

# Good Participatory Practices for vaccine clinical trials

Nelson L. Michael, M.D., Ph.D.  
Colonel, Medical Corps, U.S. Army  
Military HIV Research Program  
Walter Reed Army Institute of Research

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GVIRF, JNB, RSA



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*The views expressed are those of the authors and should not be construed to represent the positions of the U.S. Army or the Department of Defense.*

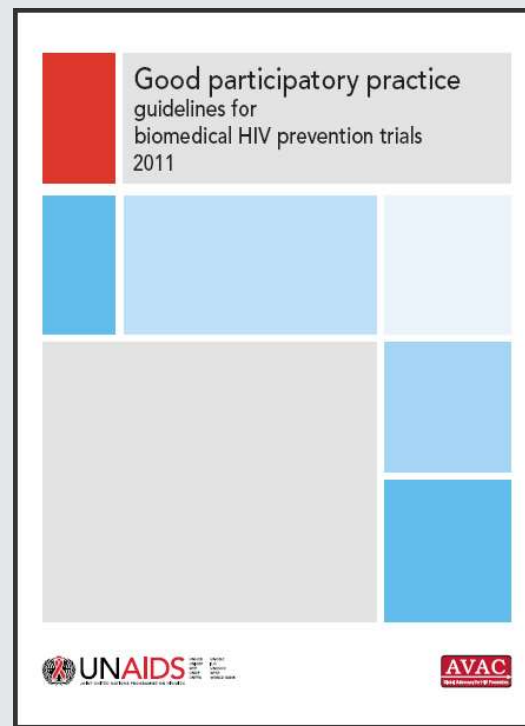
# AVAC

Global Advocacy for HIV Prevention

## Good Participatory Practice Guidelines: Implications for research sponsors

Mitchell Warren  
*Executive Director*

Stacey Hannah  
*Sr Program Manager*



# Objective of the GPP guidelines

The Good Participatory Practice (GPP) guidelines for biomedical HIV prevention trials:

Set global **standard practices** for stakeholder engagement. They provide trial funders, sponsors, and implementers with **systematic guidance** on **how** to effectively engage with stakeholders in the design and conduct of biomedical HIV prevention trials.

# GPP: Where the story began

PrEP research controversy:  
2004 – Cambodia trial not  
initiated

2005 – Cameroon and Nigeria  
trials discontinued



# GPP History

2004

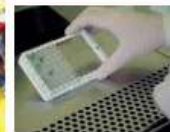
PrEP Controversies

2007

GPP launched



Good participatory practice guidelines for biomedical HIV prevention trials

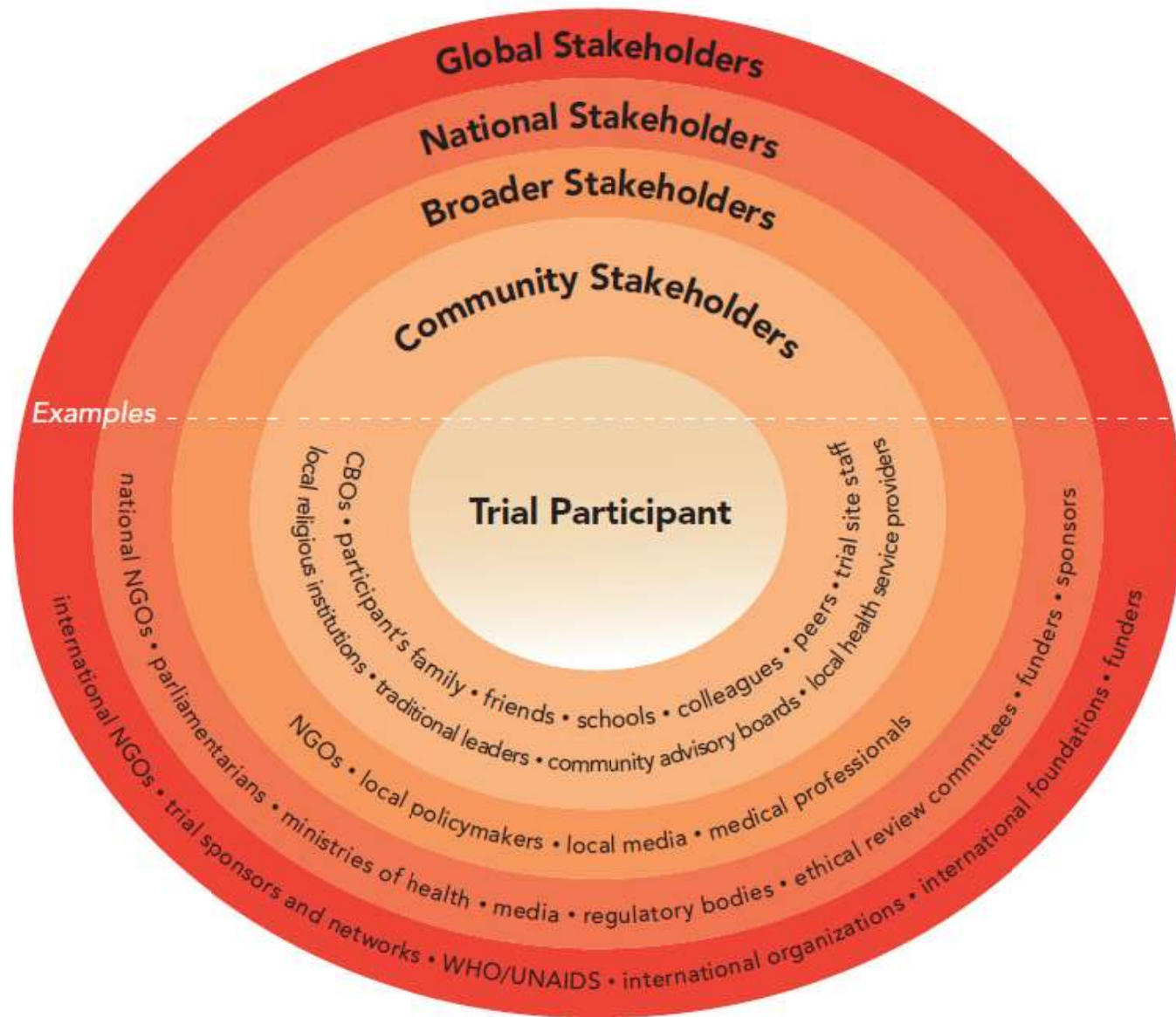


# Guidelines development

- Recognized need for effective partnerships between **research teams** and **stakeholders**
- Other aspects of clinical trial conduct are informed by guidelines; stakeholder engagement should be, too
- 1<sup>st</sup> edition (2007) – developed by international, multidisciplinary working group, with global input from stakeholders
- 2<sup>nd</sup> edition (2011) – developed after feedback from global consultations and piloting



# Consideration of Stakeholders





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**GPP is woven into the lifecycle of a trial**

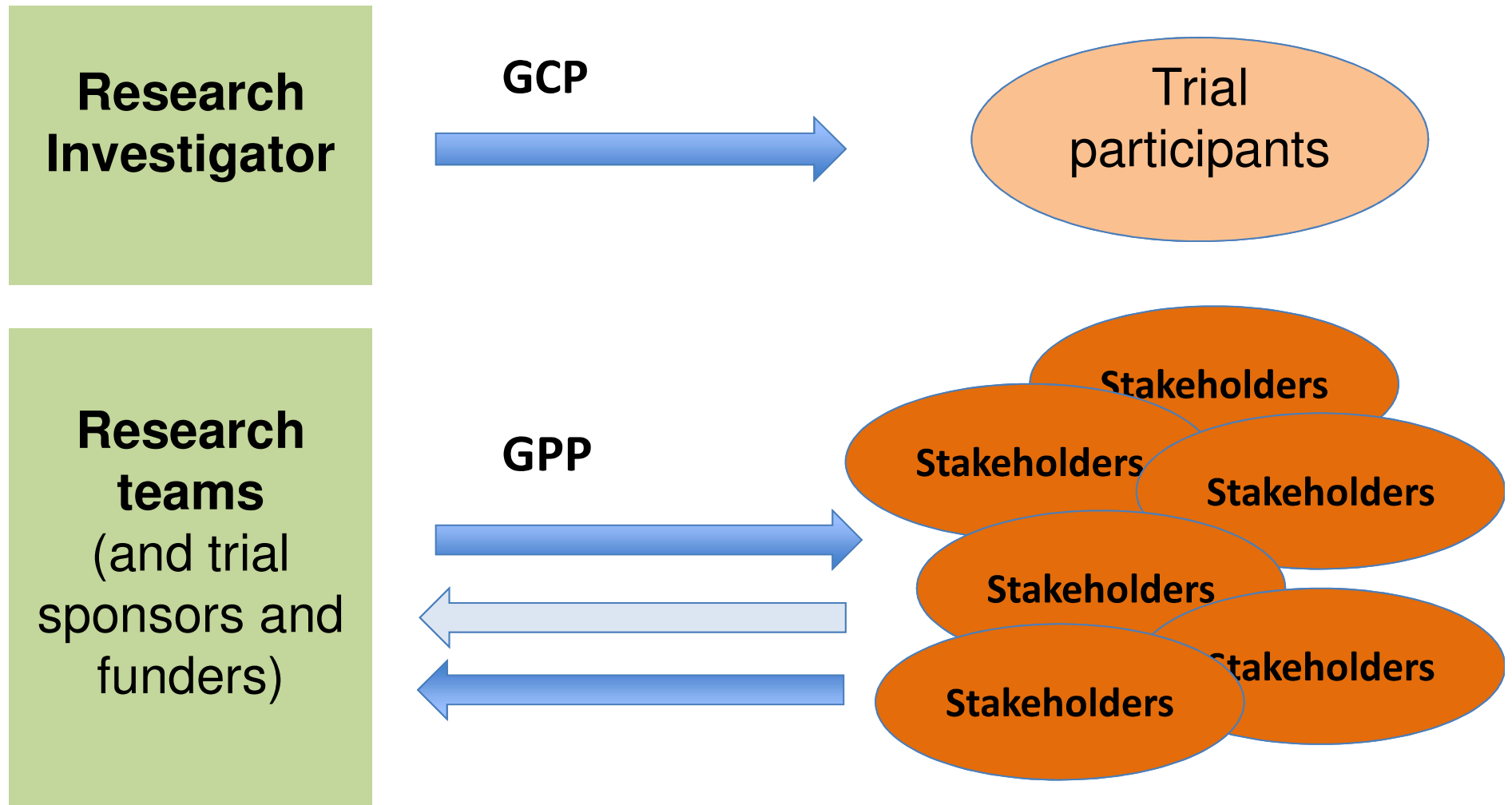


# What is GPP *Not*?

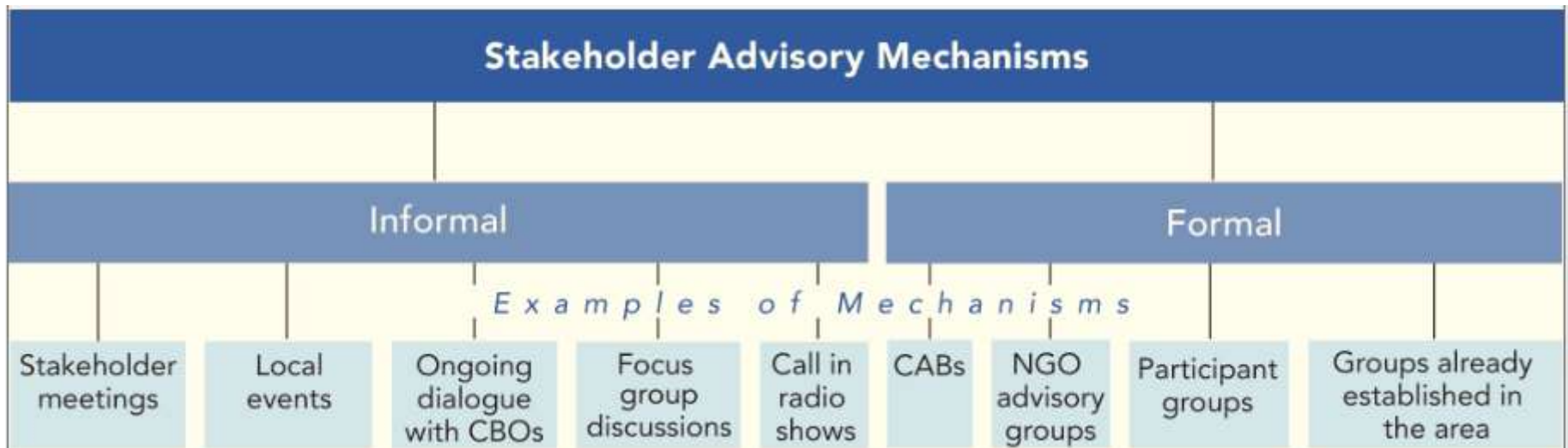
- Not recruitment
- Not retention
- Not a CAB
- Not participant-trial site interactions
- Not about a single trial
- Not a “nice to have”
- Not **GCP**, but..
- **It IS core to the research and development process**

# Did You Say GCP?

**GCP ≠ GPP**



# Not Just a CAB



# Implementation Globally



- National GPP plan and N-CAB in Thailand
- National CAB Forums, incorporation into ethics review processes in South Africa
- Incorporation into ethics guidelines in Uganda

- Adaptation to other fields, e.g., TB, Ebola
- “Global” GPP/CE Forum, Q3 2015
- Endorsement by Presidential Bioethics Commission
- Global consultations, e.g., proposed ECHO trial, MTN-017
- Stakeholder Engagement CoP

- GPP training, tools for sites
- FACTS 001
- iPrEX
- ASPIRE – results prep
- IAVI partner research centers

# GPP in Publication

## The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

DECEMBER 30, 2010

VOL. 363 NO. 27

### Preexposure Chemoprophylaxis for HIV Prevention in Men Who Have Sex with Men

Robert M. Grant, M.D., M.P.H., Javier R. Lama, M.D., M.P.H., Peter L. Anderson, Pharm.D., Vanessa McMahan, B.S., Albert Y. Liu, M.D., M.P.H., Lorena Vargas, Pedro Goicochea, M.Sc., Martín Casapia, M.D., M.P.H., Juan Vicente Guanira-Carranza, M.D., M.P.H., Maria E. Ramirez-Cardich, M.D., Orlando Montoya-Herrera, M.Sc., Telmo Fernández, M.D., Valdilea G. Veloso, M.D., Ph.D., Susan P. Buchbinder, M.D., Suwat Charayaertsak, M.D., Dr.P.H., Mauro Schechter, M.D., Ph.D., Linda-Gail Bekker, M.B., Ch.B., Ph.D., Kenneth H. Mayer, M.D., Esper Georges Kallás, M.D., Ph.D., K. Rivet Amico, Ph.D., Kathleen Mulligan, Ph.D., Lane R. Bushman, B.Chem., Robert J. Hance, A.A., Carmela Ganoza, M.D., Patricia Defechereux, Ph.D., Brian Postle, B.S., Furong Wang, M.D., J. Jeff McConnell, M.A., Jia-Hua Zheng, Ph.D., Jeanny Lee, B.S., James F. Rooney, M.D., Howard S. Jaffe, M.D., Ana I. Martinez, R.Ph., David N. Burns, M.D., M.P.H., and David V. Glidden, Ph.D., for the iPrEx Study Team\*

### DEBATE

Op

### Evaluating community engagement in global health research: the need for metrics

Kathleen M. MacQueen<sup>1\*</sup>, Anant Bhan<sup>2</sup>, Janet Frohlich<sup>3</sup>, Jessica Holzer<sup>4</sup>, Jeremy Sugarman<sup>5</sup>  
and the Ethics Working Group of the HIV Prevention Trials Network

### Lessons Drawn From Recent HIV Vaccine Efficacy Trials

Jonathan D. Fuchs, MD, MPH,\*† Magda E. Sobieszczyk, MD, MPH,§ Scott M. Hammer, MD,§  
and Susan P. Buchbinder, MD\*†‡

### Open Access Journal of Clinical Trials

 Open Access Full Text Article

Implementing good participatory practice  
guidelines in the FEM-PrEP Preexposure  
Prophylaxis Trial for HIV Prevention among African  
Women: a focus on local stakeholder involvement



### NIH Public Access

#### Author Manuscript

*East J Med.* Author manuscript; available in PMC 2013 June 25.

Published in final edited form as:  
*East J Med.* 2011 ; 16(2): 168–177.

### Engaging community to support HIV prevention research

Seema Sahay and Sanjay Mehendale

# GPP Guidelines Structure

## Section 1: The importance of Good Participatory Practice

### **The importance of Good Participatory Practice**

defines the key terms used in the document and describes the realities of and the underlying determinants of the HIV epidemic, the context of conducting biomedical HIV prevention trials, and why a participatory approach is necessary to effectively conduct trials.

## Section 2: Guiding Principles of GPP in Biomedical HIV Prevention Trials

### **Guiding Principles of GPP in Biomedical HIV Prevention Trials**

outlines the set of principles that serve as the foundation of the relationships among trial funders, sponsors, and implementers and other stakeholders.

## Section 3: Good Participatory Practices in Biomedical HIV Prevention Trials

### **Good Participatory Practices in Biomedical HIV Prevention Trials**

describes optimal practices for trial funders, sponsors, and implementers to follow when designing, conducting, and concluding biomedical HIV prevention trials. Under 16 topic areas, this section outlines expected stakeholder engagement activities that take place at each stage of the research life-cycle.



**Section 1:**  
The Importance of Good Participatory Practice

Who are Stakeholders?

What is Stakeholder Engagement?

The Wider Context of HIV

The Dynamics of Biomedical HIV Prevention Trials

Rationale for GPP Guidelines

Applying GPP

**Section 2:**  
Guiding Principles of GPP in Biomedical HIV Prevention Trials

Respect

Mutual Understanding

Scientific and Ethical Integrity

Transparency

Accountability

Community Autonomy

**Section 3:**  
Good Participatory Practices in Biomedical HIV Prevention Trials

Formative Research Activities

Stakeholder Advisory Mechanisms

Stakeholder Engagement Plan

Community Education Plan

Communications Plan

Issues Management Plan

Site Selection

Protocol Development

Informed Consent Process

Standard of HIV Prevention

Access to HIV Care and Treatment

Non-HIV-Related Care

Policies on Research-Related Harms

Trial Accrual, Follow-Up and Exit

Trial Closure and Results Dissemination

Post-trial Access to Trial Products or Procedures

# Implementation tools

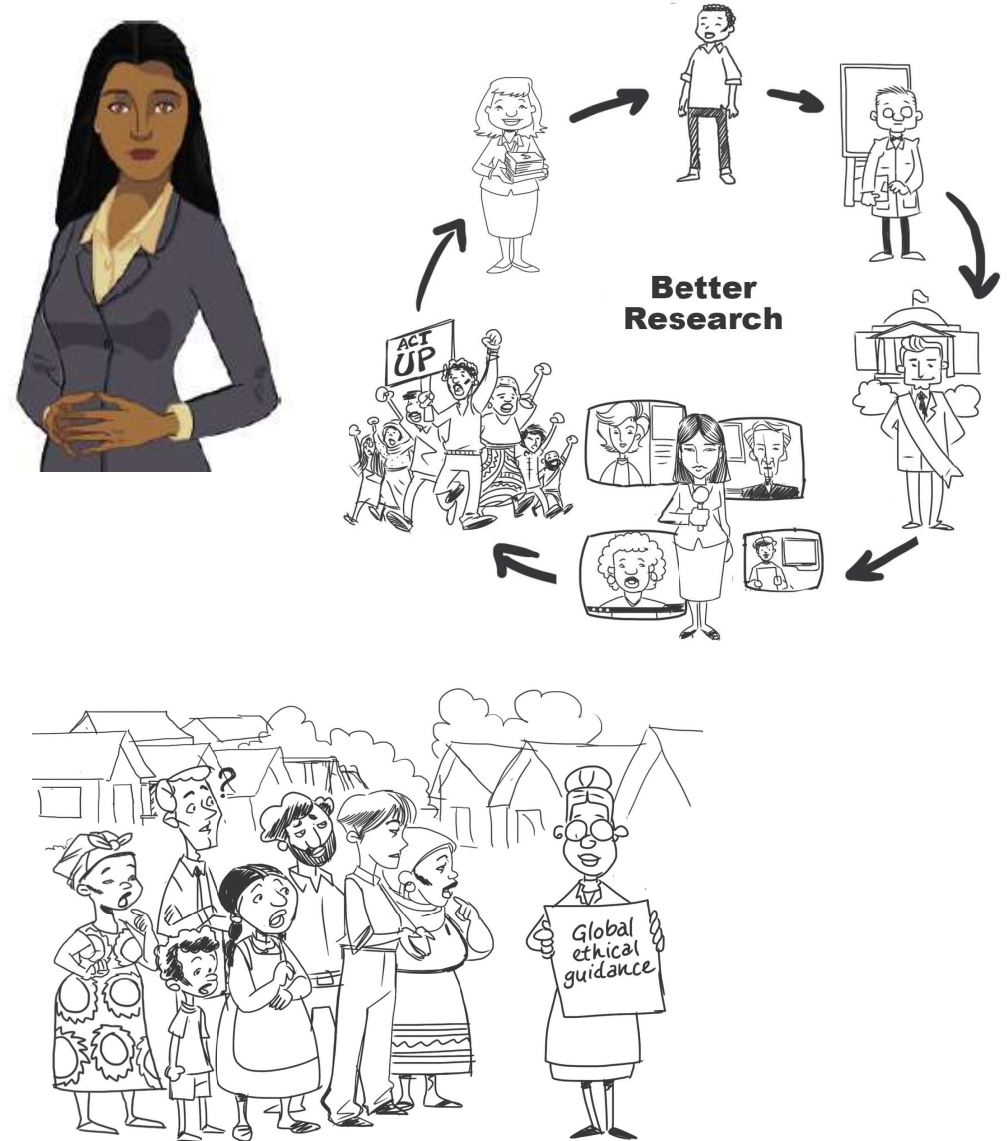
- GPP Blueprint
- Trial site binder/file
- Planning templates
- Assessment toolkit



7. Standard of HIV Prevention	
A. Was your organization contacted by the trial site to discuss the HIV prevention package that will be offered to trial participants?	Yes <input type="checkbox"/> No <input type="checkbox"/> Comments <input type="checkbox"/>
Comments:	
B. Did you have the opportunity to discuss and negotiate the components of the HIV prevention package?	Yes <input type="checkbox"/> No <input type="checkbox"/> Don't know <input type="checkbox"/> Comments <input type="checkbox"/>
Comments:	
C. Were your opinions and recommendations ultimately incorporated into the trial site's planning and decision making about the HIV prevention package?	Yes <input type="checkbox"/> No <input type="checkbox"/> Don't know <input type="checkbox"/> Comments <input type="checkbox"/>
Comments:	


# Online training curriculum

- Designed for multiple audiences, adaptable
- Primary focus on trial implementers
- Aids in strategy, work plan development
- Interactive asynchronous content
- Moderated by GPP experts



# Monitoring and Evaluation Toolkit

- Set of tools for monitoring engagement activities
- Online database for data entry and standardized reporting
- Developed with TB Alliance, input of working group
- Piloted with multiple trial sites
- Introduced Sept 2014
- Official launch Q3 2015



This is a Community Stakeholder Engagement (CSE) Monitoring and Evaluation Software. It will be used for data entry, data analysis and reporting.

This is to be used by participating sites [More...](#)

[Getting started](#)



# Implementation: National



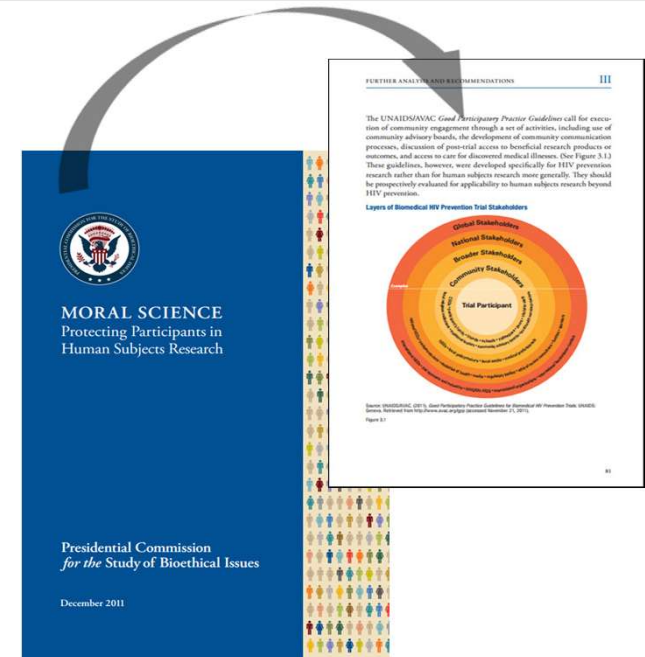
- Zambia – national stakeholder consultations
- Thailand – national awareness through site trainings

- Uganda – incorporating GPP into national ethics guidelines
- Training for IRB members



# Implementation: Global

- US Presidential Bioethics Commission recommendation, 2011
- Adapted version for TB drug trials, 2012; Ebola trials, 2015
- GPP Online Training Course
- Abstract driven session at HIV R4P conference, 2014
- Combined Community Engagement Forum, Sept 2015





# Summary

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- Good Participatory Practices, 2<sup>nd</sup> Edition have provided a key framework for ethical HIV prevention research
- GPP: inclusive, early, and durable stakeholder engagement
  - **GPP is woven into the entire lifecycle of a research effort**
- Future:
  - Need to generalize for other infectious diseases, especially those seen in outbreaks.
  - Applicability for non-communicable disease research?
  - Sufficient engagement of behavioral and social change research?

# Resources

- GPP for HIV Prevention: <http://www.avac.org/good-participatory-practice>
- Training & Implementation Tools: <http://www.avac.org/gpp-tools>
- Online Training Course: <http://www.avac.org/gpp-online-training-course>
- GPP for TB: <http://www.cptrinitiative.org/resources/gpp-tb-resource-document/>
- Stakeholder Engagement Toolkit: <http://www.avac.org/resource/stakeholder-engagement-toolkit-hiv-prevention-trials>