



Search: a new era

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gravitee.io

Agenda

Commercial

- "Classic" search and its limitations
- ML model and usage
- Vector search or hybrid search in Elasticsearch
- OpenAI's ChatGPT or LLMs with Elasticsearch

Elasticsearch

You Know, for Search



Elasticsearch

lucene



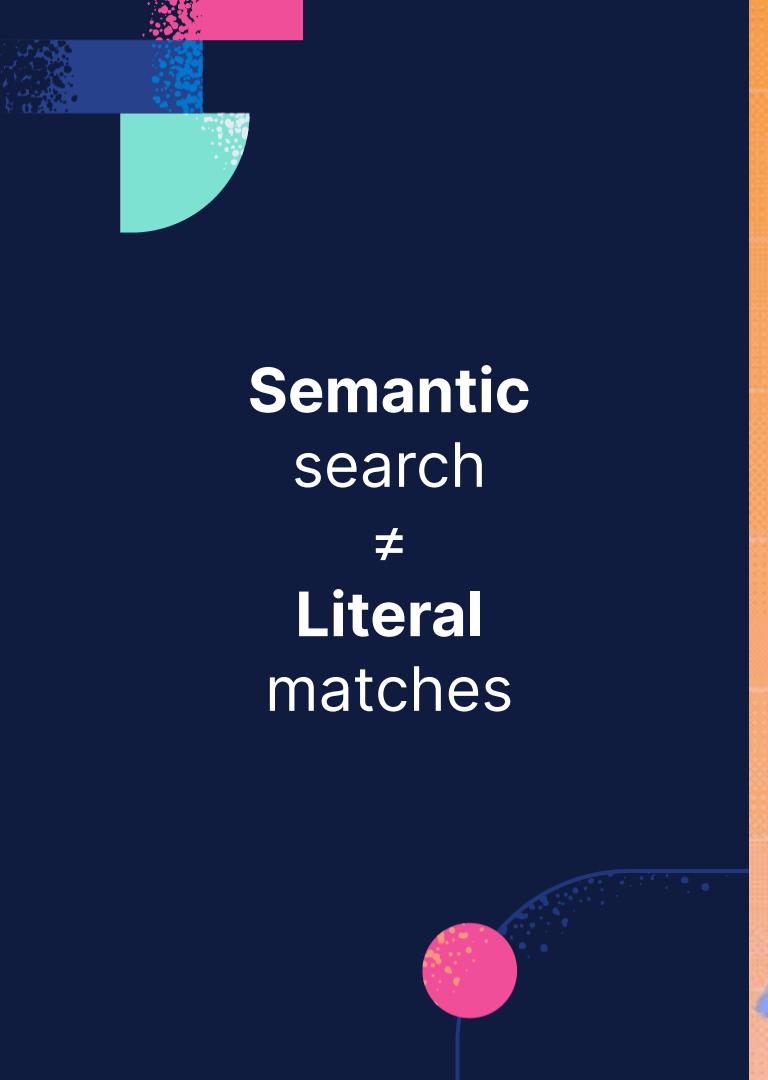
66

These are not the droids
you are looking for.

```
GET /_analyze
{
  "char_filter": [ "html_strip" ],
  "tokenizer": "standard",
  "filter": [ "lowercase", "stop", "snowball" ],
  "text": "These are <em>not</em> the droids
          you are looking for."
}
```

These are *not* the **droids you** are **looking** for.

```
{ "tokens": [ {  
    "token": "droid",  
    "start_offset": 27, "end_offset": 33,  
    "type": "<ALPHANUM>", "position": 4  
} , {  
    "token": "you",  
    "start_offset": 34, "end_offset": 37,  
    "type": "<ALPHANUM>", "position": 5  
} , {  
    "token": "look",  
    "start_offset": 42, "end_offset": 49,  
    "type": "<ALPHANUM>", "position": 7  
} ] }
```



Semantic
search
≠
Literal
matches

similarweb

YOU'RE COMPARING APPLES TO NECTARINES





TODAY



X-wing starfighter squadron

TOMORROW



*What ships and crews do I need to destroy an almost finished death star?
Or is there a secret weakness?*

Elasticsearch

You Know, for Search

Elasticsearch

You Know, for **Vector** Search

What is a **Vector** ?

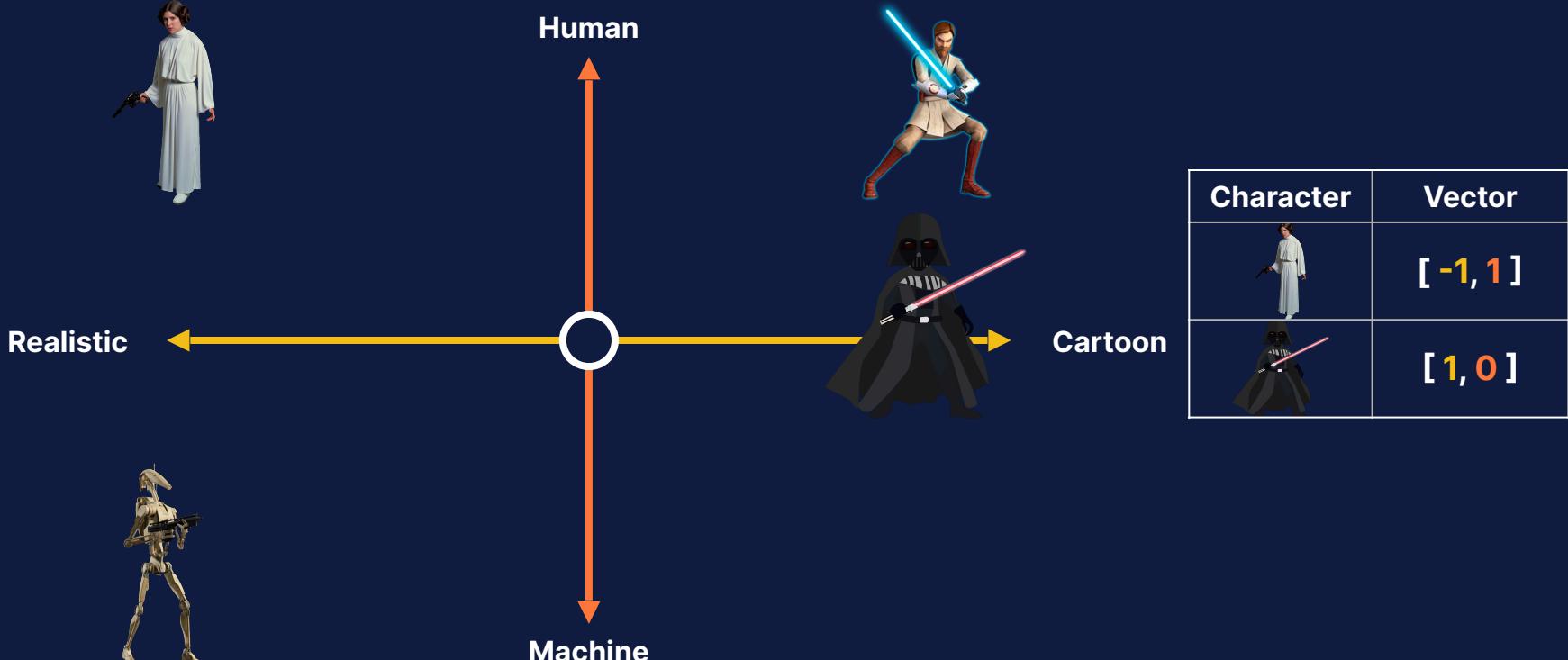


Embeddings represent your data

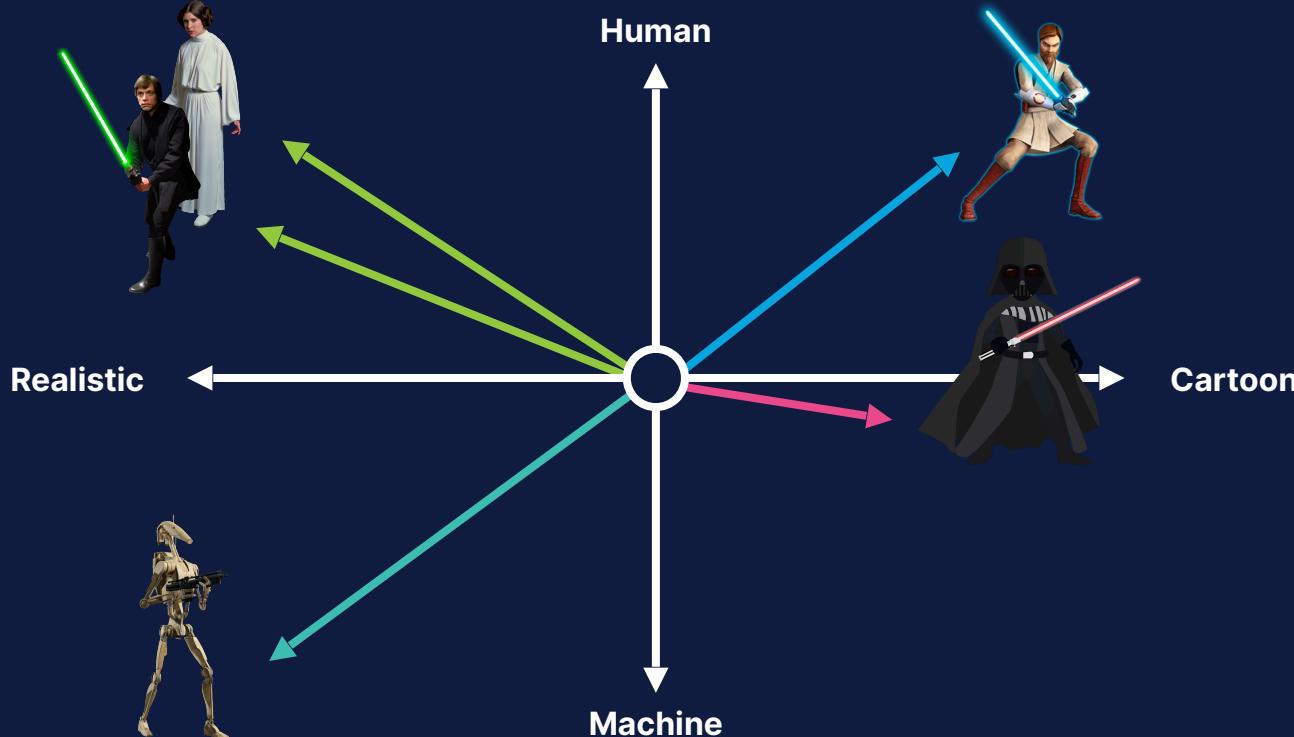
Example: 1-dimensional vector



Multiple dimensions represent different data aspects

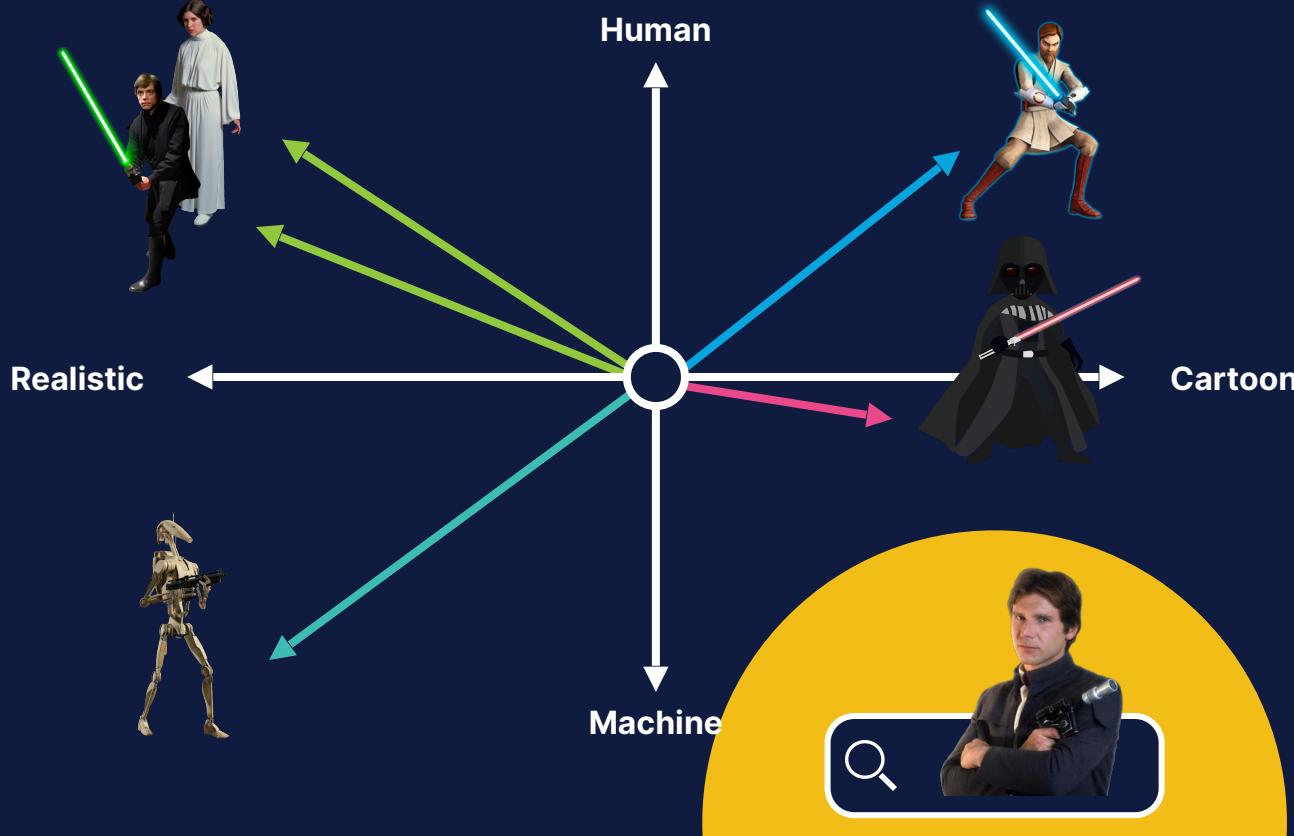


Similar data is grouped together



Character	Vector
	$[-1.0, 1.0]$
	$[1.0, 0.0]$
	$[-1.0, 0.8]$

Vector search ranks objects by similarity (~relevance) to the query



Rank	Result
Query	
1	
2	
3	
4	
5	

Choice of Embedding Model

Start with Off-the Shelf Models

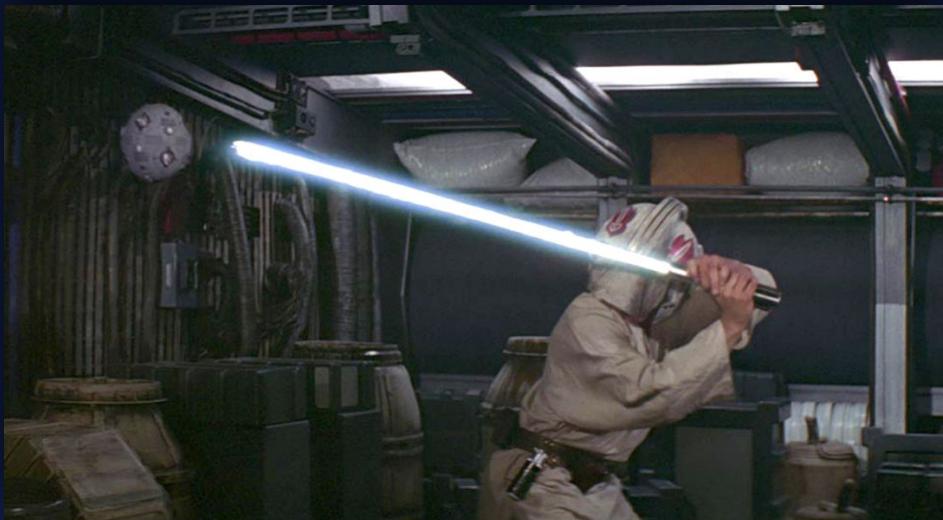
- Text data: Hugging Face (like Microsoft's E5)
- Images: OpenAI's CLIP

Extend to Higher Relevance

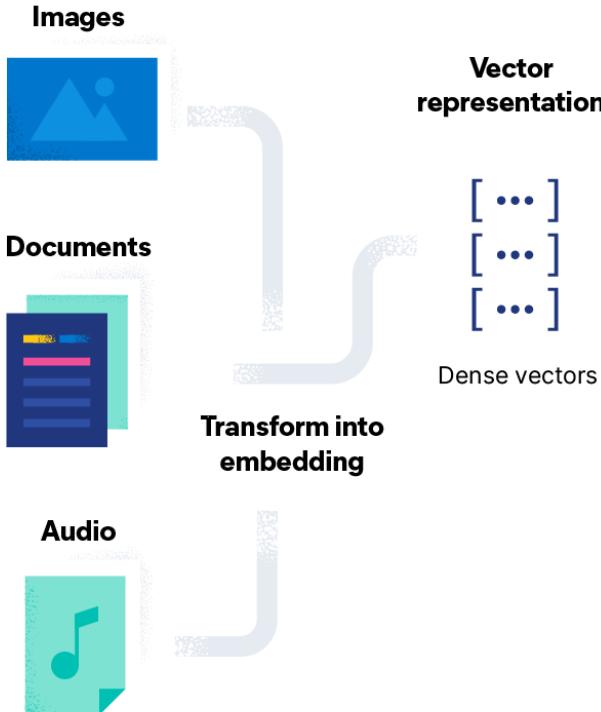
- Apply hybrid scoring
- Bring Your Own Model: requires expertise + labeled data

Problem

training vs actual use-case



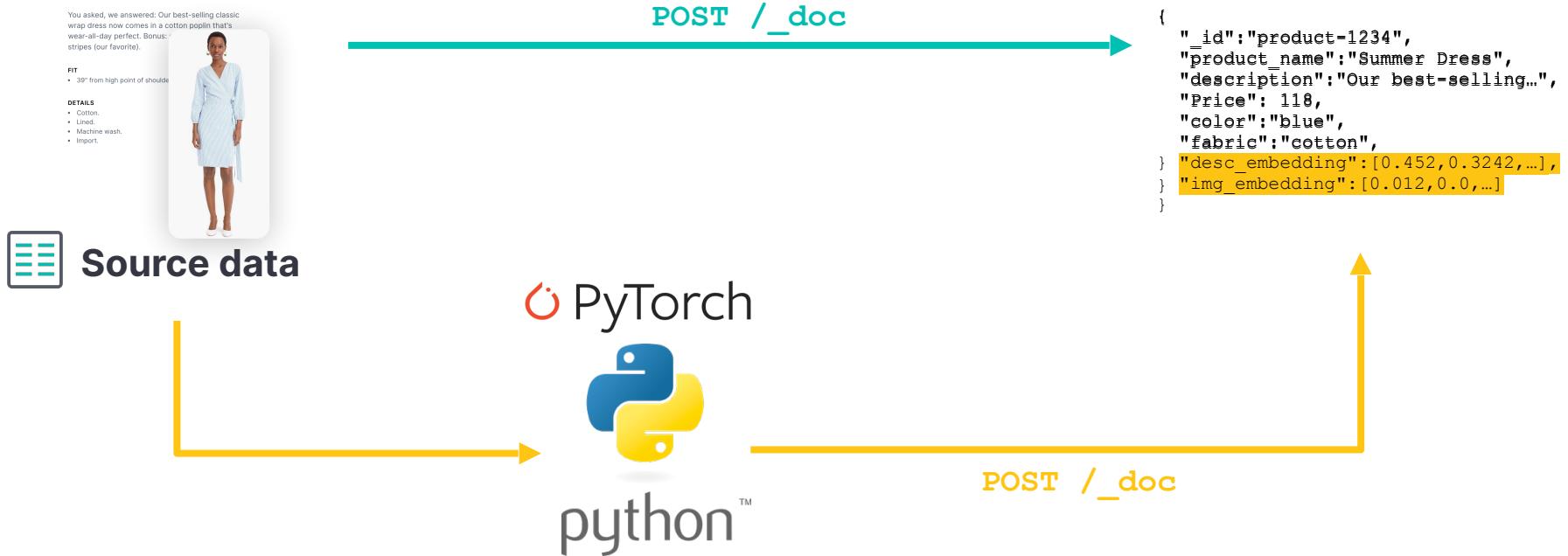
Architecture of Vector Search





How do you index **vectors**?

Data Ingestion and Embedding Generation



With Elastic ML

You asked, we answered. Our best-selling classic wrap dress now comes in a cotton poplin that's wear-all-day perfect. Bonus: stripes (our favorite).

FIT
• 38" from high point of shoulder

DETAILS
• Cotton
• Lined
• Machine wash
• Import



Source data



POST /_doc

ML Inference pipelines + Add inference pipeline

Inference pipelines will be run as processors from the Enterprise Search Ingest Pipeline

ml-inference-embedding-generation Actions
• Deployed pytorch text_embedding

ml-inference-emotional-analysis Actions
• Deployed pytorch text_classification

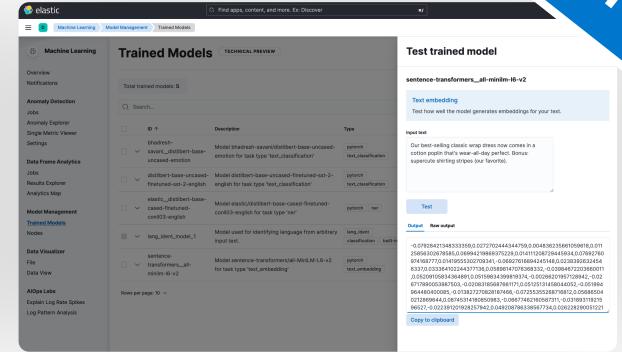
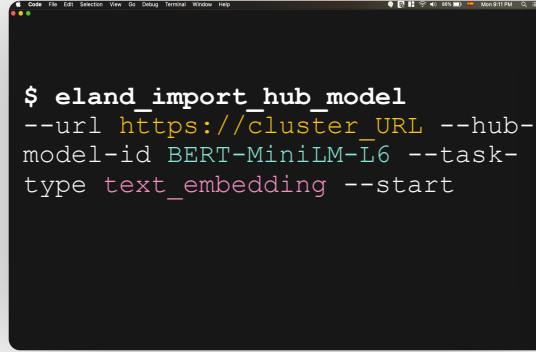
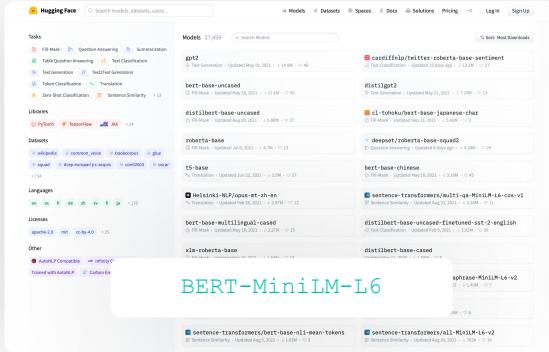
Learn more about deploying ML models in Elastic [🔗](#)

```
{  
  "_id": "product-1234",  
  "product_name": "Summer Dress",  
  "description": "Our best-selling...",  
  "Price": 118,  
  "color": "blue",  
  "fabric": "cotton",  
  "desc_embedding": [0.452, 0.3242, ...]  
}
```



Eland Imports PyTorch Models

Commercial



Select the appropriate model



Load it



Manage models

Elastic's range of supported NLP models

• Fill mask model

Mask some of the words in a sentence and predict words that replace masks

● Named entity recognition model

NLP method that extracts information from text

● Text embedding model

Represent individual words as numerical vectors in a predefined vector space

• Text classification model

Assign a set of predefined categories to open-ended text

• Question answering model

Model that can answer questions given some or no context

● Zero-shot text classification model

Model trained on a set of labeled examples, that is able to classify previously unseen examples

Third party fill-mask models

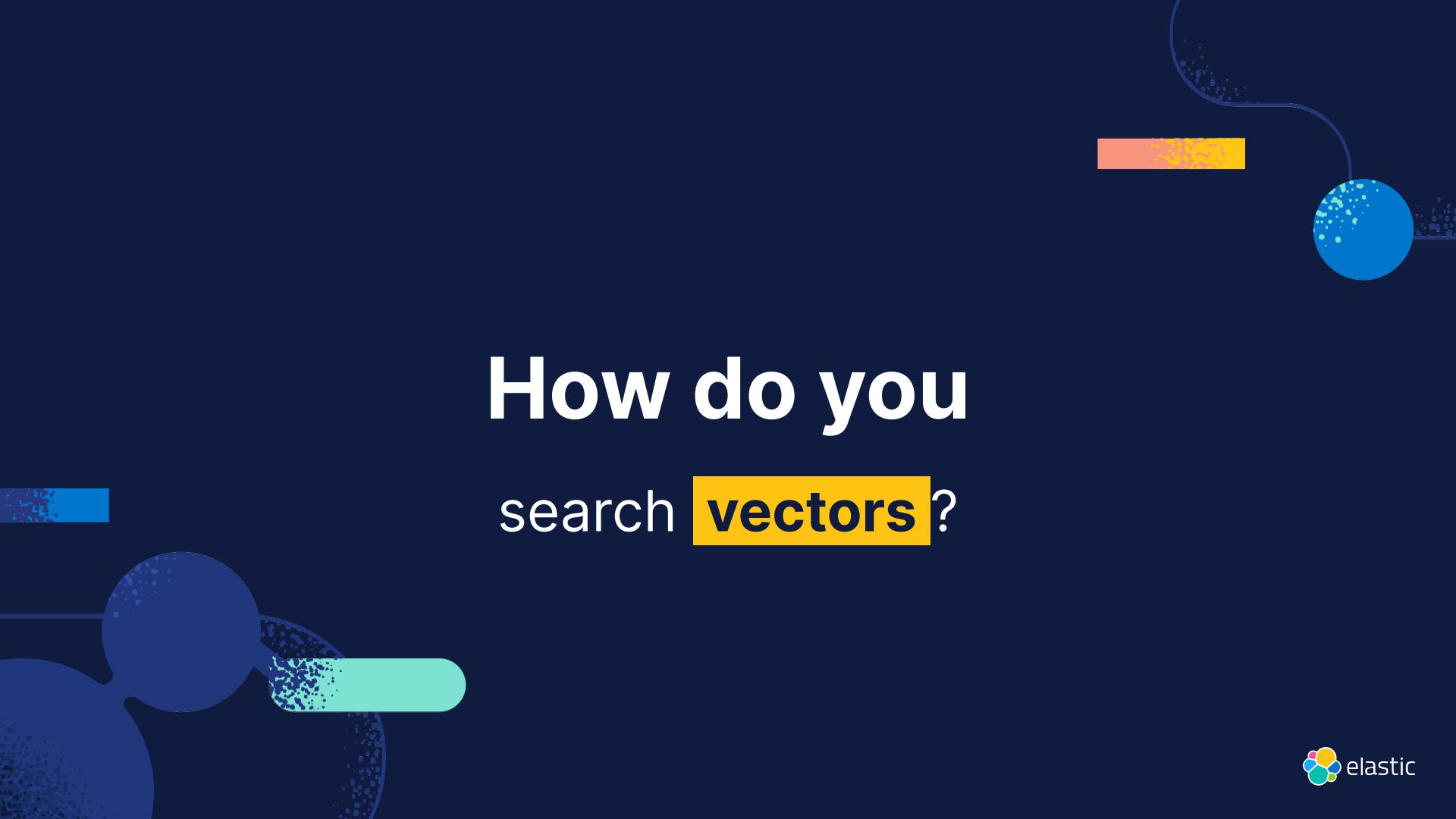
BE Third party text classification models

Third party named entity recognition models

Third party text embedding models

Third party zero-shot text classification models

- BART large mnli
- DistilBERT base model (uncased)
- **DistilBart MNLI**
- MobileBERT: a Compact Task-Agnostic BERT for Resource-Limited Devices
- **NLI DistilRoBERTa base**
- **NLI RoBERTa base**
- **SqueezeBERT**



How do you search **vectors**?

Vector Query



python™

```
GET product-catalog/_search
{
  "query" : {
    "bool": {
      "must": [
        {
          "knn": {
            "field": "desc_embedding",
            "num_candidates": 50,
            "query_vector": [0.123, 0.244, ...]
          }
        }
      ],
      "filter": {
        "term": {
          "department": "women"
        }
      }
    },
    "size": 10
}
```

Vector Query



Transformer model



```
GET product-catalog/_search
{
  "query" : {
    "bool": {
      "must": [ {
        "knn": {
          "field": "desc_embedding",
          "num_candidates": 50,
          "query_vector_builder": {
            "text_embedding": {
              "model_text": "summer clothes",
              "model_id": <text-embedding-model>
            }
          }
        }
      } ],
      "filter": {
        "term": {
          "department": "women"
        }
      }
    },
    "size": 10
  }
}
```

Vector Search components

Search

Query

kNN

Index

Mapping

dense_vector

Generate

Embedding

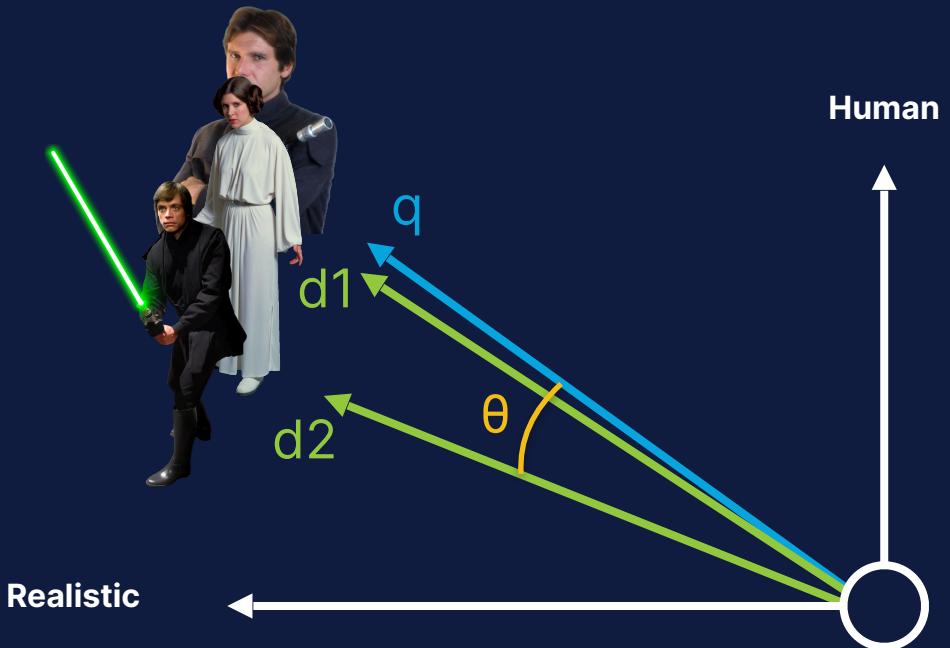
Text embedding
model

(3rd party, local, in Elasticsearch)



But how does it really work?

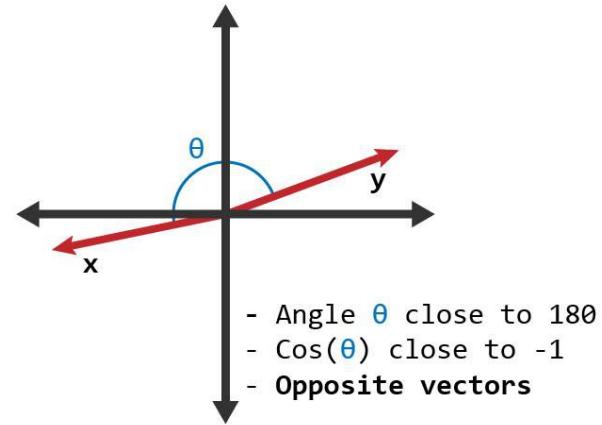
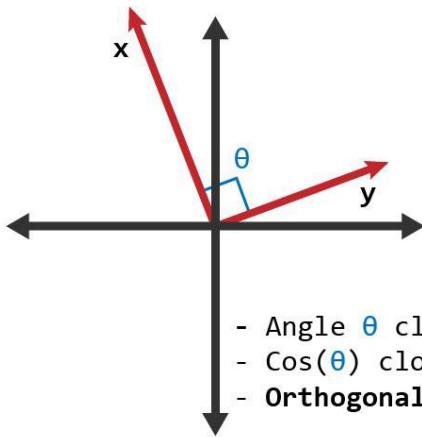
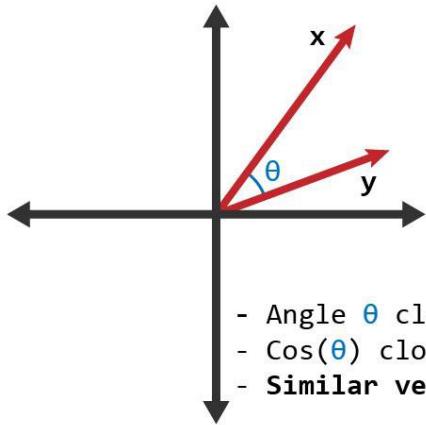
Similarity: cosine (cosine)



$$\cos(\theta) = \frac{\vec{q} \times \vec{d}}{|\vec{q}| \times |\vec{d}|}$$

$$-score = \frac{1 + \cos(\theta)}{2}$$

Similarity: cosine (cosine)

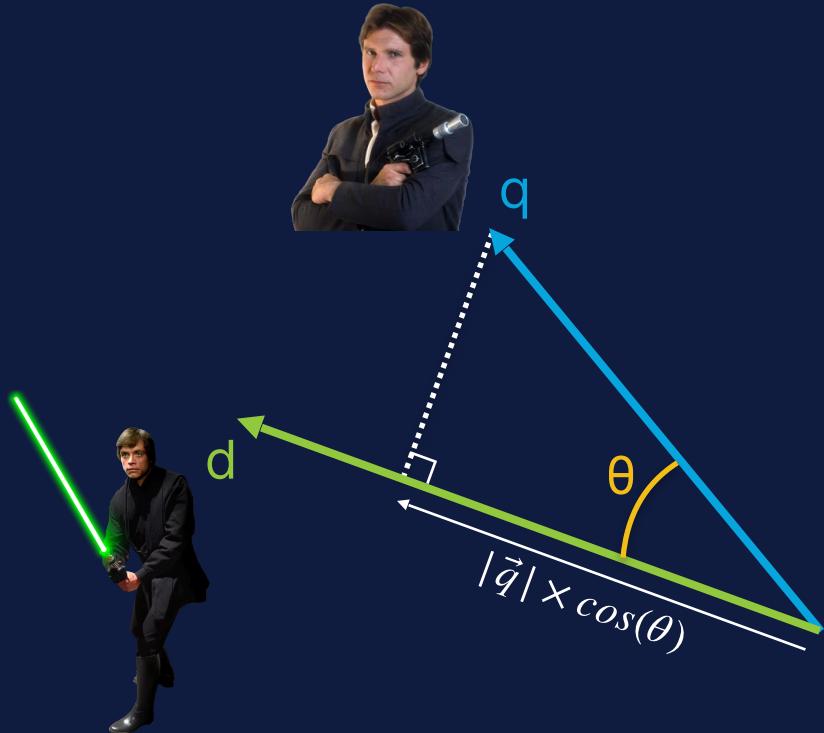


$$\text{_score} = \frac{1 + 1}{2} = 1$$

$$\text{_score} = \frac{1 + 0}{2} = 0.5$$

$$\text{_score} = \frac{1 - 1}{2} = 0$$

Similarity: Dot Product (dot_product)

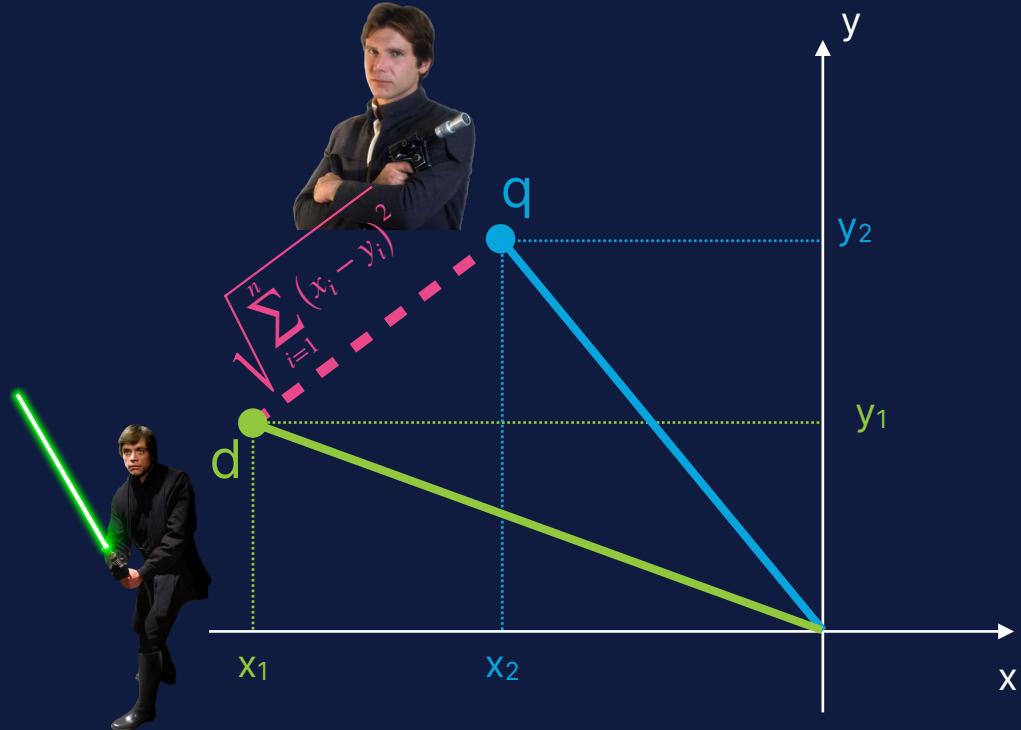


$$\vec{q} \times \vec{d} = |\vec{q}| \times \cos(\theta) \times |\vec{d}|$$

$$-score_{float} = \frac{1 + dot_product(q, d)}{2}$$

$$-score_{byte} = \frac{0.5 + dot_product(q, d)}{32768 \times dims}$$

Similarity: Euclidean distance (l2_norm)



$$l2_norm_{q,d} = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$
$$-score = \frac{1}{1 + (l2_norm_{q,d})^2}$$

Brute Force



Hierarchical Navigable Small Worlds (HNSW)

One popular approach



HNSW: a layered approach that simplifies access to the nearest neighbor



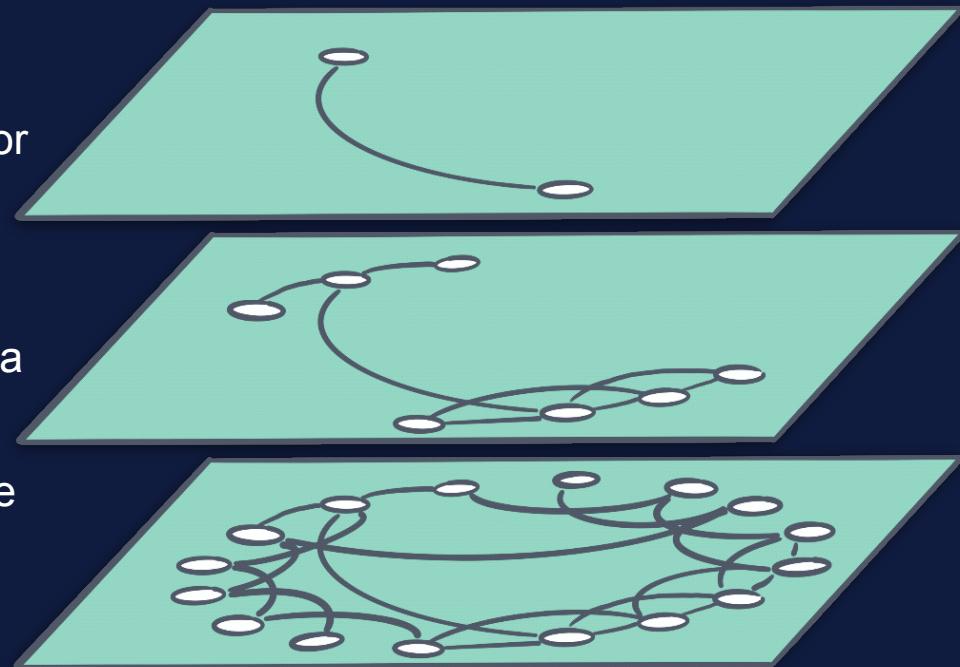
Tiered: from coarse to fine approximation over a few steps



Balance: Bartering a little accuracy for a lot of scalability



Speed: Excellent query latency on large scale indices



Elasticsearch + Lucene = fast progress ❤

Increase max number of vector dims to 2048 #95257

Increase the max vector dims to 4096 #99682

Merged

mayya-sharipova merged 2 commits into `elastic:main` from `mayya-sharipova:increase_vector_dims_4096` ↗ or

Conversation 5

Commits 2

Checks 0

Files changed 8



mayya-sharipova commented on Sep 19

Contributor ...

No description provided.

Commits Increase the max vector dims to 4096

✗ 3f97c5f



mayya-sharipova added `>enhancement` `:Search/Vectors` `v8.11.0` labels on Sep 19

Scaling Vector Search

Vector search

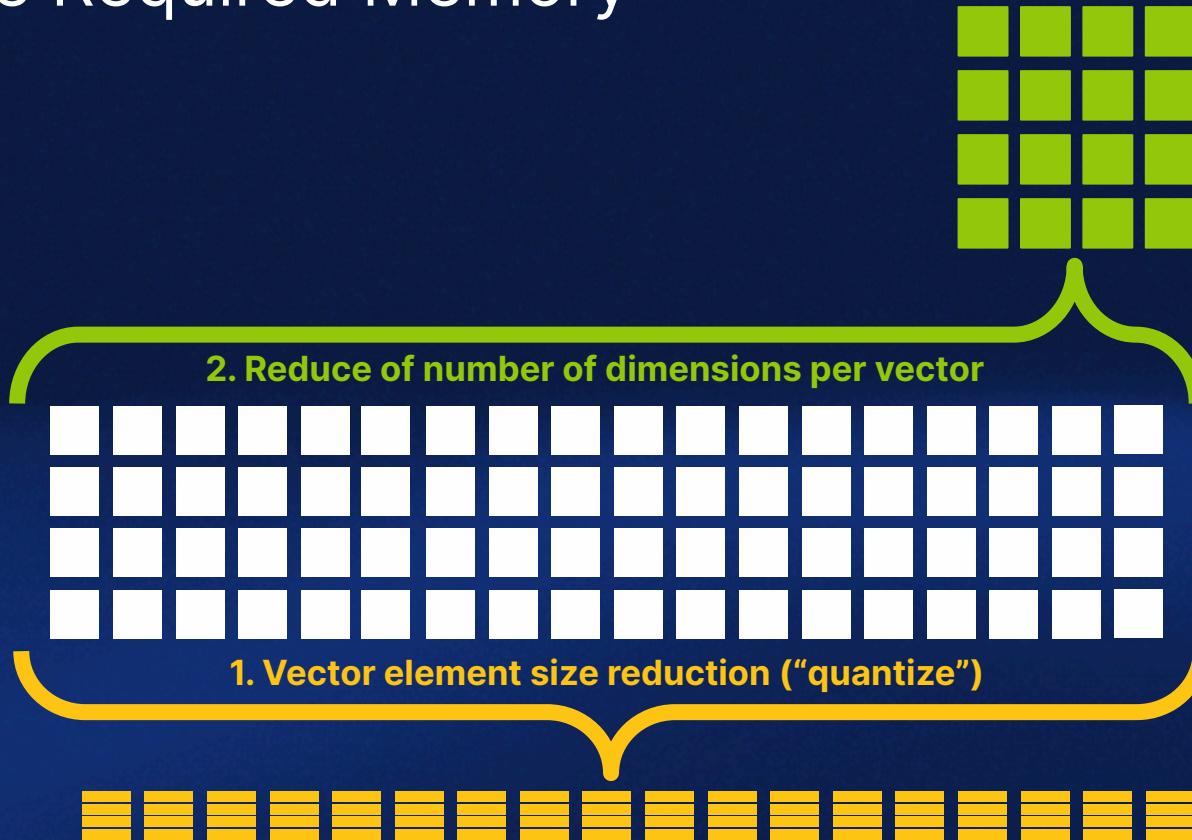
1. Needs lots of memory
2. Indexing is slower
3. Merging is slow

* Continuous improvements in Lucene +
Elasticsearch

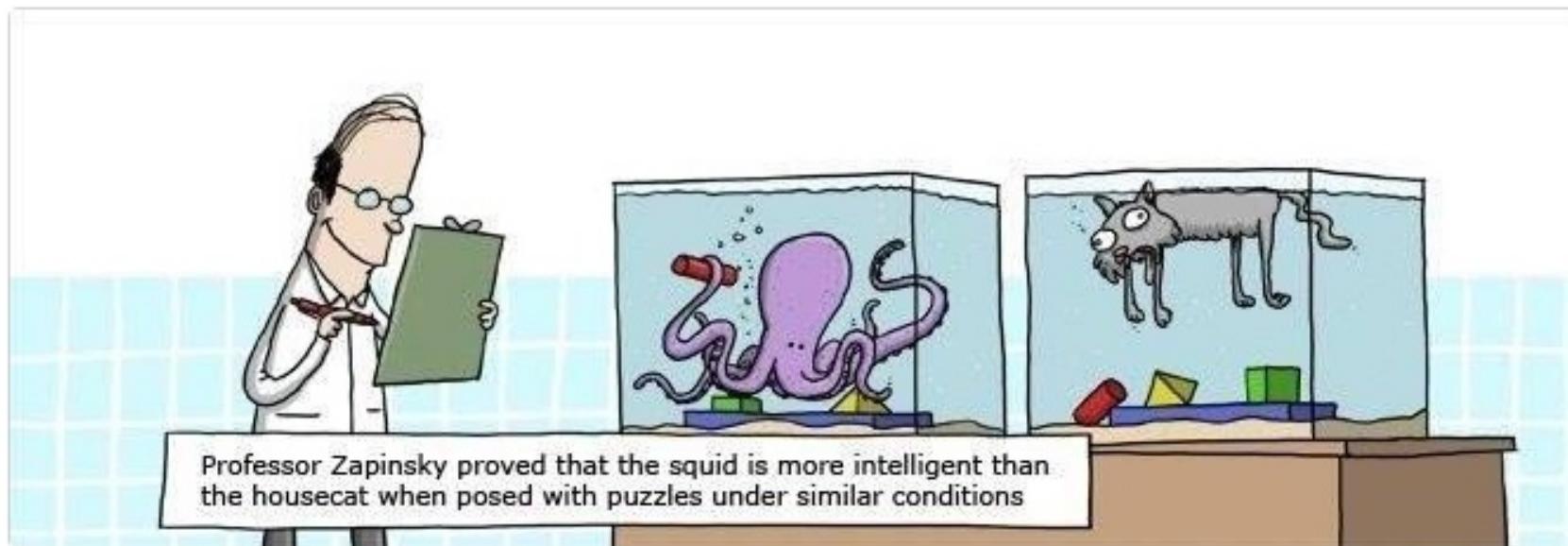
Best practices

1. Avoid searches during indexing
2. Exclude vectors from `_source`
3. Reduce vector dimensionality
4. Use byte rather than float

Reduce Required Memory



Benchmarketing



<https://github.com/erikbern/ann-benchmarks>

Add Elasticsearch KNN #401

Merged

erikbern merged 1 commit into [erikbern:main](#) from [ceh-forks:elasticsearch](#)  last week

Conversation 5

Commits 1

Checks 34

Files changed 4



ceh commented last week • edited

Contributor

...

Add an implementation for [Elasticsearch KNN search](#). Fixes #298.

- Supports specifying `index_options` via arg groups, with one entry for Elasticsearch's [default settings](#) for M and EF.
- Supports specifying `num_candidates` via query args.

What do you think @erikbern, @alexklibisz?



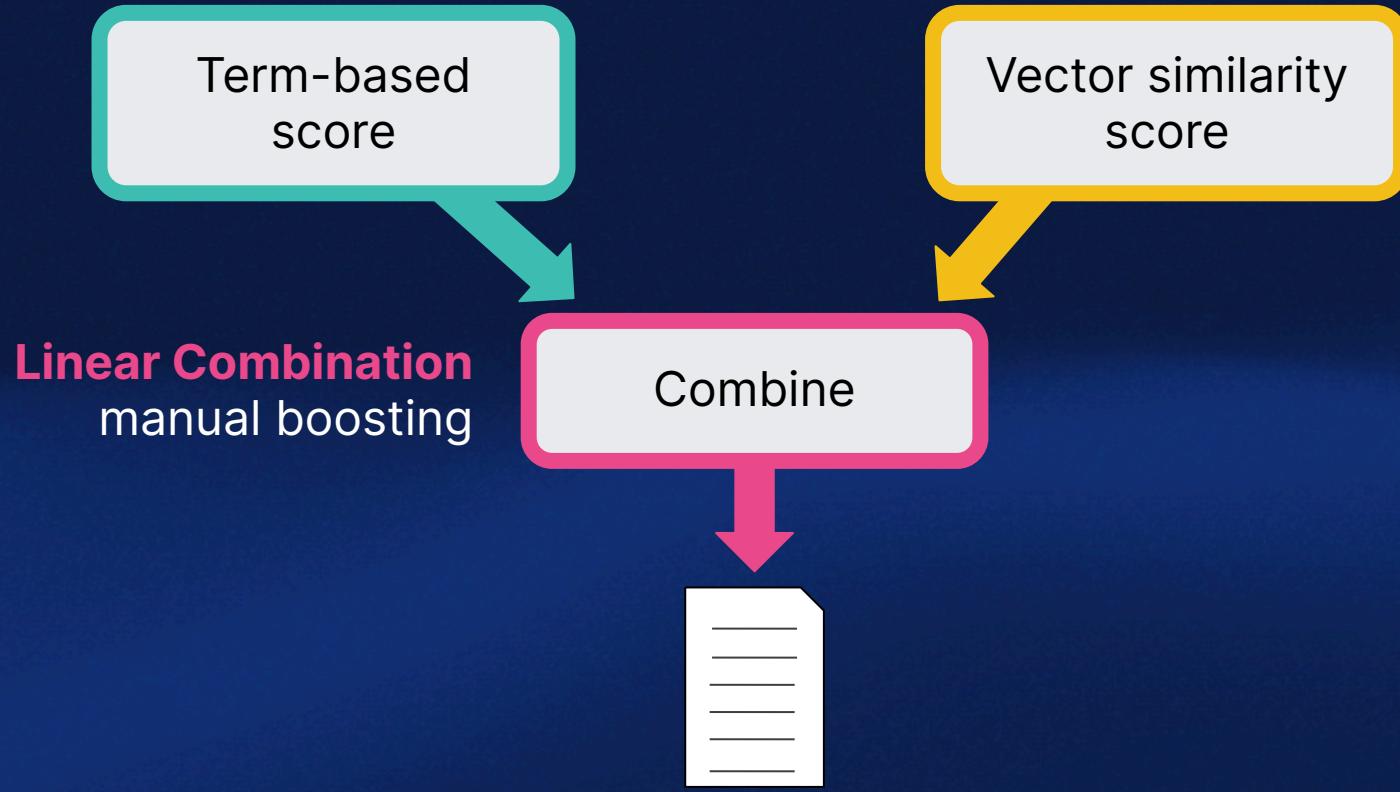
1



Elasticsearch

You Know, for **Hybrid** Search

Hybrid scoring



```
GET product-catalog/_search
{
  "query" : {
    "bool" : {
      "must" : [ {
        "match": {
          "description": {
            "query": "summer clothes",
            "boost": 0.9
          }
        }
      }, {
        "knn": {
          "field": "desc_embedding",
          "query_vector": [0.123, 0.244, ...],
          "num_candidates": 50,
          "boost": 0.1,
          "filter": {
            "term": {
              "department": "women"
            }
          }
        }
      }, {
        "filter" : {
          "range" : { "price": { "lte": 30 } }
        }
      }
    }
  }
}
```

summer clothes

pre-filter

post-filter



```
GET product-catalog/_search
{
  "query" : {
    "bool" : {
      "must" : [ {
        "match": {
          "description": {
            "query": "summer clothes",
            "boost": 0.9
          }
        }
      }, {
        "knn": {
          "field": "image-vector",
          "query_vector": [54, 10, -2],
          "num_candidates": 50,
          "boost": 0.1
        }
      }, {
        "knn": {
          "field": "title-vector",
          "query_vector": [1, 20, -52, 23, 10],
          "num_candidates": 10,
          "boost": 0.5
        }
      }
    }
  }
}
```



ELSER

Elastic Learned Sparse EncodER

text_expansion

Not BM25 or (dense) vector

Sparse vector like BM25

Stored as inverted index

Commercial

Machine Learning Inference Pipelines

Inference pipelines will be run as processors from the Enterprise Search Ingest Pipeline

New

Improve your results with ELSER

X

ELSER (Elastic Learned Sparse EncodER) is our **new trained machine learning model** designed to efficiently use context in natural language queries. This model delivers better results than BM25 without further training on your data.



Deploy

Learn more



Add Inference Pipeline

Learn more about deploying Machine Learning models in Elastic

```
PUT /_inference/sparse_embedding/my_elser_model
{
  "service": "elser",
  "service_settings": {
    "num_allocations": 1,
    "num_threads": 1
  },
  "task_settings": {}
}

PUT /_inference/text_embedding/openai_embeddings
{
  "service": "openai",
  "service_settings": {
    "api_key": "<api_key>"
  },
  "task_settings": {
    "model": "text-embedding-ada-002"
  }
}

PUT /_inference/text_embedding/hugging_face_embeddings
{
  "service": "hugging_face",
  "service_settings": {
    "api_key": "<access_token>",
    "url": "<url_endpoint>"
  }
}
```

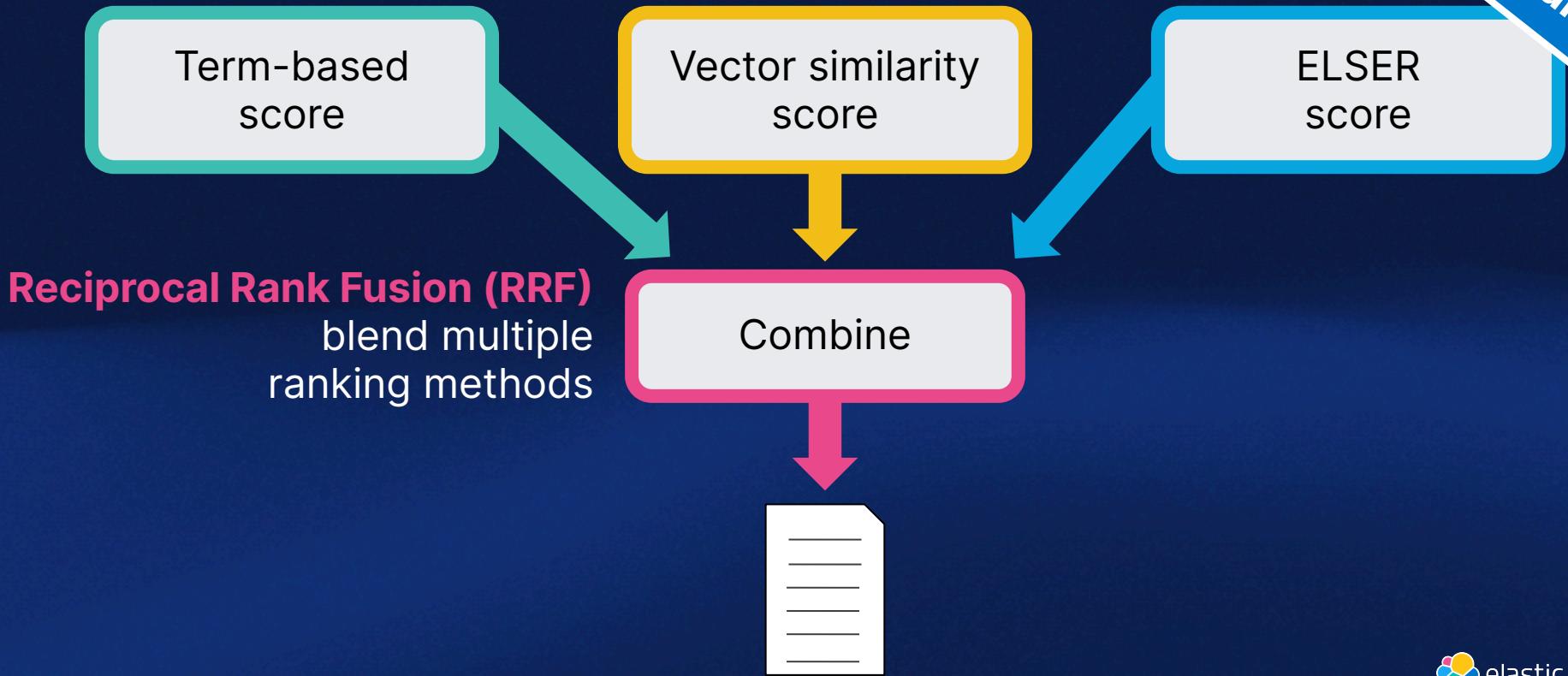


```
POST /_inference/sparse_embedding/my_elser_model
{
  "input": [
    "These are not the droids you are looking for.",
    "Obi-Wan never told you what happened to your father."
  ]
}
```



```
{
  "sparse_embedding": [ {
    "lucas": 0.50047517,
    "ship": 0.29860738,
    "dragon": 0.5300422,
    "quest": 0.5974301,
    "dr": 2.1055143,
    "space": 0.49377063,
    "robot": 0.40398192,
    ...
  }
}
```

Hybrid ranking



```
GET product-catalog/_search
{
  "sub_searches": [
    {
      "query": {
        "match": { ... }
      }
    },
    {
      "query": {
        "text_expansion": { ... }
      }
    }
  ],
  "knn": { ... },
  "rank": {
    "rrf": {
      "window_size": 50,
      "rank_constant": 20
    }
  }
}
```

BM25f

+

ELSER

+

Vector

Hybrid Ranking



Reciprocal Rank Fusion (RRF)

$$RRFscore(d \in D) = \sum_{r \in R} \frac{1}{k+r(d)}$$

D - set of docs

R - set of rankings as permutation on $1..|D|$

k - typically set to 60 by default

Ranking Algorithm 1

Doc	Score	r(d)	k+r(d)
A	1	1	61
B	0.7	2	62
C	0.5	3	63
D	0.2	4	64
E	0.01	5	65

Ranking Algorithm 2

Doc	Score	r(d)	k+r(d)
C	1,341	1	61
A	739	2	62
F	732	3	63
G	192	4	64
H	183	5	65



Doc	RRF Score
A	$1/61 + 1/62 = 0,0325$
C	$1/63 + 1/61 = 0,0323$
B	$1/62 = 0,0161$
F	$1/63 = 0,0159$
D	$1/64 = 0,0156$

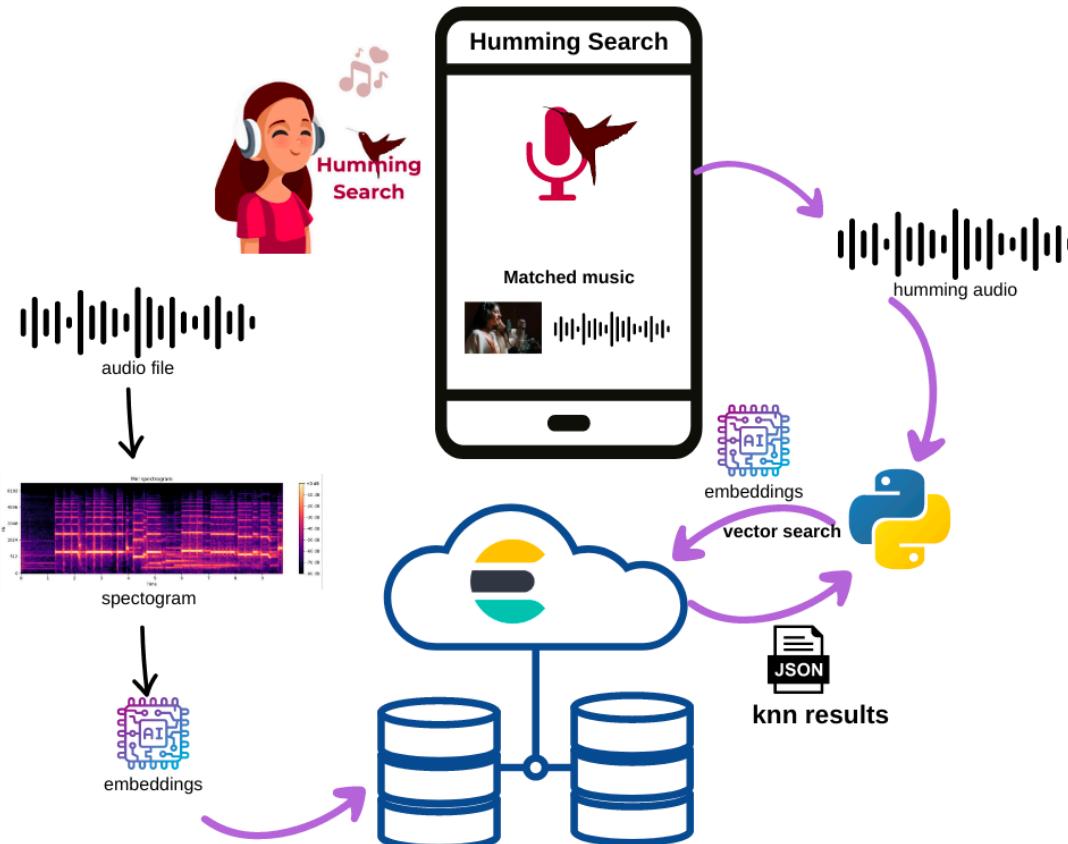


<https://djdadoo.pilato.fr/>



Anniversaire **Lucas** - 25 ans

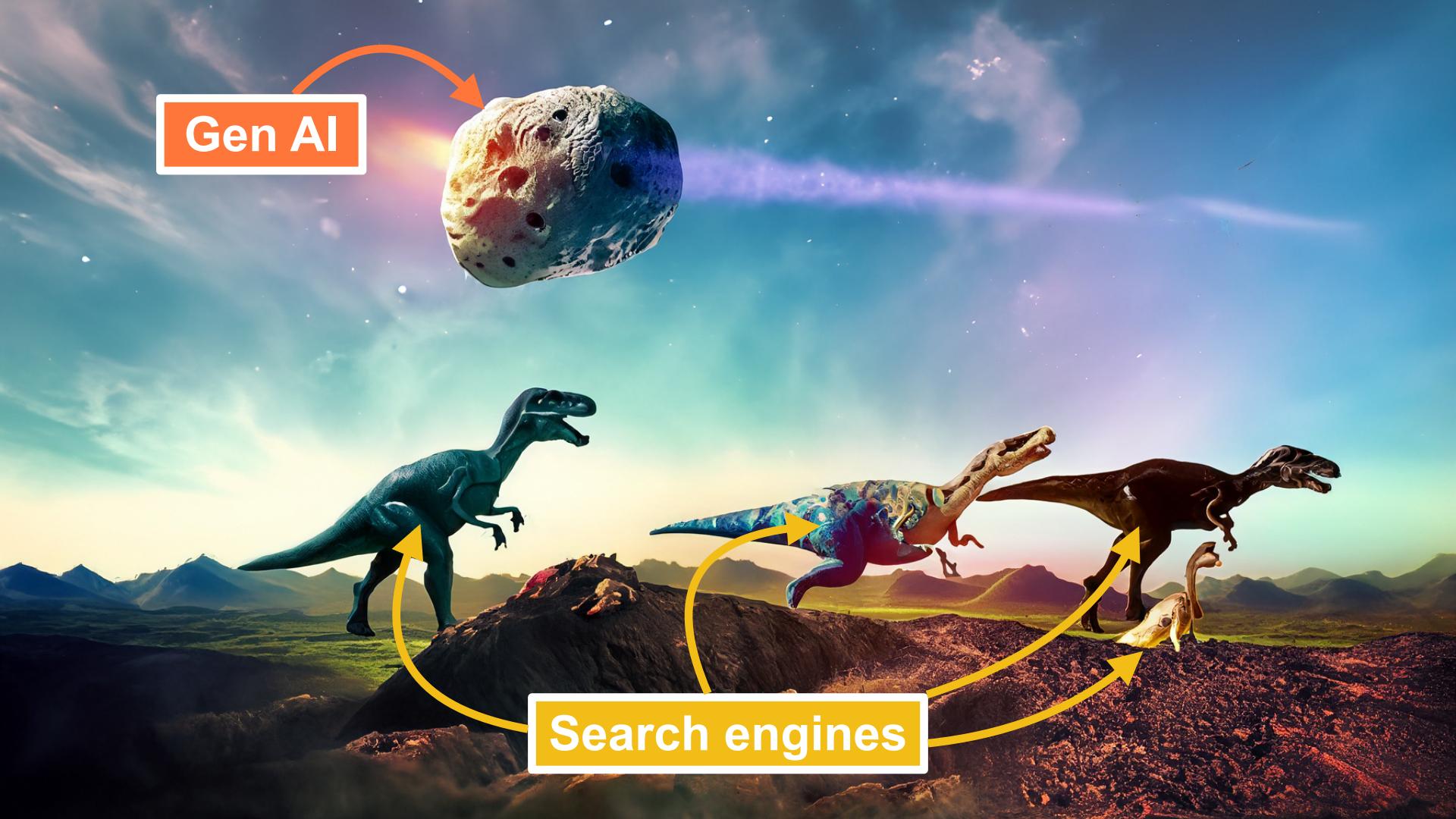




<https://github.com/dadoonet/music-search/>

ChatGPT

Elastic and LLM

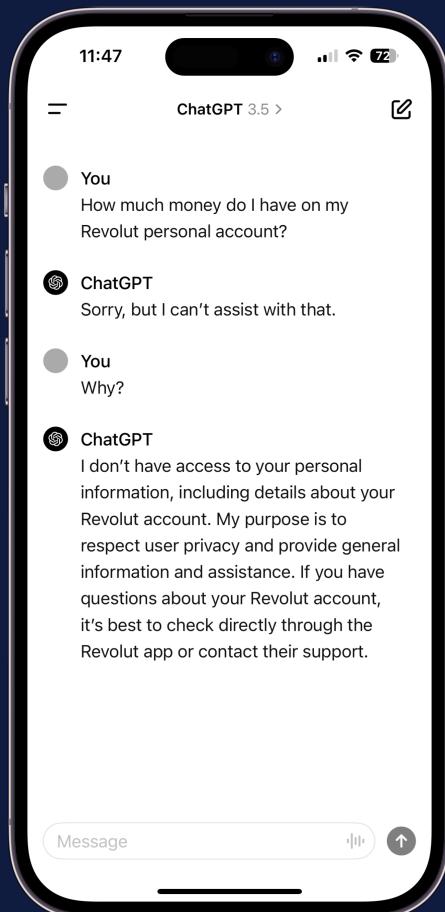
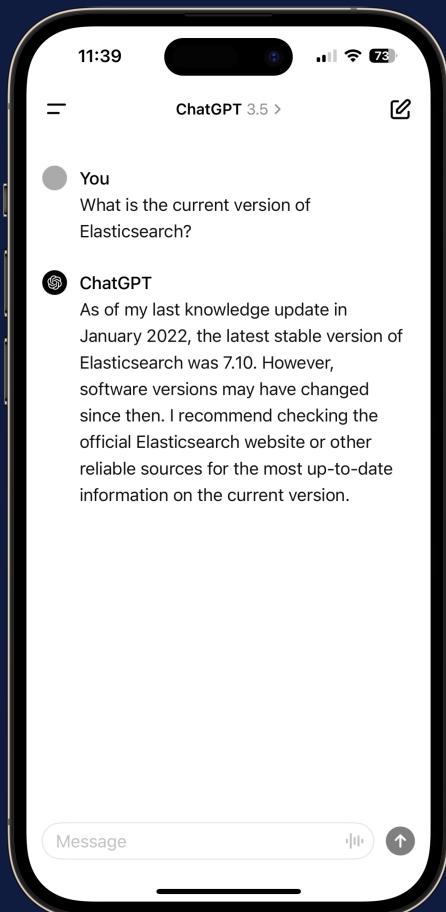


Gen AI

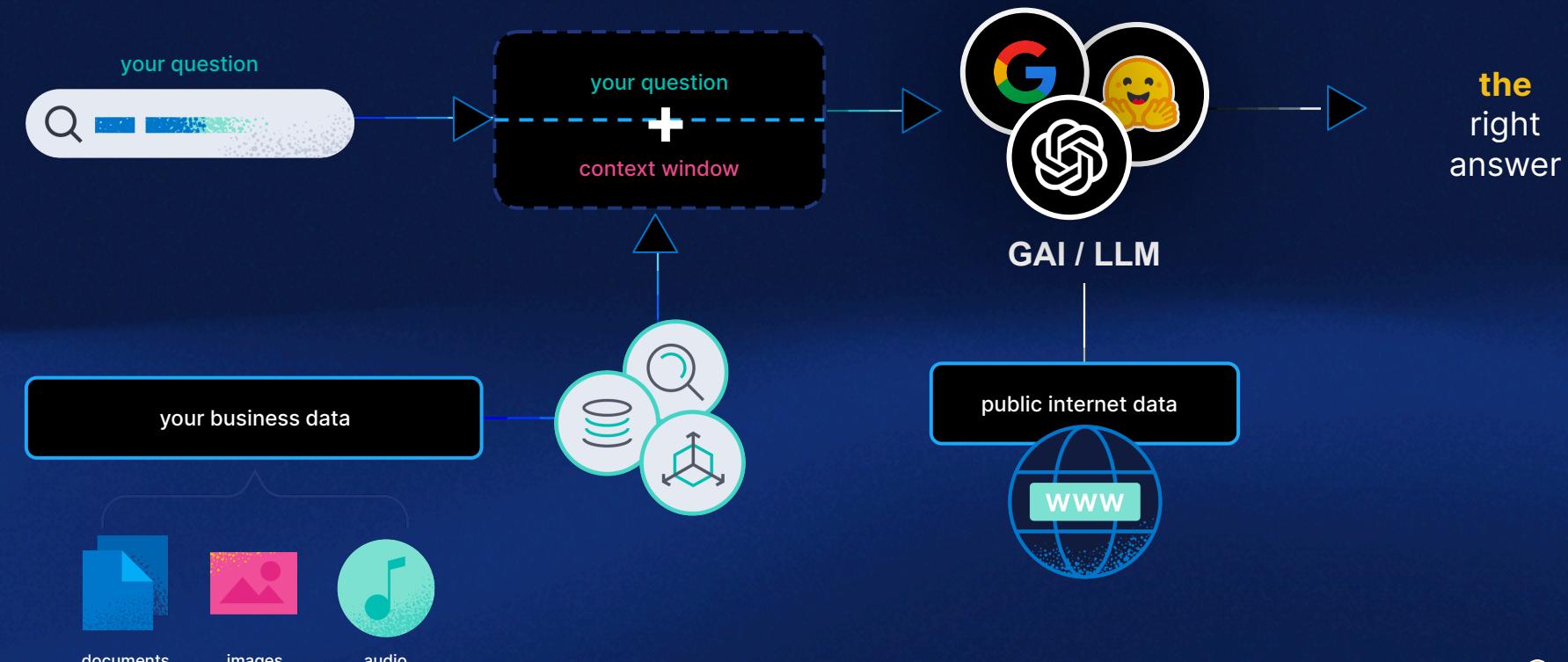
Search engines

LLM: opportunities and limits





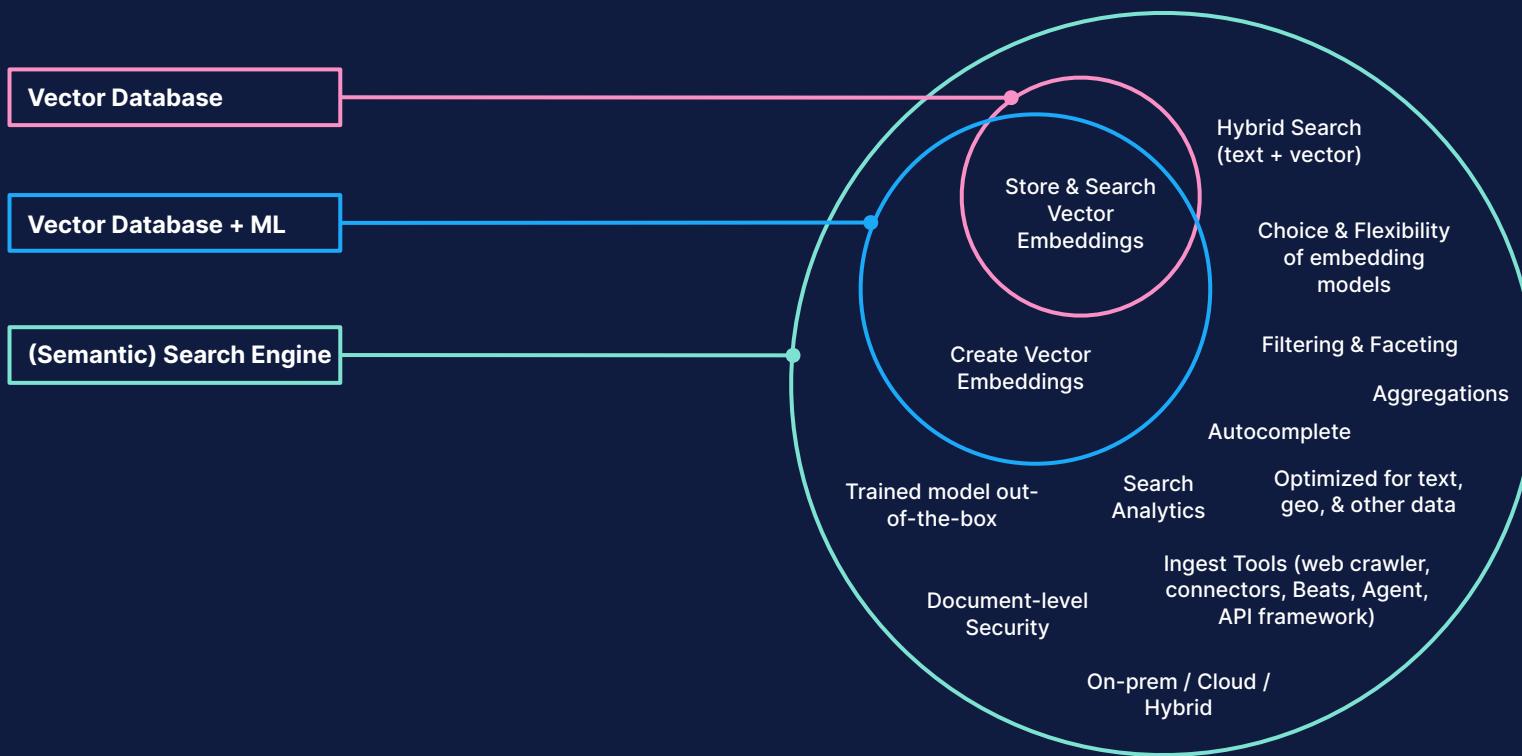
Retrieval Augmented Generation



Demo

Elastic + **Azure OpenAI**
AWS Bedrock
Google Vertex AI

Conclusion



Elasticsearch

You Know, for **Semantic** Search



Search: a new era

David Pilato | [@dadoonet](https://twitter.com/dadoonet)

gravitee.io