Ethics and Business Conduct (EBC)

October 10, 2023
1. EBC’s Mandate, Interventions and Highlights

EBC’s mandate

- Policy development, input and standard training
- Training and outreach
- Advisory (confidential, individualized advice on ethics matters)
- Support investigations into alleged misconduct at CGIAR Centers
- Research Ethics
- Environment Social Governance (“ESG”)
- Protection against Retaliation
## 2. CGIAR Wide Ethics Policies

<table>
<thead>
<tr>
<th>Round 1 – Adopted in Jan 23</th>
<th>Round 2 – To be circulated Nov 23 and to SB/SC in Q1 2024</th>
<th>Round 3 – to be drafted Q1 2024</th>
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</thead>
<tbody>
<tr>
<td>Code of Ethics and Business Conduct for CGIAR Staff</td>
<td>CGIAR Conflict of Interest Policy and Guidelines (Outside Activities, Gifts and Honours, Disclosures)</td>
<td>Revision of CGIAR Ethics Framework</td>
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<td>Safeguarding Policy</td>
<td>Anti-Corruption, Anti-Fraud AMLCFT Policy</td>
<td>Revision of CGIAR Research Ethics Code</td>
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<td>Whistleblowing and protection from Retaliation Policy</td>
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<td>Revision of Code of Conduct for Governance Officials</td>
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<td>Protection Against and Prevention of Harassment and Discrimination Policy</td>
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<td>Investigations/Disciplinary Framework</td>
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ESG Framework
3. Embracing an ethical culture in CGIAR

- EBC will be seeking to streamline reporting mechanisms across CGIAR – for consistency, transparency and assurance to SB/Funders
- One CGIAR role for the Ombudsperson – independent from EBC and reporting directly to the EMD
- A stand-alone ESG function in CGIAR (at crossroads between P&C, Legal, BOF, and EBC)
- Focus on training and outreach at all levels
- New EBC structure, with clarity on its investigative authority and overall mandate

*Building blocks in red – currently not in place