



**Global Vaccine and Immunization Research Forum**

# Vaccine Research and Development: Challenges and Opportunities


Anthony S. Fauci, M.D.  
 Director  
 National Institute of Allergy and Infectious Diseases  
 National Institutes of Health

March 4, 2014

- Decade of Vaccines
  - Historical Success
  - Future Directions
  - Challenges and Advances in Selected Areas
    - HIV
    - Influenza
    - RSV
    - Malaria
- AS Fauci/NIH

- Decade of Vaccines
  - Historical Success
  - Future Directions
  - Challenges and Advances in Selected Areas
    - HIV
    - Influenza
    - RSV
    - Malaria
- AS Fauci/NIH

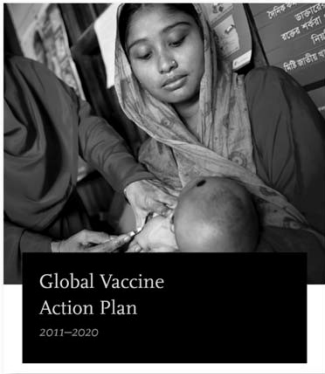



## DECADE of VACCINES

COLLABORATION






- **Purpose:** Discover, develop, and deliver vaccines globally in the next ten years through enhanced collaboration across the international community
- **Outcomes:**
  - Global Vaccine Action Plan (2011) – integration of research & development, delivery, global access, public & political support
  - Special supplement of Vaccine (2013) – case studies and future directions

AS Fauci/NIH






AS Fauci/NIH

### Goals of the Decade of Vaccines (2011-2020)

- Achieve a world free of poliomyelitis 
- Meet global and regional elimination targets 
- Meet vaccination coverage targets in every region, country and community 
- Develop and introduce new and improved vaccines and technologies 
- Exceed the Millennium Development Goal 4 target for reducing child mortality 

AS Fauci/NIH

### Goals of the Decade of Vaccines (2011-2020)

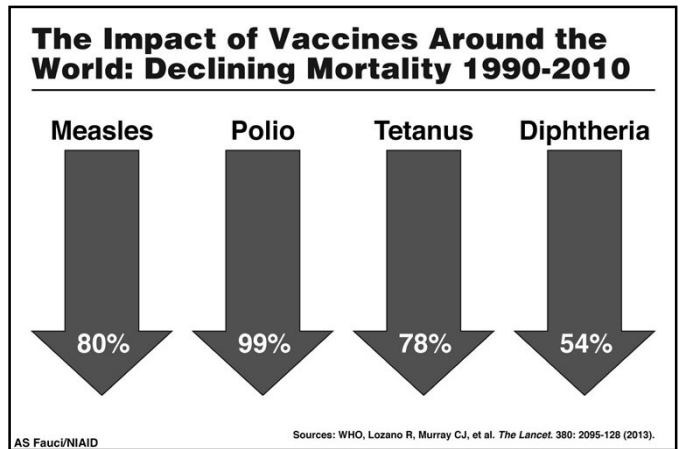
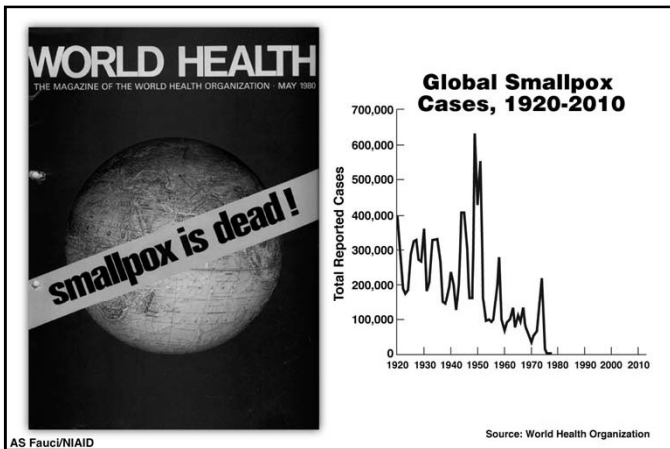
- Achieve a world free of poliomyelitis 
- Meet global and regional elimination targets 
- Meet vaccination coverage targets in every region, country and community 
- Develop and introduce new and improved vaccines and technologies 
- Exceed the Millennium Development Goal 4 target for reducing child mortality 

AS Fauci/NIAID

### Decade of Vaccines

- Historical Success
- Future Directions
- Challenges and Advances in Selected Areas
  - HIV
  - Influenza
  - RSV
  - Malaria


AS Fauci/NIAID



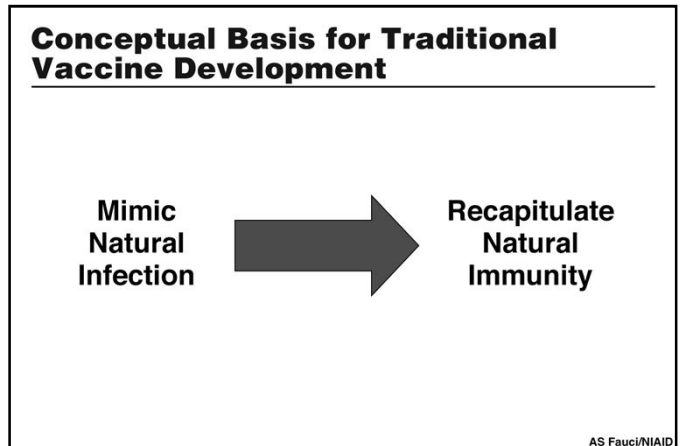
### Vaccine Implementation: The GAVI Experience (2000-2013)

From GAVI's Founding in 2000 to 2013:

- US \$8.4 billion committed to countries
- 440 million additional children immunized
- An estimated 6 million prevented deaths



AS Fauci/NIAID



- Decade of Vaccines
- Historical Success
- Future Directions
- Challenges and Advances in Selected Areas
  - HIV
  - Influenza
  - RSV
  - Malaria

AS Fauci/NIAID

### Future Directions in Vaccine Research

<b>Challenges:</b> <ul style="list-style-type: none"> <li>■ Inadequate immune response to natural infection (ex: HIV, Malaria)</li> <li>■ Strain Diversity (ex: influenza)</li> </ul>	<b>New Paradigm:</b> <ul style="list-style-type: none"> <li>■ Go beyond recapitulation of natural immunity</li> <li>■ Induce “unnatural immunity”</li> </ul>
---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	--------------------------------------------------------------------------------------------------------------------------------------------------------------

AS Fauci/NIAID

### 21st Century Vaccinology: Selected Scientific Tools

- Rapid genomic sequencing of relevant pathogens
- Reverse vaccinology – genetic expression of all possible immunogens
- Structure-based vaccine design – crystallography; Cryo-EM
- New vaccine platforms – ex: nanoparticles, vector expression
- B cell lineage vaccine design – single cell cloning of B cell repertoire; deep sequencing of B cell Ig genes
- Harnessing the innate immune system – effective adjuvants

AS Fauci/NIAID

- Decade of Vaccines
- Historical Success
- Future Directions
- Challenges and Advances in Selected Areas
  - HIV
  - Influenza
  - RSV
  - Malaria

AS Fauci/NIAID


- Decade of Vaccines
- Historical Success
- Future Directions
- Challenges and Advances in Selected Areas
  - HIV
  - Influenza
  - RSV
  - Malaria

AS Fauci/NIAID

### The Search for an HIV Vaccine - 27 Years and Counting

- First FDA-approved HIV vaccine clinical trial, 1987 - gp160 subunit candidate

*HHS News*


U.S. Department of Health and Human Services  [www.hhs.gov/news](http://www.hhs.gov/news)

FOR RELEASE  
Tuesday, August 18, 1987  
12 noon, EDT

Dr. Robert E. Windom, assistant secretary for health, today announced that the National Institute of Allergy and Infectious Diseases is beginning tests in human volunteers of an experimental vaccine against acquired immunodeficiency syndrome (AIDS). This is the first clinical study of an AIDS vaccine to be approved by the Food and Drug Administration and to be conducted in the United States.

AS Fauci/NIAID

**First Signal of Efficacy in an HIV Vaccine Clinical Trial**





**Vaccination with ALVAC and AIDSVAX to Prevent HIV-1 Infection in Thailand**

S Rerks-Ngarm, JH Kim, NL Michael et al. for the MOPH-TAVEG Investigators

AS Fauci/NIAID

**Modest (31%) Efficacy in RV144 Trial Correlates with Non-Neutralizing Antibodies to Epitopes in the V1-V2 Region of HIV Envelope**

**Immune-Correlates Analysis of an HIV-1 Vaccine Efficacy Trial**  
BF Haynes et al.

**Increased HIV-1 Vaccine Efficacy Against Viruses with Genetic Signatures in Env V2**  
M Rolland, JH Kim et al.

**Immunity**  
Volume 38, Issue 1, January 10, 2013

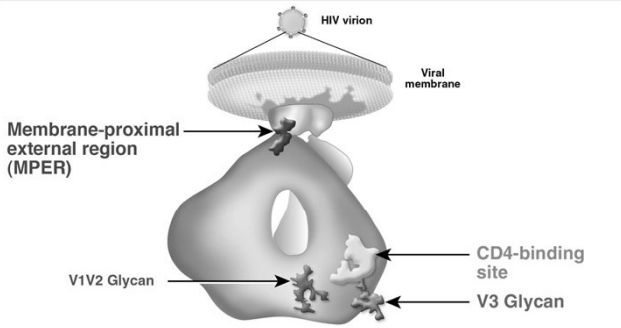
**Vaccine Induction of Antibodies Against a Structurally Heterogeneous Site of Immune Pressure Within HIV-1 Envelope Protein Variable Regions 1 and 2**  
HX Liao, BF Haynes et al.

AS Fauci/NIAID

**Broadly Neutralizing Antibodies**

AS Fauci/NIAID

**HIV Epitopes Targeted by Broadly Neutralizing Human Antibodies**



Adapted from Kwong and Mascola, *Immunity* 37:412, 2012.

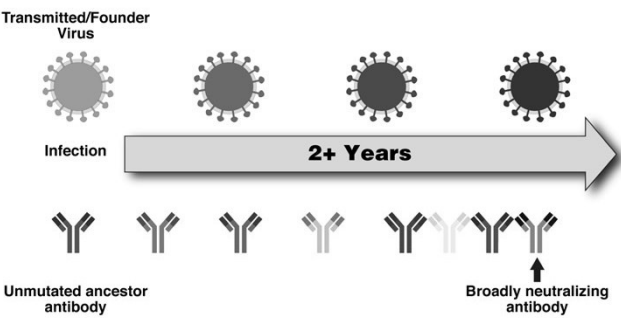
AS Fauci/NIAID

**Challenges to Developing an HIV Vaccine that Induces Broadly Neutralizing Antibodies (BNABs)**

- Conserved glycoprotein-rich regions on HIV envelope are often poorly immunogenic
- BNABs are elicited in a minority of HIV-infected individuals and only 2 years (or longer) after infection
- Most BNABs demonstrate a high degree of somatic mutation
- Certain BNABs have other unusual traits such as autoreactivity

AS Fauci/NIAID

**Co-Evolution of Virus and Antibody in an HIV-Infected Individual**



Source: H-X Liao, BF Haynes et al. *Nature* 496:469-76 (2013)

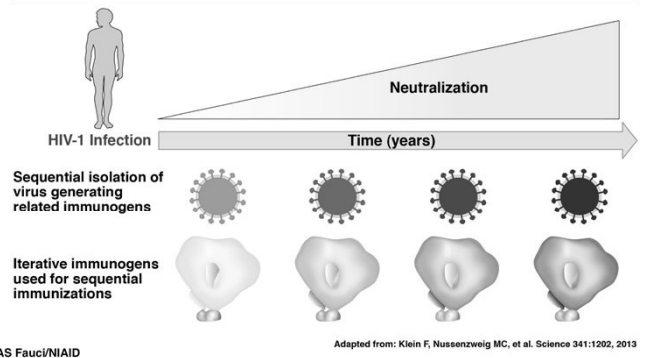
AS Fauci/NIAID

## The “Paradox” of the Evolution of Broadly Neutralizing Antibodies

As HIV evades the evolving HIV-specific antibodies, it ultimately stimulates broadly neutralizing antibodies

AS Fauci/NIAID

## Immunogen Design Mimicking Natural HIV Infection



- Decade of Vaccines
- Historical Success
- Future Directions
- Challenges and Advances in Selected Areas
  - HIV
  - Influenza
  - RSV
  - Malaria

AS Fauci/NIAID

## The Threat of Influenza

- Seasonal Influenza – annual burden:
  - USA
    - up to 49,000 deaths
    - more than 200,000 hospitalizations
    - \$27 billion in medical costs plus lost earnings
  - Global
    - 250,000 to 500,000 deaths
- Pandemic Influenza
  - 1918, 1957, 1968, and 2009
  - 1918 “Spanish Flu” pandemic caused 50 to 100 million deaths worldwide

AS Fauci/NIAID

## Issues Related to Influenza Vaccines

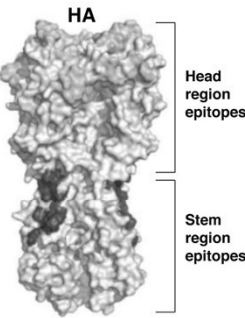
- Lack of life-long immunity following infection and/or vaccination
- Invariable “drift” of seasonal influenza strains requiring “timetable” approach to vaccine development
- Imprecision in predicting seasonal strain
- Cost (\$2-4 billion) to prepare seasonal influenza vaccines de novo each year
- Inability to stockpile vaccines for several years
- Potential for emergence of pandemic strain

AS Fauci/NIAID

The image shows the cover of the journal Nature Medicine, December 2010, Volume 16, No 12. The main article is titled 'Induction of Unnatural Immunity: Prospects for a Broadly Protective Universal Influenza Vaccine' by GJ Nabel and AS Fauci. Other articles mentioned on the cover include 'Recapitulating neoplasia in culture' and 'Multipotent progenitor cells from endothelial cells'. The cover also mentions 'From Avandia to zebrafish: A look back at 2010'.

AS Fauci/NIAID

### Generating Broadly Neutralizing Antibodies: Targeting the Stem

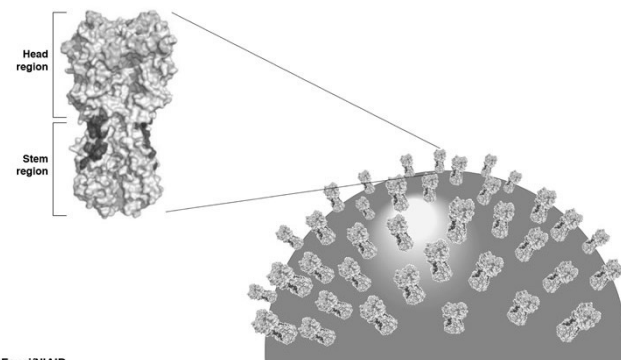


- Most antibodies bind to epitopes of highly variable head region
- Antibodies that neutralize multiple strains bind to a highly conserved region (red) in the stem region

Source: Doms, *Science* 329:1021, 2010

AS Fauci/NIAID

### Influenza A Hemagglutinin (HA)



AS Fauci/NIAID

THE LANCET Infectious Diseases  
Volume 11 December 2011

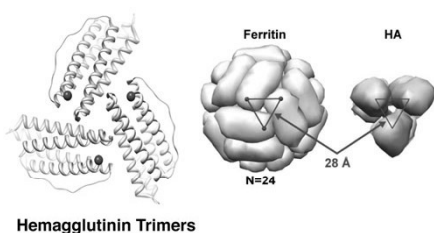
### DNA Priming and Influenza Vaccine Immunogenicity: Two Phase 1 Open Label Randomized Clinical Trials

J.E. Ledgerwood, G.J. Nabel, B.S. Graham, et al. and the VRC 306 Study Team

- Initial immunization with DNA vaccine boosts effectiveness of traditional influenza vaccine and could help prepare for future pandemics

AS Fauci/NIAID

### Generating a More Potent Immune Response Using Nanoparticles



- Ferritin self assembles into nanoparticles to which hemagglutinin can be affixed
- Offers the ability to show more antigen on stable platform

Source: Kanekiyo M, Wei CJ, et al. *Nature*. 499: 102-6 (2013).

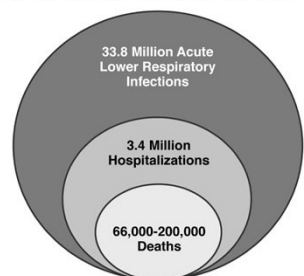
AS Fauci/NIAID

- Decade of Vaccines
- Historical Success
- Future Directions
- Challenges and Advances in Selected Areas
  - HIV
  - Influenza
  - RSV
  - Malaria

AS Fauci/NIAID

### RSV Causes Significant Global Mortality and Morbidity

#### Global Annual Burden of Disease



- Causes 6.7 percent of deaths in children aged 1 month-1 year
- Nearly 1/4 of children under age one hospitalized with RSV will develop asthma

Sources: Nair H, Nokes DJ, Gessner BD, et al. *Lancet*. 375: 1545 (2010). Régnier SA and Huels J. *The Pediatric Infectious Disease Journal*. 32: 823 (2013).

AS Fauci/NIAID

### Proof of Concept: Palivizumab


VOL. 102 NO. 3 September, 1998

## PEDIATRICS

OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

**Palivizumab, a Humanized Respiratory Syncytial Virus Monoclonal Antibody, Reduces Hospitalization from Respiratory Syncytial Virus Infection in High-Risk Infants**  
The IMpact-RSV Study Group


- Palivizumab is a monoclonal antibody vs. Fusion protein
- Used for periodic prophylaxis of severe RSV for premature infants
- Shown to reduce RSV hospitalizations by 82%



AS Fauci/NIAID

### Fusion Protein (F) is a Promising Antigen

- Part of the viral spike
- Required for RSV entry into cell
- Conserved across strains

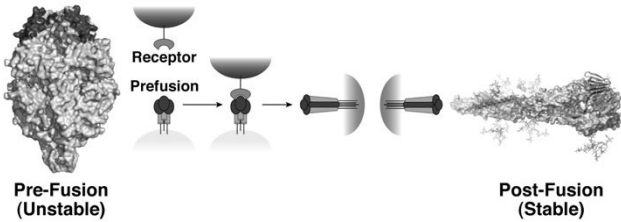


Envelope spike containing the F protein

EM of viral capsid

AS Fauci/NIAID

### F Protein Adopts Two Primary Conformations: Pre- and Post-Fusion

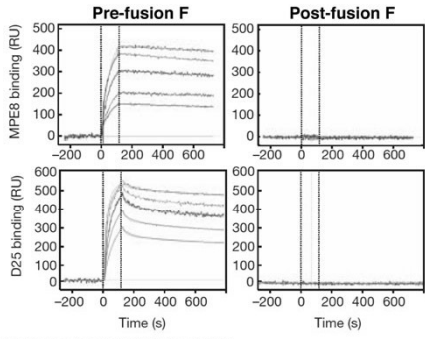


Receptor  
Prefusion  
Post-Fusion (Stable)

Pre-Fusion (Unstable)

AS Fauci/NIAID

### Broadly Neutralizing Antibodies Bind More Readily to the Pre-Fusion Form



Pre-fusion F Post-fusion F

MPE8 binding (RU)


D25 binding (RU)

Time (s)

Source: Corti D, Bianchi S, Minola A, et al. *Nature*. 501: 439-43 (2013).

AS Fauci/NIAID

### Pre-Fusion F Protein Stabilized Using Structure-Based Vaccine Design




Pre-Fusion F Protein Vaccine immunogen

Stabilization

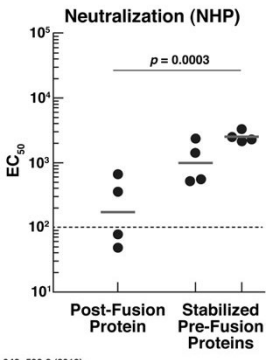
AS Fauci/NIAID

Source: McLellan JS, Chen M, Joyce MG, et al. *Science*. 342: 592-8 (2013).

### Neutralization in Non-human Primates



Neutralization (NHP)



EC<sub>50</sub>

Post-Fusion Protein Stabilized Pre-Fusion Proteins

$p = 0.0003$

AS Fauci/NIAID

Source: McLellan JS, Chen M, Joyce MG, et al. *Science*. 342: 592-8 (2013).

- Decade of Vaccines
- Historical Success
- Future Directions
- Challenges and Advances in Selected Areas
  - HIV
  - Influenza
  - RSV
  - Malaria

AS Fauci/NIAID

### The Global Burden of Malaria, 2012

- 627,000 malaria deaths, 90% in Africa
- 207 million malaria cases
- Ongoing transmission in 97 countries
  - Almost half the world's population is at risk
- Every 60 seconds a child <5 years old dies from malaria



Credit: S. Hollyman

Source: WHO, World Malaria Report 2013

AS Fauci/NIAID

### Vaccine for Malaria (and Other Parasitic Diseases): Unique Challenges

- Large eukaryotic genomes
- Complex life cycles
- Antigenic variations
- Lack of lifelong protection resulting from natural infection
- Immune evasive techniques
- Special consideration for formulation and delivery in developing nations

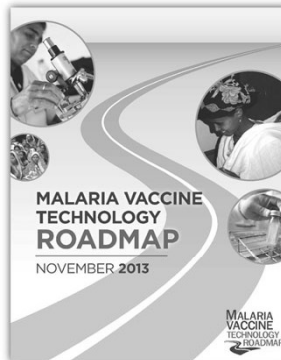
AS Fauci/NIAID

#### Vision:

“Safe, effective vaccines against *P. falciparum* and *P. vivax* that prevent disease, death and transmission to enable eradication”

#### Strategic Goals:

1. Vaccines with 75% efficacy against clinical malaria, readily deployed
2. Vaccines that reduce transmission of the parasite



AS Fauci/NIAID

### Malaria Vaccine Products in Clinical Trials, 2013

Phase 1a	Phase 2a	Phase 1b	Phase 2b	Phase 3	
ChAd63/MVA ME-TRAP + Matrix M™	Ad35 CS/RTS,S-AS01	ChAd63/MVA MSP 1	Ad35.CS	ChAd63/MVA ME-TRAP	RTS,S-AS01
Polyepitope DNA EP 1300	Ad35.CS/Ad26.CS	ChAd63/MVA AMA 1	AMA1-C1-Allyhydrogel+CPG 7909	MSP3 [181-276]	
PICeTOS FMP012	ChAd63/MVA (CS; ME-TRAP)	FMP2.1-AS01B (AMA1 3D7)	BSAM-2-Allyhydrogel+CPG 7909	GMZ2	
CSVAC	PFSPZ	NMRC.M3V.Ad.PICA	CSP,AMA1 (PEV 301, 302)		
ChAd63,AMA1/MVA,AMA1 + AV/CPG7909	PIGAP p52- / p32-	NMRC.M3V.D/Ad.PICA	EBA 175.R2		
SR11.1			SE36		
PI25-EPA					

NIAID supported concepts:  
 11/27 = 41%

*P. falciparum* vaccines:  
 ■ Pre-erythrocytic  
 ■ Blood-stage  
 ■ Transmission-blocking

□ DMID Support  
 □ DIR and/or VRC Support

AS Fauci/NIAID

Data source: [http://www.who.int/vaccine\\_research/links/Rainbow/en/index.html](http://www.who.int/vaccine_research/links/Rainbow/en/index.html)



### First Results of Phase 3 Trial of RTS,S/AS01 Malaria Vaccine in African Children

The RTS,S Clinical Trials Partnership

### Four-Year Efficacy of RTS,S/AS01E and Its Interaction with Malaria Exposure

A Olotu, P Bejon et al.

- Initial phase 3 trials in 5-17 month old infants showed safety, immunogenicity and 50% efficacy
- Protection waned over time. 4-year efficacy was 16.8%.

AS Fauci/NIAID

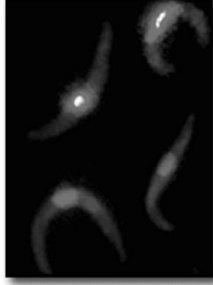


### Investigational Malaria Vaccine Found Safe and Protective in Early-Stage Clinical Trial



#### Protection Against Malaria by Intravenous Immunization with a Nonreplicating Sporozoite Vaccine

R.A. Seder, S.L. Hoffman and VRC 312 Study Team et al.



Irradiated sporozoites, NIAID VRC

AS Fauci/NIAID

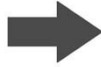


DECADE of VACCINES  
COLLABORATION

AS Fauci/NIAID



Beyond the Decade of Vaccines: Research and Development



The Perpetual Challenge of Discovery, Delivery, and Implementation

AS Fauci/NIAID