Office of Compliance Services

Form 12.9 - Agent/Advisor Registration Application



ATHLETE AGENTS, MARKETING AGENTS, AND FINANCIAL PLANNERS: All agent/advisor registration applications must be filled out completely and contain all requisite forms. Individuals who submit incomplete applications will be notified of the missing information and the application will not be processed until all required information has been received. If an application is complete, the individual will be notified by e-mail upon review. Completed applications may be emailed to Hilary Cox, Associate Athletics Director for Administration – coxha@mailbox.sc.edu

Registration (Check al	l that apply):			
☐ Attorney	☐ Attorney ☐ Financial Advisor/Planner		☐ Marketing Agent	
I. Applicant General I	nformation: pplicants should complete a form for each p	person appluing.		
Applicant Name:	Date of Birth:			
Work Phone:	Cell Phone:	Email:	Email:	
Company Name:				
Company Street Address	s:			
City:	State:	Zip Code	e:	
Every athlete agent applyin Affairs. A copy of a South	partment of Consumer Affairs Regard to work with UofSC student-athletes much Carolina agent registration or notice of performing registered with the South Carolina Department on application is pending approval with	ast be registered with the Sounding registration must be in epartment of Consumer Af	th Carolina Department of Consumer cluded for all athlete agents.	
☐ Yes ☐ No				
	e following (attached to this application sult/status of the investigation (includi			
III. <u>Business Services</u> Please indicate the services	Offered: you or your company offers to athletes (ch	eck all that apply).		
☐ Contract Negotiation	☐ Estate Plann	ning	☐ Financial Planning	
☐ Tax Planning	☐ Investment	Counseling	☐ Grievance – Arbitration	
☐ Insurance Planning	☐ Insurance C	Coverage	☐ Appearance/Endorsement	

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IV. <u>Players' Associations Registration Certifications</u>:

It is also recommended to review your players' association agent's rules and regulations. For additional information on registering with your players' association, please visit <u>MLBPA</u>, <u>NBAPA</u>, <u>NFLPA</u>, <u>USATF</u>.

*Please check all that apply:		Effective	Date	Expiration Date
☐ Major League Baseball Players' Associa	ation (MLBPA)			
☐ National Basketball Players' Association	n (NBPA)			
☐ National Football League Players' Asso	ociation (NFLPA)			
☐ Women's National Basketball Players A	Association (WNBPA)			
☐ Other:				
Have you have ever been disciplined or ciagents?	ted for a violation of a players'	associatio	n regulation g	overning athlete
□ Yes □ No				
If yes, please provide the following (attack alleged violation; and result/status of the action).	11 ,			
V. <u>Certification</u> :				
I have attached the following required form	ns or information with this app	lication:		
☐ Copies of valid, current registr	ations with player organization	าร		
☐ A copy of current valid SCDCA	1 , 0		of pending re	gistration (Athlete Agents
ONLY)	0 0	O	1 0	
Agent/advisor registration applications wreceived. You may also send any promotifiles accessible by our student athletes, co	onal brochures or other inforn			
By signing below, I certify that the above inform will notify the University of South Carolina Dep athlete who has eligibility remaining and is en	partment of Athletics (via the liaison	n indicated	below) before h	aving contact with a student-
athlete's family or coach. I affirm that I have re				=
engage(d) in any activity that would jeopardiz				
student-athlete. I also understand that failure		~		
applicable NCAA legislation may result in initia	ation of legal proceedings against r	me by the U	niversity and/o	or State of South Carolina.
In the event your information or situation chang	es please contact the Office of Comp	pliance Serv	ices so that we r	nay update your registration.
Applicant Name:	Applicant Signature:		Date:	

Completed applications may be emailed to Hilary Cox, Associate Athletics Director for Administration - coxha@mailbox.sc.edu