N/A	The CGIAR System Board approved revised terms of reference for the Audit and Risk Committee of the CGIAR System Board.	3 November 2020	N/A
SB/M17/EDP15	SB17	Electronic	Policies and procedures	N/A	The CGIAR System Board approved updated Rules of Procedure for its operations.	3 November 2020	N/A
SB/M17/EDP16	SB17	Electronic	Audit and Risk Matters	N/A	Pursuant to Article 8.2 (b) of the Charter of the CGIAR System Organization, the CGIAR System Board approved the appointment of PwC France to undertake the external audit of the 2020 annual financial statements of the CGIAR System Organization	3 November 2020	N/A
SB/M18/EDP1	SB18	Electronic	Nominations/ Appointments	N/A	Pursuant to Article 7.6 of the Charter of the CGIAR System Organization, the CGIAR System Board: i. Approved the Terms of Reference for a CGIAR System Board ad hoc Nominations Committee for a replacement CGIAR System Board/common board member ii. Appointed the following persons to serve on the ad hoc Nominations Committee: a. Alice Ruhweza, CGIAR System Board voting member, to serve as Chair of the Committee b. Alyssa Jade McDonald-Baertl, CGIAR System Board voting member c. Mark Cackler, CGIAR System Council voting member (World Bank) iii. Approved the Candidate Profile for the CGIAR System Board/common board member	25 November 2020	N/A