

## Class 20 Principal bundle (Chap 10)

Before introducing principal bundle, let's review materials

in previous lectures:

Part I: manifolds

topological manifold:  $M$  para, Haus topo space

s.t. each pt has nbhd homeo to  $\mathbb{R}^n$

pt  $\in U \subset M$   $\phi_U: U \xrightarrow{\cong} \mathbb{R}^n$  (Global view)

Construct by charts and transition functions (Local view)

Atlas  $\mathcal{U}$ , for  $U, V \in \mathcal{U}$

$$h_{vu} = \phi_V \circ \phi_U^{-1}: \underbrace{\phi_U(U \cap V)}_{\cong \mathbb{R}^n} \xrightarrow{\cong} \underbrace{\phi_V(U \cap V)}_{\cong \mathbb{R}^n}$$

(They satisfy the cocycle condition  $h_{vu} \circ h_{uv} = \text{Id}$ )

$$h_{vu} \circ h_{uw} \circ h_{wv} = \text{Id}$$

$$M = \coprod_{U \in \mathcal{U}} \mathbb{R}^n_U / x \in \mathbb{R}^n_U \sim h_{vu}(x) \in \mathbb{R}^n_V$$

Smooth mfd:  $h_{vu}$  are smooth

(The smoothness only makes sense for maps btw  $\mathbb{R}^n$ )

Inverse / Implicit function thm:  $\psi: \mathbb{R}^m \rightarrow \mathbb{R}^n$

If for any pt in  $\psi^{-1}(a)$ , the Jacobian  $\left\{ \frac{\partial \psi^i}{\partial x^j} \right\}$

is surjective, then  $\psi^{-1}(a)$  is a smooth mfd

Lie group: smooth manifold with group structure.

s.t.  $(a, b) \mapsto ab$  .  $a \mapsto a^{-1}$  are smooth

$$\text{Ex: } GL(n, \mathbb{R}), SL(n, \mathbb{R}), \underbrace{O(n), SO(n)}_{\text{compact}}, \\ GL(n, \mathbb{C}), SL(n, \mathbb{C}), \underbrace{U(n), SU(n)}_{\text{compact}}$$

Part II. vector bundle

$$E \text{ smooth mfd} : \pi : E \rightarrow M$$

$$\textcircled{1} \forall p \in M \exists U \subset M \text{ containing } p$$

$$\text{s.t. } \varphi_U : E|_U = \pi^{-1}(U) \longrightarrow U \times \mathbb{R}^n \text{ diffeo}$$

$$\textcircled{2} \text{ zero section } \hat{0} : M \rightarrow E \quad \pi \circ \hat{0} = \text{Id}$$

$$\textcircled{3} \exists \text{ smooth map } \mu : \mathbb{R} \times E \rightarrow E \\ \text{or } \mathbb{C} \times E \rightarrow E$$

satisfying some conditions.

(Global view)

(locally finite)

Construct by charts and bundle transition functions

$$g_{UV} : U \cap V \longrightarrow GL(n, \mathbb{R}) \text{ with cocycle cond}$$

$$E = \coprod_{U \in \mathcal{U}} U \times \mathbb{R}^n / (p, u) \in U \times \mathbb{R}^n \sim (p, g_{UV} u) \in V \times \mathbb{R}^n$$

(Local view)



v.b. are generalization of product manifold  $M \times \mathbb{R}^n$

it is also a mfd. but with a fiber str

tangent bundle:  $g_{vu} = (h_{vu})_* \quad TM$

cotangent bundle:  $g_{vu} = ((h_{vu})_*^{-1})^T \quad T^*M$   
 $\dim M = \dim \text{fiber}$

Sections:  $S: M \rightarrow E$

$C^\infty(M; E)$  or  $C^\infty(E)$  space of sections

operation:  $E^*$ ,  $E_1 \oplus E_2$ ,  $E_1 \otimes E_2$ ,  $\text{Hom}(E_1, E_2)$   
 $\text{Sym}^k E$   $\Lambda^k E$

$$\text{End}(E) = \text{Hom}(E, E) = E^* \otimes E$$

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Def Principal bundle  $P$  over  $M$  with fiber  $G$   
 $\uparrow$  mfd.  $\uparrow$  Lie gp

has the following structures

1) a smooth map  $m: G \times P \rightarrow P$  with

①  $m(e, p) = p$   $e$  is identity in  $G$

②  $m(h, m(g, p)) = m(hg, p)$

usually write  $m(g, p)$  by  $pg^{-1}$

2) a smooth map  $\pi: P \rightarrow M$  surjective with

$$\pi(pg^{-1}) = \pi(p). \quad \pi \text{ is called projection}$$

3) Any pt in  $M$  has nbhd  $U$  with

$$\varphi_U: P|_U = \pi^{-1}(U) \longrightarrow U \times G \text{ diffeo}$$

$$\text{s.t. if } \varphi_U(p) = (\pi(p), h(p))$$

$$\text{then } \varphi_U(p g^{-1}) = (\pi(p), h(p) g^{-1})$$

(Global view)

Def 2 Given a locally finite open cover  $\mathcal{U}$  of  $M$   
and  $g_{UV}: U \cap V \rightarrow G$  satisfying

$$g_{VU} = g_{UV}^{-1} \quad g_{VU} g_{UV} g_{WV} = \text{Id}$$

$$P = \bigsqcup_{U \in \mathcal{U}} U \times G / (p, g) \in U \times G \sim (p, g_{UV} g) \in V \times G$$

$$\text{The } G \text{ action } m(h, (p, g)) = (p, gh)$$

Ren generalization of product principal bundle  $M \times G$

Def A bundle homomorphism  $f: P \rightarrow P'$  over  $M$

$$\text{satisfies } \pi(f(p)) = \pi'(p)$$

$$f(p g^{-1}) = f(p) g^{-1}$$

$P$  and  $P'$  are iso if  $f$  has an inverse

Def: A fiber bundle  $E$  is a smooth mfd with

①  $\pi: E \rightarrow B$  .  $B$  called base manifold

②  $\forall p \in B$  .  $\exists U \subset B$  containing  $p$

st.  $\exists \varphi_U: \pi^{-1}(U) \rightarrow U \times F$  diffeo

$F$  mfd. called fiber (Global view)

Rem Can also be defined by bundle transition function

$$g_{UV}: U \cap V \rightarrow \text{Diff}(F)$$

$\hookrightarrow$  diffeo group

(Local version)

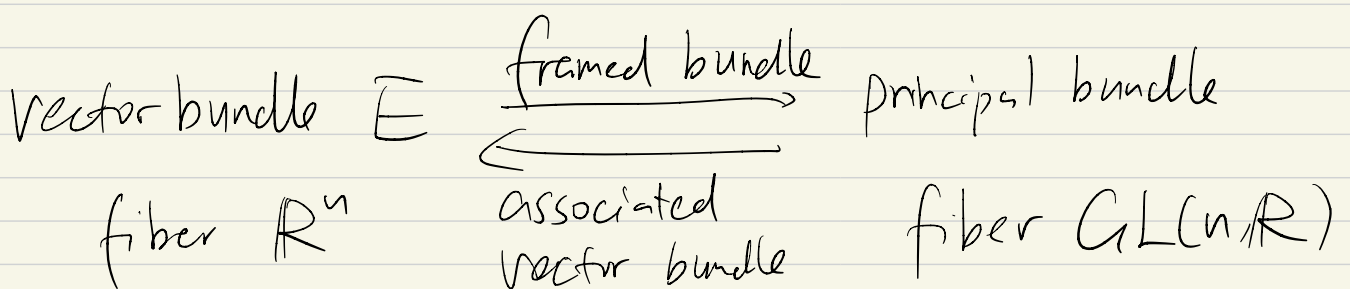
A vector bundle is a fiber bundle with fiber  $\mathbb{R}^n, \mathbb{C}^n$

+ scalar multiplication

A principal bundle is a fiber bundle with fiber  $G$

+ group multiplication.

Note that  $GL(n, \mathbb{R})$  contains linear transformation of  $\mathbb{R}^n$



Framed bundle of  $E$ : recall the transition function of  $E$  is  $g_{vu}: U \cap V \rightarrow GL(n, \mathbb{R})$

$$E = \coprod_{U \in \mathcal{U}} U \times \mathbb{R}^n / (p, u) \in U \times \mathbb{R}^n \sim (p, g_{vu}u) \in V \times \mathbb{R}^n$$

$$P = \coprod_{U \in \mathcal{U}} U \times G / (p, g) \in U \times G \sim (p', g_{vu}g) \in V \times G$$

$$\text{Let } G = GL(n, \mathbb{R}) \quad P = P_{GL(E)}$$

Associated vector bundle: a representation of  $G$

is a homomorphism  $\rho: G \rightarrow GL(n, \mathbb{R})$

$$\text{i.e. } \rho(e) = \text{Id} \quad \rho(gh) = \rho(g)\rho(h) \quad \rho(g) = \rho(g)^{-1}$$

Given  $g_{vu}: U \cap V \rightarrow G$  for  $P$

Construct vector bundle  $\bar{E}$  by

$$g_{vu}: U \cap V \rightarrow G \xrightarrow{\rho} GL(n, \mathbb{R})$$

$$\bar{E} = P \times_P \mathbb{R}^n$$

### Part III metrics

A metric  $g$  on  $E$  is a section  $M \rightarrow E^* \otimes E^*$  s.t.

1)  $g|_p(v, v) > 0$  for  $v \neq 0 \in E|_p$  (positive definite)

2)  $g|_p(v, w) = g|_p(w, v)$   $v, w \in E|_p$  (Symmetric)

Fact. 1) metric always exists

(construct locally and use partition of unity to glue globally)

2)  $g_1, g_2$  are metrics  $\Rightarrow s g_1, t g_1 + (1-t) g_2$  are metrics  
 $s, t > 0$

$\Rightarrow$  the space of metrics is convex, contractible

3) The existence of metric can help us choose

an orthonormal basis  $e_1, \dots, e_n$  locally (only on  $U$ )  
which is different from the coordinate basis

$\frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^n}$  of  $TM|_U$   $dx^1, \dots, dx^n$  of  $T^*M|_U$

Hence we can choose transition function

$$g_{UV} : U \cap V \rightarrow O(n)$$

If further more  $E$  is orientable, i.e.  $\bigwedge^{\dim E} E \cong M \times \mathbb{R}$

then we have  $\det g_{UV} > 0$ .  $g_{UV} : U \cap V \rightarrow SO(n)$

Then we can construct principal bundle

$P_{O(n)}$  or  $P_{SO(n)}$  just by gvu.

Conversely, if we construct an associate bundle

from some principal bundle with fiber  $SO(n)$ ,

then the vector bundle is orientable

## Class 21 Review

Last time, we review Part I manifold and

Part II vector bundle, and construct principal bundle

|                       | mfd $M$                                                                                                    | v.b. $E$                                                                                    | p.b. $P$                                   |
|-----------------------|------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--------------------------------------------|
| Chart<br>(Global)     | $\phi_u: U \rightarrow \mathbb{R}^m$                                                                       | $\psi_u: E _U \rightarrow U \times \mathbb{R}^n$                                            | $\psi_u: P _U \rightarrow U \times G$      |
| transition<br>(Local) | $h_{uv}: \phi_u(U \cap V) \subset \mathbb{R}^m$<br>$\downarrow$<br>$\phi_v(U \cap V) \subset \mathbb{R}^m$ | $g_{uv}: U \cap V \rightarrow GL(n, \mathbb{R})$                                            | $g_{uv}: U \cap V \rightarrow G$           |
| action                | /                                                                                                          | scalar action<br>$\mathbb{R} \times E \rightarrow E$<br>$\mathbb{C} \times E \rightarrow E$ | group action<br>$G \times E \rightarrow E$ |

fiber bundle:  $F \rightarrow E: \psi_u: E|_U \rightarrow U \times F$

$\downarrow$

$B$  no action

vector bundle  $\xleftrightarrow{\text{framed bundle}}$  principal bundle

$\xleftarrow{\text{associate v.b.}}$

need a rep:  $\rho: G \rightarrow GL(n, \mathbb{R}^n)$

Today, we review more constructions in

Part III metrics, IV derivatives

V metric compatible derivatives.

In Part II, we also study Riemannian metric (on  $TM$ ) and introduce the length  $L_\gamma$  of a smooth path

$$\gamma: I=[0,1] \rightarrow M \quad L_\gamma = \int_I g(\gamma_* \frac{\partial}{\partial t}, \gamma_* \frac{\partial}{\partial t})^{\frac{1}{2}} dt$$

$\gamma_*: TI \rightarrow TM$  is the tangent map (pushforward)

$$\text{For } p, q \in M \quad d(p, q) = \inf_{\substack{\gamma(0)=p \\ \gamma(1)=q}} L_\gamma$$

Geodesic thm says if  $M$  is compact, then

the path minimizing  $L_\gamma$  always exists, called geodesic.

It is embedded (no  $\angle$  or  $\alpha$ )

and we can set  $g(\gamma_* \frac{\partial}{\partial t}, \gamma_* \frac{\partial}{\partial t}) = 1$

Locally, it is obtained by solving the geodesic

$$\text{equation } \ddot{\gamma}^i + \Gamma_{jk}^i \dot{\gamma}^j \dot{\gamma}^k = 0 \quad \dot{\gamma} = \frac{d\gamma}{dt} \quad \ddot{\gamma} = \frac{d^2\gamma}{dt^2}$$

$$\Gamma_{jk}^i = \frac{1}{2} \sum_{l=1}^n g^{il} (\partial_j g_{lk} + \partial_k g_{jl} - \partial_l g_{jk})$$

called Christoffel symbol



This equation can be regarded as Euler-Lagrange equation from the functional

$$S: \{ \gamma: I \rightarrow \mathbb{R}^n \mid \gamma(0)=p, \gamma(1)=q \} \rightarrow \mathbb{R}$$

$$\gamma \longmapsto L\gamma$$

i.e. For any  $\eta: I \rightarrow \mathbb{R}^n$   $\eta(0)=\eta(1)=0$

$$\gamma_s = \gamma + s\eta \quad \left. \frac{dS(\gamma_s)}{ds} \right|_{s=0} = 0$$

Later, when we introduce LC conn, this equation can be written as  $\nabla_{\dot{\gamma}}^{\text{LC}} \dot{\gamma} = 0$

i.e.  $\dot{\gamma}$  itself is parallel section along  $\gamma$  about  $\nabla^{\text{LC}}$

Part IV derivatives.

$\Omega^k(M) = C^\infty(M; \wedge^k T^*(M))$  the space of  $k$ -forms

We construct exterior derivative  $d: \Omega^k \rightarrow \Omega^{k+1}$

$$\begin{aligned} \text{by (locally)} \quad d(f dx^1 \wedge \dots \wedge dx^k) &= df \wedge dx^1 \wedge \dots \wedge dx^k \\ &= \frac{\partial f}{\partial x^m} dx^m \wedge dx^1 \wedge \dots \wedge dx^k \end{aligned}$$

$$\text{Since } \frac{\partial^2 f}{\partial x^i \partial x^j} = \frac{\partial^2 f}{\partial x^j \partial x^i}, \text{ we have } d^2 = 0$$

$$H_{dR}^k(M) = \ker(d: \Omega^k \rightarrow \Omega^{k+1}) / \operatorname{Im}(d: \Omega^{k-1} \rightarrow \Omega^k)$$

de Rham cohomology, it is an  $\mathbb{R}$ -vector space  
only depends on the diffeo type of  $M$

(Indeed also doesn't depend on smooth str  
because  $H_{dR}^k(M) = H_{\text{sing}}^k(M; \mathbb{R})$ )

$f: M \rightarrow N$  induces

① push forward  $f_*: T_p M \rightarrow T_{f(p)} N$

② pull-back of bundle  $\pi: E \rightarrow N$   $f^* E$

also pull-back of principal bundle  $f^* P$   $f^* P_{GL(E)} = P_{GL(f^* E)}$

③ pull-back of forms  $f^*: \Omega^k(N) \rightarrow \Omega^k(M)$

$$f^* w(v_1, \dots, v_k) = w(f_* v_1, \dots, v_k)$$

④ pull-back of de Rham cohomology class

$$\text{because } df^* w = f^* dw \Rightarrow f^*: H_{dR}^k(N) \rightarrow H_{dR}^k(M)$$

homotopic maps  $f, g$  induce the same map.

$$(\Phi: I \times M \rightarrow N, \quad \Phi(0, -) = f, \quad \Phi(1, -) = g)$$

Lie derivative:  $L_v: \Omega^k \rightarrow \Omega^k$  for  $v$  vector field

$$\text{Cartan formula } L_v w = (v \lrcorner d + d \lrcorner v) w$$

$$v \lrcorner \alpha(v_1, \dots, v_{k-1}) = \alpha(v, v_1, \dots, v_{k-1})$$

Covariant derivative :  $\nabla : C^\infty(E) \rightarrow C^\infty(E \otimes T^*M)$

satisfying  $\nabla(fs) = s \otimes df + f \nabla s$

If  $v \in TM$ , we can define  $\nabla_v : C^\infty(E) \rightarrow C^\infty(E)$   
similar to the partial derivative along  $v$  in  $\mathbb{R}^n$

Fact. ① Cov. der exists (construct locally and  
use partition of unity, locally.  $S = (S_1, \dots, S_n)$

$$S_i : U \rightarrow \mathbb{R} \quad (S_i)_* : T_p U \rightarrow T_{S_i(p)} \mathbb{R} \cong \mathbb{R}$$

$((S_1)_*, \dots, (S_n)_*)$  is a section of  $(E \otimes T^*M)|_U$

written as  $ds$ . In particular, for  $M \times \mathbb{R}$

the exterior derivative  $d : \Omega^0 \rightarrow \Omega^1$  is a cov der.

② Any  $\nabla, \nabla'$ , we have  $\nabla' - \nabla = \alpha$

$\alpha \in C^\infty(\text{End}(E) \otimes T^*M)$  matrix-valued 1-form.

③ Combining  $d$ , we have

$$d_\nabla : C^\infty(E \otimes \Lambda^k T^*M) \rightarrow C^\infty(E \otimes \Lambda^{k+1} T^*M)$$

$$d_\nabla^2 S = F_\nabla S \quad \text{the curvature}$$

$$\text{Locally } F_\nabla = d\alpha + \alpha \wedge \alpha$$

$$(\text{on } TM, \nabla_i = \nabla_{\frac{\partial}{\partial x^i}} \quad \nabla_i \nabla_j - \nabla_j \nabla_i = F_\nabla)$$

④ Given  $g$  on  $TM$ ,  $\exists$  unique  $\nabla$  on  $TM$  satisfies

$$\int \nabla g = 0 \quad (\text{metric compatible})$$

$$\int T_{\nabla} = d_{\nabla} - d = 0 \quad (\text{torsion free}), \text{ called}$$

we also study curvature of LC conn

⑤ Bianchi identity  $d_{\nabla} F_{\nabla} = 0$

$$C_k(E) = \left[ \frac{1}{(2\pi\sqrt{-1})^k} \text{tr} \left( \underbrace{F_{\nabla} \wedge \dots \wedge F_{\nabla}}_k \right) \right] \in H_{2k}^*(M)$$

indep of choice of  $\nabla$ .

Property of Chern class

$$f: M \rightarrow N \quad \pi: E \rightarrow N \quad C_k(f^*E) = f^*C_k(E)$$

$$C_k(E^*) = (-1)^k C_k(E)$$

$$C(E) = 1 + C_1(E) + C_2(E) + \dots$$

$$C(E_1 \oplus E_2) = C(E_1) \wedge C(E_2)$$

For real vector bundle  $E$ , we can define pontryagin

Class  $p_k(E) = c_{2k}(\underbrace{E \otimes_{\mathbb{R}} \mathbb{C}}_{\text{roughly, } E \oplus \bar{E}}) \in H_{dR}^{4k}(M; \mathbb{R})$

The odd Chern class of complexification is determined by Stiefel-Whitney class of the original bundle

For more discussion of characteristic classes, see  
[Hatcher vector bundles and K-theory. Chap 3.]

Roughly speaking. Char classes are obstructions of the triviality of the bundle:

|                 |                                          |                  |                                 |
|-----------------|------------------------------------------|------------------|---------------------------------|
| Chern class     | $c_k \in H^{2k}(M; \mathbb{Z})$          | Pontryagin class | $p_k \in H^{4k}(M; \mathbb{Z})$ |
| Stiefel-Whitney | $w_k \in H^k(M; \mathbb{Z}/2\mathbb{Z})$ | Euler class      | $e \in H^2(M; \mathbb{Z})$      |

## Class 22 Connection on principal bundle (Chaps 11.4)

Suppose  $\pi: P \rightarrow M$  is a principal bundle with fiber  $G$ .

First, we study the tangent space of  $G$  at  $e$ , denoted by

$\mathfrak{g} = T_e G$ , called Lie algebra of  $G$  (lie( $G$ ) in Cliff's book)

Since  $G$  is a Lie group, for any fixed  $g \in G$ , define

$L_g: G \rightarrow G$ ,  $R_g: G \rightarrow G$  they are diffeomorphism.  
 $h \mapsto gh$                        $h \mapsto hg$

$(L_g)_*: T_e G \rightarrow T_g G$  is a vector space isomorphism.

$TG \cong G \times T_e G$  by the following map.

For  $(g, v) \in G \times T_e G$ , define  $f(g, v) = (L_g)_* v \in T_g G$

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Def A vector field  $v: G \rightarrow TG$  is left-invariant if

$$v(gh) = (L_g)_* v(h)$$

Note that left-invariant v.f. is 1-1 cor to vector in  $T_e G$

Let  $\mathfrak{g} = \text{Lie}(G)$  be the set of all left-inv v.f.  
(mathfrak{g} = \mathfrak{g})

Recall that there is a 1-1 correspondence btw

vector field  $v$  and derivative  $L_v: C^\infty(M; \mathbb{R}) \rightarrow C^\infty(M; \mathbb{R})$

Given  $v, w$  v.f. we can define  $[v, w]$  by

$$L_{[v, w]}(f) = L_v L_w(f) - L_w L_v(f)$$

Then ①  $[av+bw, u] = a[v, u] + b[w, u]$

$$[v, aw+bu] = a[v, w] + b[v, u]$$

$$a, b \in \mathbb{R} \quad v, w, u, f.$$

②  $[v, v] = 0 \Rightarrow [v, w] = -[w, v]$

③  $[v, [w, u]] + [u, [v, w]] + [w, [u, v]] = 0$   
(Jacobi identity)

$[-, -]$  is called Lie bracket (of vector fields)

In the case of  $\mathfrak{g}$ , we can check for left inv  $v, w$ ,

$[v, w]$  is still left inv, so we have a map

$$[-, -] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g},$$

$\mathfrak{g}$  is called Lie algebra associated to  $G$ ,

as a vector space, it is just  $T_e G$

| Ex.                                                                            | $G$ | $\mathfrak{g}$                                                                                                                                                                                               |
|--------------------------------------------------------------------------------|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $GL(n, \mathbb{R})$ ( $\det \neq 0$ )<br><u><math>GL(n, \mathbb{C})</math></u> |     | $gl(n, \mathbb{R}) = M(n, \mathbb{R})$ $gl(n, \mathbb{C}) = M(n, \mathbb{C})$<br>$[x, y] = xy - yx$                                                                                                          |
| $SL(n, \mathbb{R})$ ( $\det = 1$ )<br><u><math>SL(n, \mathbb{C})</math></u>    |     | $sl(n, \mathbb{R}) \subset M(n, \mathbb{R})$<br>$\text{tr } x = 0$ (traceless)                                                                                                                               |
| $O(n)$ ( $AA^T = Id$ )                                                         |     | $x + x^T = 0$ (skew-symmetric)                                                                                                                                                                               |
| $U(n)$ ( $AA^* = Id$ )                                                         |     | $x + x^* = 0$                                                                                                                                                                                                |
| $SO(n)$ ( $AA^T = Id$<br>$\det A = 1$ )                                        |     | $so(n)$ $\text{tr } x = 0$ $x + x^T = 0$ $\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix}$                                                                                                |
| $SU(n)$ ( $AA^* = Id$<br>$\det A = 1$ )                                        |     | $su(2) = so(3)$ $\begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ $\begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}$                                                    |
|                                                                                |     | $su(n)$ $\text{tr } x = 0$ $x + x^* = 0$<br>Pauli matrices<br>$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ $\begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$ $\begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$ |

$\pi: P \rightarrow M$  is a smooth (surjective map), we can

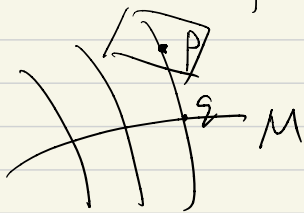
consider the tangent map  $\pi_*: T_p P \rightarrow T_{\pi(p)} M$

$\ker \pi_*$  is a sub-(vector)-bundle of  $TP$

s.t. sections in  $\ker \pi_*$  are sent to zero sections of  $TM$

So  $(\ker \pi_*)$  over  $P|_q = \pi^{-1}(q) \cong G$  for  $q \in M$

is isomorphic to  $TP|_q \cong T_e G = \mathfrak{g}$



also, we consider  $\pi^* TM$  as a bundle over  $P$ .

We have a sequence of vector bundles

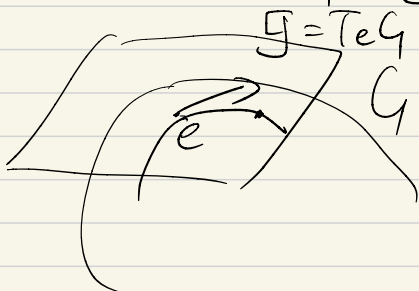
$$\ker \pi_* \rightarrow TP \rightarrow \pi^* TM$$

s.t. at each fiber, it is exact  $(\ker(TP \rightarrow \pi^* TM)) = \text{Im}(\ker \pi_* \rightarrow TP)$

Indeed  $\ker \pi_* \cong P \times \mathfrak{g}$

For  $(p, x) \in P \times \mathfrak{g}$ , consider the map  $t \mapsto p \exp(tx)$

where  $\exp: \mathfrak{g} \rightarrow G$  is the exponential map



define  $f(p, x) \in \ker \pi_*$

to be the tangent vector at  $t=0$

Any  $g \in G$  define  $\psi_g: P \xrightarrow{\cong} P$  by  $p \mapsto pg^{-1}$

It induces  $(\psi_g)_* T_p P \rightarrow T_{pg^{-1}p} P$



Then we have  $f(pg^{-1}, (Lg)_*(Rg^{-1})_*x) = (\psi_g)_*(f(p, x))$   
 $g \times g^{-1}$

Def a connection  $A$  on  $P$  is one of the following:

- 1) A section  $P \rightarrow T^*P \otimes \ker \pi_*$   
 (or  $\text{Hom}(TP, \mathfrak{g})$  where  $\mathfrak{g}$  is the product v.b.  $P \times \mathfrak{g}$ )

satisfies ①  $\langle A|_p, f(p, x) \rangle = x \in \mathfrak{g}$

$$\textcircled{2} \langle \psi_g^*(A|_{pg^{-1}}), v \rangle = g \langle A|_p, v \rangle g^{-1} \quad \forall g \in G, v \in T|_p$$

- 2) Horizontal subspaces  $H \subset TP$  tangent to fibers of  $\pi$  i.e.

$$TP = H \oplus \ker \pi_*$$

(A choice of splitting in  $\ker \pi_* \rightarrow TP \rightarrow \pi^*TM$ )

$H$  is isomorphic to  $\pi^*TM$ , but not canonically.

Also.  $H$  need to be preserved under  $(\psi_g)_*$

1)  $\Rightarrow$  2). Let  $H_A$  be kernel of  $A : TP \rightarrow \ker \pi_*$

2)  $\Rightarrow$  1) Use  $H$  to define  $A$  by projection

If  $A$  and  $A'$  are two connections, then  $A - A'$  is

a map from  $\pi^*TM$  to  $\ker \pi_* = P \times \mathfrak{g}$

which is compatible with the action of  $g$

## Class 23 connection and covariant derivative

Last time, we introduced Lie algebra of a Lie gp as left-invariant vector field. the space is identified with  $T_e G = \mathfrak{g}$

$$L_g : G \xrightarrow{\cong} G \quad R_g : G \xrightarrow{\cong} G \\ h \mapsto gh \quad h \mapsto hg$$

$$\text{Left inv} : (L_g)_* v = v \quad (\text{usually write } v \text{ for v.f. but } x \text{ for element in } \mathfrak{g})$$

$$\text{Define } \text{ad} : G \rightarrow GL(\mathfrak{g}) \quad \text{for element in } \mathfrak{g})$$

$$G \times \mathfrak{g} \rightarrow \mathfrak{g} \quad g \cdot x = (L_g)_* (R_{g^{-1}})_* x = g \times g^{-1}$$

This is called adjoint representation of  $G$

Recall a rep of  $G$  is a homomorphism

$$\rho : G \rightarrow GL(n, \mathbb{R}) \quad \text{now } \mathfrak{g} \text{ itself is a vector space (with a Lie bracket)}$$

(consider tangent map, we have  $\text{ad} : \mathfrak{g} \rightarrow gl(\mathfrak{g})$ ,

$$\text{where } gl(\mathfrak{g}) = \text{End}(\mathfrak{g}), \quad \text{ad}(x)(y) = [x, y] \\ = M(\text{d} \ln g, \mathbb{R}) = xy - yx$$

This is called the adjoint representation of Lie algebra  $\mathfrak{g}$ )

Recall for  $G$ -principal bundle  $P$  and a rep  $\rho: G \rightarrow GL(n, \mathbb{R})$ , we can define an associated vector bundle  $P \times_{\rho} \mathbb{R}^n$  by transition functions

$$g_{UV}: U \cap V \rightarrow G \xrightarrow{\rho} GL(n, \mathbb{R})$$

We can use adjoint rep to obtain  $P \times_{\text{Ad}} \mathfrak{g}$

It is a vector bundle over  $M$

We want to argue for two connections  $A, A'$

the difference  $A' - A$  is a section of  $P \times_{\text{Ad}} \mathfrak{g}$

Note  $\mathfrak{g}$  can be regarded as a subspace of matrices, this is related to the matrix-valued 1-form in

$$\nabla' - \nabla = \alpha \in C^{\infty}(M; \text{End}(E) \otimes \wedge^1 T^*M)$$

Recall the definition of connections:

we have an exact sequence of v.b. over  $P$

$$\ker \pi_* \rightarrow TP \rightarrow \pi^* TM$$

$$\ker \pi_* \cong P \times \mathfrak{g} \text{ (product } \mathfrak{g} \text{ bundle)}$$

a connection is either ① a bundle map  $TP \rightarrow \ker \pi_*$

s.t.  $\ker \pi_* \hookrightarrow TP \rightarrow \ker \pi_*$  is identity.

or ② a subbundle  $H$  s.t.  $H \oplus \ker \pi_* = TP$

Both satisfy some  $G$ -equivariant conditions.

$H$  is called horizontal space, because it is tangent to the fiber  $G$ .

From ①.  $A$  is a  $\mathfrak{g}$ -valued 1-form on  $P$

$$\psi_g : P \xrightarrow{\cong} P \quad G\text{-equivariant means} \\ p \mapsto pg^{-1}$$

$$\langle \psi_g^*(A|_{pg^{-1}}), v \rangle = g \langle A|_p, v \rangle g^{-1} \quad \forall g \in G, v \in T P|_p$$

$$\textcircled{2} \quad G\text{-equivariant of } H \text{ means } (\psi_g)_* H_p = H|_{pg^{-1}}$$

$$H_A = \ker A \quad H \cong \pi^* TM$$

The difference  $A' - A : TP \rightarrow \ker \pi_*$

s.t.  $\ker \pi_* \hookrightarrow TP \xrightarrow{A'-A} \ker \pi_*$  is zero

So it induces a map  $\pi^* TM = TP / \ker \pi_* \rightarrow \ker \pi_*$

that is  $G$ -equivariant, so this gives a section

$$\text{In } P \times_{\text{ad } G} = \{(p, x) \in P \times \mathfrak{g}\} / (p, x) \sim (pg^{-1}, g \cdot x \cdot g^{-1})$$

$\uparrow$   
from  $G$ -equivariant condition

We show a general argument about associated bundle

Let  $V = \mathbb{R}^n$  or  $\mathbb{C}^n$   $\text{End}(V) = GL(n, \mathbb{R})$  or  $GL(n, \mathbb{C})$

Let  $\rho: G \rightarrow \text{End}(V)$  be a representation

$$\begin{aligned} E &= P \times_{\rho} V = \{(p, v) \in P \times V\} / (p, g) \sim (pg^{-1}, \rho(g)v) \\ &= P \times V / \text{action of } G \end{aligned}$$

Then a section  $s: M \rightarrow E$  is the same as

$$G\text{-equiv map } \mathcal{S}: P \rightarrow V$$

Given a connection  $A$  (or  $H_A$ ) on  $P$ , we can define cov der  $\nabla$  on  $E$  as follows.

First, take  $d\mathcal{S}: TP \rightarrow V$ , regarded as

a section  $\underline{V} \otimes T^*P$ , where  $\underline{V}$  denotes the bundle

$$P \times V. \text{ We have } \langle (\mathcal{U}_g)^*(d\mathcal{S}|_{pg^{-1}}), w \rangle = \rho(g) \langle d\mathcal{S}|_p, w \rangle$$

for  $w \in TP|_p$

(or  $TM$ )

For  $V|_p \in \pi^* TM|_p$ , we pick the unique horizontal

lift  $V_A|_p \in H_p \subset T_p P$  s.t.  $\pi_* V_A|_p = V|_p$

we have  $(\mathcal{U}_g)_* V_A|_p = V_A|_{pg^{-1}}$

Let  $\nabla\$_$  be the  $G$ -equiv map from  $\pi^*TM$  to  $V$

$$\text{by } \langle \nabla\$, v \rangle = \langle d\$, v_A \rangle$$

we have

$$\langle \nabla((\pi^*f)\$), v \rangle = \langle d((\pi^*f)\$), v_A \rangle$$

$$= \$ \langle \pi^*df, v_A \rangle + \pi^*f \langle d\$, v_A \rangle$$

$$= \$ \langle df, v \rangle + \pi^*f \langle \nabla\$, v \rangle$$

Hence,  $\nabla\$_$  induces a covariant derivative  $\nabla\$_$

---

Locally, we have  $\varphi_U: P|_U \rightarrow U \times G$

a connection  $A$  on  $P$  can be written explicit

$$A = \varphi_U^*(\bar{g}^1 dg + \bar{g}^1 \alpha_U g)$$

( $\mathfrak{g}$ -valued 1-form on  $U \times G$ , not just  $U$ )

$\alpha_U$  is a  $\mathfrak{g}$ -valued 1-form on  $U$

$\bar{g}^1 dg$  comes from  $T^*G$  part  $\bar{g}^1$  identify  $T_g G$  with  $T_e G$

$\bar{g}^1 \alpha_U g$  comes from  $G$ -equivariant.

## Class 24 Horizontal lift and Yang-Mills equation

$\pi: P \rightarrow M$  principal bundle with fiber  $G$

we have  $\ker \pi_* \hookrightarrow TP \twoheadrightarrow \pi^* TM$  exact

a connection  $A$  is a  $G$ -equivariant map

$$TP \rightarrow \ker \pi_* \text{ s.t. } \ker \pi_* \hookrightarrow TP \xrightarrow{A} \ker \pi_*$$

is identity. take horizontal space  $H_A$  as  $\ker A$

we have  $\pi_*: H_A \subset TP \rightarrow TM$

is isomorphism.

Given  $V \in TM$ , we get  $V \in \pi^* TM$ ,

pick  $V_A \in H_A$  s.t.  $\pi_* V_A = V$

$V_A$  is called horizontal lift of  $V$ .

on associated bundle  $E = P \times_{\rho} V$ , we can define

$\nabla S$  by lift  $S: M \rightarrow E$  to

$G$ -equiv  $\mathbb{S}: P \rightarrow V$  and  $d\mathbb{S}: TP \rightarrow V$

$$\langle \nabla S, V \rangle = \langle d\mathbb{S}, V_A \rangle$$

---

Given a path  $\gamma: [0,1] \rightarrow M$ , we want to

lift  $\gamma$  to  $\gamma_A: [0,1] \rightarrow P$  horizontally.

Prop. Given  $t_0 \in [0,1]$ ,  $p \in P|_{\gamma(s)}$ ,  $\exists$  unique

$\gamma_A: [0,1] \rightarrow P$  satisfying

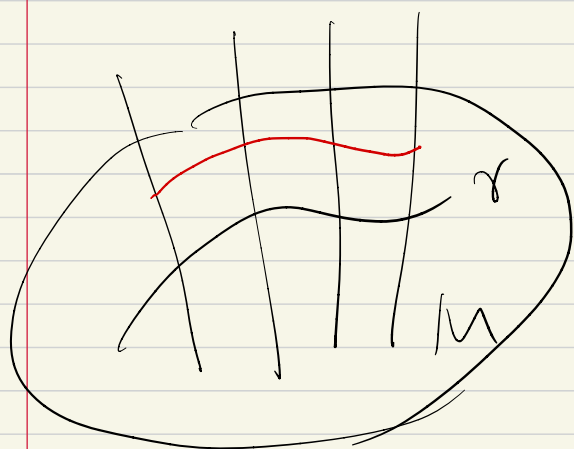
$$1) \gamma_A(t_0) = p$$

$$2) \pi(\gamma_A) = \gamma$$

$$3) \dot{\gamma}_A \subset H_A$$

Moreover, this lift is  $G$ -eqn. i.e.

the path  $\gamma'_A: t \mapsto \gamma_A(t)g^{-1}$  is the horizontal lift of  $\gamma$  with  $\gamma'_A(t_0) = pg^{-1}$



Recall in the case of  $E, \nabla$

a section  $S$  is parallel along  $\gamma$  if  $\nabla_{\dot{\gamma}} S = 0$

If  $E = P \times_P V$  is the associated bundle

a section  $s: M \rightarrow E$  corresponds to  $G$ -eqn.  $S: P \rightarrow V$

$$S(\gamma(t_0)) \in E|_{\gamma(t_0)} \quad \langle \nabla S, \dot{\gamma} \rangle = 0$$

$$\Rightarrow \langle ds, \dot{\gamma}_A \rangle = 0$$

$\Rightarrow$  partial derivative along  $\dot{\gamma}_A$  vanishes



To prove the existence of horizontal lift.

We need local model of the connection

Locally, we have  $\varphi_U: P|_U \rightarrow U \times G$

a connection  $A$  on  $P$  can be written explicit

$$A = \varphi_U^* (\bar{g}^T dg + \bar{g}^T \alpha_U g)$$

( $\mathfrak{g}$ -valued 1-form on  $U \times G$ , not just  $U$ )

$\alpha_U$  is a  $\mathfrak{g}$ -valued 1-form on  $U$

This  $\alpha_U$  is the same one as in  $\nabla s_U = ds_U + \alpha_U s_U$

In another chart  $V \times G$ , we have

$$(x, g_V) = (x, g_{VU}(x) g_U)$$

$$\bar{g}_V^T dg_V + \bar{g}_V^T \alpha_V g_V$$

$$= \bar{g}_U^T dg_U + \bar{g}_U^T (\bar{g}_{VU}^T \alpha_V g_{VU} + \bar{g}_{VU}^T dg_{VU}) g_U$$

$$\Rightarrow \alpha_U = \bar{g}_{VU}^T \alpha_V g_{VU} + \bar{g}_{VU}^T dg_{VU}$$

In chart  $U \times G$ , we have

$$\gamma_A(t) = (\gamma(t), g(t))$$

$$\dot{\gamma}_A(t) = (\dot{\gamma}(t), g^{-1}(t) \dot{g}(t))$$

identify  $T_g G$  with  $T_e G$  by  $g^{-1}$

$\dot{\gamma}_A \in H_A \Rightarrow$  the action

$$\dot{g}^T(t) dg(t) + \dot{g}^T(t) \alpha_u(\gamma(t)) g(t) \text{ vanishes}$$

$$\Rightarrow \dot{g}^T(t) \dot{g}(t) + \dot{g}^T(t) \langle \alpha_u(\gamma(t)), \dot{\gamma}(t) \rangle g(t) = 0$$

$$\Rightarrow \dot{g}(t) + \langle \alpha_u(\gamma(t)), \dot{\gamma}(t) \rangle g(t) = 0$$

This is an ODE, given  $g(t_0)$ ,  $\exists$  unique solution

---

Yang-Mills equation

$\pi: P \rightarrow M$  principal bundle

$$0 \rightarrow \ker \pi_x \xrightarrow{A} TP \rightarrow \pi^* TM \rightarrow 0 \quad \text{exact}$$

$\begin{array}{ccc} & \nwarrow A & \\ \pi^* & & \uparrow \\ 0 & \dashrightarrow & H_A \end{array}$

$A$  connection  $A: TP \rightarrow \ker \pi_x$  G-equ

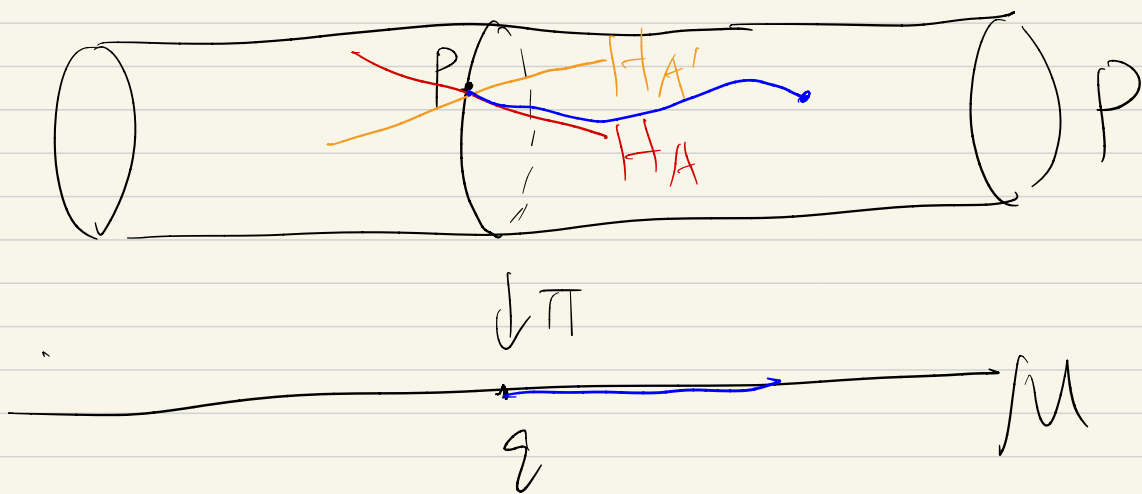
$\ker A = H_A$  horizontal space

$$H_A \cong \pi^* TM \quad \ker \pi_x \oplus H_A = TP$$

$$V \in TM \quad V \in \pi^* TM$$

$$V_A \in H_A \quad \text{s.t.} \quad \pi_x V_A = V \quad \text{horizontal lift of } V$$

$$G = S^1$$



$$\nabla_A : C^\infty(\text{ad } P) \rightarrow C^\infty(\text{ad } P \otimes T^*M)$$

$$\text{ad } P = P \times_{\text{ad } G} = P \times G / (p, x) \sim (pg^{-1}, g^x g^{-1})$$

$$\langle \nabla_A S, V \rangle = \langle dS, V_A \rangle$$

For a path  $\gamma : [0, 1] \rightarrow M$  and  $p \in P|_{\gamma(0)}$ ,

We can find unique horizontal lift  $\gamma_{A,p} : [0, 1] \rightarrow P$

$$\text{s.t. } \pi \circ \gamma_{A,p} = \gamma \quad \gamma_{A,p}(0) = p \quad \dot{\gamma}_{A,p} \in H_A$$

Locally (on  $P|_U \cong U \times G$ ),  $A = g^{-1} dg + g^{-1} \alpha u g$

$$\text{with } \alpha u = g v u^{-1} \alpha v g v u + g v u^{-1} dg v u$$

$$\alpha u \in C^\infty(g \otimes T^*U)$$

matrix valued 1-form

We can consider the exterior covariant derivative

$$d_A : C^\infty(\text{ad } P \otimes \Lambda^k T^*M) \rightarrow C^\infty(\text{ad } P \otimes \Lambda^{k+1} T^*M)$$

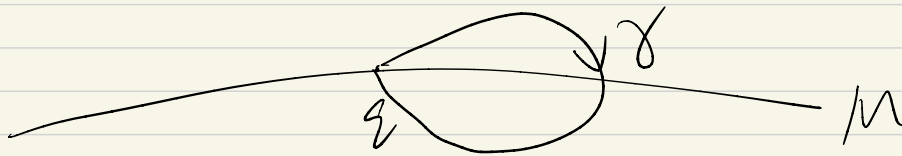
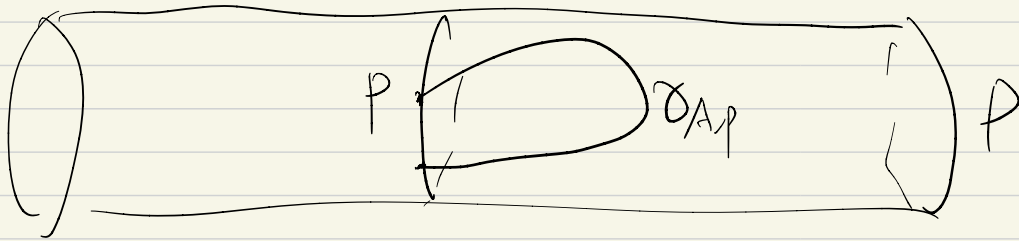
$$\text{and } F_A S = d_A^2 S \text{ for } S \in C^\infty(\text{ad } P)$$

$$\text{Locally, } F_A = d\alpha u + \alpha u \wedge \alpha u \in C^\infty(\text{ad } P \otimes \Lambda^2 T^*M)$$

matrix valued 2-form.

If  $F_A = 0$   $A$  is called a flat connection

In such case,  $\gamma_{A,p}(1)$  only depends on homotopy class of  $\gamma$



Define  $\text{hol}_{A,p} : \pi_1(M, q) \longrightarrow G$  holonomy map  
 $[\gamma] \longmapsto \gamma_{A,p}(1)$

where  $\pi_1(M, q) = \underbrace{\{ \gamma : [0, 1] \rightarrow M \mid \gamma(0) = \gamma(1) = q \}}_{\text{homotopy}}$

we have  $\text{hol}_{A,p} g^{-1}([\gamma]) = g \text{hol}_{A,p}([\gamma]) g^{-1}$

$$\text{hol}_{A,p}([\gamma_1] \cdot [\gamma_2]) = \text{hol}_{A,p}([\gamma_1]) \cdot \text{hol}_{A,p}([\gamma_2])$$

$$[\gamma_1] \cdot [\gamma_2] = [\gamma]$$

$$\text{s.t. } \gamma(t) = \begin{cases} \gamma_1(2t) & t \in [0, \frac{1}{2}] \\ \gamma_2(2t-1) & t \in [\frac{1}{2}, 1] \end{cases}$$

So flat connection determines a representation of

$\pi_1(M)$  up to conjugation

Conversely, the universal cover  $\tilde{M}$  ( $\pi_1(\tilde{M}) = \{e\}$ )

is a principal  $\pi_1(M)$  bundle over  $M$

a representation  $\rho: \pi_1(M) \rightarrow G$

induces a principal  $G$ -bundle

$$M \times_{\rho} G = \tilde{M} \times G / (g, g) \sim (\gamma \cdot g, \rho(\gamma) g \rho(\gamma)^{-1})$$

Let  $(\tilde{\gamma}, e)$  be the horizontal lift of  $\gamma = \pi_1(\tilde{\gamma})$

This defines a flat connection.

$$\{\text{flat conn}\} / \text{iso} \iff \{\pi_1 \text{ rep}\} / \text{conj}$$

---

Given  $g$  on  $TM$ , we can define the volume form  $d\text{vol}$  by picking orthonormal basis

$$e^1, \dots, e^n \text{ of } T^*M \text{ and } d\text{vol} = e^1 \wedge \dots \wedge e^n.$$

There exists an operator  $*$ :  $\Omega^k \rightarrow \Omega^{n-k}$ ,  $n = \dim M$

$$\text{s.t. } v \wedge *v = |v|^2 d\text{vol},$$

where  $|\cdot|$  is induced by  $g$ .

$$\text{Explicitly, } *(e^1 \wedge \dots \wedge e^k) = e^{i_{k+1}} \wedge \dots \wedge e^n$$

$$*(e^{i_1} \wedge \dots \wedge e^{i_k}) = \pm e^{i_{k+1}} \wedge \dots \wedge e^{i_n}$$

$$\text{where } \{i_1, \dots, i_k, \dots, i_n\} = \{1, \dots, n\}$$

we have  $\star^2 = (-1)^{k(n-k)} : \Omega^k \rightarrow \Omega^k$

In particular, let  $n=4$   $k=2$ . we have  $\star^2 = 1$

$$\Omega^2 = \Omega^+ \oplus \Omega^- \quad \star = \pm 1 \text{ on } \Omega^\pm$$

$\Omega^\pm$  is generated by  $e_1 \wedge e_2 \pm e_3 \wedge e_4$

$$e_1 \wedge e_3 \pm e_4 \wedge e_2$$

$$e_1 \wedge e_4 \pm e_2 \wedge e_3$$

$\bar{F}_A$  is a matrix valued 2-form.

we also have  $\star \bar{F}_A$ .

$$\text{Let } \bar{F}_A^\pm = \frac{1}{2} (\bar{F}_A \pm \star \bar{F}_A) \quad \star \bar{F}_A^\pm = \pm \bar{F}_A^\pm$$

$A$  is anti-self-dual if  $\bar{F}_A^+ = 0$

$$\Leftrightarrow \bar{F}_A = -\star \bar{F}_A$$

This is the Yang-Mills equation

recall the space of  $\nabla$  is affine over

$$C^\infty(\text{End}(E) \otimes T^*M)$$

$\bar{F}_A^+ = 0$  is an equation on the space of conn

the space of conn is also affine over

$$C^\infty(\mathfrak{g} \otimes T^*M) \quad \mathfrak{g}\text{-valued 1-form}$$

(Note we should start with  $\text{confd } M$  with  $\mathfrak{g}$  on  $TM$ )

In particular  $G = U(1)$   $\mathfrak{g} = \{c \in \mathbb{C} \mid c + c^* = 0\}$   
 $= i\mathbb{R}$

$A$  is affine over  $C^\infty(i\mathbb{R} \otimes T^*U)$

$F_A^+ = 0$  is indeed the Maxwell's equations

for electromagnetism in physics

$G = SU(2)$   $\mathfrak{g} = \{x \in M(2, \mathbb{C}) \mid \text{tr} x = 0 \ x + x^* = 0\}$

nonabelian

$$= \text{span} \left\{ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right\}$$

Pauli matrices

$F_A^+ = 0$  is the original one studied in physics



Class 25/26 Topological ins from Yang-Mills equation

Last time, we focus on  $\dim M = 4$  with  $g$  on  $TM$  and introduce the Yang-Mills equation  $F_A^\dagger = 0$ .

Let's review the set-up (replace  $M$  by  $X$ )

Suppose  $X$  is closed (compact + no boundary)  
and oriented (orientable + an orientation)

$\pi: P \rightarrow X$  is a principal  $G = SU(2)$  bundle.

Given  $g$  on  $TX$ , define  $dvol$  by orthonormal basis

$$dvol = e^1 \wedge \dots \wedge e^n$$

Define  $\star: \Omega^k \rightarrow \Omega^{4-k}$  s.t.  $v \wedge \star v = |v|^2 dvol$

$\star^2 = 1$  on  $\Omega^2$  split it into  $\Omega^+ \oplus \Omega^-$

Given  $w \in \Omega^2$ , we have  $w \pm \star w \in \Omega^\pm$

For a conn  $A$  on  $P$ , we can define  $\nabla_A, d_A, F_A$

$F_A^\dagger = \frac{1}{2}(F_A + \star F_A)$  is the ASD part of  $F_A$ .

---

Classification of principal  $G$ -bundle:

Thm: If  $\psi, \phi : M \rightarrow N$  are homotopic,

i.e.  $\exists \Phi : [0,1] \times M \rightarrow N$   $\Phi(0,-) = \psi$   $\Phi(1,-) = \phi$

For  $\pi: P \rightarrow N$ ,  $\psi^*P$  is isomorphic to  $\phi^*P$

Pf: Fix a connection  $A$  on  $\Phi^*P \rightarrow [0,1] \times M$

Given  $p \in \psi^*P$ , let  $\gamma_{A,p}(t)$  be the

horizontal lift of  $\gamma(t) = \Phi(t, \pi(p))$

(This path has the same image on  $M$ )

Then  $\gamma_{A,p}(1) \in \phi^*P$

The map  $p \mapsto \gamma_{A,p}(1)$  is an isomorphism

from  $\psi^*P$  to  $\phi^*P$ , it is  $G$ -equiv

because  $\gamma_{A,pg^{-1}}(t) = \gamma_{A,p}(t) g^{-1}$

Cor. If  $M$  is homotopic to  $\mathbb{R}^n$  (or contractible),  
then any principal bundle over  $M$  is product  $G$   
bundle

Fact For any  $G$ ,  $\exists$  a universal classifying space  
 $BG$  (unique up to homotopy), s.t.

$$\{ \text{principal } G\text{-bundle over } M \} / \sim$$

$$\Leftrightarrow \{ \text{maps from } X \text{ to } BG \} / \text{homotopy} = [X, BG]$$

$$\text{Ex: } G = S^1 = U(1) \quad BG = \mathbb{CP}^\infty \quad (\mathbb{CP}^n \hookrightarrow \mathbb{CP}^{n+1})$$

$$G = O(n) \quad BG = \text{Grass}(n, \mathbb{R}^\infty) \quad (\mathbb{R}^n \text{ in } \mathbb{R}^\infty)$$

Another understanding of characteristic classes

(for p.b. or v.b. by framed bundle)

$$H^*(\mathbb{CP}^\infty) = \mathbb{Z}[x] \quad \deg x = 2$$

first Chern class of  $P$  is the pull-back  
of the generator  $x \in H^2(\mathbb{CP}^\infty)$  by

$$M \rightarrow BU(1) = \mathbb{CP}^\infty$$

Fact complex line bundle (or principal  $U(1)$  bundle)  
is classified by  $c_1$  because  $\mathbb{CP}^\infty = K(\mathbb{Z}, 2)$

Def  $K(\mathbb{Z}, n)$  Eilenberg - MacLane space

A topological space (unique up to weak homotopy eqn)

$$\text{s.t. } \pi_i(K(\mathbb{Z}, n)) = \begin{cases} \mathbb{Z} & i=n \\ \{e\} & i \neq n \end{cases}$$

$$\text{Prop } [X, K(\mathbb{Z}, n)] \cong H^n(X; \mathbb{Z})$$

$$n=2. \text{ principal } U(1) \text{ bundle} \iff c_1 \in H^2(X; \mathbb{Z})$$

Fact. Principal  $SU(2)$  bundle on 4d manifold  $X$   
has  $c_1(P) = c_1(P \times_{\text{ad}} \mathfrak{g}) = 0$ , and is classified by

$$c_2(P) = \frac{1}{(2\pi i)^2} [\text{tr}(F_A \wedge F_A)] \in H^4(X; \mathbb{Z}) \cong \mathbb{Z}$$

This is because  $SU(2) \cong S^3$

$$\begin{aligned} \pi_i(BSU(2)) &\cong \pi_{i-1}(SU(2)) = \pi_{i-1}(S^3) \\ &= \begin{cases} \mathbb{Z} & i-1 \geq 3 \\ \{e\} & i-1 < 3 \end{cases} \end{aligned}$$

$BSU(2) \rightarrow K(\mathbb{Z}, 4)$  inclusion, induces

$$[X, BSU(2)] \cong [X, K(\mathbb{Z}, 4)] \cong H^4(X; \mathbb{Z})$$

The orientation on  $X$  means picking a generator in  $\mathbb{Z}$

Then we can write  $c_2(P)$  as an integer  $k \in \mathbb{Z}$

Let  $A_K$  be the space of all conns on  $P$   
with  $c_2(P) = k$ . it is affine over  $C^\infty(\text{ad} P \otimes T^*X)$

Define  $\text{Ad} P = P \times_{\text{Ad} G} = P \times G / (p, h) \sim (p g^{-1}, g h g^{-1}) \forall g$

It is a bundle of Lie group ( $\text{ad} P = P \times_{\text{ad} G}$ )

Let  $G_K = C^\infty(\text{Ad} P)$  called gauge group

There is an action  $G_K \times A_K \rightarrow A_K$

$$(g, A) \mapsto g^* A = A + g^{-1} dg$$

This induces  $F_{g^* A} = g \cdot F_A \cdot g^{-1}$

So  $A$  is ASD.  $\Rightarrow g^* A$  is also ASD.

Let  $M_K = \{ \text{ASD conn in } A_K \} / G_K$

be the moduli space. the set of ASD conn

and  $G_K$  are both infinite dimensional,

but  $\dim M_K$  is finite

Note that  $M_k$  also depends on  $g$  because  $\star$  is.  
 For any  $g$ ,  $M_k$  is not always manifold

To prove  $M_k$  is a smooth manifold for generic  $g$ .

(generic: roughly means dense set in the space of metrics)

we have to do completion of  $A_k$  and  $G_k$

under some norms, s.t. they become Banach manifold

(Locally Banach space = infinite dim space complete)

$C^\infty$  not Banach  $C^r(X)$  or  $L^r_k(X)$  Sobolev space

Then we can apply infinite dim version of

implicit function thm and Sard thm.

For generic  $g$ ,  $M_k$  is a smooth manifold with  
 (possibly containing singular part)

$$\dim M_k = 8k - 3(b_2^+ - b_1^+ + 1)$$

$$\uparrow$$

$$SU(2) \cong \mathbb{R}^3$$

$$\dim H_{dR}^1(X)$$

$$H_{dR}^2(X) = H_{dR}^+(X) \oplus H_{dR}^-(X)$$

$$b_2^+ = \dim H_{dR}^+(X)$$

Ex.  $X = S^4$  round metric  $k=1$   $M_k = \mathbb{B}^5$

$$X = \overline{\mathbb{CP}}^2 \text{ (}\mathbb{CP}^2 \text{ with opposite orientation)}$$

$g = \text{Fubini-Study metric induced from } \mathbb{C}^n$

$$k=1 \quad M_k = \text{Cone of } \overline{\mathbb{CP}}^2$$

$$[0,1) \times \overline{\mathbb{CP}}^2 / \{0\} \times \overline{\mathbb{CP}}^2$$



The cone pt is the reducible solution  
(cones from  $\mathcal{S}' = U(1) \subset SU(2)$  connection)

when  $\dim M_K < 8$ , after adding boundary,  
 $M_K$  is compact ( $> 8$ , some bubble phenomenon)  
of  $\text{codim } 8$

In particular, if  $\dim M_K = 0$ , this is just  
finitely many points. we can introduce orientations  
on  $M_K$ . and in the case of  $\dim M_K = 0$ ,  
we count points with signs.

Fact: under some assumptions (like  $b_2^+(X) > 1$ ),  
the number of pts in  $M_K$  with  $\dim M_K = 0$   
is independent of  $g$ . Hence this is an invariant  
that only depends on the diffeomorphism type of  $X$

Sometimes, we also consider  $SO(3)$  principal bundle  
and count solutions of  $\overline{F}_A^+ = 0$  (note  $SO(3) = SU(2)$ )

$\exists$  smooth manifold  $X_1, X_2$  s.t.  $X_1 \cong_{\text{homeo}} X_2$ ,  
but the number of solutions are different.  $X_1 \not\cong_{\text{diff}} X_2$

These are called exotic pair

Ex For K3 surface, the signed counting of solution is 1, but  $\exists X|_C \cong_{\text{homeo}} K3$ , with the counting  $(2k+1)$  for any  $k \in \mathbb{Z}$ .

Donaldson diagonal thm: Suppose  $X$  is a closed, oriented, connected, simply-connected smooth 4-manifold,  $(\pi_1(X) = \{e\})$

Consider the cup product (wedge product on  $\mathbb{Z}$  coeff)

$$H^2(X; \mathbb{Z}) \times H^2(X; \mathbb{Z}) \longrightarrow H^4(X; \mathbb{Z})$$

as a bilinear form,  $Q_X$ .

If  $Q_X$  is negative definite, then  $\exists A \in GL(n; \mathbb{Z})$

$$A^T Q_X A = \begin{pmatrix} -1 & & \\ & -1 & \\ & & \ddots \\ & & & -1 \end{pmatrix}$$

(over  $\mathbb{R}$ ,  $A \in GL(n; \mathbb{R})$  also exists by linear algebra)

(over  $\mathbb{Z}$ , a necessary condition of diagonalizable is that

all entries on the diagonal are  $-1$

simplest counterexample:  $E_8 = \begin{pmatrix} 2 & -1 & & & & & & \\ -1 & 2 & -1 & & & & & \\ & -1 & 2 & -1 & & & & \\ & & -1 & 2 & -1 & & & \\ & & & -1 & 2 & -1 & & \\ & & & & -1 & 2 & -1 & \\ & & & & & -1 & 2 & -1 \\ & & & & & & -1 & 2 \end{pmatrix}$

i.e. If  $Q_X$  is not diagonalizable (over  $\mathbb{Z}$ )

there is no smooth structure on  $X$ .



The proof uses the moduli space  $M_1$

$$\dim M_1 = 8 - 3 = 5. \quad \partial M_1 = X$$

$M_1$  is orientable, and  $M_1$  has cones on  $\overline{\mathbb{CP}}^2$



# instanton Floer homology

$Y$  closed oriented 3-manifold

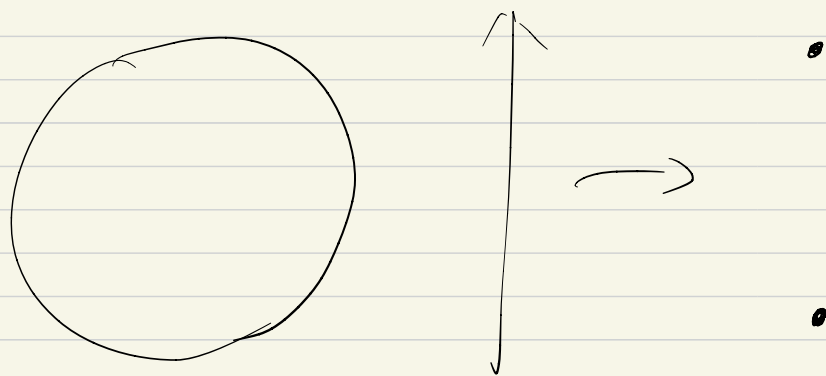
$$H_i(Y; \mathbb{Z}) = H_i(S^3; \mathbb{Z}) = \begin{cases} \mathbb{Z} & i=0,3 \\ 0 & \text{other} \end{cases}$$

$$I(Y) = H_1(CI(Y), d)$$

$CI(Y)$  generated by <sup>irreducible</sup> flat conns on  $Y$  ( $Imp$  is nonabelian)

$$\{\text{flat conns}\} / \underset{iso}{\sim} \xleftrightarrow{I^{-1}} \{\rho: \pi_1(Y) \rightarrow SU(2)\} / \underset{conj}{\sim}$$

If the space is not 0-d. choose some perturbation



Given two flat conns  $\alpha, \beta$ . Consider moduli space

$$M_1(\alpha, \beta) \text{ on } Y \times \mathbb{R}$$

( $\alpha, \beta$  become boundary condition, 1 means 1d)

$$N_{\alpha\beta} = \# M_1(\alpha, \beta) / \mathbb{R} \leftarrow \text{translation}$$

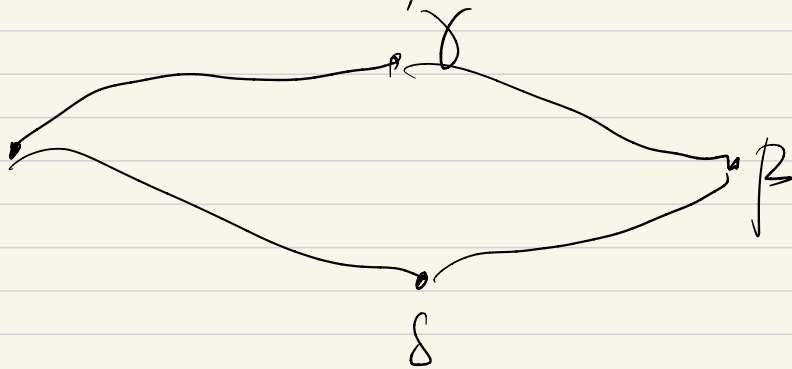
$$d\alpha = \sum_{\beta} N_{\alpha\beta} \beta$$

To prove  $d^2 = 0$ .

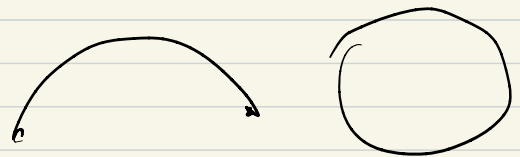
Consider  $M_2(\alpha, \beta) / \mathbb{R}$ .

If can be compactified and oriented

$\partial \widehat{M}_2(\alpha, \beta) / \mathbb{R}$  0 d mfd contains  
"broken trajectory" contribution to  $d^2$



$\# \partial \widehat{M}_2(\alpha, \beta) / \mathbb{R} = 0$  because it is  
boundary of 2-nfd



Fact if  $I(Y) \neq 0$ ,  $\exists p: \pi_1(Y) \rightarrow SU(2)$

s.t.  $\text{Im } p$  is nonabelian

$\Rightarrow \pi_1(Y) \neq \{e\}$