

SUPPORTING REPORTS & TABLES

- 42 Case Table
- 47 Case Record



**CASE
RECORDS**

**TABLE 1:
CASES RECEIVED
& CLOSED**

	FY 2015	FY 2014	FY 2013	FY 2012	FY 2011	AVG 11-15
MEDIATION						
Start-pending	90*	100	98	91	84	92.6
New	36	44	38	53	48	43.8
Sum	126	144	136	144	132	136.4
Closed	45	55	36	46	41	44.6
End-pending	81	89	100	98	91	91.8
ADR						
Start-pending	33*	30	26	23	22	26.8
New	75	70	40	32	46	52.6
Sum	108	100	66	55	68	79.4
Closed	73	58	36	29	45	48.2
End-pending	35	42	30	26	23	31.2
REPRESENTATION						
Start Pending	3	1	2	9	8	4.6
New	31	39	34	41	40	37
Sum	34	40	36	50	48	41.6
Closed	29	37	35	48	39	37.6
End-Pending	5	3	1	2	9	4
ARBITRATION*						
Start-pending	5133	3577	2084	2384	2770	3189.6
New	3816	4313	6576	3569	3908	4436.4
Sum	8949	7890	8660	5953	6678	7626
Closed	2702	2946	4332	3869	4294	3628.6
End-pending	6247	4944	4328	2084	2384	3997.4

*The Mediation Department completed a changeover from a manual to an automated case management system in Fiscal Year 2015. After the changeover was complete, the audit revealed an error in the old system which resulted in incorrect case numbers

*The Office of Arbitration Services conducted an audit in Fiscal Year 2015. The audit revealed an error in the old system which resulted in incorrect case numbers

**TABLE 2:
REPRESENTATION
CASE
DISPOSITION**

	NUMBER OF CASES	NUMBER OF CRAFTS OR CLASSES	NUMBER OF EMPLOYEES INVOLVED	NUMBER OF EMPLOYEES PARTICIPATING
RAILROADS				
Certifications	4	2	62	58
Dismissals	3	3	41	32
TOTALS	7	5	103	90
AIRLINES				
Certifications	12	8	32,658	31,805
Dismissals	10	8	57,158	50,839
TOTALS	22	16	89,816	82,644
TOTALS –				
Railroads and Airlines	29	21	89,919	82,734

**TABLE 3:
REPRESENTATION
& MEDIATION
CASES CLOSED**

	TOTAL CASES	REPRESENTATION CASES	MEDIATION CASES
RAILROADS			
Agents, Telegraphers and Towermen		0	
Boilermakers and Blacksmiths		0	
Brakemen and Conductors		0	
Carmen		0	
Clerical Office, Station and Storehouse Employees		0	
Conductors		0	
Dining Car Employees, Train and Pullman Porters		0	
Electrical Workers		0	
Engineers		0	
Engineers and Related Employees		0	
Firemen and Oilers		0	
Locomotive Engineers		0	
Locomotive Firemen and Hostlers		0	
Locomotive Maintenance Employees		0	
Longshoremen		0	
Machinists		0	
Maintenance of Way Employees		4	
Marine Service Employees.		0	
Mechanical Department Foremen and/or Supervisor of Mechanics		0	
Operating and Non-Operating Employees		2	
Police Officers Below the Rank of Captain		0	
Power Directors (Supervisors)		0	
Railroad Freight Loaders and Handlers		0	
Sheet Metal Workers		0	
Signalmen		0	
Subordinate Officials in the Maintenance of Way Dept		0	
Subordinate Officials in the Maintenance of Equipment Department		0	
Subordinate Officials in the Maintenance of Way and Structures Department (B & B)		0	
Technical Engineers, Architects, Draftsmen and Allied Workers		0	
Train Dispatchers		0	

	TOTAL CASES	REPRESENTATION CASES	MEDIATION CASES
RAILROADS (CONTINUED)			
Train and Engine Service Employees		1	
Train, Engine and Yard Service Employees		0	
Transportation Operations Employees		0	
Yardmasters		0	
Combined Groups, Railroad		0	
Miscellaneous, Railroad		0	
RAILROAD TOTAL		7	
AIRLINES			
Cockpit Crew Members		0	
Engineers and Related Employees		0	
Fleet and Passenger Service Employees		0	
Fleet Service Employees		2	
Flight Attendants		3	
Flight Crew Training Instructors		1	
Flight Deck Crew Members		0	
Flight Dispatchers		6	
Flight Engineers		0	
Flight Kitchen and Commissary Employees		0	
Flight Simulator Engineers		0	
Ground School Instructors		0	
Maintenance Training Specialists		0	
Mechanics and Related Employees		2	
Office Clerical Employees		0	
Passenger Service Employees		0	
Pilots		3	
Stock and Stores Employees		3	
Combined Groups, Airlines		0	
Miscellaneous, Airlines		2	
AIRLINE TOTAL		22	
GRAND TOTAL, RAILROADS AND AIRLINES		29	

**TABLE 4:
REPRESENTATION
DETERMINATIONS
BY CRAFT OR
CLASS**

RAILROADS	NUMBER OF CASES	NUMBER OF CRAFT OR CLASS DETERMINATIONS	NUMBER OF EMPLOYEES	PERCENT ¹ OF EMPLOYEES INVOLVED
Clerical Office, Station and Storehouse Employees	0	0	0	0
Carmen	0	0	0	0
Electrical Workers	0	0	0	0
Engineers	0	0	0	0
Locomotive Engineers	0	0	0	0
Locomotive Firemen and Hostlers	0	0	0	0
Locomotive Maintenance Employees	0	0	0	0
Machinists	0	0	0	0
Maintenance of Way Employees	1	0	27	..
Operating/Non-Operating Employees	0	0	0	0
Sheet Metal Workers	0	0	0	0
Signalmen	1	1	10	0
Train Dispatchers	3	3	9	..
Train and Engine Service Employees	9	6	192	..
Train, Engine and Yard Service Employees	0	0	0	0
Transportation Operations Employees	0	0	0	0
Yardmasters	0	0	0	0
Combined Groups, Railroad	0	0	0	0
Miscellaneous, Railroad	4	1	48	..
RAILROAD TOTAL	18	11	286	..

¹Percent listing for each group represents the percentage of the 66,511 employees involved in all railroad and airline cases during fiscal year 2014.
(..) Less than one percent.

**CASE
RECORD**

**1 A.
AIRLINE
MEDIATION
CASES
DOCKETED**

CARRIER	UNION	CRAFT / CLASS
Carrier	Union	Craft/Class
FedEX	ALPA	FLIGHT DECK CREW
United Parcel Service	IBT	Mechanics (Aircraft)
Southwest Airlines	SWAPA	Pilots
Republic Holdings	IBT	Pilots
NetJets	NJASAP	Pilots
Southwest Airlines	AMFA	Mechanics and Related
LSG Sky-CHEFS	Unite	Airline Catering Employees, Drivers,
Production Cooks and Utility		
Amerijet International	IBT	Pilots
Amerijet International	IBT	Flight Engineers
United Airlines	AFA	Flight Attendants
Continental Micronesia	AFA	Flight Attendants
Continental Airlines	AFA	Flight Attendants

**1 B.
RAILROAD
MEDIATION
CASES
DOCKETED**

CARRIER	UNION	CRAFT / CLASS
Birmingham Terminal Railway	UTU -SMART	Engineers
Port Authority Trans-Hudson	RITU	Machinists
Florida East Coast Railway	UTU-SMART	Conductors, Trainmen, & Engineers
Florida East Coast Railway	UTU-SMART	Yardmasters
New Jersey Transit	IAM	Machinists
Grand Elk Railroad	SMART	Train & Engine Service
New Jersey Transit	BRS	Signalmen
New Jersey Transit	NCFO	Laborers
New Jersey Transit	SMART	Signalmen
New Jersey Transit	ATDA	Train Dispatchers
New Jersey Transit	IBEW	Supervisors
New Jersey Transit	BMWED	Maintenance of Way Employees
New Jersey Transit	IBB	Boilermakers
Northeast Illinois Regional Commuter Railroad Corporation	IAM	Machinists
Pan Am Railways	SMART	Trainmen
New Jersey Transit	TWU	Carmen
Northeast Illinois Regional Commuter Railroad Corporation	IBEW	Mechanical Department Electricians
Port Authority Trans-Hudson	BRS	Signalmen
Alabama State Port Authority	ILA	Production & Maintenance Employees
Northeast Illinois Regional Commuter Railroad Corporation	IBEW	Engineering Department Electricians
Northeast Illinois Regional Commuter Railroad Corporation	NCFO	Mechanical Department Laborers
Northeast Illinois Regional Commuter Railroad Corporation	SMART	Sheet Metal Workers
Southeastern Pennsylvania Transportation Authority	BLET	Locomotive Engineers
Pan Am Railways	BLET	Locomotive Engineers

**1 C.
AIRLINE
MEDIATION
CASES
CLOSED**

CARRIER	UNION	CRAFT / CLASS
Evergreen International Airlines	ALPA	Pilots
Commut Air	IAM	Flight Attendants
Republic Airways Holdings	IBT	Pilots
US Airways	IAM	Flight Attendants
Sun Country Airlines	IBT	Flight Attendants
North American Airlines	IBT	Flight Attendants
Alaska Airlines	AFA	Flight Attendants
Gate Gourmet	Unite-Here	Kitchen & Commissary Employees
Horizon Airlines	IAM	Store Employees
Alaska Air	IAM	Passenger Services
Southwest Airlines	IAM	Customer Service Employees
US Airways	TWU	Flight Simulator Engineers

**1 D.
RAILROAD
MEDIATION
CASES
CLOSED**

CARRIER	UNION	CRAFT / CLASS
Western New York Pennsylvania Railroad	BLET	Locomotive Engineers
Southern Pennsylvania Transit Authority	BLET	Locomotive Engineers
Metro North	ACRE	Conductors
Metro North	ACRE	Locomotive Engineers
Metro North	ACRE	Engineers
Metro North	ACRE	Traffic Controllers
Metro North	ACRE	Signalmen
Metro North	ACRE	Power Directors
Metro North	ACRE	Service Workers - Bartenders
Metro North	ACRE	Carmen & Related
Metro North	ACRE	Electrical Workers
Metro North	ACRE	Supervisors
Metro North	ACRE	Laborers
Metro North	ACRE	Supervisors - Maintenance of Way Department
Chicago, South Shore & South Bend Rail Road	TCU/IAM	Clerks
Metro North	ACRE	Maintenance of Equipment Supervisors
Metro North	ACRE	Maintenance of Way Employees
Ceva Logistics	IBT	Refuelers
Tacoma Rail	SMART	Switchmen
NY/NJ Railroad	SIU	Engine
Pan Am Railways	IBEW	Electrical Workers
Pan Am Railways	TCU IAM	Clerical
Pan Am Railways	TCU IAM	Carmen
Pan Am Railways	IAM	Machinists
South Central Florida Express	IAM	Engineers, Conductors, Mechanics, MOW & Clerical
Long Island Railroad	BLET	Engineers
Illinois Central Rail Road	SMART	Conductor & Brakemen
Kansas & Oklahoma Railroad	IAM	Machinists
Grand Trunk Western Railroad	UTU	Conductors & Brakemen
Florida East Coast Railway	SMART	Conductors, Brakemen & Engineers
Florida East Coast Railway	SMART	Yardmasters
Southern Pennsylvania Transportation Authority	BLET	Locomotive Engineers
Pam Am Railways	BLET	Locomotive Engineers & Engineer Trainees

2A.
ADR CASES
DOCKETED

CASE TYPE	CARRIER/ENTITY	UNION	GROUP
F	Virgin America	TWU	Flight Attendants
GM	Canadian Pacific (Soo Line) & SMART Transportation DIV (UTU)	SMART-UTU	Conductors, Brakemen and Switchmen
GM	Southwest Airlines	TWU	Flight Crew Training Instructors
GM	BNSF Railway Company	BMWED	Maintenance of Way Employees
GM	CSX Transportation	BLET	Engineers Trainmen
GM	American Airlines	APFA	Flight Attendants
OP	Cornell ILR		
OP	IAM	TWU	
OP	Department of Justice		
OP	Arbitration Forum		
OP	Dominican University		
OP	Section 3 Meeting		
OP	Freight Rail Conference		
OP	Dunlop II Committee		
OP	Arbitration Forum		
OP	American Bar Association - Law Employment Committee		
OP	Midwest Academy of Legal Studies in Business		
OP	ABA RLA		
OP	Norfolk Southern Labor Relations		
OP	International Federation Airline Pilots Association		
OP	Delta ALPA MEC Meeting		
OP	ALPA Leadership Training		
OP	Taiwan Mediation Delegation Presentation		
OP	Texas Labor Management Conference		
OP	Central Virginia Mediation Network		
OP	Arbitration Forum in Chicago		
OP	Arbitration Utilization Training Program		
OP	AFA-MEC		
OP	Presentation on RLA Basics		
OP	Department of Navy		
OP	Arbitration Forum		
OP	Dominican University		
OP	Catholic University's School of Professional & Continuing Studies		
OP	Section 3 Meeting		
OP	American Airlines & TWU Grievance Mediation Discussion		
T	ACR Conference		
T	CSX Ombudsmanship		
T	Catholic University - Metropolitan School of Professional Studies		
T	CSX-Transportation	BRS	Signalmen
T	S/CA Mediation Assoc of Law Pepperdine University School of Law		
T	Mediator Meeting		
T	Mediator Meeting		
T	Mediator Meeting		

2A.
ADR CASES
DOCKETED
CONTINUED

CASE TYPE	CARRIER/ENTITY	UNION	GROUP
T	Mediator Meeting		
T	Mediator Meeting		
T	Mediator Meeting		
T	Dominican Mediation Class		
T	CSX Transportation	CSX	Personnel
T	Canadian Pacific (Soo Line)	SMART-UTU	
T	CSX Transportation		
T	Virgin America	TWU	Flight Attendants
T	ABA RLA Meeting		
T	Dominican University Partnership Presentation		
T	Air Wisconsin	IAM	Mechanics
T	International ODR Forum		
T	PS1 Training		
T	Dublin ODR Conference		
T	Dominican University		
T	Dominican University		
T	Dominican University		
T	Dominican University		
T	Negotiation		
T	CSX Transportation		
T	CSX Transportation		
T	Texas Labor Management Conference		
T	Association of Labor Relations Agencies		
T	Federal Dispute Resolution Training		
T	New Mediator Training		
T	Southwest Airlines		
T	Mediator Meeting		
T	Dominican Coaches Training		
T	Grievance Mediation Overview Training		
T	NARR		
T	American Airlines	APFA	Flight Attendants
T	Keolis Commuter Services	Various Orgs	

2B.
ADR CASES
CLOSED

CASE TYPE	CARRIER/ENTITY	UNION	GROUP
F-0139	United Airlines	AFA	Flight Attendants
GM-107	US Airlines	AFA	Flight Attendants
GM-108	US Airlines	AFA	Flight Attendants
GM-130	Compass Airlines	AFA	Flight Attendants
OP-315	Department of Justice - Negotiation & Mediation Presentation		
OP-316	ALPA Board of Directors		
OP-317	Cornell ILR		
Op-318	IAM	TWU	
OP-319	Department of Justice		
OP-320	Arbitration Forum		
OP-321	Dominican University		
OP-322	Section 3 Meeting		
OP-323	Freight Rail Conference		
OP-324	Dunlop II Committee		
OP-325	Arbitration Forum		
OP-326	American Bar Association - Law Employment Committee		
OP-327	Midwest Academy of Legal Studies in Business		
OP	ABA RLA		
OP	Norfolk Southern Labor Relations		
OP	International Federation Airline Pilots Association		
OP	Delta ALPA MEC Meeting		
OP	ALPA Leadership Training		
OP	Taiwan Mediation Delegation Presentation		
OP	Texas Labor Management Conference		
OP	Central Virginia Mediation Network		
OP	Arbitration Forum in Chicago		
OP	Arbitration Utilization Training Program		
OP	AFA-MEC		
OP	Presentation on RLA Basics		
OP	Department of Navy		
OP	Arbitration Forum		
OP	Dominican University		
OP	Catholic University's School of Professional & Continuing Studies Program		
OP	Section 3 Meeting		
OP	American Airlines & TWU Grievance Mediation Discussion		
T	Air Transport International	ALPA	Flight Crew Members
T	Problem Solving for One		
T	Dominican University		
T	Dominican University		

2B.
ADR CASES
CLOSED
CONTINUED

CASE TYPE	CARRIER/ENTITY	UNION	GROUP
T	Dominican University		
T	CXS Transportation		
T	ACR Conference		
T	Catholic University - Metropolitan School of Professional Studies		
T	CSX Transportation	BRS	
T	S/CA Mediation Assoc of Law Pepperdine University School of Law		
T	Mediator Meeting		
T	Mediator Meeting		
T	Mediator Meeting		
T	Mediator Meeting		
T	Mediator Meeting		
T	Mediator Meeting		
T	Dominican Mediation Class		
T	CSX Transportation		
T	Canadian Pacific (Soo Line)	SMART-UTU	Conductors, Brakemen & Switchmen
T	CSX Transportation		
T	Virgin America	TWU	Flight Attendants
T	ABA RLA Meeting		
T	Dominican University Partnership Presentation		
T	Air Wisconsin	IAM	Mechanics
T	International ODR Forum		
T	PS1 Training		
T	Dublin ODR Conference		
T	CSX Transportation		
T	CSX Transportation		
T	Texas Labor Management Conference		
T	Association of Labor Relations Agencies		
T	Federal Dispute Resolution Training		
T	New Mediator Training		
T	New Mediator Training		
T	Southwest Airlines		
T	Dominican Coaches Training		
T	Grievance Mediation Overview Training		
T	NARR		

3A.
AIRLINE
REPRESENTATION
CASES
DOCKETED

CARRIER	UNION	CRAFT / CLASS
Allegiant Airlines	IBT	Flight Dispatchers (R-7421)
Allegiant Airlines	TWU/ Steven Stoecker	Flight Attendants (R-7438)
American Airlines	TWU/IAM Fleet Assoc.	Fleet Service Employees (R-7423)
American Airlines	TWU/IAM Mechanics Assoc.	Mechanics & Related (R-7422) Employees
American Airlines	TWU/IAM Stores Assoc.	Stock & Stores (R-7424) Employees
American Airlines	NAAP	Mechanics & Related Employees (R-7425)
American Airlines	NAAP	Fleet Service Employees (R-7426)
American Airlines	NAAP	Stock & Stores Employees (R-7427)
American Airlines	TWU	Instructors (R-7428)
American Airlines	TWU	Flight Dispatchers (R-7429)
Cathay Pacific Airways	USAOA Trading as USA Basings, Ltd.	Pilots (R-7435)
Delta Air Lines	IAM	Flight Attendants (R-7418)
Gateway Frontline Services	Gateway Employee Alliance	Passenger Assistance & (R-7434) Dispatchers
Horizon Airlines	IAM Employees	Stock & Stores (R-7413)
Silver Airways	AFA-CWA	Flight Attendants (R-7416)
Southwest Airlines	TWU Coordinators	Customer Service (R-7433)
Southwest Airlines	TWU	Flight Dispatchers (R-7432)
Southwest Airlines	IAM	Source of Support Reps (R-7431)
Travel Management Company, Ltd.	IBT	Pilots (R-7417)
Virgin America, Inc.	ALPA	Pilots (R-7430)

**3B.
RAILROAD
REPRESENTATION
CASES DOCKETED**

CARRIER	UNION	CRAFT / CLASS
Alliance Terminal Railroad	SMART	Train & Engine (R-7415) Service Employees
Central Maine & Quebec Railway	SMART	Train & Engine Service Employees (R-7440)
Central Maine & Quebec Railway	SMART	Non-Operating Employees (R-7442)
Chicago, Ft. Wayne &	BRS	MOW Employees (R-7409)
Eastern Illinois Railroad, Co.	SMART Employees	Train & Engine Service (R-7437)
Georgia & Florida Railway, Inc.	Glenn Adams	MOW Employees (R-7439)
Indiana Rail Road, Co.	BMWED-IBT	MOW Employees (R-7436)
Long Island Railroad	IRSA	Road Foreman/ Lead road Foreman (R-7441)
Palmetto Railway	IAM	MOW Employees (R-7414)
Texas & Northern Railway	SMART Employees	Non-Operating (R-7420)
Texas & Northern Railway	SMART	Operating Employees (R-7419)

3C.
AIRLINE
REPRESENTATION
CASES CLOSED

CARRIER	UNION	CRAFT / CLASS	DISPOSITION
Allegiant Airlines	IBT	Flight Dispatchers	Dismissal (R-7421)
American Airlines	TWU/IAM Fleet Assoc.	Fleet Service	FUI-Certification (R-7423)
American Airlines	TWU/IAM Mechanics. Assoc.	Mechanics & Related Employees	FUI-Certification (R-7422)
American Airlines	TWU/IAM Stores Assoc.	Stock & Stores Employees	FUI-Certification (R-7424)
American Airlines	NAAP	Mechanics & Employees	Dismissal (R-7425)
American Airlines	NAAP	Fleet Service Employees	Dismissal (R-7426)
American Airlines	NAAP	Stock & Stores Employees	Dismissal (R-7427)
American Airlines	TWU	Instructors	Certification (R-7428)
American Airlines	TWU	Flight Dispatchers	Certification (R-7429)
Cathay Pacific Airways Trading as USA Basings, Ltd.	USAOA	Pilots	Certification (R-7435)
Delta Air Lines	IAM	Flight Attendants	WDI-Dismissal (R-7418)
ExpressJet Airlines	TWU	Flight Dispatchers	Certification (R-7402)
Gateway Frontline Services	Gateway Employee Alliance	Passenger Assistance & Dispatchers	FUI-Dismissal (R-7434)
Horizon Airlines	IAM Employees	Stock & Stores	Dismissal (R-7413)
Silver Airways	AFA-CWA	Flight Attendants	Certification (R-7416)
Southwest Airlines	TWU	Meteorologists	Certification (R-7411)
Southwest Airlines	TWU Coordinators	Customer Service	FUI-Dismissal (R-7433)
Southwest Airlines	TWU	Flight Dispatchers	FUI-Dismissal (R-7432)
Southwest Airlines	IAM	Source of Support Reps	FUI-Dismissal (R-7431)
Travel Management Company, Ltd.	IBT	Pilots	Certification (R-7417)
United Airlines	PAFCA	Flight Dispatchers	Certification (R-7408)
Virgin America, Inc.	ALPA	Pilots	Certification (R-7430)

**3D.
RAILROAD
REPRESENTATION
CASES CLOSED**

CARRIER	UNION	CRAFT / CLASS	DISPOSITION
Alliance Terminal	SMART Railroad	Train & Engine Service Employees	Certification (R-7415)
Chicago, Ft. Wayne &	BRS Eastern Railroad	MOW Employees	Certification (R-7409)
Georgia & Florida	Glenn Adams Railway, Inc.	MOW Employees	Certification (R-7439)
Indiana Rail Road, Co.	BMWED-IBT	MOW Employees	Certification (R-7436)
Palmetto Railway	IAM	MOW Employees	Dismissal (R-7414)
Texas & Northern	SMART Railway	Non-Operating Employees	Dismissal (R-7420)
Texas & Northern Railway	SMART	Operating Employees	Dismissal (R-7419)