



# CGIAR Procedure for the Operational Designation of Pooled Funding

Effective as of 1 January 2022

## Purpose

This document sets out the proposed procedure for the operational designation by CGIAR Funders of pooled funding towards Initiatives and Impact Area Platforms as of 1 January 2022, as part of CGIAR's improved quality, strategic alignment and management of funding.

Approved by the CGIAR System Board on 24 January 2022 (Decision Reference SB/M22/EDP3).

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## A. Introduction

1. With respect to CGIAR funding, CGIAR's aims are to:
  - a. ensure a simplified, streamlined and rationalized approach to the distribution of financing for the CGIAR Portfolio<sup>1</sup> in keeping with the 'One CGIAR' approach, that also meets CGIAR Funder requirements;
  - b. fully realize System Reference Group recommendation 5 – increase the amount and proportion of pooled funding for CGIAR in support of the [CGIAR 2030 Research and Innovation Strategy](#); and
  - c. improve the quality, strategic alignment, and overall management of funding.
2. As of 1 January 2022, as CGIAR shifts from CGIAR Research Programs and Platforms to CGIAR Initiatives<sup>2</sup> and Impact Area Platforms, pooled funding contributions (termed 'Unrestricted Funding' in the CGIAR System Framework) will continue to be made by CGIAR Funders to the CGIAR Trust Fund administered by the World Bank.
3. All such pooled/unrestricted funding will be contributed to Window 1 of the CGIAR Trust Fund ("Window 1"). Within Window 1, Funders:
  - a. preferably, contribute their funds on an undesignated basis towards funding the whole Portfolio of CGIAR Initiatives and Impact Area Platforms, and other System Council-approved costs ("Portfolio Contributions");
  - b. may designate and thereafter contribute some part or all of their Window 1 funding towards specific CGIAR Initiatives or Impact Area Platforms ("Designated Contributions"). With respect to Designated Contributions, Funder designations are managed operationally and not reflected in the Contribution Agreement or Contribution Arrangement entered into between each CGIAR Funder and the World Bank as Trustee of the CGIAR Trust Fund.
4. Portfolio Contributions will be transparently allocated to Initiatives and Impact Area Platforms, in line with allocations approved by the System Council as part of the budgets presented in the [2022-2024 CGIAR Portfolio and Designated Financing Plan](#) (FINPLAN).
5. Designated Contributions will be:
  - a. additive (i.e., they will count in full towards their intended Initiative or Impact Area Platform);
  - b. traceable (i.e., Funders will have visibility of designations by Initiative and Impact Area Platform when funds are allocated); and

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<sup>1</sup> 'CGIAR Portfolio' is as defined in the CGIAR System Framework

<sup>2</sup> For the purposes of this document "Initiatives" refers to both the Global Initiatives and the Regional Integrating Initiatives, with the scientific leadership of the Regional Integrating Initiatives being through the Resilient Agrifood Systems Science Group

- c. transparent (i.e., reporting on spending and results by Initiative and Impact Area Platforms).
6. Different to past years, as of 1 January 2022, Window 2 of the CGIAR Trust Fund (“Window 2”) will be no longer available for contributions, with existing Window 2 sub-accounts to be wound down as of 31 December 2021. The Trustee will not be instructed by the CGIAR System Organization to create any new Window 2 sub-accounts for any of the Initiatives or Impact Area Platforms.

## B. Operational Designation Procedure

7. **Overall legal framework:** Funders wishing invest pooled funding through Window 1 to finance the 2022-2024 FINPLAN (including the Initiatives and Impact Area Platforms) will do so under the framework of a Contribution Agreement or Contribution Arrangement entered into between the Funder and the World Bank as Trustee of the CGIAR Trust Fund.
8. **Mechanism to identify the basis of the pooled funding contribution:** Funders will indicate the basis of their Window 1 contribution through an ‘Operational Designation Form’<sup>3</sup> (a screen shot of the intended 2022 template is set out in Annex 1), indicating their funding intentions. This can be on: (i) a wholly ‘Portfolio Contribution’ basis; (ii) part ‘Portfolio Contribution’ + part ‘Designated Contribution’ basis; or (iii) fully ‘Designated Contribution’ basis, with encouragement at all times to prioritize Portfolio Contributions to maximize CGIAR’s strategic capacity to deliver on the full Portfolio Prospectus.
9. Operational Designations can be made on an annual or a multi-year basis, depending on the flexibility that the Funder has. (Although Annex 1 shows only the 2022 year, the tool will provide the opportunity for designations also for the 2023 and 2024 calendar years).
10. **Indicating ‘Operational Designated Funding’ options:** The 2022-2024 Investment Prospectus is designed as a coherent and cohesive group of Initiatives and Impact Area Platforms to advance CGIAR’s 2030 Research and Innovation Strategy. To enhance complementarity, the intention of the Designation Form is to avoid over-subscription of an Initiative or an Impact Area Platform by indicating the proposed budget for each. Where a Funder has available financing beyond the budget envelope indicated on the Operational Designation Form for a specific Initiative or Platform, Funders should state their second option.
11. **Confirmation of operational designation:** An Acknowledgement Letter will be issued from CGIAR to confirm the funding has been designated as set out in the Operational Designation Form. The Acknowledgement Letter will include details of the Initiatives and Platforms to which funds have been designated and the USD equivalent amounts

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<sup>3</sup> Within 2022, the Funder Designation form will be replaced by a secure online platform where the commitments will be done on a real-time basis and reflected on the [Trust Fund Dashboard](#)

that have been applied. In the event that both first and second options have been fully designated by another Funder (who made their contributions earlier), a discussion will be had between CGIAR and the Funder to agree a suitable multilateral solution, which will be followed up with an updated Operational Designation Form from the Funder and Acknowledgement Letter from CGIAR in return.

12. **Public accountability:** All accepted operational designations will be visible on the publicly available Trust Fund dashboard, where Funders and the public can review the designations to each Initiative and Platform and the respective designating Funders.
13. **Internal CGIAR accounting:** The information from the Operational Designation Form is uploaded into CGIAR's internal accounting system to add each Funder's designations to the respective Initiative or Platform sub ledgers. Each Initiative and Platform sub ledger will show how much has been designated and by which Funder, as well as how much is sourced from Portfolio Contributions. The amounts uploaded will be internally checked, reviewed and approved to ensure accuracy and completeness.
14. As research activities are delivered for each workplan, the relevant CGIAR Legal Entity will issue an internal invoice for the works completed. These will be checked and signed off by both Finance and the Initiative and Impact Area Platform leads before consolidation into a disbursement request to the Trust Fund. The CGIAR Trust Fund following their internal processes will then transfer funds to the respective entities pursuant to the existing Trustee Agreement between the Trustee and the System Organization.

## Annex 1: Operational Designation Form

### **Introductory note on format of tool:**

While it is intended to develop an on-line system where Funders can see the unsubscribed balance of the Initiative and Platform budgets and adjust their designation at the same time, this system will not be ready at the start of the 2022 calendar year. Until that system is in place, designation will be communicated offline through a template 'Operational Designation Form'.

### **Operational Designation Form Instructions:**

#### Funder identity, currency and submission date

- a. Please indicate the Funder Name on the space provided (highlighted in yellow).
- b. Please indicate the contribution currency in space provided (highlighted in green).
- c. Insert the date in row 20 (highlighted in blue).

#### Operational Designation

- d. Funders who wish to designate their funding as fully 'Portfolio Funding' fill only the purple-colored cell in Part A of the form.
- e. Funders who wish to split their funding between 'Portfolio Funding' and 'Designated Funding' do so by completing both Part A and Part B of the form. Funders may also wish to identify only 'Designated Funding', and thus complete only Part B of the form.
- f. For any 'Designated Funding', if the preference is to designate the funds across a number of Initiatives and/or Impact Area Platforms, insert the respective amounts against the relevant line items in the '1st Option' column.
- g. Anticipating that other Funders may make specific designations, please also indicate a '2nd Option' approach second options under column F.  
*\*\* Note, it is possible for a '2nd Option' approach to have a different 'Portfolio Funding' amount indicated in Part A of the form \*\*.*
- h. The total designation should equal the amount of the Window 1 operational designation for the intended year in a Funder's Contribution Agreement or Contribution Arrangement.
- i. Funders are encouraged to provide multi-year designations, with 2023 and 2024 years in the second and third tab of the Excel Spreadsheet (*not shown in the screen shot that follows*). Final confirmation of future years designations will be after the Financing Plan for a particular year has been approved by the System Council.

**Notes:** The System Organization will confirm with the Funder their final operational designations, before uploading information on the Funders dashboard, through an Acknowledgement Letter.

For contributions in currencies other than USD, the designation will be converted by the System Organization using an estimated forex rate at the time of receipt of the Operational Designation Form. The final amount will be confirmed upon receipt of the funds and converted to USD by the Trustee.

# Operational Designation Form

2022 Aspirational  
Budget subject to  
annual review and  
updating

Name of Funder:

Funder Contribution Currency:

Date:

**Portfolio Contribution:**

Amount to W1 Undesignated/Portfolio

**Designated Contribution:**

Science Group	Initiative/Region/Platform Name	US dollars	1st Option	2nd Option
Resilient Agri-Food Systems	LCSR (+)	7,923,300		
	SAPLING (+)	11,314,472		
	One Health	6,600,109		
	EIA (+)	16,028,836		
	Plant Health	10,371,600		
	Mixed Farming Systems	7,180,774		
	Nature-Positive Solutions	4,487,984		
	UPU	4,487,984		
	Aquatic Systems (+)	6,283,177		
<b>TOTAL</b>	<b>74,678,234</b>	-	-	
Systems Transformation	NEXUS	6,855,439		
	Agroecology	6,761,529		
	ClimBer	8,921,461		
	Markets	6,761,529		
	SHIFT	7,888,450		
	NTS	7,693,841		
	MITIGATE	7,512,810		
	HER+	6,385,888		
	Digital	5,352,877		
	Foresight	6,761,529		
	VF-Nutri	3,733,776		
Fragility	-			
<b>TOTAL</b>	<b>74,629,129</b>	-	-	
Genetic Innovations	Genebank	24,901,800		
	Accelerated Breeding	26,033,700		
	Tools	7,923,300		
	SeEdQUAL	11,319,000		
	Market Intelligence	7,923,300		
	Plant Genetic Tools	3,395,700		
<b>TOTAL</b>	<b>81,496,800</b>	-	-	
Regions	LAC	4,431,389		
	WCA	4,431,389		
	CWANA	4,431,389		
	ESA (+)	4,714,364		
	SEA	4,431,389		
	SA/ TAFSSA (+)	4,714,364		
<b>TOTAL</b>	<b>27,154,281</b>	-	-	
Platforms	Gender	6,791,400		
	Climate	1,131,900		
	Environment	1,131,900		
	Nutrition	1,131,900		
	Poverty reduction	1,131,900		
<b>TOTAL</b>	<b>11,319,000</b>	-	-	
<b>Total to W1 Designated to Initiatives</b>		<b>269,277,444</b>	-	-
<b>Total Contribution</b>			-	-

## Annex 2: Summary - Procedure for the Operational Designation of Pooled Funding

