

# Data for Immunization Supply Chain (DISC) Indicators:

## Indicator Reference Sheets



# Introducing the DISC indicators

In 2015, the Data for Immunization Supply Chain (DISC) indicators were developed and approved by the Gavi Alliance Partners to be used to monitor in-country immunization supply chains. The indicators are intended to be implemented at each level of the supply chain, so all managers can use them to manage the immunization supply chain. The indicators are selected, so they collectively provide an overview of the performance of the essential elements of the immunization supply chain. Likewise, the indicators are constructed to require simple data points to allow their use in settings with different degrees of data availability.

The DISC indicators are:

- » [Closed vial wastage](#)
- » [Forecasted demand ratio](#)
- » [Full stock availability](#)
- » [Functional status of cold chain equipment](#)
- » [On-time and in-full delivery](#)
- » [Stocked According to Plan](#)
- » [Temperature alarm rates](#)

Click on each indicator to get more information including indicator definition, calculation and visualization examples.

Using one or all of the DISC indicators is the choice of each country depending on the availability of data and the capacity of the staff to use the information the indicators provide. The DISC indicators are only suggestions and do not need to replace already existing country indicators if they already cover the essential elements of the immunization supply chain.

For countries with advanced logistics management information systems (LMIS) and good data available, the indicators can be upgraded and more sophisticated.

For example, the Functional Status of Cold Chain Equipment indicator is a simple version of uptime or downtime indicators. If the context allows, implementing the more sophisticated indicators will provide more information to the managers.

Equally important to selecting and implementing indicators to monitor performance is the use of the indicators to manage the immunization supply chain, thereby improving the services to the population. You can read more about visualizing and using data in the country cases.



# How the document works

This section describes the primary key indicators. Note that the selection of indicators to include in a dashboard is context specific. No indicator is to be considered more useful or of higher value than any other.

A short description of the type of information found in each sheet follows:



The name of the **indicator is at the top**, along with a **description** of the indicator and the **purpose** of measuring it. The purpose section includes the questions a manager might ask that the indicator could answer.



The **performance objective** refers to the strategic objective with which the indicator is most closely associated (vaccine availability, vaccine potency and supply chain efficiency), while the **domain** is the supply chain component to which the indicator belongs.



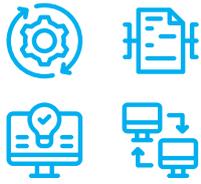
**Full indicator name(s)** reflects the specific ways the indicator can be calculated depending on the managers who will use the dashboard. Most of the indicators can be calculated differently at each supply chain level: for example, by aggregation, by health facility or by district.



**Dashboard use level** refers to the level(s) of the supply chain where the dashboard is recommended for use.



**Preconditions** lists any conditions (e.g., policies, data availability) that might need to be in place in order to implement and use the indicator, and **system design** specifies the type of system (e.g., push, pull systems) where the indicator is relevant.



The **data needed, data sources** and **data collection method** sections provide details of those topics, while the **calculation** section includes formulas and examples to better illustrate calculation of the indicator, and the **visualization and interpretation** section includes examples relevant to different supply chain levels.



**Potential corrective actions** might be triggered by an extraordinary performance value; they are divided into 'operational' and 'strategic' management actions where appropriate. Operational actions involve the routine management of the supply chain – ensuring that products are in stock, that temperatures are maintained and that the system is performing as expected. They often focus on how to address a particular problem directly. Strategic management actions, on the other hand, are typically more long-range, involving high-level decisions about system design, planning and procurement, and often focusing on how to prevent a particular problem from recurring.



**Related indicators** are added to provide guidance on expanding the dashboard or determining which diagnostic indicators would be required for root cause analysis. They also show which of the other primary key indicators are specifically related to the indicator in question.



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# Closed Vial Wastage

The indicator is used to measure potential avoidable wastage during transportation and storage. Wastage is related to the performance of vaccine ordering, distribution and store management. It can indicate excessive ordering practices that are not well-aligned to actual consumption rates, vaccine exposure to heat or freezing temperatures, breakage and mishandling of inventory.

This indicator can help answer questions such as:

- » How many extra vaccines should be procured beyond those estimated to be administered?
- » Do the quantities of vaccine ordered at particular facilities routinely exceed actual usage?
- » What is the approximate financial value of closed vial wasted vaccine?
- » Is wastage similar between facilities and between districts?
- » Is targeted reinforcement of standard operating procedures and vaccine management principles needed?

Name	Closed Vial Wastage
<p><b>Definition</b></p> 	<p>Percentage of the total number of closed vial vaccine doses managed by a store or health facility during a particular period that are spoiled because of expiry, heat exposure, freezing, breakage, loss of the accompanying diluent or discard of unopened vials at the end of an outreach session. Wastage at the point of administration, because of incomplete use of the contents of a multi-dose vial, is referred to as open vial wastage and is not included in closed vial wastage.<sup>1</sup></p>
<p><b>Performance objective</b></p> 	<ul style="list-style-type: none"> <li>» Availability</li> <li>» Potency</li> <li>» Efficiency</li> </ul>
<p><b>Domain</b></p> 	<p>Stock management</p>

<sup>1</sup> Further information on wastage can be found at <[http://apps.who.int/iris/bitstream/10665/68463/1/WHO\\_VB\\_03.18.Rev.1\\_eng.pdf](http://apps.who.int/iris/bitstream/10665/68463/1/WHO_VB_03.18.Rev.1_eng.pdf)>.

Name	Closed Vial Wastage
<p data-bbox="245 304 434 371"><b>Full indicator name(s)</b></p> 	<ul style="list-style-type: none"> <li>» Closed vial wastage rate per facility</li> <li>» Average closed vial wastage rate</li> <li>» Closed vial wastage rate per district/administrative level</li> </ul>
<p data-bbox="261 533 418 600"><b>Dashboard use level</b></p> 	<p data-bbox="475 546 1295 654">This indicator is recommended in dashboards used by sub-national and national managers and by store managers at all levels.</p>
<p data-bbox="264 757 414 824"><b>Pre-conditions</b></p> 	<p data-bbox="475 801 1279 878">A system for recording closed vial wastage, optionally with reason codes, needs to be in place.</p>
<p data-bbox="284 987 395 1055"><b>System design</b></p> 	<p data-bbox="475 1055 1040 1086">Relevant in all types of logistics systems.</p>
<p data-bbox="287 1227 392 1294"><b>Data needed</b></p> 	<ul style="list-style-type: none"> <li>» Number of discarded (wasted) doses reported by vaccine and preferably by reason code</li> <li>» Number of doses under management during a certain period, defined as the starting balance plus all of the doses received during that period</li> </ul>
<p data-bbox="284 1507 395 1574"><b>Data sources</b></p> 	<ul style="list-style-type: none"> <li>» Vaccine stock ledgers/cards</li> <li>» Vaccine orders</li> <li>» Batch management to track vaccine vial monitor (VVM) status and expiry dates</li> <li>» Logistics management information system (LMIS)</li> <li>» Wastage reporting tools</li> </ul>

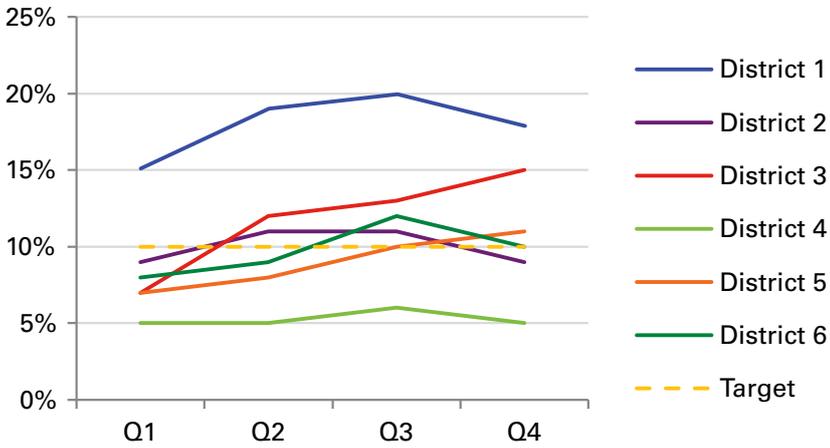
Name	Closed Vial Wastage
<p><b>Calculation</b></p> 	<div style="background-color: #e0f2f7; padding: 10px; margin-bottom: 10px;"> <math display="block">\text{Closed vial wastage} = \frac{\text{\# of doses discarded during the reporting period}}{\text{doses under management during the same period}} \times 100</math> </div> <p>Doses under management is defined as the opening balance plus all doses that were received during the period. Issued doses should not be subtracted.</p> <p>Closed vial wastage should include vials wasted due to:</p> <ul style="list-style-type: none"> <li>» <b>Expiry</b>, which may indicate ordering practices that are not aligned to actual consumption rates, failure to respect first expiry first out (FEFO) policies, a supply design that moves too slowly (i.e., it takes too long for a vaccine to go through the chain to the point of administration) or poor organization in a vaccine store such that an older lot or batch can be overlooked.</li> <li>» <b>VVM</b> status 3 or 4 (at or beyond the discard point) before the vaccine's expiry date has been reached, which may indicate poor cold chain quality or breaches in the cold chain.</li> <li>» <b>Freezing</b>, which is an indication of poorly functioning cold chain equipment or poor adherence to standard operating procedures during storage or transportation.</li> <li>» <b>Breakage</b>, either of the vials or accompanying diluent.</li> </ul> <p>Inclusion of reason codes in reporting of closed vial wastage allows additional precision and more thorough investigation of root causes.</p>



Name	Closed Vial Wastage																														
<p data-bbox="261 757 421 788"><b>Calculation</b></p> 	<p data-bbox="475 277 609 309"><b>Example</b></p> <p data-bbox="475 340 1340 448">In a regional store, 500 doses of pentavalent vaccines expired during the year and 240 doses were wasted due to VVM status 3 or 4, bringing the total for the period to 740 doses.</p> <p data-bbox="475 474 1340 654">If the beginning balance of pentavalent vaccines in that same store was 5,000 doses, and four shipments of 5,000 doses were received during the year, then the total number of doses under management during the year was 25,000 doses (5,000 + (4 x 5,000)).</p> <div data-bbox="478 734 1362 864" style="background-color: #e0f2f7; padding: 10px; margin: 10px 0;"> <p data-bbox="510 757 1334 837">Closed vial wastage (pentavalent) = <math>\frac{740 \text{ doses}}{25,000 \text{ doses}} \times 100 = 3\%</math></p> </div> <p data-bbox="475 900 1238 967">When calculating by reason code, the overall closed vial wastage is divided into:</p> <div data-bbox="478 1025 1362 1155" style="background-color: #e0f2f7; padding: 10px; margin: 10px 0;"> <p data-bbox="497 1048 1334 1128">Closed vial wastage due to expiry (pentavalent) = <math>\frac{500 \text{ doses}}{25,000 \text{ doses}} \times 100 = 2\%</math></p> </div> <div data-bbox="478 1223 1362 1352" style="background-color: #e0f2f7; padding: 10px; margin: 10px 0;"> <p data-bbox="510 1245 1334 1326">Closed vial wastage due to VVM status 3 and 4 (pentavalent) = <math>\frac{240 \text{ doses}}{25,000 \text{ doses}} \times 100 = 1\%</math></p> </div>																														
<p data-bbox="245 1639 437 1738"><b>Visualization and interpretation</b></p> 	<p data-bbox="475 1415 1327 1559">The performance of this indicator can be visualized in a table that includes the number of doses and the percentage of doses that were wasted. Adding a target for closed vial wastage makes it easier to identify where actions are needed.</p> <table border="1" data-bbox="475 1581 1347 2042"> <thead> <tr> <th data-bbox="475 1581 699 1742">Reason code</th> <th data-bbox="699 1581 852 1742">Total doses</th> <th data-bbox="852 1581 1005 1742">Wasted doses</th> <th data-bbox="1005 1581 1158 1742">Actual closed vial wastage</th> <th data-bbox="1158 1581 1347 1742">Target closed vial wastage</th> </tr> </thead> <tbody> <tr> <td data-bbox="475 1742 699 1796">Expired</td> <td data-bbox="699 1742 852 1796"></td> <td data-bbox="852 1742 1005 1796">500</td> <td data-bbox="1005 1742 1158 1796">2%</td> <td data-bbox="1158 1742 1347 1796"></td> </tr> <tr> <td data-bbox="475 1796 699 1850">VVM status</td> <td data-bbox="699 1796 852 1850"></td> <td data-bbox="852 1796 1005 1850">240</td> <td data-bbox="1005 1796 1158 1850">1%</td> <td data-bbox="1158 1796 1347 1850"></td> </tr> <tr> <td data-bbox="475 1850 699 1904">Frozen</td> <td data-bbox="699 1850 852 1904"></td> <td data-bbox="852 1850 1005 1904">0</td> <td data-bbox="1005 1850 1158 1904">-</td> <td data-bbox="1158 1850 1347 1904"></td> </tr> <tr> <td data-bbox="475 1904 699 1957">Breakage</td> <td data-bbox="699 1904 852 1957"></td> <td data-bbox="852 1904 1005 1957">0</td> <td data-bbox="1005 1904 1158 1957">-</td> <td data-bbox="1158 1904 1347 1957"></td> </tr> <tr> <td data-bbox="475 1957 699 2042"><b>Closed vial wastage</b></td> <td data-bbox="699 1957 852 2042">25,000</td> <td data-bbox="852 1957 1005 2042">740</td> <td data-bbox="1005 1957 1158 2042">3%</td> <td data-bbox="1158 1957 1347 2042">10%</td> </tr> </tbody> </table>	Reason code	Total doses	Wasted doses	Actual closed vial wastage	Target closed vial wastage	Expired		500	2%		VVM status		240	1%		Frozen		0	-		Breakage		0	-		<b>Closed vial wastage</b>	25,000	740	3%	10%
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Name	Closed Vial Wastage
<p data-bbox="245 965 437 1070"><b>Visualization and interpretation</b></p> 	<p data-bbox="475 689 1289 801">Closed vial wastage can also be visualized in a line graph to show the performance over time for different districts in a country.</p>  <p data-bbox="475 1344 1305 1451">The graph shows that districts 1, 3 and 6 have higher closed vial wastage throughout the year, while the other districts perform within the target range.</p>
<p data-bbox="271 1532 411 1637"><b>Potential corrective actions</b></p> 	<ul style="list-style-type: none"> <li data-bbox="517 1503 1331 1608">» Perform root cause analysis to identify the reasons for closed vial wastage and identify areas for improvement based on the reason for wastage</li> <li data-bbox="517 1626 1043 1659">» Implement improvement activities</li> <li data-bbox="517 1677 1203 1749">» Develop or review relevant standard operating procedures for store and stock management</li> </ul>
<p data-bbox="268 1800 414 1863"><b>Related indicators</b></p> 	<ul style="list-style-type: none"> <li data-bbox="517 1816 906 1850">» Temperature Alarm Rate</li> <li data-bbox="517 1868 932 1901">» Stocked According to Plan</li> <li data-bbox="517 1919 829 1953">» Open Vial Wastage</li> </ul>

# Forecasted Demand Ratio

Used to validate and improve forecasting practices and assumptions (e.g., target population, coverage, wastage) in order to increase forecasting accuracy.

The indicator helps to answer questions such as:

- » Is consumption in a health facility, administrative unit or country as expected?
- » Is there a need to plan for additional stock to avoid stock-outs?
- » Is closed vial wastage likely due to lower usage than expected?
- » Is there a need to review the forecasting assumptions (e.g., target population, coverage)?
- » Is there a need to revise minimum and maximum stock levels?

Name	Forecasted Demand Ratio
<b>Definition</b> 	Ratio of actual consumption of a given product during a particular period compared to the consumption forecasted for the same period. Consumption includes administered and wasted doses.
<b>Performance objective</b> 	Availability
<b>Domain</b> 	Demand planning
<b>Full indicator name(s)</b> 	<ul style="list-style-type: none"> <li>» Health facility forecasted demand ratio</li> <li>» Average forecasted demand ratio for sub-national level</li> <li>» % of health facilities with forecasted demand ratio in a set interval</li> </ul>
<b>Dashboard use level</b> 	This indicator is recommended in dashboards used by sub-national and national managers.

Name	Forecasted Demand Ratio
<p><b>Pre-conditions</b></p> 	<p>Consumption (i.e., administered and wasted doses) data is necessary to calculate the indicator, so a system to collect actual consumption data is necessary.</p>
<p><b>System design</b></p> 	<p>Relevant in all types of supply chain systems.</p>
<p><b>Data needed</b></p> 	<ul style="list-style-type: none"> <li>» Forecasted demand/usage by product</li> <li>» Actual consumption by product (opening balance + receipts – closing balance of product)</li> </ul>
<p><b>Data sources</b></p> 	<ul style="list-style-type: none"> <li>» Logistics management information system (LMIS)</li> <li>» Monthly immunization reports</li> <li>» Micro plans</li> <li>» Stock ledgers/cards</li> </ul>
<p><b>Calculation</b></p> 	<div style="background-color: #e0f2f7; padding: 10px; margin-bottom: 10px;"> <math display="block">\text{Forecasted Demand Ratio} = \frac{\text{doses consumed per product in a period}}{\text{doses forecasted per product for the same period}}</math> </div> <p>It is important that the doses consumed and the doses forecasted apply to the same period. The longer the period, the more accurate the forecasted demand ratio. A rolling year, half year or quarter are recommended, but the length of the period might depend on the reliable data available and the staff's ability to calculate indicator performance for a long period.</p> <p>Interpreting the ratio:</p> <ul style="list-style-type: none"> <li>» Forecasted demand ratio <b>below 1</b>: actual consumption (through administration and wastage) was less than the forecasted consumption for a given period.</li> <li>» Forecasted demand ratio <b>above 1</b>: actual consumption (through administration and wastage) was more than the forecasted consumption for a given period.</li> <li>» A forecasted demand ratio <b>close to 1</b>: implies that the forecasted consumption matched well with actual vaccine consumption.</li> </ul>

Name	Forecasted Demand Ratio															
<p data-bbox="260 981 421 1014"><b>Calculation</b></p> 	<p data-bbox="539 327 1241 461">                     Average Forecasted Demand Ratio = <math>\frac{(\sum \text{health facility forecasted demand ratios})}{\text{total \# health facilities}}</math> </p>															
	<p data-bbox="475 533 1257 640">The indicator can also be expressed as the percentage of facilities with a forecasted demand ratio meeting certain criteria (for example, within the range of 0.7 to 1.3).</p>															
	<p data-bbox="475 667 609 701"><b>Example</b></p> <p data-bbox="475 703 1327 810">In a health facility, the quarterly forecasted usage of yellow fever vaccine in 10-dose vials was 45 vials, whereas the actual consumption of this vaccine in the same quarter was 35 vials.</p>															
	<p data-bbox="475 837 1034 904">Forecasted demand ratio for yellow fever vaccine = <math>35/45 = 0.78</math></p>															
	<p data-bbox="475 931 1295 1039">The forecasted demand ratio shows that the health facility's actual consumption was lower than forecasted (forecasted demand ratio &lt; 1).</p>															
	<p data-bbox="475 1066 1334 1205">In another health facility, the quarterly forecasted usage of yellow fever vaccine in 10-dose vials was 40 vials, and the actual consumption of this vaccine in the same quarter was 45 vials.</p>															
<p data-bbox="475 1232 1321 1299">Forecasted demand ratio for yellow fever vaccine = <math>45/40 = 1.13</math></p>																
<p data-bbox="475 1335 1334 1442">For this health facility, actual consumption was higher than the expected consumption, and vials from the buffer stock had to be used (forecasted demand ratio &gt; 1).</p>																
<p data-bbox="475 1469 1308 1576">District A is preparing its district report, all the facilities in the district having reported their forecasted demand ratio for the past quarter.</p>																
<table border="1" data-bbox="475 1608 1273 2024"> <thead> <tr> <th data-bbox="475 1608 794 1657">Health facility</th> <th data-bbox="794 1608 1273 1657">Forecasted demand ratio</th> </tr> </thead> <tbody> <tr> <td data-bbox="475 1657 794 1706">Health facility 1</td> <td data-bbox="794 1657 1273 1706">0.78</td> </tr> <tr> <td data-bbox="475 1706 794 1756">Health facility 2</td> <td data-bbox="794 1706 1273 1756">1.13</td> </tr> <tr> <td data-bbox="475 1756 794 1805">Health facility 3</td> <td data-bbox="794 1756 1273 1805">1.50</td> </tr> <tr> <td data-bbox="475 1805 794 1854">Health facility 4</td> <td data-bbox="794 1805 1273 1854">1.25</td> </tr> <tr> <td data-bbox="475 1854 794 1904">Health facility 5</td> <td data-bbox="794 1854 1273 1904">0.85</td> </tr> <tr> <td data-bbox="475 1904 794 1953">Health facility 6</td> <td data-bbox="794 1904 1273 1953">0.93</td> </tr> <tr> <td data-bbox="475 1953 794 2002">Health facility 7</td> <td data-bbox="794 1953 1273 2002">0.98</td> </tr> </tbody> </table>	Health facility	Forecasted demand ratio	Health facility 1	0.78	Health facility 2	1.13	Health facility 3	1.50	Health facility 4	1.25	Health facility 5	0.85	Health facility 6	0.93	Health facility 7	0.98
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Health facility 5	0.85															
Health facility 6	0.93															
Health facility 7	0.98															



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Name	Forecasted Demand Ratio
<p data-bbox="260 1189 419 1218"><b>Calculation</b></p> 	<div data-bbox="475 824 1356 976" style="background-color: #e0f2f1; padding: 10px; margin-bottom: 10px;"> <p data-bbox="528 846 1350 958">Average forecasted demand ratio (district A) = <math>\frac{(0.78 + 1.13 + 1.50 + 1.25 + 0.85 + 0.93 + 0.98)}{7} = 1.06</math></p> </div> <p data-bbox="475 1010 1305 1077">The average forecasted demand ratio shows that the overall district consumption is close to the consumption forecasted.</p> <p data-bbox="475 1111 1337 1285">Another way to report the aggregated forecasted demand ratio is to calculate the percentage of health facilities with usage within set limits. In this example, a +/- 20% ratio is used. This method of calculation more clearly shows how many health facilities are consuming more or less than expected.</p> <div data-bbox="475 1346 1342 1512" style="background-color: #e0f2f1; padding: 10px; margin-bottom: 10px;"> <p data-bbox="520 1384 1289 1485">% of health facilities with forecasted demand ratio between 0.8 and 1.2 = <math>\frac{4}{7} \times 100 = 57\%</math></p> </div> <p data-bbox="475 1547 1270 1653">57% of the health facilities in District A have consumption within the set target interval. The remaining facilities have either higher or lower usage than expected.</p>
<p data-bbox="244 1776 435 1877"><b>Visualization and interpretation</b></p> 	<p data-bbox="475 1753 1326 1966">The forecasted demand ratio can be visualized in a bar chart. Values above 1 indicate consumption above forecasted demand quantities, while a ratio below 1 indicates lower than expected consumption. Target lines can be inserted to make it easy to identify the health facilities where actual usage differs from forecasted usage.</p>

Name	Forecasted Demand Ratio
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Closed vial wastage can also be visualized in a line graph to show the performance over time for different districts in a country.

Forecasted demand ratio for yellow fever (10-dose vial)

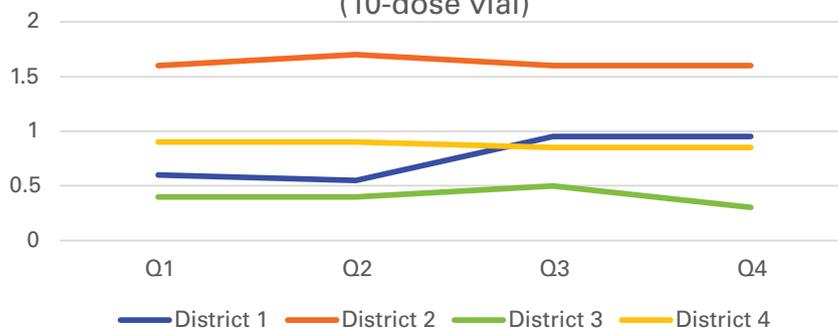


**Visualization and interpretation**



A line graph can be used to show the forecasted demand ratio over time. This is helpful to give national or sub-national levels an overview of health facility or district performance.

Forecasted demand ratio for yellow fever (10-dose vial)



The line graph shows that the forecasted demand ratio is fairly constant in most of the districts, but in District 1, there is a variation over time that could be investigated further.



Name	Forecasted Demand Ratio
<p data-bbox="245 450 435 551"><b>Visualization and interpretation</b></p> 	<p data-bbox="475 302 1342 483">A spatial display is another way to give an overview of district performance. In this example, the colours indicate whether more or less than 80% of a district's health facilities have a forecasted demand ratio between 0.8 and 1.2. The targets used are illustrative and can be adapted to the context.</p>  <p data-bbox="847 546 1331 651">Red = District with &lt;80% of health facilities with forecasted demand ratio between 0.8 and 1.2</p> <p data-bbox="847 680 1318 786">Green = District with &gt;80% of health facilities with forecasted demand ratio between 0.8 and 1.2</p>
<p data-bbox="272 1099 408 1200"><b>Potential corrective actions</b></p> 	<ul style="list-style-type: none"> <li data-bbox="520 898 1161 931">» Verify the actual usage with health facilities</li> <li data-bbox="520 949 1318 1234">» Review the forecasting methodology and perform a root cause analysis to identify reasons for forecasted demand ratios beyond the established tolerance level (e.g., stock-out can lead to a forecasted demand ratio &lt; 1). Root causes could be: inaccurate assumptions (target population, coverage and wastage), inaccurate on-time and in-full deliveries, higher wastage than expected.</li> <li data-bbox="520 1256 1254 1357">» Revise ordering policies and practices when the forecasted demand ratio is consistently outside of the tolerance level or there is a large imbalance</li> <li data-bbox="520 1379 1262 1480">» Revise minimum and maximum stock levels when forecasted demand ratio is consistently too high or too low</li> </ul>
<p data-bbox="272 1592 408 1659"><b>Related indicators</b></p> 	<ul style="list-style-type: none"> <li data-bbox="520 1592 932 1626">» Stocked According to Plan</li> <li data-bbox="520 1644 855 1677">» Full Stock Availability</li> <li data-bbox="520 1695 847 1729">» Closed Vial Wastage</li> <li data-bbox="520 1747 959 1780">» On-Time and In-Full Delivery</li> </ul>

# Full Stock Availability

Measures the availability of immunization products. Availability of vaccines and immunization supplies is important to reach immunization programme targets.

The following questions can be answered by monitoring the performance of this indicator:

- » Are certain facilities frequently at risk of stock-outs?
- » What is the full availability percentage by district or region?
- » Does low availability in the national or resupply store affect availability at lower levels?
- » Is full availability lower than expected in certain health facilities or regions?

Name	Full Stock Availability
<b>Definition</b> 	Percentage of storage points with full availability of all or a selected set of tracer vaccines and immunization supplies over a resupply period. Full availability is defined as no stock-out in the store or health facility at any point during the time period.
<b>Performance objective</b> 	Availability
<b>Domain</b> 	Stock management
<b>Full indicator name(s)</b> 	<ul style="list-style-type: none"> <li>» % of health facilities with full availability</li> <li>» % of districts with full availability</li> <li>» % of districts with at least x% of facilities with full stock availability</li> </ul>
<b>Dashboard use level</b> 	This indicator is recommended in dashboards used by sub-national and national managers.

Name	Full Stock Availability
<b>Pre-conditions</b> 	<p>This indicator can be implemented in any context, as it requires only observation of zero stock balance during the resupply period.</p>
<b>System design</b> 	<p>Relevant in all types of logistics systems.</p>
<b>Data needed</b> 	<ul style="list-style-type: none"> <li>» Product stock-outs in stores and health facilities</li> <li>» OR: closing balances at the end of the resupply period in stores and health facilities</li> </ul>
<b>Data sources</b> 	<ul style="list-style-type: none"> <li>» Stock cards/ledgers</li> <li>» Physical inventory/physical stock counts</li> <li>» Stock-out reports from health facilities</li> <li>» Logistics management information system (LMIS)</li> </ul>
<b>Data collection method</b> 	<p>Where necessary, full availability can be determined for a basket of tracer indicator products representing the availability of immunization supplies.</p>

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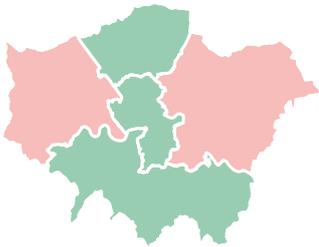
Name	Full Stock Availability
	<p data-bbox="507 322 1228 425">Full stock availability = resupply periods without stock-out of any (tracer) vaccine or immunization supplies</p> <p data-bbox="475 524 1347 627">At sub-national and national level, the indicator is aggregated as % of health facilities or % of districts with full stock availability. The calculation for a sub-national region is:</p> $\% \text{ health facilities with full stock availability} = \frac{(\# \text{ health facilities with full availability of all (tracer) immunization products})}{(\text{total number of health facilities in sub-national region})} \times 100$
<p data-bbox="261 927 421 954"><b>Calculation</b></p> 	<p data-bbox="475 990 1273 1093">Alternatively, for the national level, the aggregation can be based on the percentages of health facilities in a district exceeding a set threshold.</p> $\text{Districts with full availability of all (tracer) immunization products in more than x\% of health facilities} = \frac{(\# \text{ districts with more than x\% health facilities with full availability of all [tracer] immunization products in the last resupply period})}{\text{total \# districts}} \times 100$ <p data-bbox="475 1545 1308 1688">The percentage of health facilities in the above calculation is set by the country to reflect the expected standards. When reporting the value of the indicator, the threshold value must be included.</p>

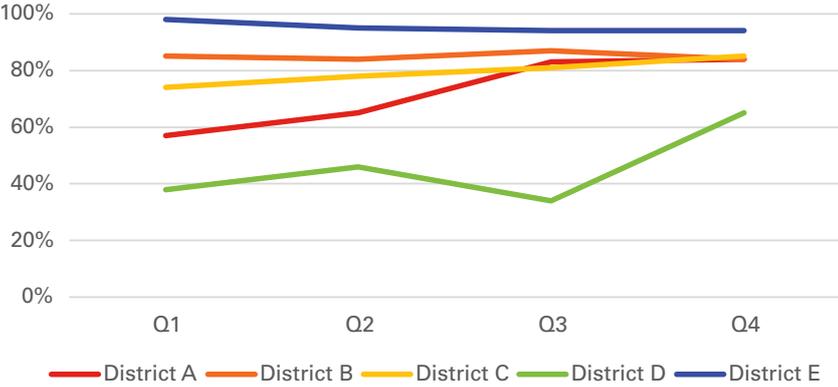


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Name	Full Stock Availability											
<p><b>Calculation</b></p> 	<p><b>Example</b></p> <p>The table below shows health facility A's report to the district on stock availability for the country's tracer immunization products in the second quarter (Q2). Deliveries to the facility are monthly.</p>	<table border="1"> <thead> <tr> <th data-bbox="477 909 711 1043">Tracer immunization products</th> <th colspan="3" data-bbox="711 909 1362 1043">Vaccines available</th> </tr> <tr> <td></td> <th data-bbox="711 1043 930 1088">April</th> <th data-bbox="930 1043 1150 1088">May</th> <th data-bbox="1150 1043 1362 1088">June</th> </tr> </thead> </table>			Tracer immunization products	Vaccines available				April	May	June
	Tracer immunization products	Vaccines available										
		April	May	June								
	BCG	YES	YES	YES								
	PCV	YES	NO	NO								
	Pentavalent	YES	NO	NO								
	Rotavirus	YES	YES	YES								
	Syringe 0.5 ml	YES	YES	YES								
	Measles	YES	YES	YES								
	Full availability?	YES	NO	NO								
<p>According to the table, there was full availability of all tracer immunization supplies in health facility A in April, but in both of the other months, at least one vaccine was not fully available. Therefore, health facility A had full stock availability only in April.</p> <p>When the full stock availability percentages for each district are received at the national level, the national stock availability can be calculated as a national average or as a percentage of districts above a set percentage of health facilities with full availability.</p>												

Name	Full Stock Availability			
<b>Calculation</b> 	District	# of health facilities with full availability	Total # of health facilities	Q2
	District A	6	15	40%
	District B	10	16	63%
	District C	15	21	71%
	District D	10	12	83%
	District E	18	19	95%
	District F	15	18	83%
	District G	9	11	82%
	District H	16	24	67%
	District I	16	21	76%
	District J	16	16	100%
	District K	15	18	83%
	<b>National full stock availability</b>	146	191	76%
$\text{National full stock availability} = \frac{\text{\# health facilities with full stock availability}}{\text{total \# health facilities}} \times 100$				
<p><b>Example</b>  <math>146/191 \times 100 = 76\%</math></p> <p>The country has set 80% as the defined threshold for health facilities with full stock availability.</p>				
$\% \text{ districts with at least 80\% of health facilities with full stock availability} = \frac{\text{\# districts with >80\% health facilities with full stock availability}}{\text{total \# districts}} \times 100$				
<p><b>Example</b>  <math>6/11 \times 100 = 55\%</math></p>				

Name	Full Stock Availability																		
<p data-bbox="245 931 432 1032"><b>Visualization and interpretation</b></p> 	<p data-bbox="475 288 1337 427">A colour-coded table can be used to quickly identify district performance. The threshold for green and red performance has to be set according to the context and the availability. Here, 80% was used as the threshold.</p>																		
	<table border="1" data-bbox="475 490 1110 1099"> <thead> <tr> <th data-bbox="475 490 794 555">District</th> <th data-bbox="794 490 1110 555">Q2</th> </tr> </thead> <tbody> <tr> <td data-bbox="475 555 794 622">District A</td> <td data-bbox="794 555 1110 622">40%</td> </tr> <tr> <td data-bbox="475 622 794 689">District B</td> <td data-bbox="794 622 1110 689">63%</td> </tr> <tr> <td data-bbox="475 689 794 757">District C</td> <td data-bbox="794 689 1110 757">71%</td> </tr> <tr> <td data-bbox="475 757 794 824">District D</td> <td data-bbox="794 757 1110 824">83%</td> </tr> <tr> <td data-bbox="475 824 794 891">District E</td> <td data-bbox="794 824 1110 891">95%</td> </tr> <tr> <td data-bbox="475 891 794 958">District F</td> <td data-bbox="794 891 1110 958">83%</td> </tr> <tr> <td data-bbox="475 958 794 1025">District G</td> <td data-bbox="794 958 1110 1025">82%</td> </tr> <tr> <td data-bbox="475 1025 794 1099">District H</td> <td data-bbox="794 1025 1110 1099">67%</td> </tr> </tbody> </table>	District	Q2	District A	40%	District B	63%	District C	71%	District D	83%	District E	95%	District F	83%	District G	82%	District H	67%
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<p data-bbox="475 1167 1337 1417">Another way to visually represent full stock availability percentages by district is through colour-coded spatial analysis. In the visualization of a region below, 80% was again used as the performance threshold. In the red districts, fewer than 80% of health facilities (HF) have full availability of a set of tracer vaccines and immunization supplies, while in the green district more than 80% have full availability.</p>																			
 <p data-bbox="818 1563 1217 1731">             Green = Full stock availability &lt; 80% of HF              Red = Full stock availability &gt; 80% of HF         </p>																			

Name	Full Stock Availability																														
<p data-bbox="244 517 435 618"><b>Visualization and interpretation</b></p> 	<p data-bbox="475 297 1329 365">Full stock availability can also be shown in a line graph plotting performance over time.</p> <p data-bbox="547 439 1289 510" style="text-align: center;"><b>Full stock availability for 7 tracer vaccines and immunization supplies in 2014</b></p>  <table border="1" data-bbox="491 533 1329 920"> <caption>Estimated data for Full stock availability for 7 tracer vaccines and immunization supplies in 2014</caption> <thead> <tr> <th>District</th> <th>Q1</th> <th>Q2</th> <th>Q3</th> <th>Q4</th> </tr> </thead> <tbody> <tr> <td>District A</td> <td>55%</td> <td>65%</td> <td>80%</td> <td>85%</td> </tr> <tr> <td>District B</td> <td>85%</td> <td>85%</td> <td>85%</td> <td>85%</td> </tr> <tr> <td>District C</td> <td>75%</td> <td>78%</td> <td>82%</td> <td>85%</td> </tr> <tr> <td>District D</td> <td>35%</td> <td>45%</td> <td>35%</td> <td>65%</td> </tr> <tr> <td>District E</td> <td>95%</td> <td>95%</td> <td>95%</td> <td>95%</td> </tr> </tbody> </table>	District	Q1	Q2	Q3	Q4	District A	55%	65%	80%	85%	District B	85%	85%	85%	85%	District C	75%	78%	82%	85%	District D	35%	45%	35%	65%	District E	95%	95%	95%	95%
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District D	35%	45%	35%	65%																											
District E	95%	95%	95%	95%																											
<p data-bbox="272 1122 408 1223"><b>Potential corrective actions</b></p> 	<ul style="list-style-type: none"> <li data-bbox="520 1032 1342 1099">» Verify the full availability of products in the past resupply period</li> <li data-bbox="520 1122 1342 1256">» Perform root cause analysis to identify the reason for low stock availability, including inventory management, reorder policies (push or pull), distribution plans, national stock availability and distribution performance</li> <li data-bbox="520 1279 1238 1346">» Review emergency resupply policies if there is a historical pattern of low stock availability</li> <li data-bbox="520 1368 1310 1402">» Review supply pipelines and planned orders for stores</li> </ul>																														
<p data-bbox="272 1525 408 1581"><b>Related indicators</b></p> 	<ul style="list-style-type: none"> <li data-bbox="520 1491 935 1525">» Stocked According to Plan</li> <li data-bbox="520 1536 1007 1570">» Average Duration of Stock-Outs</li> <li data-bbox="520 1581 1206 1615">» Average Response Time to Resolve Stock-Out</li> <li data-bbox="520 1637 807 1671">» Months of Stock</li> <li data-bbox="520 1693 831 1727">» Open Vial Wastage</li> </ul>																														

# Functional Status of Cold Chain Equipment (CCE)

Measures operational cold chain equipment to identify where maintenance is needed for maintaining vaccine quality. Used for operational purposes, such as updating the maintenance plan, and for strategic purposes, such as to plan for replacement.

Over time, the trend in the proportion of functional equipment can be used to measure performance of in-house or contracted maintenance and repair services. If the proportion of functional equipment is disaggregated by reason for the non-function or by equipment type, the indicator can also be used to assess the performance of particular types or models of CCE in the field.

Note that functional status of CCE does not include a provision regarding the temperature maintained by the equipment; other indicators (such as Temperature Alarm Rate) must be used to fully understand the cold chain management system.

The following questions can be answered by monitoring this indicator:

- » Which CCE is in need of repair or maintenance and where is it located?
- » In case of delivery of additional CCE, where is it most needed?
- » What investment in new CCE is needed for the next few years?
- » Do particular models or types of CCE perform more reliably or have a longer lifespan than others?

Name	Functional Status of Cold Chain Equipment
<p><b>Definition</b></p> 	<p>Cold chain equipment functioning compares the proportion of cold chain equipment (CCE) operable for storing vaccines with the overall number of commissioned CCE devices in a particular area. CCE is defined as all refrigerators, freezers, passive storage devices, and walk-in cold rooms and freezer rooms designated for storing vaccines. CCE functioning can be measured at a point in time or over a particular period of time.</p>
<p><b>Performance objective</b></p> 	<p>Potency</p>

Name	Functional Status of Cold Chain Equipment
<b>Domain</b> 	Cold chain management
<b>Full indicator name(s)</b> 	<ul style="list-style-type: none"> <li>» % of functional CCE</li> <li>» % of health facilities or % of districts meeting a threshold for functional CCE (e.g., % of districts with at least 90% functional equipment)</li> </ul>
<b>Dashboard use level</b> 	This indicator is recommended in dashboards used by sub-national and national managers and all store managers.
<b>Pre-conditions</b> 	<p>The indicator requires an updated cold chain equipment inventory, a mechanism to ascertain whether equipment is functioning and a system to transmit the information to the level where cold chain equipment planning is undertaken. The transmission mechanism can be paper-based, electronic or communication-based (e.g., by telephone).</p>
<b>System design</b> 	Relevant in all types of logistics systems.
<b>Data needed</b> 	<ul style="list-style-type: none"> <li>» Number of CCE devices designated for storing vaccines in a particular geographical area</li> <li>» Functional status of each CCE: functioning/awaiting repair/unserviceable</li> <li>» Primary reason for not functioning or not in use: needs spare parts/no finance/no fuel/surplus/dead/not applicable</li> <li>» Optional additional data: temperature of CCE</li> </ul> <p><b>Note:</b> Precise definitions of the functional status and reasons for not functioning need to be standardized to allow comparison. For instance, CCE operating outside the normal range of temperature may be considered awaiting repair. Power sources, such as generators for backup power for walk-in cold facilities, may also be included in this indicator, as appropriate. Multiple reason codes may also be applied.</p>

Name	Functional Status of Cold Chain Equipment
<p><b>Data sources</b></p> 	<ul style="list-style-type: none"> <li>» Cold chain equipment inventories by location; for example, WHO Excel-based tools such as Cold Chain Equipment Inventory (CCEI), Cold Chain Equipment Manager (CCEM)</li> <li>» On-site assessment of equipment functioning</li> <li>» Maintenance worksheet</li> <li>» CCE distribution plan</li> </ul>
<p><b>Calculation</b></p> 	<div style="background-color: #e0f2f1; padding: 10px; margin-bottom: 10px;"> <math display="block">\% \text{ CCE functioning} = \frac{\# \text{ functioning CCE devices}}{\text{total \# CCE devices designated for use in reporting facilities}} \times 100</math> </div> <p>The indicator can be calculated either at a point in time or over a period of time. When calculated over a period of time, % CCE functioning needs to take into account how long the non-functional periods were:</p> <div style="background-color: #e0f2f1; padding: 10px; margin-bottom: 10px;"> <math display="block">\% \text{ CCE functioning} = \frac{\# \text{ of functional CCE unit-days}}{\text{total \# of CCE unit-days in a given reporting period}} \times 100</math> </div> <p>Where CCE unit-days are the total number of days in the reporting period multiplied by the number of CCE devices.</p> <p>Both the numerator and the denominator should be collected from the same geographic area, and decommissioned equipment should not be counted in either the numerator or denominator. Functionality of CCE is broadly meant to mean that the device is operable at a particular point in time for storing vaccine.</p> <p>Disaggregation of both the numerator and denominator by location and by type, manufacturer, model, energy source, PQS (performance, quality and safety) code or year of installation can add value in investigating root causes of CCE failures, in targeting maintenance and replacement, and in performance monitoring of equipment and of maintenance systems.</p>

Name	Functional Status of Cold Chain Equipment																																												
	<p><b>Example</b></p> <p>Consider a district with 50 facilities. The most recent CCE inventory indicates that the following equipment is available in the district, and a recent facility survey found the following number and percentage of devices functional:</p> <table border="1" data-bbox="475 490 1366 840"> <thead> <tr> <th>Type</th> <th>Total number</th> <th>Number functioning</th> <th>Percentage functioning</th> </tr> </thead> <tbody> <tr> <td>Ice-lined refrigerators</td> <td>35</td> <td>25</td> <td>71%</td> </tr> <tr> <td>Deep freezers</td> <td>5</td> <td>3</td> <td>60%</td> </tr> <tr> <td>Solar direct drive refrigerators</td> <td>15</td> <td>14</td> <td>93%</td> </tr> </tbody> </table> <p>Additionally, the district had 3 decommissioned refrigerators that were not counted in the above table.</p> <p><b>Overall:</b>  <math>(42 \text{ functional devices}) / (55 \text{ total devices}) \times 100 = 76\%</math> functioning</p> <p>Consider a country with five regions. Recent data from a CCE inventory and a facility survey found the following equipment functional:</p> <table border="1" data-bbox="475 1240 1366 1644"> <thead> <tr> <th>Region</th> <th>Total number</th> <th>Number functioning</th> <th>Percentage functioning</th> </tr> </thead> <tbody> <tr> <td>A</td> <td>100</td> <td>95</td> <td>95%</td> </tr> <tr> <td>B</td> <td>200</td> <td>184</td> <td>92%</td> </tr> <tr> <td>C</td> <td>150</td> <td>149</td> <td>99%</td> </tr> <tr> <td>D</td> <td>300</td> <td>265</td> <td>88%</td> </tr> <tr> <td>E</td> <td>85</td> <td>73</td> <td>86%</td> </tr> <tr> <td>Total</td> <td>835</td> <td>766</td> <td>92%</td> </tr> </tbody> </table> <p><b>Overall:</b>  <math>(766 \text{ functional devices}) / (835 \text{ total devices}) \times 100 = 92\%</math> functioning</p> <p><math>(3 \text{ regions with } &gt;90\% \text{ CCE functioning}) / (5 \text{ total regions}) \times 100 = 60\%</math> of regions with <math>&gt;90\%</math> CCE functioning</p>	Type	Total number	Number functioning	Percentage functioning	Ice-lined refrigerators	35	25	71%	Deep freezers	5	3	60%	Solar direct drive refrigerators	15	14	93%	Region	Total number	Number functioning	Percentage functioning	A	100	95	95%	B	200	184	92%	C	150	149	99%	D	300	265	88%	E	85	73	86%	Total	835	766	92%
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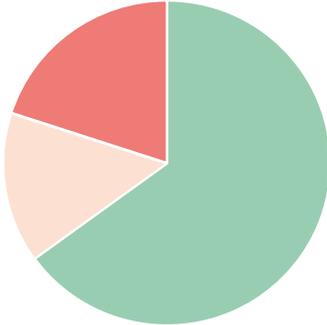
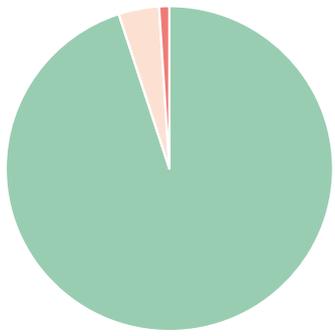
Name	Functional Status of Cold Chain Equipment																						
<p data-bbox="245 707 437 808">Visualization and interpretation</p> 	<p data-bbox="475 286 1356 501">At the sub-national level, data from all facilities or an aggregate across facilities in a relevant area can be presented in a table format. A pie chart or sorted table can display the proportion of equipment that is functional, and managers can then use this information to monitor performance of maintenance systems and of particular types or models of CCE.</p>																						
	<table border="1"> <thead> <tr> <th data-bbox="475 566 708 656">Facility</th> <th data-bbox="708 566 932 656">Total number of CCE</th> <th data-bbox="932 566 1155 656">Number functioning</th> <th data-bbox="1155 566 1356 656">Percentage functioning</th> </tr> </thead> <tbody> <tr> <td data-bbox="475 656 708 745">Health centre A</td> <td data-bbox="708 656 932 745">2</td> <td data-bbox="932 656 1155 745">2</td> <td data-bbox="1155 656 1356 745">100%</td> </tr> <tr> <td data-bbox="475 745 708 835">Health centre B</td> <td data-bbox="708 745 932 835">3</td> <td data-bbox="932 745 1155 835">2</td> <td data-bbox="1155 745 1356 835">67%</td> </tr> <tr> <td data-bbox="475 835 708 925">Health centre C</td> <td data-bbox="708 835 932 925">1</td> <td data-bbox="932 835 1155 925">0</td> <td data-bbox="1155 835 1356 925">0%</td> </tr> <tr> <td data-bbox="475 925 708 994"><b>Total</b></td> <td data-bbox="708 925 932 994"><b>6</b></td> <td data-bbox="932 925 1155 994"><b>4</b></td> <td data-bbox="1155 925 1356 994"><b>67%</b></td> </tr> </tbody> </table>	Facility	Total number of CCE	Number functioning	Percentage functioning	Health centre A	2	2	100%	Health centre B	3	2	67%	Health centre C	1	0	0%	<b>Total</b>	<b>6</b>	<b>4</b>	<b>67%</b>		
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<table border="1"> <thead> <tr> <th data-bbox="475 1072 708 1151">Equipment type</th> <th data-bbox="708 1072 932 1151">Functional</th> <th data-bbox="932 1072 1155 1151">Awaiting repair</th> <th data-bbox="1155 1072 1356 1151">Non-functional</th> </tr> </thead> <tbody> <tr> <td data-bbox="475 1151 708 1240">Ice-lined refrigerators</td> <td data-bbox="708 1151 932 1240">65%</td> <td data-bbox="932 1151 1155 1240">15%</td> <td data-bbox="1155 1151 1356 1240">20%</td> </tr> <tr> <td data-bbox="475 1240 708 1330">Solar refrigerators</td> <td data-bbox="708 1240 932 1330">95%</td> <td data-bbox="932 1240 1155 1330">4%</td> <td data-bbox="1155 1240 1356 1330">1%</td> </tr> </tbody> </table>	Equipment type	Functional	Awaiting repair	Non-functional	Ice-lined refrigerators	65%	15%	20%	Solar refrigerators	95%	4%	1%											
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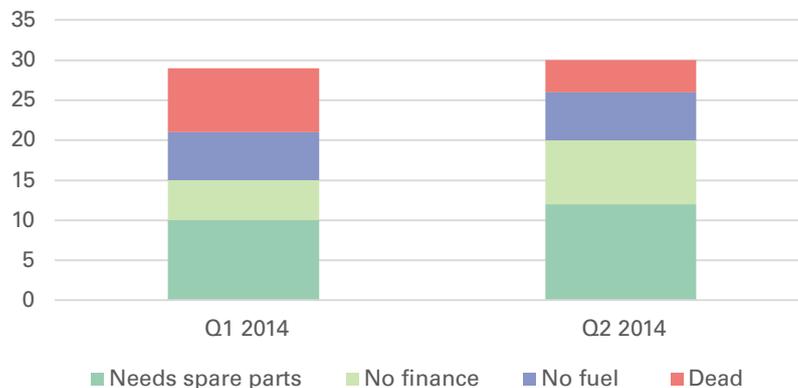
Name	Functional Status of Cold Chain Equipment
<p data-bbox="239 1288 438 1400"><b>Visualization and interpretation</b></p> 	<p data-bbox="470 795 1284 873">The performance of particular types of CCE in a district can also be visualized in a table or a pie chart.</p> <div data-bbox="710 940 1093 985" style="text-align: center;"> <p><b>Ice-lined refrigerators</b></p> </div>  <div data-bbox="582 1377 1220 1411" style="text-align: center;"> <p>■ Functioning   ■ Awaiting Repair   ■ Not Functioning</p> </div> <div data-bbox="734 1512 1061 1556" style="text-align: center;"> <p><b>Solar refrigerators</b></p> </div>  <div data-bbox="582 1937 1244 1971" style="text-align: center;"> <p>■ Functioning   ■ Awaiting Repair   ■ Not Functioning</p> </div>

**Name**

**Functional Status of Cold Chain Equipment**

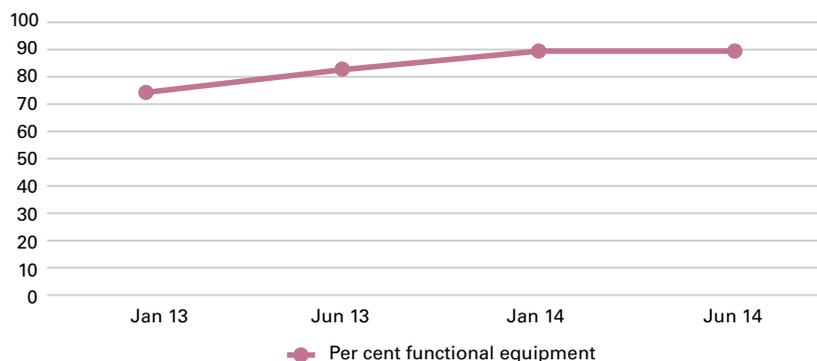
For more information, and to assist in root cause analysis, the reasons for non-function and non-use can be illustrated in a stacked bar chart.

Reasons for non-functional CCE

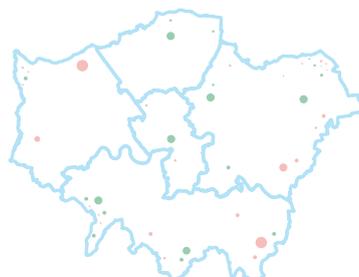


A line graph can be used to track the trend in the proportion of functional equipment over time.

**Visualization and interpretation**



A map can also be used to identify the need for repair or replacement of equipment geographically. Pins or markers can be used to identify facilities with a low proportion of functioning CCE.



At the national level, data are further aggregated across sub-national administrative regions or as a single estimate of functionality nationwide. Pie charts and line graphs are also useful at the national level.

Name	Functional Status of Cold Chain Equipment
<p><b>Potential corrective actions</b></p> 	<ul style="list-style-type: none"> <li>» Verify that equipment is not functioning</li> <li>» Determine the root cause of equipment dysfunction; solicit repair or replacement of non-functional equipment</li> <li>» Ensure that contingency plans are in place for all facilities, so that vaccines can be safely stored or transported elsewhere when one or more devices are non-functional</li> <li>» Perform routine maintenance of all CCE to prevent future breakdown</li> <li>» Use equipment status (including reasons) to inform future procurement decisions</li> <li>» Reallocate functional CCE equitably, as appropriate</li> <li>» The indicator can also be used in combination with other inputs, such as a cold chain inventory, to estimate the total volume of cold chain space available and is useful in assessing whether there is adequate functional cold chain capacity to meet needs for routine immunization, campaigns and new vaccine introductions</li> </ul>
<p><b>Related indicators</b></p> 	<ul style="list-style-type: none"> <li>» Temperature Alarm Rate</li> <li>» Temperature in Range</li> <li>» Number of Maintenance Visits, Requests and Repairs</li> <li>» Cold Chain Equipment Uptime</li> <li>» Cold Chain Capacity Utilization</li> <li>» Mean Time to Repair Cold Chain Equipment</li> <li>» Mean Time to Implement Corrective Action</li> </ul>



# On-Time and In-Full Delivery

Used to ensure the store has the ability to meet the needs of lower-level stores, as well as the timeliness and reliability of order deliveries. The indicator can be used to monitor incoming shipments and performance of in-country distribution by the national store or outsourced distributor.

Including the indicator in a dashboard can facilitate store management improvements: increased reliability, consistency (client receives product needed each resupply period) and efficiency (reduction in emergency orders).

Note that OTIF delivery does not consider damage to products during distribution (e.g., broken vials, VVM stage 3 or 4). Other indicators (such as Closed Vial Wastage or Temperature Alarm Rate) should be used to identify such issues.

The following questions can be answered by monitoring this indicator:

- » Are deliveries received during the expected time period?
- » If warehousing and/or delivery services are outsourced, have the third-party logistics providers achieved their agreed-upon/contractual service levels in terms of timeliness, accuracy and fulfilment?
- » Are orders correctly picked and packed in terms of product/quantities?
- » Are orders correctly distributed in terms of products and quantities?
- » Have global procurement service agents and freight forwarders delivered products in-full and on-time?

Name	On-Time and In-Full Delivery
<p data-bbox="272 1565 411 1597"><b>Definition</b></p> 	<p data-bbox="480 1444 1294 1509">Percentage of deliveries delivered on-time and in-full (OTIF), with OTIF defined as:</p> <ul style="list-style-type: none"> <li data-bbox="521 1541 1326 1606">» <b>Order fulfilled:</b> Store can fulfil the complete order (i.e., provide all products and quantities requested)</li> <li data-bbox="521 1628 1318 1693">» <b>On time:</b> Order is delivered when expected (e.g., on a specific date or within a specified time range)</li> <li data-bbox="521 1715 1342 1821">» <b>Accurate:</b> The correct products are delivered in the correct quantities (i.e., delivered products and quantities match the delivery note)</li> </ul>
<p data-bbox="253 1879 432 1944"><b>Performance objective</b></p> 	<ul style="list-style-type: none"> <li data-bbox="521 1912 708 1944">» Efficiency</li> <li data-bbox="521 1966 716 1998">» Availability</li> </ul>

Name	<b>On-Time and In-Full Delivery</b>
<b>Domain</b> 	<ul style="list-style-type: none"> <li>» Distribution</li> <li>» Stock management</li> </ul>
<b>Full indicator name(s)</b> 	<p>% of orders delivered on-time and in-full (OTIF)</p>
<b>Dashboard use level</b> 	<p>This indicator is recommended in dashboards used by national and store managers at all levels.</p>
<b>Pre-conditions</b> 	<p>This indicator is relevant in supply chains where:</p> <ul style="list-style-type: none"> <li>» Delivery schedule is in place and date dispatched/received is captured</li> <li>» Client knows the amount and/or expected amount</li> <li>» Stores deliver supplies to lower level stores or facilities (outbound delivery)</li> </ul>
<b>System design</b> 	<p>The indicator is relevant for these supply chain systems:</p> <ul style="list-style-type: none"> <li>» Push system with fixed quantities</li> <li>» Pull system with delivery</li> </ul>
<b>Data needed</b> 	<ul style="list-style-type: none"> <li>» Order requested by product and quantity</li> <li>» Order picked and dispatched by product and quantity</li> <li>» Scheduled delivery date or delivery range</li> <li>» Products, quantities and time of receipt for dispatched orders by order</li> </ul>

Name	On-Time and In-Full Delivery
<p><b>Data sources</b></p> 	<ul style="list-style-type: none"> <li>» Order delivery note</li> <li>» Submitted requisition/order</li> <li>» Proof of delivery</li> <li>» Delivery schedule</li> <li>» Vaccine arrival report</li> <li>» Advanced shipment notification</li> </ul>
<p><b>Data collection method</b></p> 	<p>Data for this indicator is to be collected and compiled by the store responsible for fulfilling the orders. If the data collection systems are manual, sampling or sentinel sites can be used to collect data for calculation of OTIF. If the sample is large enough, this method will give a good picture of the actual performance of the system.</p>
<p><b>Calculation</b></p> 	<div style="background-color: #e0f2f7; padding: 10px; margin-bottom: 10px;"> <math display="block">\% \text{ of orders delivered on-time and in-full} = \frac{\# \text{ orders delivered OTIF}}{\text{total \# orders delivered}} \times 100</math> </div> <p>For stores that are not able to measure all three processes, an intermediate indicator (such as % of on-time deliveries) can be used.</p> <p><b>Examples</b></p> <p>Consider a regional store that picks, packs and delivers to four district stores once a month. The date of delivery, scheduled delivery date and data on quantities ordered, dispatched and received were collected from the relevant data sources and compared to identify if orders were delivered on-time and in-full.</p>



Name	On-Time and In-Full Delivery								
	Quantities requested	Quantities packed	Quantities received	Scheduled delivery date	Actual date of receipt	Order fulfilled?	Order accurate?	Order on-time?	OTIF delivery
Store A	30	28	28	1–5 Nov.	7 Nov.	No	Yes	No	No
Store B	30	23	20	10–15 Nov.	10 Nov.	No	No	Yes	No
Store C	23	23	23	10–15 Nov.	12 Nov.	Yes	Yes	Yes	Yes
Store D	15	15	15	10–15 Nov.	13 Nov.	Yes	Yes	Yes	Yes

**Calculation**



$$\left( \frac{\% \text{ deliveries OTIF}}{\frac{\# \text{ orders OTIF}}{\text{total \# orders}} \times 100} \right) = \frac{2}{4} \times 100 = 50\%$$

This regional store makes half of its deliveries on-time and in-full.

The National Logistics Working Group (NLWG) wants to discuss on-time and in-full deliveries and needs to aggregate regional and national store performances for the past quarter.

The following table shows the number of each regional store's total deliveries that were delivered on-time and in-full during the months of the first quarter.

Store	Jan	Feb	Mar	Q1
Regional store 1	2 of 4 (50%)	2 of 5 (40%)	3 of 6 (50%)	47%
Regional store 2	4 of 6 (67%)	5 of 8 (63%)	6 of 8 (75%)	68%
Regional store 3	3 of 3 (100%)	3 of 4 (75%)	4 of 4 (100%)	92%
National store	1 of 3 (33%)	2 of 3 (67%)	2 of 3 (67%)	56%
Average store OTIF	10 of 16 (63%)	12 of 20 (60%)	15 of 21 (71%)	65%

On-time and in-full delivery by the different stores during the first quarter varies from less than 50% to more than 91%.



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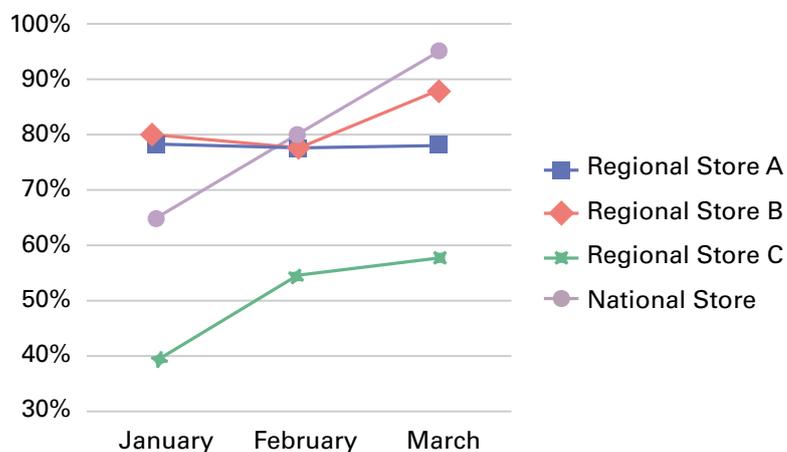
**Name**      **On-Time and In-Full Delivery**

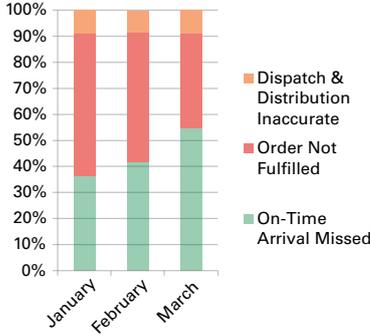
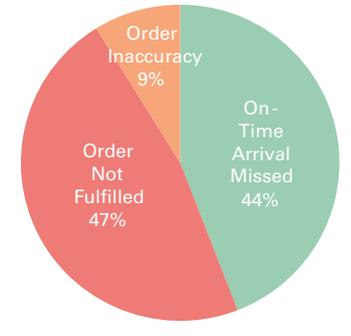
The National Logistics Working Group (NLWG) is reviewing the performance of the three regional stores for the past quarter. The NLWG had established three initial thresholds to visualize and manage national and regional store performances:

Stores	OTIF average
National store	95%
Regional store A	78%
Regional store B	88%
Regional store C	58%

Key:  
 OTIF <60% (critical, red)  
 OTIF >60% and <80% (priority, orange)  
 OTIF >80% (normal, green)

**Visualization and interpretation**



Name	On-Time and In-Full Delivery																								
<p data-bbox="244 459 437 562"><b>Visualization and interpretation</b></p> 	<p data-bbox="475 282 1308 389">Regional store C has been asked by the NLWG to present its March and quarter disaggregated OTIF performance data on what contributed to the poor OTIF.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="475 414 845 828"> <p data-bbox="646 414 694 448"><b>Q1</b></p>  <table border="1"> <caption>Q1 Performance Data</caption> <thead> <tr> <th>Month</th> <th>On-Time Arrival Missed (%)</th> <th>Order Not Fulfilled (%)</th> <th>Dispatch &amp; Distribution Inaccurate (%)</th> </tr> </thead> <tbody> <tr> <td>January</td> <td>35</td> <td>55</td> <td>10</td> </tr> <tr> <td>February</td> <td>40</td> <td>50</td> <td>10</td> </tr> <tr> <td>March</td> <td>55</td> <td>35</td> <td>10</td> </tr> </tbody> </table> </div> <div data-bbox="957 414 1308 828"> <p data-bbox="1085 414 1181 448"><b>March</b></p>  <table border="1"> <caption>March Performance Breakdown</caption> <thead> <tr> <th>Category</th> <th>Percentage (%)</th> </tr> </thead> <tbody> <tr> <td>On-Time Arrival Missed</td> <td>44</td> </tr> <tr> <td>Order Not Fulfilled</td> <td>47</td> </tr> <tr> <td>Order Inaccuracy</td> <td>9</td> </tr> </tbody> </table> </div> </div>	Month	On-Time Arrival Missed (%)	Order Not Fulfilled (%)	Dispatch & Distribution Inaccurate (%)	January	35	55	10	February	40	50	10	March	55	35	10	Category	Percentage (%)	On-Time Arrival Missed	44	Order Not Fulfilled	47	Order Inaccuracy	9
Month	On-Time Arrival Missed (%)	Order Not Fulfilled (%)	Dispatch & Distribution Inaccurate (%)																						
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<p data-bbox="271 1160 411 1263"><b>Potential corrective actions</b></p> 	<ul style="list-style-type: none"> <li>» Improve or define standard operating procedures where needed</li> <li>» Revise demand plan to ensure adequate stock at supplying store</li> <li>» If services are outsourced, review past performance with warehouse and distribution service providers and agree on improvement actions</li> <li>» Adjust delivery schedule dates according to the actual capacity of the transportation services, if necessary</li> <li>» Improve forecasting and procurement procedures to ensure adequate stock at supplying stores</li> <li>» Negotiate with procurement service agents and freight forwarders on in-bound shipments to the country</li> <li>» Assess system or policy changes (e.g., outsourcing or changing distribution system)</li> <li>» Review and/or revise inventory policies including buffer stock and minimum and maximum levels for stores</li> </ul>																								
<p data-bbox="268 1756 411 1823"><b>Related indicators</b></p> 	<ul style="list-style-type: none"> <li>» On-Time Arrival</li> <li>» In-Full Arrival</li> <li>» In-Full Dispatches</li> <li>» On-Time Dispatches</li> <li>» Order Accuracy</li> <li>» % of Deliveries with Damaged Items</li> <li>» Vendor On-Time Delivery</li> </ul>																								

# Stocked According to Plan

Used to monitor and manage immunization products and as a warning to avoid stock-outs or wastage. Diversions from the planned stock levels can signal risk of stock-outs (if significantly below the minimum level) or closed vial wastage (if significantly above the maximum level). For stores, the indicator performance provides information on the ability of the store to dispatch the products and quantities needed by the health facilities

The following questions can be answered by monitoring this indicator:

- » Is there a risk of stock-outs?
- » Is there a risk of overstock and expiry?
- » Will the supplied quantities be enough until next delivery?
- » Are the demand methodology and assumptions adequate?
- » Are the inventory policies and practices adequate?

Name	Stocked According to Plan
<p><b>Definition</b></p> 	<p>This indicator measures the percentage of health facilities or stores maintaining appropriate (as defined by local policies) levels of vaccine and immunization product stock during a particular time frame, as compared to the overall number of facilities in the area. Stocked According to Plan (SATP) is defined as stock levels between set minimum and maximum levels.</p>
<p><b>Performance objective</b></p> 	<ul style="list-style-type: none"> <li>» Availability</li> <li>» Efficiency</li> </ul>
<p><b>Domain</b></p> 	<p>Stock management</p>
<p><b>Full indicator name(s)</b></p> 	<ul style="list-style-type: none"> <li>» % of health facilities Stocked According to Plan</li> <li>» % of districts with x% of facilities stocked according to plan</li> <li>» % of stores Stocked According to Plan</li> </ul>

Name	Stocked According to Plan
<p><b>Dashboard use level</b></p> 	<p>This indicator is recommended in dashboards used by national and sub-national managers.</p>
<p><b>Pre-conditions</b></p> 	<p>This indicator is relevant in supply chains where there are established minimum and maximum levels for products for each health facility and store. Minimum stock level is considered the safety stock that is different from the reorder stock level. The maximum stock level is the safety stock plus the expected consumption between deliveries.</p>
<p><b>System design</b></p> 	<p>Relevant for supply chain systems with minimum stock level equal to safety stock. The indicator is not relevant in systems where the minimum stock level is considered equal to the reorder level, as the stock is expected to go below the minimum stock level. In these systems, alternative indicators such as Full Stock Availability and Closed Vial Wastage may be better employed.</p>
<p><b>Data needed</b></p> 	<ul style="list-style-type: none"> <li>» Stock balance</li> <li>» Minimum and maximum levels</li> </ul>
<p><b>Data sources</b></p> 	<ul style="list-style-type: none"> <li>» Stock cards/ledgers</li> <li>» Physical inventory count</li> <li>» Logistics management information system (LMIS)</li> </ul>
<p><b>Data collection method</b></p> 	<p>Stock balances should be collected at least twice per resupply period: just after and before delivery, to provide the highest and lowest stock balances in the resupply period.</p>

Name	Stocked According to Plan																												
<p data-bbox="260 1070 421 1104"><b>Calculation</b></p> 	<p data-bbox="475 282 1321 607">Stocked According to Plan is determined by comparing the stock balance (stock on hand) to the established minimum and maximum levels to identify which products have stock balances below, within or above the recommended levels. Stocked According to Plan occurs when the stock balance is between the set minimum and maximum stock levels, which are typically set by national policy, for instance regarding the number of months of stock to be held in each type of store or facility.</p> <p data-bbox="475 636 1302 853">In a store or health facility, each product can be assessed as Stocked According to Plan. Alternatively a set of tracer products can be considered. When aggregating the indicator at higher levels, then a health facility or store is considered Stocked According to Plan if all vaccines and immunization supplies are Stocked According to Plan.</p>																												
	$\text{\% of products Stocked According to Plan (in health facility or store)} = \frac{\text{\# vaccines Stocked According to Plan for all or a set of tracer products}}{\text{total \# health facilities}} \times 100$																												
	$\text{\% of health facilities Stocked According to Plan} = \frac{\text{\# health facilities Stocked According to Plan for all or a set of tracer products}}{\text{total \# health facilities}} \times 100$																												
	<p data-bbox="475 1308 608 1341"><b>Example</b></p> <p data-bbox="475 1346 1251 1379">In health facility A, the inventory policy for all vaccines is:</p> <p data-bbox="475 1402 1230 1435">Minimum level: 50 doses    Maximum level: 100 doses</p> <p data-bbox="475 1462 1259 1536">The table shows the facility's actual stock balances at the beginning and near the end of the supply period.</p> <table border="1" data-bbox="475 1552 1364 2024"> <thead> <tr> <th>Vaccine</th> <th>Stock balance (start of supply period, doses)</th> <th>Stock balance (end of supply period, doses)</th> <th>Stocked According to Plan for this vaccine</th> </tr> </thead> <tbody> <tr> <td>Rota</td> <td>160</td> <td>44</td> <td>NO</td> </tr> <tr> <td>PCV</td> <td>93</td> <td>63</td> <td>YES</td> </tr> <tr> <td>Penta</td> <td>87</td> <td>56</td> <td>YES</td> </tr> <tr> <td>OPV</td> <td>75</td> <td>53</td> <td>YES</td> </tr> <tr> <td>Measles</td> <td>109</td> <td>48</td> <td>NO</td> </tr> <tr> <td>IPV</td> <td>83</td> <td>43</td> <td>NO</td> </tr> </tbody> </table> <p data-bbox="475 2045 1302 2078">                     \% of products Stocked According to Plan = 3/6 x 100 = 50%                 </p>	Vaccine	Stock balance (start of supply period, doses)	Stock balance (end of supply period, doses)	Stocked According to Plan for this vaccine	Rota	160	44	NO	PCV	93	63	YES	Penta	87	56	YES	OPV	75	53	YES	Measles	109	48	NO	IPV	83	43	NO
Vaccine	Stock balance (start of supply period, doses)	Stock balance (end of supply period, doses)	Stocked According to Plan for this vaccine																										
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Name	Stocked According to Plan				
	<p>The health facility would be considered not Stocked According to Plan, since not all products were stocked within minimum and maximum stock levels.</p> <p>District A has seven health facilities that monitor Stocked According to Plan (SATP) at the end of each month. The district has quarterly resupplies, so the indicator is reported quarterly. Six tracer products are used to monitor stocked according to plan.</p>				
<p><b>Calculation</b></p> 		July	August	September	SATP in Q3
	HF 1	SATP	SATP	BELOW	NO
	HF 2	SATP	SATP	SATP	YES
	HF 3	ABOVE	ABOVE	ABOVE	NO
	HF 4	ABOVE	SATP	SATP	NO
	HF 5	SATP	SATP	SATP	YES
	HF 6	SATP	SATP	BELOW	NO
	HF 7	SATP	SATP	SATP	NO
	SATP (monthly)	71%	86%	57%	29%
	<p>% health facilities SATP (July) = <math>5/7 = 71\%</math></p>				
	<p>% health facilities SATP (Q3) = <math>2/7 \times 100 = 29\%</math></p>				
	<p>The monthly calculations show that by the end of the resupply period, health facilities that were Stocked According to Plan in the beginning of the resupply period (right after supplies were received) reach below the minimum stock levels for one or more of the tracer products by the end of the resupply period. Overall for the quarterly resupply period, only 29% of health facilities were Stocked According to Plan.</p>				

**Name**      **Stocked According to Plan**

The stock balances in stores can be visualized in charts, such as the two below. For easier interpretation of the SATP visualization, the minimum and maximum stock levels are included in the graphs. In health facilities, a simple graph can be used to track the stock levels, whereas in the national store, a sophisticated graph that predicts the future stock levels based on consumption can be used. Either graph is equally useful if drawn manually on a paper chart.

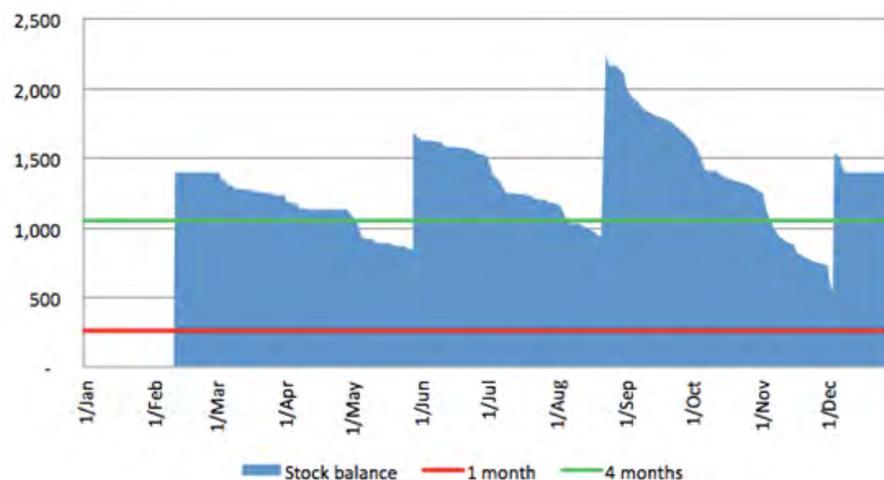
Visibility for Vaccines (ViVa) prototype, UNICEF



**Visualization and interpretation**



Stock Management Tool (SMT, Excel version)

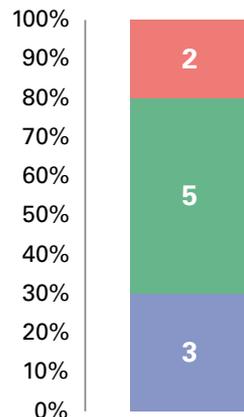


The above chart indicates that stock levels exceed the maximum level after each delivery, but then return to Stocked According to Plan during the resupply cycle. There is therefore minimum risk of expiries, but delivered quantities could be reduced and delivered to other facilities if resources were limited.

**Name**      **Stocked According to Plan**

When considering the aggregated reporting, a stacked bar chart or a table could be used. The stacked bar chart shows the number of health facilities in a district that are Stocked According to Plan (green) and above (red) or below (blue) the recommended stock levels.

Number of facilities SATP, May 2015



**Visualization and interpretation**



The table shows the percentages of health facilities Stocked According to Plan and the stock balances of the recently introduced IPV vaccine. A table is also recommended for use at the national level. To keep the table simple to read, stock balances for only a limited number of vaccines (e.g., vaccines currently being introduced) are included.

	<b>SATP (resupply period)</b>	<b>IPV stock balance (doses)</b>
HF 1	YES	15
HF 2	YES	16
HF 3	NO	43
HF 4	YES	21
HF 5	NO	8
HF 6	YES	16

Name	Stocked According to Plan
<p><b>Potential corrective actions</b></p> 	<ul style="list-style-type: none"> <li>» Verify stock level excursions outside of the Stocked According to Plan interval.</li> <li>» Perform root cause analysis to identify the reasons for under- or oversupply. Analysis should account for the time of measurement relative to stock receipt (i.e., stock levels should be at or slightly exceed the maximum upon stock receipt and decrease over time).</li> <li>» Prioritize actions for critical or problematic products and/or locations with low Stocked According to Plan percentages.</li> <li>» Review and revise inventory and distribution policies including minimum and maximum levels.</li> </ul>
<p><b>Related indicators</b></p> 	<ul style="list-style-type: none"> <li>» Full Availability</li> <li>» Functional Status of Cold Chain Equipment</li> <li>» On-Time and In-Full Delivery</li> <li>» Closed Vial Wastage</li> <li>» Cold Chain Capacity Utilization</li> </ul>



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# Temperature Alarm Rate

Used as a proxy for measuring vaccine potency and safety. Exposure to temperatures outside this range indicates a risk of heat or freeze damage to sensitive vaccines.

The following questions can be answered by monitoring this indicator:

- » Is there risk of heat exposure to vaccines?
- » Is there risk of freeze damage to vaccines?
- » Is cold chain equipment functioning properly?
- » Which CCE devices are in need of repair or replacement?

Name	Temperature Alarm Rate
<b>Definition</b> 	Number of times the temperature inside cold chain equipment (CCE) exceeds or drops below a reference range. The indicator is applicable where vaccines are stored and during transportation. CCE is defined as all refrigerators, freezers, passive storage devices, and walk-in cold rooms and freezer rooms designated for storing vaccines.
<b>Performance objective</b> 	Potency
<b>Domain</b> 	Cold chain management
<b>Full indicator name(s)</b> 	<ul style="list-style-type: none"> <li>» Rate of heat and cold alarms per monitoring period (e.g., per month)</li> <li>» Number of CCE devices with more than a certain number of temperature alarms during a monitoring period</li> </ul>
<b>Dashboard use level</b> 	This indicator is recommended in dashboards used by sub-national and national managers. Visual monitoring of temperature (i.e., through monitoring of 30-day temperature recorders and/or thermometers) is recommended in health facilities and stores.

Name	Temperature Alarm Rate
<p><b>Pre-conditions</b></p> 	<p>The indicator is relevant for all types of immunization supply systems, for all locations where immunization products are stored. A mechanism for routinely measuring and recording temperature is needed in each device designated for storing vaccines.</p>
<p><b>System design</b></p> 	<p>Relevant in all types of logistics systems.</p>
<p><b>Data needed</b></p> 	<p>Continuous or point-in-time temperature readings recorded over a time period. Continuous temperature monitoring is highly preferred, since it allows greater accuracy in detecting temperature fluctuations. For primary and sub-national stores, programmable electronic temperature and event logger systems are the best option. In smaller stores and health facilities, 30-day electronic temperature records with a stem thermometer as a backup are considered best practice. A stem thermometer alone only indicates the temperature at the time a reading is taken, which is no more than 14 times per week. A 30-day temperature logger takes at least a thousand readings per week.<sup>2</sup></p> <p>The number of excursions, or alarms outside the designated temperature ranges, is needed. Alarm thresholds are set by WHO:</p> <ul style="list-style-type: none"> <li>» An <b>excursion</b> is defined as any event during which the temperature inside the cold chain equipment goes below 2° C or above 8° C.</li> <li>» A <b>high temperature alarm</b> is defined as any event during which the temperature goes above 8° C for 10 continuous hours.</li> <li>» A <b>low temperature alarm</b> is defined as any event during which the temperature goes below –0.5° C for one hour.</li> </ul>

<sup>2</sup> World Health Organization, *How to Monitor Temperatures in the Vaccine Supply Chain: WHO vaccine management handbook – Module VMH-E2-01.1*, WHO, Geneva, 2015, <[http://apps.who.int/iris/bitstream/10665/183583/1/WHO\\_IVB\\_15.04\\_eng.pdf?ua=1](http://apps.who.int/iris/bitstream/10665/183583/1/WHO_IVB_15.04_eng.pdf?ua=1)>, accessed 7 November 2015.

Name	Temperature Alarm Rate
<p><b>Data needed</b></p> 	<p>For locations measuring and recording temperatures manually twice daily, alarms may be difficult or impossible to record. Record any excursion outside the range of 2°C to 8°C for refrigerators and –15°C to –25°C for freezers. A point-in-time ‘temperature in range’ indicator may be used instead. However, a point-in-time temperature reading within temperature range does not provide any indication about temperature excursions that may have occurred at other times throughout the day when the temperature was not being recorded (e.g., a cold exposure overnight, when ambient temperatures dropped). Note that WHO no longer recommends stem thermometers and point-in-time recording of temperature as the primary means to monitor temperature in cold chain equipment.<sup>3</sup></p>
<p><b>Data sources</b></p> 	<ul style="list-style-type: none"> <li>» Continuous temperature recording devices, including 30-day temperature recorders. Wherever possible, temperatures should be recorded automatically.</li> <li>» High/low temperature alarms (built into CCE or temperature monitoring devices)</li> <li>» Proof of delivery (POD) for measuring temperature during transit if a temperature recording device is included</li> </ul>
<p><b>Calculation</b></p> 	<div style="background-color: #e0f2f7; padding: 10px; margin-bottom: 10px;"> <math display="block">\text{Temperature alarm rate} = \frac{\text{\# of high and low temperature alarms per reporting period}}{\text{reporting period}}</math> </div> <p>This indicator can also be calculated using the number of CCE devices with more than a set threshold of temperature alarms in a given period.</p> <p>It can be further broken down by reasons for alarms (if known) or into ‘resolved’ and ‘unresolved’ alarms. That is, an alarm due to a resolved power outage would not be treated the same as an alarm due to mechanical problems. The alarm rate can also be disaggregated by facility, by device or by device type (make, model, energy source, etc.) to monitor performance.</p>

**3** World Health Organization, ‘The Vaccine Cold Chain’, Module 2 in *Immunization in Practice*, WHO, Geneva, p. 22, <[www.who.int/entity/immunization/documents/iip2014mod2aug4.docx?ua=1](http://www.who.int/entity/immunization/documents/iip2014mod2aug4.docx?ua=1)>, accessed 7 November 2015.

Name	Temperature Alarm Rate																																		
<p data-bbox="261 725 421 757"><b>Calculation</b></p> 	<p data-bbox="477 286 624 318"><b>Examples</b></p> <p data-bbox="477 327 1342 465">A facility has one ice-lined refrigerator with a 30-day temperature logger. During a supervisory visit, the temperature data is downloaded, and the following temperature alarms are noted:</p> <table border="1" data-bbox="477 495 1342 757"> <tr> <td>Date: April 3</td> <td>Date: April 29</td> </tr> <tr> <td>Time: 04:15</td> <td>Time: 16:34</td> </tr> <tr> <td>Temp: -1.2</td> <td>Temp: 12.3</td> </tr> <tr> <td>Alarm: COLD</td> <td>Alarm: HEAT</td> </tr> <tr> <td>Duration: 1h 24min</td> <td>Duration: 14h 06min</td> </tr> </table> <p data-bbox="477 817 1337 996">This facility had an alarm rate of 2 alarms per month during the month of April. Alternatively, heat and cold alarms can be reported separately, with an alarm rate of 1 alarm per month for each. If the cause of alarms is known, this indicator can be further disaggregated (e.g., 1 heat alarm due to power outage).</p> <p data-bbox="477 1025 1318 1164">In a district comprising 40 health facilities, each of which is using 30-day temperature recorders, there were a total of 16 alarms during the past month; 4 high temperature alarms and 12 low temperature alarms.</p> <p data-bbox="477 1193 1310 1296">The rate is reported as 4 high temperature alarms per month and 12 low temperature alarms per month or 16 temperature alarms per month.</p>	Date: April 3	Date: April 29	Time: 04:15	Time: 16:34	Temp: -1.2	Temp: 12.3	Alarm: COLD	Alarm: HEAT	Duration: 1h 24min	Duration: 14h 06min																								
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Duration: 1h 24min	Duration: 14h 06min																																		
<p data-bbox="245 1509 437 1612"><b>Visualization and interpretation</b></p> 	<p data-bbox="477 1361 1331 1433">Continuous temperature recording devices can provide tabular readouts of temperature data, including alarms.</p> <table border="1" data-bbox="477 1453 1347 1843"> <thead> <tr> <th rowspan="2">Date</th> <th rowspan="2">Average temperature</th> <th colspan="4">Lower alarm limit</th> </tr> <tr> <th>Status</th> <th>Minimum temperature</th> <th>Duration out of range</th> <th>Alarm trigger time</th> </tr> </thead> <tbody> <tr> <td>02.12.2014</td> <td>+ 4.5 C</td> <td>ok</td> <td>+ 4.1 C</td> <td></td> <td></td> </tr> <tr> <td>02.12.2014</td> <td>+ 4.3 C</td> <td>ok</td> <td>+ 4.0 C</td> <td></td> <td></td> </tr> <tr> <td>30.11.2014</td> <td>+2.2 C</td> <td>ALARM!</td> <td>-1.5 C</td> <td>2h 30min</td> <td>05:05</td> </tr> <tr> <td>29.11.2014</td> <td>+3.4 C</td> <td>ok</td> <td>+2.5 C</td> <td></td> <td></td> </tr> </tbody> </table>	Date	Average temperature	Lower alarm limit				Status	Minimum temperature	Duration out of range	Alarm trigger time	02.12.2014	+ 4.5 C	ok	+ 4.1 C			02.12.2014	+ 4.3 C	ok	+ 4.0 C			30.11.2014	+2.2 C	ALARM!	-1.5 C	2h 30min	05:05	29.11.2014	+3.4 C	ok	+2.5 C		
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**Name**      **Temperature Alarm Rate**

Across facilities, the alarm rate can be displayed in a colour-coded table to highlight facilities with frequent temperature excursions. These may be targeted for repair or replacement of CCE or for additional training on vaccine management.

Facility	Alarm rate – June	Alarm rate – July	Alarm rate – August
Health facility A	0	1	0
Health facility B	0	0	0
Health facility C	4	3	5
Health facility D	1	0	0

Listing or visualizing only poorly performing fridges or facilities can allow for easier prioritization of facilities that need immediate attention.

**Visualization and interpretation**



The overview below shows the alarms for six CCE devices over a month (January). The graph highlights the high and low temperature alarms and the percentage of time that the CCE was within the recommended temperature range during the month. It provides a quick overview of CCE performance. This is an advanced type of visualization that requires continuous temperature monitoring.

**Monthly Temperature Summary**

Monthly Summary   Weekly Summary   Clinic Summary ▾   Equipment Status   Equipment Inventory   Power Profile   ColdTrace Status

DemoDeployment   January   2014   < 2C   Update

Deployment   Month   Year   Cold Threshold

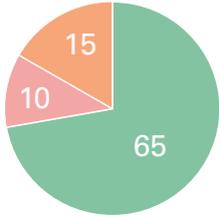
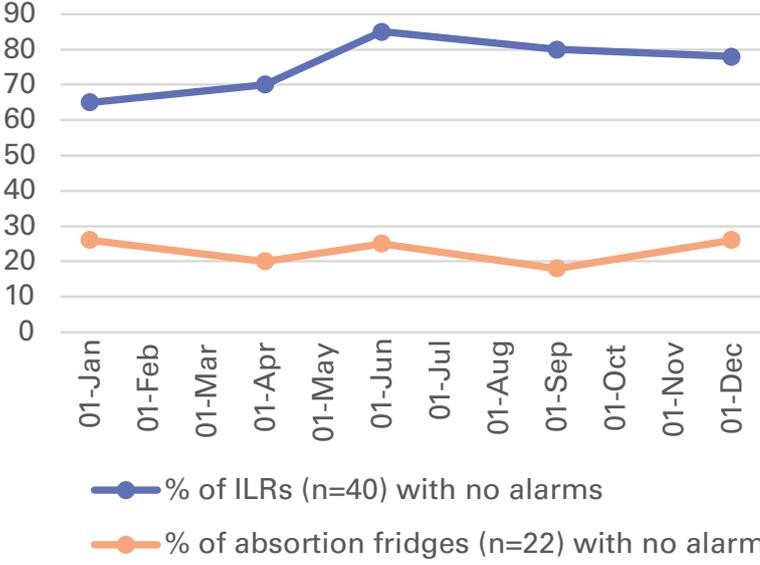


2014

Equipment	Location	% of Readings				Alarms	
Name	Facility	Between -2 and +6°C	< -2°C	> +6°C	FAB Error	Cold	Hot
coldstorage	DemoClinic7	90%	7%	2%	1%	2	1
fridge2	DemoClinic2	60%	14%	2%	18%	8	3
vaccines	DemoClinic6	47%	33%	15%	3%	10	5
Haier4	DemoClinic3	45%	47%	8%	0%	9	0
dome6c	DemoClinic5	43%	56%	1%	0%	12	1
Dome6ct	DemoClinic1	2%	90%	8%	0%	7	0
Equipment Totals		49%	41%	3%	7%	48	10

Visualization credit: Project Optimize

Nexleaf dashboard snapshot, based on Project Optimize Excel tools.

Name	Temperature Alarm Rate
<p data-bbox="240 929 438 1032"><b>Visualization and interpretation</b></p> 	<p data-bbox="475 275 1329 421">There are simpler ways to visualize CCE with and without alarms. Across multiple health facilities in a district, the percentage of CCE with or without alarms can be displayed as a pie chart and trends can be tracked using a line graph.</p> <p data-bbox="576 450 1246 488"><b>Number of CCE with alarms last month</b></p>  <p data-bbox="667 824 1198 904"> <span style="color: green;">■</span> No Alarms    <span style="color: pink;">■</span> High Temperature Alarms  <span style="color: orange;">■</span> Low Temperature Alarms         </p>  <p data-bbox="580 1451 1270 1541"> <span style="color: blue;">●</span> % of ILRs (n=40) with no alarms  <span style="color: orange;">●</span> % of absorption fridges (n=22) with no alarms         </p> <p data-bbox="475 1576 1345 1794">Further disaggregation by location where the alarms are taking place, as well as considerations related to the value of vaccines at risk should be taken into account. For instance, more urgent action might be needed for a walk-in cold room storing thousands of doses of vaccines than for a single refrigerator at health facility level.</p>



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Name	Temperature Alarm Rate
<p><b>Potential corrective actions</b></p> 	<ul style="list-style-type: none"> <li>» Ensure that facilities follow standard operating procedures through supportive supervision. For instance, facility staff should remove vaccines from CCE not maintaining temperature within recommended ranges in accordance with contingency plans and should discard vaccines that have VVM stage 3 or 4 and vaccines that fail the shake test.</li> <li>» Determine cause of equipment dysfunction; solicit repair or replacement of non-functional equipment.</li> <li>» Ensure that contingency plans are in place for all facilities.</li> <li>» Perform regular routine maintenance of all CCE to prevent future breakdown.</li> <li>» Train facility staff to improve inventory management practices.</li> <li>» Use temperature alarm profiles of various types and models of CCE to inform procurement.</li> <li>» Use temperature alarm profiles to plan for repair and replacement of CCE.</li> </ul>
<p><b>Related indicators</b></p> 	<ul style="list-style-type: none"> <li>» Cold Chain Equipment Functioning</li> <li>» Number of Maintenance Visits, Requests and Repairs</li> <li>» Cold Chain Equipment Uptime</li> <li>» Cold Chain Capacity Utilization</li> <li>» Mean Time to Repair Cold Chain Equipment</li> <li>» CC Energy Source Report</li> <li>» Number or % of Vaccines Discarded Due to Heat Exposure or Freeze Damage</li> </ul>

# Data for Immunization Supply Chain (DISC) Indicators: Indicator Reference Sheets

