



# **Ethics and Business Conduct (EBC)**

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**October 10, 2023**

# 1. EBC's Mandate, Interventions and Highlights



## EBC's mandate

- Policy development, input and standard training
- Training and outreach
- Advisory (confidential, individualized advice on ethics matters)
- Support investigations into alleged misconduct at CGIAR Centers
- Research Ethics
- **Environment Social Governance ("ESG")**
- **Protection against Retaliation**

## 2. CGIAR Wide Ethics Policies

Round 1 – Adopted in Jan 23	Round 2 – To be circulated Nov 23 and to SB/SC in Q1 2024	Round 3 – to be drafted Q1 2024
Code of Ethics and Business Conduct for CGIAR Staff	CGIAR Conflict of Interest Policy and Guidelines (Outside Activities, Gifts and Honours, Disclosures)	Revision of CGIAR Ethics Framework
Safeguarding Policy	Anti-Corruption, Anti-Fraud AMLCFT Policy	Revision of CGIAR Research Ethics Code
Whistleblowing and protection from Retaliation Policy		Revision of Code of Conduct for Governance Officials
Protection Against and Prevention of Harassment and Discrimination Policy		Investigations/Disciplinary Framework
		ESG Framework

# 3. Embracing an ethical culture in CGIAR



\*Building blocks in red – currently not in place

- EBC will be seeking to streamline reporting mechanisms across CGIAR – for consistency, transparency and assurance to SB/Funders
- One CGIAR role for the Ombudsperson – independent from EBC and reporting directly to the EMD
- A stand-alone ESG function in CGIAR (at crossroads between P&C, Legal, BOF, and EBC)
- Focus on training and outreach at all levels
- New EBC structure, with clarity on its investigative authority and overall mandate