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Phase III Multicenter Open-Label Randomized Trial to Evaluate Efficacy and Safety of CPI-613[®] (devimistat) in Combination with High Dose Cytarabine and Mitoxantrone (CHAM) Compared to High Dose Cytarabine and Mitoxantrone (HAM) therapy and control sub-groups: combination of Mitoxantrone, Etoposide and Cytarabine (MEC) and combination of Fludarabine, Cytarabine, and Filgrastim (FLAG) in Older Patients (≥ 50 years) with Relapsed/Refractory Acute Myeloid Leukemia (AML) Protocol AML003

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LIST OF ABBREVIATIONS

AE	Adverse Events
ALP	Alkaline Phosphatase
ALT	Alanine Aminotransferase
AML	Acute Myeloid Leukemia
ANC	Absolute Neutrophil Count
AST	Aspartate Aminotransferase
ATP	Adenosine Triphosphate
AUC	Area Under the Plasma Concentration-Time Curve
	Area Under the Plasma Concentration-Time Curve from Time Zero
AUCinf	Extrapolated to Infinite Time
AllCat Area Under the Plasma Concentration-Time Curve from Time Zero to the	
AUC _{0-t}	of the Last Quantifiable Concentration
BM	Bone Marrow
BP	Blood Pressure
BSA	Body Surface Area
BUN	Blood Urea Nitrogen
CCAAT	Cytosine-cytosine-adenosine-adenosine-thymidine
CEBPa	CCAAT Enhancer Binding Protein A
CFR	Code of Federal Regulations
	CPI-613 [®] (devimistat) in Combination with High Dose Cytarabine and
СНАМ	Mitoxantrone
CI	Confidence Interval
CL	Apparent Total Body Clearance
CrCl	Creatinine Clearance
Cmax	The Maximum (Peak) Plasma Drug Concentration
C _{min}	The Minimum (Trough) Plasma Drug Concentration
СМН	Cochran-Mantel-Haenszel
CNS	Central Nervous System
CR	Complete Remission
CRh	CR (Complete Remission) with Partial Hematologic Recovery
Cri	CR (Complete Remission) with Incomplete Recovery
CTCAE	Common Terminology Criteria for Adverse Events
СТЕР	Cancer Therapy Evaluation Program
CTnT	Cardiac Troponin T
D5W	Dextrose 5% in Water
DEHP	Diethylhexyl Phthalate
DMC	Data Monitoring Committee
EC	Ethics Committee
ECG	Electrocardiogram
ECOG	Eastern Cooperative Oncology Group
eCRF	Electronic Case Report Form
EF	Ejection Fraction
	European Organization for Research and Treatment of Cancer Quality of Life
EORTC QLQ	Questionnaire

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EOS	End-of-Study
EOT	End-of-Treatment
FDA	Food and Drug Administration
FLAG	Fludarabine, Cytarabine, and Filgrastim
Flt3	FMS-Like Tyrosine Kinase 3
FSH	Follicle Stimulating Hormone
GCP	Good Clinical Practice
GLP	Good Laboratory Practice
GMP	Good Manufacturing Practice
HAM	High Dose Cytarabine and Mitoxantrone
HAMA or HM	High Dose Cytarabine + Mitoxantrone + Asparaginase
hERG	The Human Ether-À-Go-Go-Related Gene
HiDAC	High Dose Cytarabine
HM	High Dose Cytarabine + Mitoxantrone + Asparaginase
HR	Heart Rate
HSCT	Hematopoietic Stem Cell Transplantation
5-HT	5-Hydroxytryptamine
IB	Investigator's Brochure
IB IC ₅₀	The Half Maximal Inhibitory Concentration
	Informed Consent Form
ICF ICH	
	International Council for Harmonization
IDH1/2	Isocitrate Dehydrogenase 1 and 2
IHC	Immunohistochemistry
INR	International Normalized Ratio
IRB	Institutional Review Board
ITD	Internal Tandem Duplication
ITT	Intent-To-Treat
IUD	Intrauterine Device
IUS	Intrauterine Hormonal-Releasing System
IV	Intravenous
IWRS	Interactive Web Response Systems
KGDH	Alpha-Ketoglutarate Dehydrogenase
L-T	Long-Term
LVEF	Left Ventricular Ejection Fraction
MAP	Mean Arterial Pressure
MEC	Mitoxantrone, Etoposide and Cytarabine
MedDRA	Medical Dictionary for Regulatory Activities
MID	Minimal (Clinical) Important Difference
MLFS	Morphological Leukemia-Free State
MRI	Magnetic Resonance Imaging
MTD	Maximum Tolerated Dose
MUGA	Multigated Acquisition Scan
NA	North American
NCCN	National Comprehensive Cancer Network
NCI	National Cancer Institute
NOS	Not Otherwise Specified
ORR	Overall Response Rate
P	

Phase III Multicenter Open-Label Randomized Trial to Evaluate Efficacy and Safety of CPI-613[®] (devimistat) in Combination with High Dose Cytarabine and Mitoxantrone (CHAM) Compared to High Dose Cytarabine and Mitoxantrone (HAM) therapy and control sub-groups: combination of Mitoxantrone, Etoposide and Cytarabine (MEC) and combination of Fludarabine, Cytarabine, and Filgrastim (FLAG) in Older Patients (≥ 50 years) with Relapsed/Refractory Acute Myeloid Leukemia (AML) Protocol AML003

Version 8.00 October 19, 2020 OS **Overall Survival** PDH Pyruvate Dehydrogenase PK Pharmacokinetic(s) рКа **Dissociation Constant** PP Per Protocol PR Partial Remission PRO Patient-Reported Outcome PS Performance Score РТ Prothrombin Time РТТ Partial Thromboplastin Time Q12h Twice a Day with 12 hours Interval QC Quality Control OD Once a Day Corrected QT Interval QTc **RNA Ribonucleic Acid** ROW Rest of the World RR **Respiratory Rate** SAE Serious Adverse Event SAP Statistical Analysis Plan SD Stable Disease SFU Safety Follow-up SGOT Serum Glutamic Oxaloacetic Transaminase Serum Glutamic Pyruvic Transaminase SGPT SUSAR Suspected Unexpected Serious Adverse Reaction(s) Elimination half-life T_{1/2} TEA Triethanolamine **Treatment Failure** TF TKD Tyrosine kinase domain Time to Reach the Maximum (Peak) Plasma Concentration following Drug Tmax Administration TTE Transthoracic Echocardiogram ULN Upper Limit of Normal USP United States Pharmacopeia Apparent Volume of Distribution Vd WBC White Blood Cells

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Phase III Multicenter Open-Label Randomized Trial to Evaluate Efficacy and Safety of CPI-613[®] (devimistat) in Combination with High Dose Cytarabine and Mitoxantrone (CHAM) Compared to High Dose Cytarabine and Mitoxantrone (HAM) therapy and control sub-groups: combination of Mitoxantrone, Etoposide and Cytarabine (MEC) and combination of Fludarabine, Cytarabine, and Filgrastim (FLAG) in Older Patients (≥ 50 years) with Relapsed/Refractory Acute Myeloid Leukemia (AML)

Protocol AML003

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1. PROTOCOL SUMMARY AND SCHEMATIC OF STUDY DESIGN

Name of Sponsor:	Rafael Pharmaceuticals, Inc.	
Protocol Number:	AML003	
Name and Description of	CPI-613 [®] (devimistat)	
Investigational Product:	Mechanism of Action: Targets altered metabolism in cancer cells	
	[Pyruvate Dehydrogenase (PDH) and α -Ketoglutarate	
	Dehydrogenase (KGDH) Inhibitor]	
	Dose: 2,000 mg/m ² /day in Induction and Consolidation Cycles	
	and 2,500 mg/m ² /day in Maintenance Cycles	
	Route of Administration: Intravenous (IV) infusion, 2 hours	
Title:	Phase III Multicenter Open-Label Randomized Trial to Evaluate	
	Efficacy and Safety of CPI-613® (devimistat) in Combination	
	with High Dose Cytarabine and Mitoxantrone (CHAM)	
	Compared to High Dose Cytarabine and Mitoxantrone (HAM)	
	therapy and control sub-groups: combination of Mitoxantrone,	
	Etoposide and Cytarabine (MEC) and combination of	
	Fludarabine, Cytarabine, and Filgrastim (FLAG) in Older	
	Patients (≥50 years) with Relapsed/Refractory Acute Myeloid	
	Leukemia (AML)	
Study Duration:	Approximately 48 months	
Participation Duration:	Approximately 12 months	
Objectives:	Primary Objective:	
	• To determine efficacy of CHAM in terms of complete	
	remission (CR) and compare with HAM (control). CR will	
	be determined as per standard response criteria for AML	
	(Döhner et al. 2017; 129[4]:424-447).	
	Secondary Objectives: • To determine efficacy of CHAM in terms of overall	
	survival (OS) and complete remission + complete	
	remission with partial hematologic recovery (CR+CRh)	
	as the two key secondary objectives to compare with HAM	
	(control). The OS and CR+CRh will be determined as per	
	standard response criteria for AML (Döhner et al. 2017;	
	129[4]:424-447).	
	 Safety: The assessment of safety will be based mainly on 	
	the frequency of adverse events (AEs) based on the	
	Common Terminology Criteria for AEs (version 5.0 or	
	later) grade. Adverse events will be coded according to the	
	Medical Dictionary for Regulatory Activities (MeDRA	
	(version 22.0 or higher). The safety outcomes will include	
	the occurrence of at least one serious AE, of at least one	
	Grade 3/4 AE, and of at least one AE requiring the	
	discontinuation of study treatment. Electrocardiogram	
	QTc intervals and cardiac markers will also be evaluated.	
	Other Secondary Objectives:	
	 Pharmacokinetics (PK): to evaluate C_{max}, C_{min}, AUC, 	
	$T_{1/2}$, T_{max} , CL and V _d for both CPI-613 [®] (devinistat) and	
	$11/2$, $1 \max$, CL and v_0 for both CI 1-013 (upvinitistat) and	

	Protocol AML003	
Version 8.00	October 19, 2020 its metabolites CPI-2850 and CPI-1810. To evaluate	
	Patient-Reported Outcome (PRO) among patients	
	receiving CHAM compared to HAM as per European	
	Organization for Research and Treatment of Cancer	
	Quality of Life Questionnaire C30 (EORTC QLQ-C30).	
	 Cancer-associated mutations and/or genetic 	
	alterations in bone marrow aspirates/biopsies and/or	
	peripheral blood. Up to 10 unstained slides from	
	baseline and time of disease progression will be	
	collected. These slides will be used for immuno	
	histochemistry staining for PDKs, PDH, KGDH, SOD2	
	and CD79a. and as a source of material for RNA and	
	whole exome sequencing should the bone marrow	
	aspirate/biopsy material be inadequate.	
	Exploratory Objectives:	
	 Gene expression analysis by RNA sequencing for baseline 	
	bone marrow aspirate/biopsy samples to validate	
	previously described response signature from the Phase I	
	study (study CCCWFU 22112). Efficacy and safety	
	analyses per gene mutations will also be assessed (may	
	include FLT3, IDH1/2, TP53, CEBPα, NPM1, etc).	
	 PK/PD analyses for dose/exposure-response on efficacy 	
	and safety.	
	 Patient Survival: 30-day and 60-day mortality after first 	
	dose of study-related treatment.	
Endpoints:	Primary Endpoint:	
	• CR	
	Secondary Endpoints:	
	 OS (key secondary) CB (CB) (here even there) 	
	 CR+CRh (key secondary) 	
	Safety	
	PK	
	 PRO by EORTC QLQ-C30 Construction of the second secon	
	 Cancer-associated mutations and/or genetic alterations 	
	in bone marrow aspirate/biopsy and/or peripheral	
	blood Exploretory Endpoints:	
	Exploratory Endpoints:	
	 The determination of the positive and negative predictive value of the gong supression signature found from 	
	value of the gene expression signature found from studying patients on study CCCWFU 22112. Efficacy and	
	safety analyses per gene mutations. • PK/PD analysis for dose/exposure-response will be	
	The analysis for absorbate response will be	
	explored for clinical efficacy and safety.	
	 Patient Survival: 30-day and 60-day mortality after first 	
	dose of study-related treatment.	
	 Collection of buccal swabs at Screening/Baseline as a 	
	source of germline DNA for comparison with DNA	
	obtained from bone marrow aspirate/biopsy samples.	

Protocol AML003

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Study Design:	 Phase: III Study Design: open label, multicenter, randomized trial Sample Size: approximately 500 Study Groups: Arm 1: CPI-613[®] (devimistat) + High Dose Cy and Mitoxantrone (CHAM) Arm 2: Control group 1: High Dose Cytarab Mitoxantrone (HAM) 	vtarabine bine and toposide
	 Dosing Schedule of Interventions for CHAM arm (Arr Induction Therapy (up to 2 cycles, each cycle: 14 days) Induction Cycle 1 (14-day cycle): CPI-613[®] (devimistat) (2,000 mg/m² over 2 how central line IV infusion): 5 doses, once a day (QD) to 5. Followed by: High Dose Cytarabine following completion of C (devimistat) infusion (1 g/m² over 3 hours as a cen IV infusion): 5 doses, every 12 hours (Q12h) sta Day 3 through Day 5. Time between completion 613[®] (devimistat) infusion and the start of Cy infusion should be not more than 2 hours. Followed by: Mitoxantrone following completion of Hig Cytarabine infusion (6 mg/m² over 15 minutes as a line IV infusion): 3 doses, QD following the 1st, 3^r doses of Cytarabine starting on Day 3 through (3 doses). Mitoxantrone given as soon as possible later than 2 hours, after completion of each Cy administration. 	training on of CPI-613 [®] of CPI-613 [®] of CPI- of CPI- tranabine
	Induction Cycle 2 (14-day cycle; based on Day 14 bone aspirate/biopsy results):	marrow
	 Patients are only eligible to receive full Induction therapy if they are hemodynamically stable i.e arterial pressure (MAP) > 60 mm Hg (not on prefluid boluses), have maintained an ejection fractisufficient to allow treatment with Mitoxantrone able to tolerate it in the opinion of the treating Inve If no nadir marrow is obtained or after full Induction 2 therapy, a recovery marrow will be obtaine peripheral blood counts are consistent with received absolute neutrophil count [ANC] > 1,000/µL, p > 100,000/µL and freedom from blood transfusion 	e. mean essors or ion (EF) and are estigator. on Cycle ed when emission platelets

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	If no Day 14 bone marrow aspirate/b Induction Cycle 2 (Full or Abbreviated) w	
	Note: Sites that do not perform day 14 perform induction cycle 2 (full or abbrevit that site. Induction Cycle 2 details:	
	Same as Cycle 1 or an Abbreviated cycle (below: • <u>CPI-613[®] (devimistat) (2,000 mg/ 1 to 3</u> Followed by: • <u>High Dose Cytarabine</u> (1 g/m ²) for <u>CPI 613[®] (devimistat) infusion: 3 c</u>	/m ²): 3 doses, QD Days bllowing completion of
	 CPI-613[®] (devimistat) infusion: 3 d Day 2 through Day 3 Followed by: <u>Mitoxantrone (6 mg/m²): 2 doses, 0</u> 3rd doses of Cytarabine Cycle 2 is administered as soon as poss 5 calendar days, following Day 14 bone of the second secon	QD following the 1 st and sible, but no later than
	 results. Consolidation Therapy (for responders to Abbreviated course as per Induc above (up to 2 consolidation cycles Patients must meet study eligibit function and performance status in consolidation therapy. 	ction Cycles described s). ility criteria for organ
	 Maintenance Therapy (for responders to consolidation for CHAM arm only): <u>CPI-613[®] (devimistat) (2,500 mg/</u> 1 to 5 (28-day cycle); continued u availability of stem cell transition intolerable side effects, or patient vision 	/m ²): 5 doses, QD Days intil disease recurrence, plant, the advent of
	<u>NO</u> administration of High Dose Cytarabi Maintenance Therapy. Patient may maintenance therapy if not eligible consolidation therapy.	move directly into
	Dosing Schedule of Interventions for group, arm 2): HAM group is treated exception of the omission of CPI-613 ⁴ Maintenance Therapy.	d identically with the

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 Induction Cycle 1 (14-day cycle): <u>High Dose Cytarabine</u> (1 g/m² over 3 hours as a central line IV infusion): 5 doses, Q12 hours starting on Day 1 through Day 3. Followed by: <u>Mitoxantrone</u> (6 mg/m² over 15 minutes as a central line IV infusion): 3 doses, QD following the 1st, 3rd and 5th doses of Cytarabine starting on Day 1 through Day 3. <u>Mitoxantrone given as soon as possible, but no later than 2 hours, after completion of each Cytarabine administration.</u>
 Induction Cycle 2 (14-day cycle; based on Day 14 bone marrow aspirate/biopsy results [as described for CHAM Arm 1 above]). Patients are only eligible to receive Induction Cycle 2 therapy if they are hemodynamically stable i.e. MAP > 60 mm Hg (not on pressors or fluid boluses), have maintained an EF sufficient to allow treatment with Mitoxantrone and are able to tolerate it in the opinion of the treating Investigator. If no nadir marrow is obtained or after Induction Cycle 2 therapy, a recovery marrow will be obtained when peripheral blood counts are consistent with remission (ANC > 1,000/µL, platelets > 100,000/µL and freedom from blood transfusions) or on Day 42 from the most recent chemotherapy, whichever comes first. If no Day 14 bone marrow aspirate/biopsy is obtained, no Induction Cycle 2 (Full or Abbreviated) will be given. Abbreviated Induction Cycle 2: High Dose Cytarabine at 1 g/m² administered over 3 hours as a central line IV infusion (as per institutional guidelines
 or package insert instructions) Q12h starting on Day 1 through Day 2 (total of 3 doses). Followed by: <u>Mitoxantrone</u> at 6 mg/m² administered over 15 minutes as a central line IV infusion after the 1st and 3rd doses of Cytarabine (total of 2 doses).
Consolidation Therapy (for responders to induction):
 Abbreviated course as per Induction Cycles described above (up to 2 consolidation cycles).
 Dosing Schedule of Interventions for MEC (Control sub group, arm 2): MEC sub-group will be treated as per below defined schedule and there is no Maintenance Therapy. Induction Cycle 1 and 2 (2, 14 day-cycles): Induction cycle 2 based on Day 14 bone marrow aspirate/biopsy results. Etoposide 80mg/m² over 60 minutes as a central line IV infusion; 6 doses Day 1 though 6

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	 Cytarabine 1000mg/m² over 3 hours as a central line IV infusion: 6 doses, Day 1 through 6 Mitoxantrone 6 mg/m² over 30 minutes as a central line IV infusion: 6 dose, Day 1 through 6
	Consolidation Cycle (1 and 2) (for responders to induction):
	 Etoposide 80mg/m² over 60 minutes as a central line IV infusion; 6 doses Day 1 though 6 Cytarabine 1000mg/m² over 3 hours as a central line IV infusion: 6 doses, Day 1 through 6 Mitoxantrone 6 mg/m² over 30 minutes as a central line IV infusion: 6 dose, Day 1 through 6
	 Dosing Schedule of Interventions for FLAG (Control subgroup, arm 2): FLAG sub-group will be treated as per below defined schedule and there is no Maintenance Therapy. Induction Cycle 1 and 2 (2, 14-day cycles): Induction cycle 2 based on Day 14 bone marrow aspirate/biopsy results. Fludarabine 30mg/m²/day over 30 minutes as a central line IV infusion; 5 doses Day 1 though 5 Cytarabine 2g/m² over 4 hours as a central line IV infusion; after Fludarabine: 5 doses, Day 1 through 5 Filgrastim 5µg/kg/day by SQ or as per institutional guidelines starting from Day 1 through Day 5 and can resume 7 days after completion of chemo until ANC ≥ 0.5mcL Note: Sites performing Day 14 bone marrow should not administer Filgrastim until the bone marrow is complete.
	 Consolidation Cycle 1 and 2 (2 cycles): Fludarabine 30mg/m²/day over 30 minutes as a central line IV infusion; 5 doses Day 1 though 5 Cytarabine 2g/m² over 4 hours as a central line IV infusion: 4 hours after Fludarabine: 5 doses, Day 1 through 5 Filgrastim 5µg/kg/day by SQ or as per institutional guidelines starting from Day 1 through Day 5 and can resume 7 days after completion of chemo until ANC ≥ 0.5mcL Note: Sites performing Day 14 bone marrow should not administer Filgrastim until the bone marrow is complete.
	Treatment Regimen Window:
	 For all treatment regimens (CHAM, HAM, MEC and FLAG) up to a 2 hour dosing window is allowed between the agents in each regimen.
Number of Sites Enrolling participants:	Approximately 90 sites

Phase III Multicenter Open-Label Randomized Trial to Evaluate Efficacy and Safety of CPI-613[®] (devimistat) in Combination with High Dose Cytarabine and Mitoxantrone (CHAM) Compared to High Dose Cytarabine and Mitoxantrone (HAM) therapy and control sub-groups: combination of Mitoxantrone, Etoposide and Cytarabine (MEC) and combination of Fludarabine, Cytarabine, and Filgrastim (FLAG) in Older Patients (≥ 50 years) with Relapsed/Refractory Acute Myeloid Leukemia (AML)

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Population:	 Sample Size: approximately 500
	 Gender: Both male and female
	• Age: ≥ 50 years
	 Demographic Group: All
	 Eastern Cooperative Oncology Board Performance
	Score (ECOG PS): 0-2
	Geographic Location: United States, Canada, Austria,
	Belgium, Poland, France, Germany, Spain, Italy,
	Australia, South Korea, India and potentially other
	countries
Main Inclusion Criteria:	• Males and females age ≥ 50 years must have histologically
	documented AML that is relapsed from, or refractory to
	prior standard therapies
	 Refractory is defined as failure to achieve CR or complete
	remission with incomplete recovery (CRi) following:
	a. At least one cycle of any anthracycline, cytarabine
	or fludarabine containing induction regimen of
	persistence of disease on a nadir marrow following
	at least one cycle of any anthracycline, cytarabine
	or fludarabine containing induction regimen
	b. Persistent disease after at least 2 cycles of a
	hypomethylating agent (azacytidine or decitabine
	with or without venetoclax
	 Relapse is defined as development of recurrent AMI
	(Döhner et al. 2017; 129[4]:424-447) after CR or CRi has
	been achieved with a prior chemotherapy or after disease
	progression on a hypomethylating agent with or withou
	venetoclax
	 ECOG PS (performance score) 0-2 Expected survival greater than 2 months
Main Exclusion Criteria:	 Expected survival greater than 3 months Patients who have received previous cytotoxid
	· · ·
	chemotherapy treatment for their relapsed or refractory
	AML. Treatment with hypomethylating agents (decitabing
	or azacytidine) either alone or in combination with
	Venetoclax are allowed until the day prior to starting o
	CHAM or HAM therapy or control sub-groups (MEC and
	FLAG). Targeted therapies including FLT3 or IDH1/2
	inhibitors and/or Hydrea and/or venetoclax are allowed
	Targeted therapies and Hydrea may be taken until the day
	prior to starting of CHAM or HAM therapy or control sub
	groups (MEC and FLAG).
	 Female patients who are pregnant or breastfeeding o
	planning to become pregnant or breastfeed during
	treatment and for an additional 6 months after the last dose

Phase III Multicenter Open-Label Randomized Trial to Evaluate Efficacy and Safety of CPI-613[®] (devimistat) in Combination with High Dose Cytarabine and Mitoxantrone (CHAM) Compared to High Dose Cytarabine and Mitoxantrone (HAM) therapy and control sub-groups: combination of Mitoxantrone, Etoposide and Cytarabine (MEC) and combination of Fludarabine, Cytarabine, and Filgrastim (FLAG) in Older Patients (≥ 50 years) with Relapsed/Refractory Acute Myeloid Leukemia (AML)

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Version 8.00	 October 19, 2020 of CHAM or HAM therapy or control sub-groups (MEC and FLAG) (the teratogenic potential of CPI-613[®] (devimistat) is unknown). Female patients of childbearing potential with a positive pregnancy test assessed by a serum pregnancy test at Screening. Patients receiving any other standard or investigational treatment for AML, or any other investigational agent for any indication within the past 1 week prior to initiation of CPI-613[®] (devimistat) treatment (the use of Hydrea and/or venetoclax, oral tyrosine kinase inhibitors FLT3 or IDH 1/2 inhibitors are allowed until the day prior to starting CHAM or HAM therapy or control sub-groups (MEC and FLAG). Previous exposure to a hypomethylating agents either alone or in combination with venetoclax is allowed until the day prior to starting of CHAM or HAM therapy or control sub-groups of any type within the past 1 week prior to initiation of CPI-613[®] (devimistat) treatment. Patients who have received immunotherapy of any type within the past 1 week prior to initiation of CPI-613[®] (devimistat) treatment. Requirement for immediate palliative treatment of any kind including minor surgery. Patients who have received a chemotherapy regimen with autologous stem cell support (bone marrow transplantation) within 6 months of starting CHAM or HAM therapy or control sub-groups (MEC and FLAG). Patients who have had allogenic bone marrow transplantation within the last 6 months. Patients who have had an allogenic transplant more than 6 months ago are eligible provided they have no graft vs host disease.
Statistical Methods:	Primary Endpoint – CR The treatment arm will be compared with the control arm in terms of CR. The significance level will be determined using an
	O'Brien-Fleming type Lan-DeMets boundary for efficacy equal to 0.023, which will be reached if the difference in CR is larger
	than 8.3%. This requires 500 patients evaluable for response and
	will take 36 months after randomization.
	Endpoint for the 1 st Interim Analysis – CR This will be performed when 125 patients are evaluable for
	This will be performed when 125 patients are evaluable for response after randomization. The significance level for efficacy will be 0.0001 and it will be reached if the difference in CR is larger than 26.7%. The significance level for futility is 0.48 and it will be reached if the difference in CR is less than 0.5%.

Phase III Multicenter Open-Label Randomized Trial to Evaluate Efficacy and Safety of CPI-613® (devimistat) in Combination with High Dose Cytarabine and Mitoxantrone (CHAM) Compared to High Dose Cytarabine and Mitoxantrone (HAM) therapy and control sub-groups: combination of Mitoxantrone, Etoposide and Cytarabine (MEC) and combination of Fludarabine, Cytarabine, and Filgrastim (FLAG) in Older Patients (≥ 50 years) with Relapsed/Refractory Acute Myeloid Leukemia (AML)

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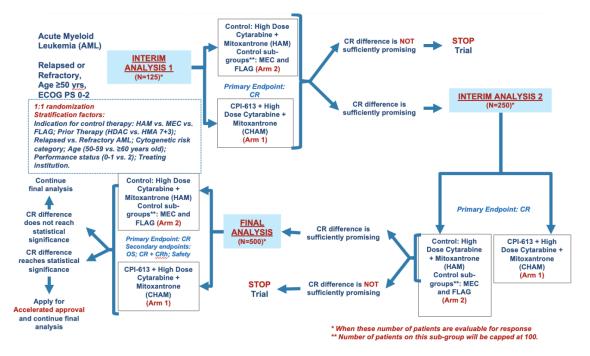
October 19, 2020 Endpoint for the 2nd Interim Analysis – CR This will be performed when 250 patients are evaluable for response and after randomization. The significance level for efficacy will be 0.006 and it will be reached if the difference in CR is larger than 12.8%. The significance level for futility is 0.21 and it will be reached if the difference in CR is less than 4.9%. Based on each of these interim analyses, if the CR difference is not sufficiently promising, consideration will be given to stopping the trial; otherwise, the trial will proceed. The final analysis will be performed when 500 patients are evaluable for response. This analysis will take place approximately 36 months after the first randomization. The number of events (deaths) required for secondary analysis is 394. For OS, the re-randomization will use a stratified Cox proportional hazard test-statistic. For CR+CRh, the CMH test-statistic will be used. A Data Monitoring Committee will review safety periodically during the study.

Phase III Multicenter Open-Label Randomized Trial to Evaluate Efficacy and Safety of CPI-613[®] (devimistat) in Combination with High Dose Cytarabine and Mitoxantrone (CHAM) Compared to High Dose Cytarabine and Mitoxantrone (HAM) therapy and control sub-groups: combination of Mitoxantrone, Etoposide and Cytarabine (MEC) and combination of Fludarabine, Cytarabine, and Filgrastim (FLAG) in Older Patients (≥ 50 years) with Relapsed/Refractory Acute Myeloid Leukemia (AML) Protocol AML003

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Figure 1 Schematic of Study Design



Abbreviations: CHAM: CPI-613[®] (devimistat) in Combination with High Dose Cytarabine and Mitoxantrone; CR: complete remission; CRh: complete remission with partial hematologic recovery; ECOG PS: Eastern Cooperative Oncology Group Performance Score; EU: European Union; HAM: High Dose Cytarabine and Mitoxantrone; HiDAC: High Dose Cytarabine; HMA: High Dose Cytarabine + Mitoxantrone + Asparaginase; NA: North American; OS: overall survival; ROW; Rest of the World; Mitoxantrone, Etoposide and Cytarabine (MEC); Fludarabine, Cytarabine and Filgrastim (FLAG).