BEFORE THE NORTH DAKOTA PUBLIC SERVICE COMMISSION

Oliver Wind IV, LLC 345-kV Transmission Line - Oliver & Mercer Counties Siting Application Case No. PU-23-318

CONSENT AGREEMENT

Preliminary Statement

This Consent Agreement is entered into by and between Oliver Wind IV, LLC ("Oliver IV"), and the Public Service Commission Advocacy Staff ("Staff") (together, the "Parties") for resolution of the Notice of Noncompliance filed by Staff as Docket No. 101 in Case No. PU-23-318 regarding cultural incursions during construction of the Oliver Wind IV 345 kV transmission line project ("Transmission Line").

Discussion

Background

- 1. On April 29, 2024, the North Dakota Public Service Commission ("Commission") approved Findings of Fact, Conclusions of Law and Order ("Order"), and issued a Certificate of Corridor Compatibility and Route Permit in Case Number PU-23-318 to Oliver Wind IV for the Transmission Line located in Oliver and Mercer Counties (Case No. PU-23-318). Construction of the Transmission Line began in May 2024.
- 2. Finding of Fact paragraph 32 of the Commission's April 29 Order stated that Oliver Wind IV had conducted Class III Cultural Resource Inventories for areas to be temporarily and permanently impacted by the Transmission Line and within the Transmission Line Corridor, which reports were submitted to North Dakota State Historical Preservation Officer ("NDSHPO"). NDSHPO determined that there were no adverse direct effects of the Transmission Line on significant sites.
- 3. Finding of Fact paragraph 44 of the Commission's Order also explained that Oliver Wind IV undertook voluntary outreach and consultation with Native American tribes to address potential cultural concerns and avoid potential impacts of the Transmission Line to resources of tribal or cultural significance.
- 4. Finding of Fact paragraph 73 of the Commission's Order also explained that Oliver Wind IV had identified archaeological sites within the Transmission Line Corridor through a Class I Literature Search and Class III Cultural Resources Inventory and that, while designated and

registered archaeological sites are located within the Transmission Line Corridor, Oliver Wind IV has sited the Transmission Line to avoid these sites.

- 5. Prior to the start of planned construction activities on the Transmission Line, Oliver Wind IV's consultants delineated areas containing cultural and archaeological resources that required avoidance. Construction crews underwent site orientation prior to the start of construction, at which time they were instructed not to enter these fenced-off areas.
- 6. During construction activities in late June and early July 2024, during on-site monitoring of construction, Oliver Wind IV's consultants identified three areas along the Transmission Line construction route where incursions occurred into areas with cultural and archaeological resources that had been identified for avoidance during construction. Each of these three areas was identified for avoidance as a result of Oliver Wind IV's Class III Cultural Resource Inventories conducted in 2020 in coordination with Tribal Traditional Cultural Surveyors ("TCS").
- 7. The three impacted sites included: (1) Archaeological site 32OL895, which was an unassociated rock pile that Oliver Wind IV's Class III Cultural Resource Inventories indicated did not represent precontact cairns or Tribal archaeological resources that had not been evaluated for National Register of Historic Places ("NRHP") eligibility and was likely a historic field clearing pile with no associated cultural materials but was requested by the Tribes as an area to be avoided during construction; (2) archaeological site 32OL896, which was similarly an unassociated rock pile that the Class III Cultural Resource Inventories indicated was likely a historic field clearing piled and had not been evaluated for NRHP eligibility but was requested by the Tribes as an area to be avoided during construction; and (3) cultural site CHFOL20, which was identified by Tribal TCS as a cairn consisting of three small granite boulders set in dense grass and recommended for NRHP eligibility.
- 8. During Oliver Wind IV's on-site construction monitoring, Oliver Wind IV's consultants identified that protective fencing had been removed from the buffered area for sites 32OL895 and 32OL896 and these areas had been entered by subcontractors of Oliver Wind IV's construction contractor. Oliver Wind IV's consultants also identified that unplanned construction was underway in the vicinity of site CHFOL20.
- 9. Once the incursions were identified, Oliver Wind IV immediately and voluntarily stopped construction work in these areas and the sites were evaluated by Oliver Wind IV's North Dakotapermitted Principal Investigator ("PI") in archaeology.
- 10. Oliver Wind IV's PI determined that the following impacts had occurred at each of the three sites: (1) approximately 40 percent of archaeological site 32OL895 had been disturbed as a result of blading from a bulldozer or belly scraper; (2) approximately 97 percent of archaeological site 32OL896 had been disturbed as a result of blading from a bulldozer or belly scraper; and (3)

impacts to the area comprising cultural site CHFOL20 were observed, consisting of ground disturbance resulting from blading from a bulldozer or belly scraper, resulting in the removal of the entire cairn.

- 11. Oliver Wind IV notified NDSHPO and the participating Tribes of the incursions on July 16, 2024.
- 12. Oliver Wind IV implemented a number of corrective measures to address the incursions and to prevent additional incursions including:
 - Voluntarily ceased construction work in the vicinity of the impacted site locations until the NDSHPO and participating Tribes had an opportunity to visit the site locations.
 - b. Waited for authorization from NDSHPO before resuming work in the vicinity of the site locations.
 - c. Oliver Wind IV's cultural resources professional:
 - i. Updated North Dakota Cultural Resource Survey forms for each impacted site:
 - ii. Reviewed and confirmed that all areas with cultural resources that require avoidance were clearly marked and visible on construction plans used by contractors in the field;
 - iii. Confirmed additional protective roping was installed at existing protective fencing locations;
 - iv. Participated in all plan-of-the day meetings and calls with construction team;
 - v. Received and reviewed daily construction updates and next day plans;
 - vi. Conducted weekly inspections of fenced areas to confirm condition and placement of avoidance fencing; and,
 - vii. Met with designated project representatives to conduct a weekly review of upcoming locations of all construction activities for the following three weeks.
 - d. Retrained and increased training of construction contractors regarding requirements to remaining within designated areas the requirement to avoid fenced areas, and removed specific contractor employees responsible for the incursions.
 - e. Project Construction Manager coordination with project's cultural resources professional to confirm that all avoidance fencing remained intact prior to beginning work in any new location.
 - f. Full-time archaeological monitoring by a permitted archaeological monitor who will communicate with NDSHPO weekly to provide an update on the status of construction work and monitoring activities.

- g. Continued archaeological monitoring on the transmission line, regardless of construction activity, until:
 - Oliver Wind IV's cultural resources professional deems construction activities have been adequately completed so there are no further ground disturbance risks that could impact cultural sites;
 - Oliver Wind IV's cultural resources professional and NDSHPO meet to discuss basis for proceeding without an archaeological monitor present; and.
 - iii. NDSHPO makes the final determination that archaeological monitoring is no longer needed.
- 13. On July 17, 2024, NDSHPO provided correspondence indicating that the corrective measures were appropriate, which was submitted to the Commission as Docket No. 86 in Case No. PU-23-318.
- 14. On July 18, 2024, Oliver Wind IV submitted a memorandum describing the cultural incursions to the Commission, filed as Docket No. 85 in Case No. PU-23-318.
- 15. On July 22, 2024, representatives of Oliver Wind IV conducted a site visit with representatives from NDSHPO and the participating Tribes. During this site visit, NDSHPO and the participating Tribal Historical Preservation Officers ("THPO") recommended additional measures for Oliver Wind IV to mitigate for the cultural incursions and to prevent further incursions. Oliver Wind IV filed a memorandum summarizing this site visit and these additional recommended mitigation measures with the Commission on August 5, 2024 as Docket No. 90 in Case No. PU-23-318
- 16. Among the corrective and mitigation measures that Oliver Wind IV implemented, Oliver Wind IV arranged for full-time Tribal Cultural Surveyor monitoring on the Transmission Line, including during reclamation of the Transmission Line construction site. Oliver Wind IV also agreed to confirm that all areas with cultural resources that require avoidance are clearly marked and visible on construction plans used by contractors in the field prior to any construction and reclamation activities.
- 17. On August 9, 2024, NDSHPO filed correspondence with the Commission as Docket No. 91 in Case No. PU-23-318, noting that NDSHPO agreed with Oliver Wind IV's August 5 Memorandum summarizing the site visit and noting NDSHPO's agreement that, if the additional recommendations recorded in the Memorandum were followed, construction near the locations of the incursions could resume.
- 18. Construction of the Transmission Line continued with no further incursions on cultural or archaeological sites identified for avoidance.

- 19. On October 1, 2024, the Commission appointed Staff to review the matter.
- 20. On December 4, 2024, Staff notified Oliver Wind IV that it had reviewed the matter. On January 16, 2025, Staff issued a Notice of Noncompliance to Oliver Wind IV alleging noncompliance with the provisions of N.D.C.C. § 49-22-07(1), filed as Docket No. 101 in Case No. PU-23-318 (the "Notice").

<u>Terms</u>

- 21. Oliver Wind IV and Staff engaged in good faith settlement discussions resulting in this Consent Agreement. Having agreed that settlement of the Notice will avoid further administrative proceedings or litigation and that entry of this Consent Agreement is the most appropriate means of resolving the Notice, Staff and Oliver Wind IV agree to resolve the Notice on the following terms:
 - a. Nothing in this Consent Agreement shall be considered as an admission of any wrongdoing, violation, omission, or fault on the part of any of the Parties with respect to the Notice.
 - b. Oliver Wind IV agrees to make payable to the North Dakota Public Service Commission, a total collective assessment of \$50,000, within 10 business days of service of an Order approving the Consent Agreement ("Order"). Staff agrees no other proceeding will be initiated against Oliver Wind IV based on the violations alleged in the Notice and no other remedy will be sought based on the violations alleged in the Notice.
 - c. If the Consent Agreement is approved by the Commission, Oliver Wind IV expressly waives any further procedural requirements with respect to the adoption of the Order approving the Consent Agreement. Provided the Commission approves this Consent Agreement and adopts an Order consistent with it, Oliver Wind IV waives its right to contest the validity of this Consent Agreement and the Order and waives all rights to administrative or judicial hearings or appeals of the Case.
 - d. There are no covenants, promises, undertakings, or understandings other than specifically set forth in this Consent Agreement of Order.
 - e. This agreement may be executed in counterparts and duplicate copies, each which shall be deemed to be an original, and which, when taken together, shall constitute one and the same instrument.

f. The undersigned on behalf of Oliver Wind IV, is authorized to act on behalf of, and bind Oliver Wind IV for the purposes of this Consent Agreement and knows and fully understands the content and effect and this Consent Agreement.

[Reminder of this page intentionally left blank]

Dated this **2** Cay of January, 2025.

Public Service Commission Advocacy Staff

By:

Name Title

Dated this 28.00 day of January, 2025.

Docusigned by

By: F5C968BD9C2148B.

Name

Oliver Wind IV, LLC Title