

## SUPPORTING REPORTS & TABLES

52 Case Tables  
59 Case Record



## CASE TABLES

**TABLE 1:  
CASES RECEIVED  
AND CLOSED**

	FY 2013	FY 2012	FY 2011	FY 2010	FY 2009	FY 2008	08-12 AVG
<b>MEDIATION</b>							
Start-pending	98	91	84	74	44	64	71.4
New	38	53	48	43	58	20	44.4
Sum	136	144	132	117	102	84	115.8
Closed	36	46	41	33	28	40	37.6
End-pending	100	98	91	84	74	44	78.2
<b>ADR*</b>							
Start-pending	26	23	22	23	27	31	25.2
New	40	32	46	28	15	44	33.0
Sum	66	55	68	51	42	75	58.2
Closed	36	29	45	29	19	48	34.0
End-pending	30	26	23	22	23	27	24.2
<b>REPRESENTATION</b>							
Start Pending	7	2	9	8	2	4**	5
New	35	42	41	40	46	45	42.8
Sum	42	44	50	48	48	49	47.8
Closed	35	37	48	39	40	47	42.2
End-Pending	7	7	2	9	8	2	5.6
<b>ARBITRATION</b>							
Start-pending	2084	2384	2770	4037**	6212	5551	4190.8
New	6576	3569	3908	4381	4920	6056	4566.8
Sum	8660	5953	6678	8418	11132	11607	8757.6
Closed	4332	3869	4294	5648	7073	5395	5255.8
End-pending	4328	2084	2384	2770	4059	6212	3501.8

\* ADR caseload counts include OP and OP-ODR cases only in FY-2006 through FY-2010.

\*\* Caseload adjusted based on case audit.

**TABLE 2:  
REPRESENTATION  
CASE DISPOSITION**

	NUMBER OF CASES	NUMBER OF CRAFTS OR CLASSES	NUMBER OF EMPLOYEES INVOLVED	NUMBER OF EMPLOYEES PARTICIPATING
<b>RAILROADS</b>				
Certifications	14	7	414	373
Dismissals	7	3	136	126
<b>TOTALS</b>	<b>21</b>	<b>10</b>	<b>550</b>	<b>499</b>
<b>AIRLINES</b>				
Certifications	7	3	16,609	3,633
Dismissals	7	6	7,800	5,962
<b>TOTALS</b>	<b>14</b>	<b>9</b>	<b>24,409</b>	<b>9,595</b>
<b>TOTALS —</b>				
Railroads and Airlines	<b>35</b>	<b>19</b>	<b>24,959</b>	<b>10,094</b>

**TABLE 3:  
REPRESENTATION  
& MEDIATION CASES  
CLOSED**

	TOTAL CASES	REPRESENTATION CASES	MEDIATION CASES
<b>RAILROADS</b>			
Carmen	7	2	5
Clerical Office, Station and Storehouse Employees	1	1	0
Engineers	4	1	3
Locomotive Engineers	1	0	1
Locomotive Maintenance Employees	1	1	0
Maintenance of Way Employees	3	3	0
Operating and Non-Operating Employees	1	1	0
Ore Haulage Woerks	1	0	1
Signal & Communication Maintainers	1	0	1
Train Dispatchers	2	1	1
Train and Engine Service Employees	8	7	1
Yardmasters	1	1	0
Miscellaneous, Railroad	3	3	0
<b>RAILROAD TOTAL</b>	<b>34</b>	<b>21</b>	<b>13</b>
<b>AIRLINES</b>			
Cockpit Crew Members	2	0	2
Crew Track Analyst/Scheduler	1	1	0
Customer Service Agents	1	0	1
Dispatchers	2	0	2
Fleet and Passenger Service Employees	6	0	6
Fleet Service Employees	1	1	0
Flight Attendants	6	1	5
Flight Deck Crew Members	1	1	0
Flight Dispatchers	2	2	0
Kitchen & Commissary Agents	1	0	1
Mechanics and Related Employees	4	3	1
Passenger Service Employees	1	1	0
Pilots	8	3	5
Stock and Stores	1	1	0
<b>AIRLINE TOTAL</b>	<b>37</b>	<b>14</b>	<b>23</b>
<b>GRAND TOTAL — Railroads and Airlines</b>	<b>71</b>	<b>35</b>	<b>36</b>

**TABLE 4:  
REPRESENTATION  
DETERMINATIONS  
BY CRAFT OR CLASS**

<b>RAILROADS</b>	<b>NUMBER OF CASES</b>	<b>NUMBER OF CRAFT OR CLASS DETERMINATIONS</b>	<b>NUMBER OF EMPLOYEES</b>	<b>PERCENT<sup>1</sup> OF EMPLOYEES INVOLVED</b>
Clerical Office, Station and Storehouse Employees	1	1	5	..
Carmen	2	2	12	..
Electrical Workers	0	0	0	0
Engineers	1	1	2	..
Locomotive Engineers	4	4	18	..
Locomotive Firemen and Hostlers	0	0	0	0
Locomotive Maintenance Employees	0	0	0	0
Machinists	0	0	0	0
Maintenance of Way Employees	1	1	35	..
Operating/Non-Operating Employees	1	1	47	..
Sheet Metal Workers	0	0	0	0
Signalmen	0	0	0	0
Train Dispatchers	5	5	61	..
Train and Engine Service Employees	5	5	73	..
Train, Engine and Yard Service Employees	0	0	0	0
Transportation Operations Employees	0	0	285	1
Yardmasters	0	0	0	0
Combined Groups, Railroad	0	0	0	0
Miscellaneous, Railroad	1	1	46	..
<b>RAILROAD TOTAL</b>	<b>21</b>	<b>21</b>	<b>584</b>	

**TABLE 4:**  
**(CONTINUED)**

<b>AIRLINES</b>	<b>NUMBER OF CASES</b>	<b>NUMBER OF CRAFT OR CLASS DETERMINATIONS</b>	<b>NUMBER OF EMPLOYEES</b>	<b>PERCENT<sup>1</sup> OF EMPLOYEES INVOLVED</b>
Cockpit Crew Members	0	0	0	0
Engineers and Related Employees	0	0	0	0
Fleet and Passenger Service Employees	0	0	0	0
Fleet Service Employees	1	1	3,350	13
Flight Attendants	1	1	7,792	31
Flight Crew Training Instructors	0	0	0	0
Flight Deck Crew Members	1	1	11,675	47
Flight Dispatchers	2	2	115	..
Flight Engineers	0	0	0	0
Flight Simulator Engineers	0	0	0	0
Ground School Instructors	0	0	0	0
Maintenance Training Specialists	0	0	6	..
Mechanics and Related Employees	3	3	710	3
Office Clerical Employees	0	0	0	0
Passenger Service Employees	1	1	97	..
Pilots	3	3	394	2
Stock and Stores Employees	1	1	230	..
Combined Groups, Airlines	0	0	0	0
Miscellaneous, Airlines	1	1	46	..
<b>AIRLINE TOTAL</b>	<b>14</b>	<b>14</b>	<b>24,409</b>	
<b>GRAND TOTAL</b>				
Railroads and Airlines	<b>35</b>	<b>35</b>	<b>24,959</b>	

<sup>1</sup> Percent listing for each group represents the percentage of the 24,959 employees involved in all railroad and airline cases during fiscal year 2013.

(..) Less than one percent.

**TABLE 5:  
CERTIFICATIONS IN  
REPRESENTATION CASES**

	NATIONAL ORGANIZATIONS			LOCAL UNIONS AND/OR INDIVIDUALS			TOTALS		
	Craft or Class	Employees Number	Involved Percent	Craft or Class	Employees Number	Involved Percent	Craft or Class	Employees Number	
<b>RAILROADS</b>									
<b>REPRESENTATION ACQUIRED:</b>									
Elections	12	414	2	0	0	..	12	414	2
Proved Authorizations	0	0	0	0	0	0	0	0	0
<b>REPRESENTATION CHANGED:</b>									
Elections	0	0	0	5	85	0	5	85	..
Proved Authorizations	0	0	0	0	0	0	0	0	0
<b>REPRESENTATION UNCHANGED:</b>									
Elections	4	51	..	0	0	0	4	51	..
Proved Authorizations	0	0	0	0	0	0	0	0	0
<b>TOTAL, RAILROADS</b>	<b>16</b>	<b>465</b>	<b>..</b>	<b>5</b>	<b>85</b>	<b>..</b>	<b>21</b>	<b>550</b>	<b>2</b>
<b>AIRLINES</b>									
<b>REPRESENTATION ACQUIRED:</b>									
Elections	3	16,609	0	0	0	0	3	16,609	66
Proved Authorizations	0	0	0	0	0	0	0	0	0
<b>REPRESENTATION CHANGED:</b>									
Elections	1	2,624	0	0	0	0	1	2,624	11
Proved Authorizations	0	0	0	0	0	0	0	0	0
<b>REPRESENTATION UNCHANGED:</b>									
Elections	10	5,176	..	0	0	0	10	5,176	21
Proved Authorizations	0	0	0	0	0	0	0	0	0
<b>TOTAL, AIRLINES</b>	<b>14</b>	<b>24,409</b>	<b>..</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14</b>	<b>24,409</b>	<b>98</b>
<b>TOTAL</b>									
Combined Railroads and Airlines	<b>30</b>	<b>24,874</b>	<b>..</b>	<b>5</b>	<b>85</b>	<b>..</b>	<b>35</b>	<b>24,959</b>	<b>100</b>

[..] Less than one percent.

**TABLE 6:  
STRIKES IN THE  
AIRLINE INDUSTRY**

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**NONE**

**TABLE 7:  
STRIKES IN THE  
RAILROAD INDUSTRY**

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**NONE**

**TABLE 8: SECTION 10  
PRESIDENTIAL  
EMERGENCY BOARDS**

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**NONE**

**TABLE 9: SECTION 9A  
PRESIDENTIAL  
EMERGENCY BOARDS**

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**NONE**



## CASE RECORD

### 1A. AIRLINE MEDIATION CASES DOCKETED

CARRIER	UNION	CRAFT/CLASS
Aero Port Services	USWW	Fleet & Passenger Service Employees
Air Serv	SEIU	Fleet & Passenger Service Employees
Air Wisconsin Airlines Corporation	ALPA	Pilots
Alaska Airlines	AFA	Flight Attendants
Atlantic Southeast Airlines	IBT	Mechanics & Related Employees
British Airways	IAM	Customer Service Agents
British Airways	IAM	Engineers
British Airways	IAM	Reservation & Ticket Agents
Centurion Air Cargo	IBT	Captains
Continental Airlines	IAM	Fleet Service Employees
Continental Micronesia	IAM	Fleet & Passenger Service Employees
Flag Ship	USWW	Fleet & Passenger Service Employees
G2 Secure Staff, LLC	USWW	Fleet & Passenger Service Employees
Gate Gourmet	IBT	Kitchen & Commissary Employees
Gate Gourmet	IBT/HERE	Kitchen & Commissary Employees
Gateway Group One	USWW	Fleet & Passenger Service Employees
Ground Handling, Inc.	IBT	Customer Service Agents
Kalitta Air	IBT	Pilots
United Airlines	TWU	Dispatchers
World Service West/LA Inflight Service Co. LLC	USWW	Fleet & Passenger Service Employees

## 1B. RAILROAD MEDIATION CASES DOCKETED

CARRIER	UNION	CRAFT/CLASS
Alabama & Tennessee River Railway	UTU	Engineers
Canadian National Railway	BRS	Signal & Communications Maintainers
Canton Railroad Company	BMWED	Maintenance of Way Employees
Chicago Rail Link	SMART	Conductors
Chicago, South Shore and South Bend Railroad	TCU	Clerks
Duluth, Missabe and Iron Range Railway Company	TCU	Ore Haulage Workers
Duluth, Missabe and Iron Range Railway Company	TCU	Clerical Employees
Georgia and Florida Railway	SMART	Engineers
Georgia and Florida Railway	SMART	Maintenance of Way Employees
Indiana Rail Road	BLET	Trainmen
Long Island Rail Road	SMART	Shop Crafts
Metro North Commuter Railroad	TCU	Maintenance of Equipment Supervisors
Metro North Commuter Railroad	IBT	Maintenance of Way Employees
Montreal, Maine & Atlantic Railway	BLET	Train & Engine Service Employees
Nebraska, Kansas & Colorado Railway, Inc.	SMART	Engineers
Northeast Illinois Regional Commuter Railroad Corporation	BLET	Engineers
Tacoma Rail	SMART	Brakemen
Wheelersburg Terminal LLC	BMWED	Maintenance of Way Employees

## 1C. AIRLINE MEDIATION CASES CLOSED

CARRIER	UNION	CRAFT/CLASS
America West	AFA	Flight Attendants
Aero Port Services	USWW	Fleet & Passenger Service Employees
Air Serv	SEIU	Fleet & Passenger Service Employees
Air Wisconsin Airlines Corporation	TWU	Dispatchers
American Airlines	APA	Pilots
American Eagle	TWU	Dispatchers
Bahamasair Holdings Limited	IAM	Mechanics & Related Employees
Compass Airlines	AFA	Flight Attendants
Continental Airlines	ALPA	Pilots
Flagship	USWW	Fleet & Passenger Service Employees
G2 Secure Staff, LLC	USWW	Fleet & Passenger Service Employees
Gate Gourmet	IBT	Kitchen & Commissary Employees
Gateway Group One	USWW	Fleet & Passenger Service Employees
Ground Handling, Inc.	IBT	Customer Service Agents
Lynx Aviation, Inc.	AFA	Flight Attendants
Midwest Airlines	AFA	Flight Attendants
Northern Air Cargo	IBT	Crewmembers
Piedmont Airlines	ALPA	Pilots
PSA Airlines, Inc.	ALPA	Pilots
United Airlines	ALPA	Pilots
US Airways	AFA	Flight Attendants
World Airways	IBT	Cockpit Crew Members
World Service West/LA Inflight Service Co. LLC	USWW	Fleet & Passenger Service Employees

## 1D. RAILROAD MEDIATION CASES CLOSED

CARRIER	UNION	CRAFT/CLASS
Alabama & Tennessee River Railway	UTU	Engineers
Bessemer and Lake Erie	TCU-CARMEN	Carmen
Canadian National Railway	BRS	Signal & Communications Maintainers
Chicago, Central and Pacific Railroad	TCU-CARMEN	Carmen
Georgia and Florida Railway	SMART	Engineers
Grand Trunk Western Railroad Company	TCU-CARMEN	Carmen
Illinois Central Railroad	TCU-CARMEN	Carmen
Lake Superior & Ishpeming Railroad	TCU	Ore Haulage Workers
National Railroad Passenger Corporation	UTU	Train Service Employees
Pacific Harbor Line, Inc.	BLET	Engineers
Pan Am Railways	ATDA	Train Dispatchers
Pan Am Railways	BLET	Locomotive Engineers
Wisconsin Central Transportation Corporation	TCU-CARMEN	Carmen

## 2A. ADR CASES DOCKETED

CASE TYPE	CARRIER / ENTITY	UNION	GROUP
F	Pinnacle Airlines	AFA	Flight Attendants
F	United Airlines	IBT	Mechanics & Related
F	Horizon Airlines	AFA	Flight Attendants
F	Express Jet	ALPA	Pilots
GM	Illinois Central Railroad	BLET	Labor Relations Officers
GM	Norfolk Southern	SMART	Mechanics/Non Operating Employees
GM	BNSF Railway, Company	ATDA	Dispatcher
GM	CSX Transportation	SMART	Sheet Metal Workers
GM	Illinois Central Railroad	BRS	Signalman
GM	Union Pacific	BLET	Engineers
GM	Express Jet	IAM	Flight Attendants
GM	Massachusetts Bay Commuter RR	SMART	Sheet Metal Workers
GM	CSX Transportation	ATDA	Train Dispatchers
GM	Compass Airlines	AFA-CWA	Flight Attendants
T	Illinois Central	BLET	Labor Relations Officers
T	Speaker Bureau Presentation	-	-
T	Speaker Bureau Presentation	-	-
T	United Airlines	IBT	Mechanics & Related
T	Cornell ILR	-	-
T	Mediator Training	-	-
T	Dominican University	-	-
T	Air Wisconsin Airlines	TWU	Dispatchers
T	Express Jet	IAM	Flight Attendants
T	Horizon Air	AFA	Flight Attendants
T	-	IAMAW	COFPS
T	Amerijet	-	-
T	CSX Transportation	SMART	Sheet Metal Workers
T	-	UTU	-
T	Illinois Central Railroad	BRS	Signalman
T	Department of Transportation	-	Train & Engine Service Employees
T	Dominican University	-	-
T	ABA Panel Presentation	-	-
T	General Chairman Training	IAMAW	-
T	Southwest Airlines	TWU	Flight Attendants
T	Dominican University	-	-
T	-	IAM	Stores, Ramps & Service
T	CSX Transportation	ATDA	Train Dispatchers
T	Compass Airlines	AFA-CWA	Flight Attendants
T	NARR	-	-
T	Express Jet	-	Management Only

Note: Case T-0318 not included.

## 2B. ADR CASES CLOSED

CASE TYPE	CARRIER / ENTITY	UNION	GROUP
F	METRA	UTU	-
F	Pinnacle	ALPA	-
F	Pinnacle	AFA	-
F	Horizon airlines	AFA	-
F	Department of Labor	-	-
GM	Southwest Airlines	TWU	Flight Crew Training Instructors
GM	--	SMART	-
T	--	ALPA	Pilots
T	All Railroads	-	Multiple Crafts
T	--	IAM	Multiple Crafts
T	Federal Maritime Commission	-	-
T	Illinois Central	BLET	Labor Relations Officers
T	Speaker Bureau Presentation	-	-
T	Speaker Bureau Presentation	-	-
T	United Airlines	IBT	Mechanics & Related
T	Cornell ILR	-	-
T	Mediator Training	-	-
T	Dominican University	-	-
T	Air Wisconsin Airlines	TWU	Dispatchers
T	Express Jet	IAM	Flight Attendants
T	Horizon Air	AFA	Flight Attendants
T	--	IAMAW	COFPS
T	Amerijet	-	-
T	CSX Transportation	SMART	Sheet Metal Workers
T	--	UTU	-
T	Illinois Central Railroad	BRS	Signalman
T	Department of Transportation	-	Train & Engine Service Employees
T	Dominican University	-	-
T	ABA Panel Presentation	-	-
T	General Chairman Training	IAMAW	-
T	Southwest Airlines	TWU	Flight Attendants
T	Dominican University	-	-
T	--	IAM	Stores, Ramps & Service
T	CSX Transportation	ATDA	Train Dispatchers
T	Compass Airlines	AFA-CWA	Flight Attendants
T	NARR	-	-

Note: Case T-0318 not included.

### 3A. AIRLINE REPRESENTATION CASES DOCKETED

CARRIER	UNION	CRAFT/CLASS
Aircraft Service International Group	SEIU,USWW	Fleet Service Employees @ LAX &
Air Transport Int'l/ Capital Cargo Int'l Airlines	ALPA	Flight Deck Crew members
Allegiant Airlines	IBT	Flight Dispatchers
American Airlines	UTU	Crew Track Analyst/Scheduler
American Airlines	IBT/TWU	Mechanics & Related Employees
Avantair	SMART	Pilots
Frontier Airlines	IBT	Maintenance Controllers
Gateway Frontline Services	USWW	Dispatchers
Silver Airways	TWU	Flight Dispatchers
Trade Winds Airlines d/b/a Sky Lease One, Inc.	IBT	Flight Deck Crew members
United Air Lines/Continental Airlines	IBT	Mechanics & Related Employees
US Airways	IBT, IAM	Mechanics & Related Employees
World Airways/North American Airlines	IBT	Flight Attendants
World Airways/North American Airlines	IBT, ALPA	Pilots

### 3B. RAILROAD REPRESENTATION CASES DOCKETED

CARRIER	UNION	CRAFT/CLASS
Austin Western Railroad	BLET	Train & Engine Service Employees
Bristow US, LLC	OPEIU	Mechanics & Related Employees
Canton Railroad Company	BMWED	Maintenance of Way Employees
Chicago Terminal Railroad	SMART	Train & Engine Service Employees
Columbus & Chattahoochee Railroad	SMART	Train & Engine Service Employees
DeQueen & Eastern Railroad	SMART	Train & Engine Service Employees
DeQueen & Eastern Railroad	SMART	Train Dispatchers
Eastern Idaho Railroad	BLET	Train & Engine Service Employees
Eastern Idaho Railroad	BLET	Engineers
Kansas & Oklahoma Railroad	IAM	Carmen
Kansas & Oklahoma Railroad	IAM	Locomotive Maintenance Employees
Ohio Central Railroad/Columbus & Ohio Railroad Company	BLET	Train & Engine Service Employees
Progressive Rail	BHPP, UTU	Train & Engine Service Employees
Savage Transportation Management	USW	Track Maintenance Workers
South Buffalo Railroad	SMART	Yardmasters
South Kansas & Oklahoma Railroad	BMWED	Maintenance of Way & Structures Dept. Employees
South Kansas & Oklahoma Railroad	BMWED	Track Foreman
Texas & Northern Railway Company	SMART	Operating Employees
Texas & Northern Railway Company	SMART	Operating/Non-Operating Employees
Texas Pacific Transportation, Ltd.	BLET	Engineers
Union Pacific	ATDA	Train Dispatchers



### 3C. AIRLINE REPRESENTATION CASES CLOSED

CARRIER	UNION	CRAFT/CLASS	DISPOSITION
Aircraft Services Int'l Group	SEIU	Fleet Service	FUI-Dismissal
Allegiant Air	IBT	Flight Dispatchers	Certification
American Airlines	CWA	Passenger Service	Certification
American Airlines	UTU	Crew Track Analyst/ Scheduler	Dismissal-WDI
Avantair	SMART	Pilots	Certification
Horizon Airlines	IAM	Stock & Stores	Certification
Silver Airways	TWU	Flight Dispatchers	Dismissal
Southwest Airlines	AMFA	Mechanics & Related	FUI-Certification
Trade Winds Air	IBT	Flight deck Crew members	Dismissal-WDI
United Air Lines	IBT	Mechanics & Related	FUI-Certification
US Airways	IBT	Mechanics & Related	Certification
Virgin America	VAPA	Pilots	Dismissal-WDI
World Airways/ North American Airlines	IBT	Pilots	FUI-Dismissal
World Airways/ North American Airlines	IBT	Flight Attendants	FUI-Dismissal

### 3D. RAILROAD REPRESENTATION CASES CLOSED

CARRIER	UNION	CRAFT/CLASS	DISPOSITION
Austin Western Railroad	BLET	Train & Engine Service Employees	Dismissal
Bristow US, LLC	OPEIU	Mechanics & Related Employees	Certification
Birmingham Terminal Railway	SMART	Office Clerical Station & Stores Employees	Dismissal
Birmingham Terminal Railway	SMART	Maintenance of Way Employees	Dismissal
Birmingham Terminal Railway	SMART	Carmen	Dismissal
Birmingham Terminal Railway	SMART	Train & Engine Service Employees	Certification
Canton Railroad Company	BMWED	Maintenance of Way Employees	Certification
Chicago Terminal Railroad	SMART	Train & Engine Service	Certification
DeQueen & Eastern Railroad	SMART	Train & Engine Service Employees	Certification
DeQueen & Eastern Railroad	SMART	Train Dispatchers	Certification
Eastern Idaho Railroad	BLET	Train & Engine Service Employees	Dismissal
Kansas & Oklahoma Railroad	IAM	Carmen	Certification
Kansas & Oklahoma Railroad	IAM	Locomotive Maintenance Employees	Certification
Ohio Central Railroad/ Columbus & Ohio Railroad Company	BLET	Train & Engine Service Employees	Dismissal
Progressive Rail	BHPP, UTU	Train & Engine Service Employees	Certification
Sand Springs Railway Company	BMWED	Maintenance of Way Employees	Dismissal
South Buffalo Railroad	SMART	Yardmasters	Certification
South Kansas & Oklahoma Railroad	BMWED	Maintenance of Way & Structure Employees	Certification
Texas & Northern Railway Company	SMART	Operating Employees	Certification
Texas & Northern Railway Company	SMART	Operating/Non-Operating Employees	Certification
Texas Pacific Transportation, Ltd.	BLET	Engineers	Certification