



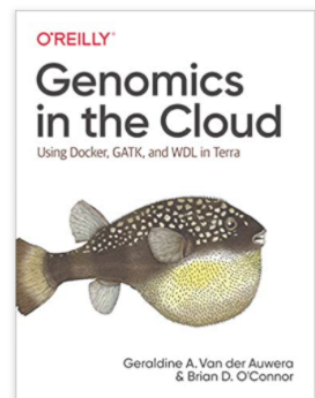
# Genomics in the Cloud

## The Semi-Official Companion Booklet

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**Version:** 1.0



*Original book: <https://oreil.ly/genomics-cloud>*

## About this booklet

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This booklet contains the figures used in *Genomics in the Cloud* (in full color) and their captions. Its primary purpose is to provide a way for readers of the print version of the book (which is in grayscale) to access the full color versions of the figures, either by browsing the PDF or printing it out. The booklet also includes a list of chapters as well as a table of contents for each chapter, which might be helpful as a quick reference. Note that this first version is a little rough around the edges; there is a lot of opportunity for improvement, but it's going to take some wrestling with  $\text{\LaTeX}$ ... All feedback and offers of help are welcome!

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- Book: <https://oreil.ly/genomics-cloud>
- Blog: <https://broadinstitute.github.io/genomics-in-the-cloud>
- Github: <https://github.com/broadinstitute/genomics-in-the-cloud>
- Figures: <https://console.cloud.google.com/storage/browser/genomics-in-the-cloud/figures/>

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## Chapter 1 Introduction

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Why you should care about the cloud, and how bioinformatics / life sciences research benefits from moving to a cloud-based ecosystem for data sharing and analysis. No, the cloud environment is not perfect; yes, it really is a game changer.

### **1.1 The Promises and Challenges of Big Data in Biology and Life Sciences**

### **1.2 Infrastructure Challenges**

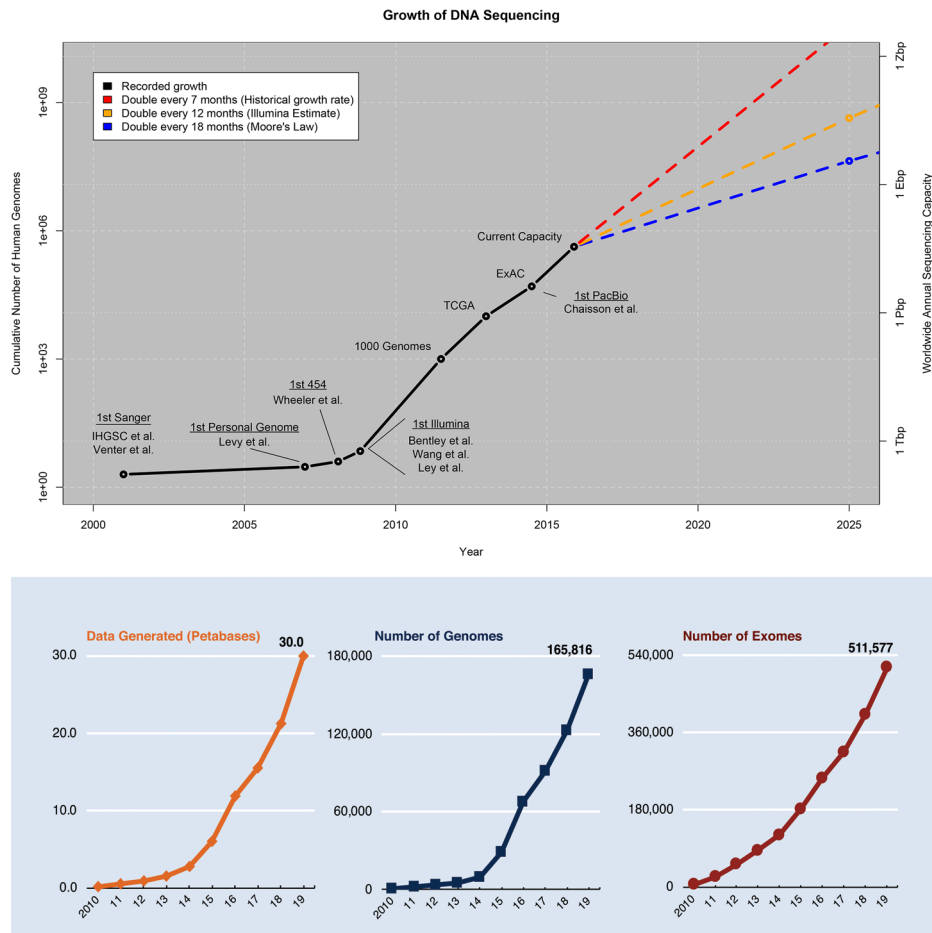
### **1.3 Toward a Cloud-Based Ecosystem for Data Sharing and Analysis**

#### **1.3.1 Cloud-Hosted Data and Compute**

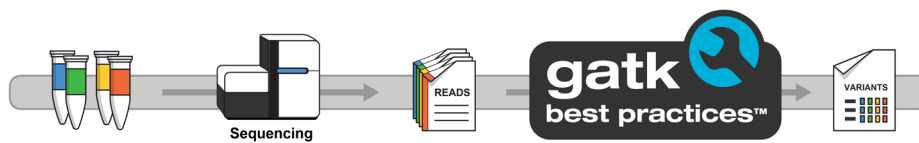
#### **1.3.2 Platforms for Research in the Life Sciences**

#### **1.3.3 Standardization and Reuse of Infrastructure**

### **1.4 Being FAIR**



**Figure 1.1:** Recorded growth of sequencing datasets up to 2015 and projected growth for the next decade (top); growth in data production at the Broad Institute (bottom).



**Figure 1.2:** GATK provides a series of Best Practices to process sequence data for a variety of experimental designs.

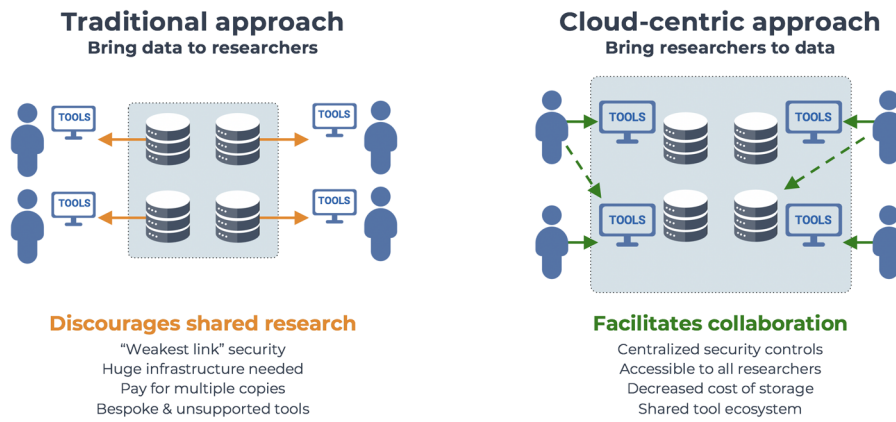


Figure 1.3: Inverting the model for data sharing.

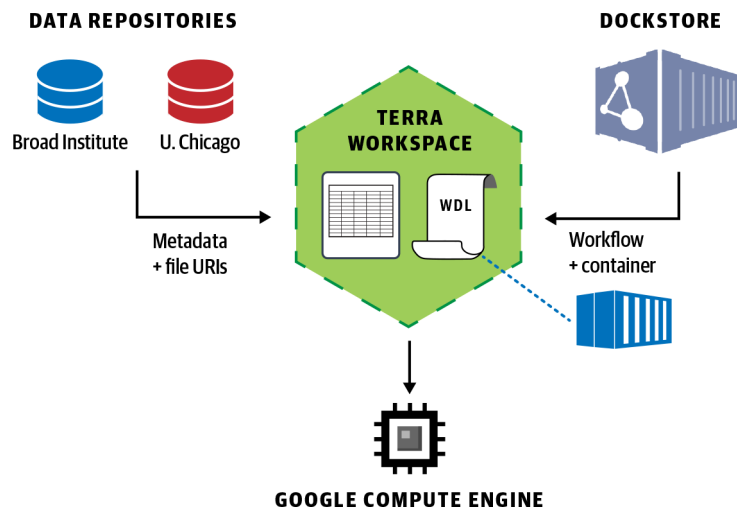


Figure 1.4: Data Biosphere principles in action: federated data analysis across multiple datasets in Terra using a workflow imported from Dockstore and executed in GCP.

## Chapter 2 Genomics in a Nutshell: A Primer for Newcomers to the Field

---

A primer for newcomers to the field of genomics, covering foundational terms and concepts such as genes, DNA and genomic variation, plus the technical basics of sequencing and handling genomic data.

### **2.1 Introduction to Genomics**

- 2.1.1 The Gene as a Discrete Unit of Inheritance (Sort Of)
- 2.1.2 The Central Dogma of Biology: DNA to RNA to Protein
- 2.1.3 The Origins and Consequences of DNA Mutations
- 2.1.4 Genomics as an Inventory of Variation in and Among Genomes
- 2.1.5 The Challenge of Genomic Scale, by the Numbers

### **2.2 Genomic Variation**

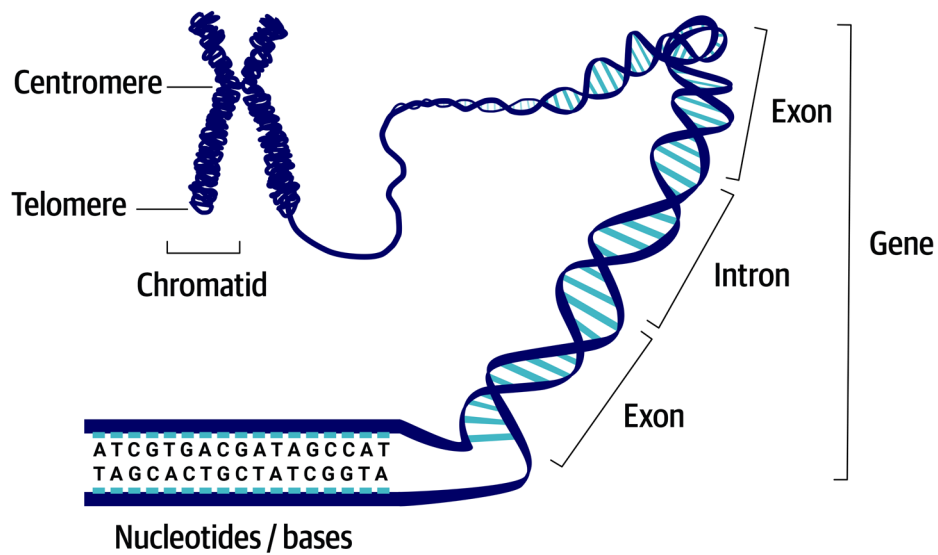
- 2.2.1 The Reference Genome as Common Framework
- 2.2.2 Physical Classification of Variants
- 2.2.3 Germline Variants Versus Somatic Alterations

### **2.3 High-Throughput Sequencing Data Generation**

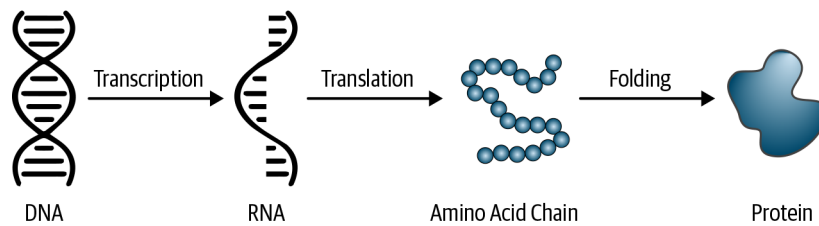
- 2.3.1 From Biological Sample to Huge Pile of Read Data
- 2.3.2 Types of DNA Libraries: Choosing the Right Experimental Design

### **2.4 Data Processing and Analysis**

- 2.4.1 Mapping Reads to the Reference Genome
- 2.4.2 Variant Calling
- 2.4.3 Data Quality and Sources of Error
- 2.4.4 Functional Equivalence Pipeline Specification



**Figure 2.1:** The chromosome (shown here in the form of two sister chromatids, each composed of one incredibly long molecule of double-stranded DNA) on which we delineate genes composed of exons and introns.

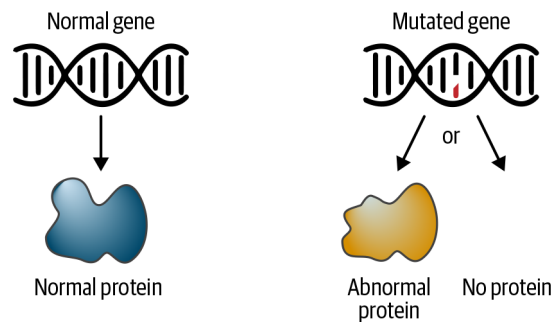


**Figure 2.2:** The central dogma of biology: DNA leads to RNA; RNA leads to amino acids; amino acids lead to protein.

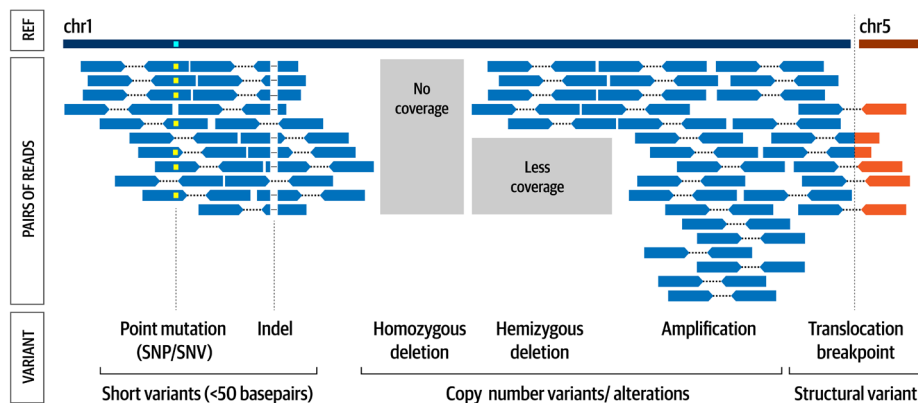


		Second letter				
		U	C	A	G	
First letter	U	UUU Phenylalanine UUC UUA Leucine UUG	UCU UCC Serine UCA UCG	UAU Tyrosine UAC UAA Stop codon UAG Stop codon	UGU Cysteine UGC UGA Stop codon UGG Tryptophan	U C A G
	C	CUU Leucine CUC CUA CUG	CCU Proline CCC CCA CCG	CAU Histidine CAC CAA Glutamine CAG	CGU Arginine CGC CGA CGG	U C A G
	A	AUU Isoleucine AUC AUA AUG Methionine; start codon	ACU Threonine ACC ACA ACG	AAU Asparagine AAC AAA Lysine AAG	AGU Serine AGC AGA Arginine AGG	U C A G
	G	GUU Valine GUC GUA GUG	GCU Alanine GCC GCA GCG	GAU Aspartic acid GAC GAA Glutamic acid GAG	GGU Glycine GGC GGA GGG	U C A G

**Figure 2.3:** The genetic code connects three-letter codons in a messenger RNA sequence to specific amino acids.



**Figure 2.4:** A mutation in the DNA sequence can cause the gene’s protein product to function abnormally or disable its production entirely.



**Figure 2.5:** The major types of variant classified by physical changes to the DNA.



Figure 2.6: A single-nucleotide variant.

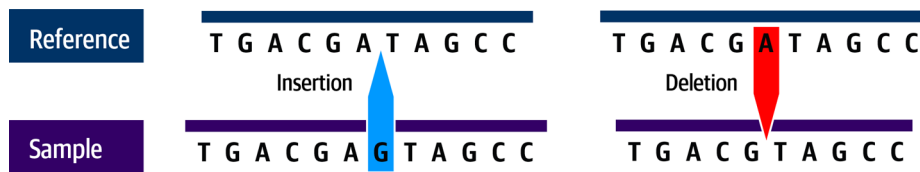


Figure 2.7: Indels can be insertions (left) or deletions (right).



Figure 2.8: Example of copy-number variant caused by a duplication.

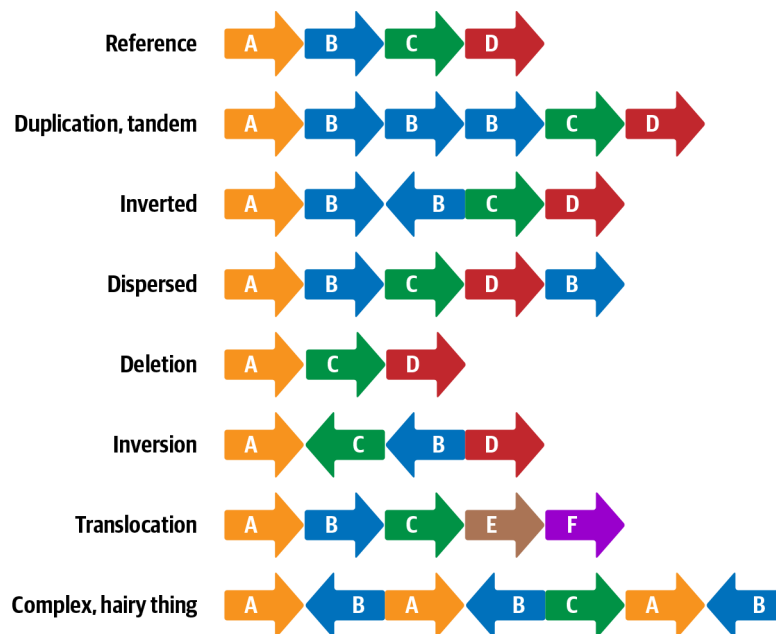
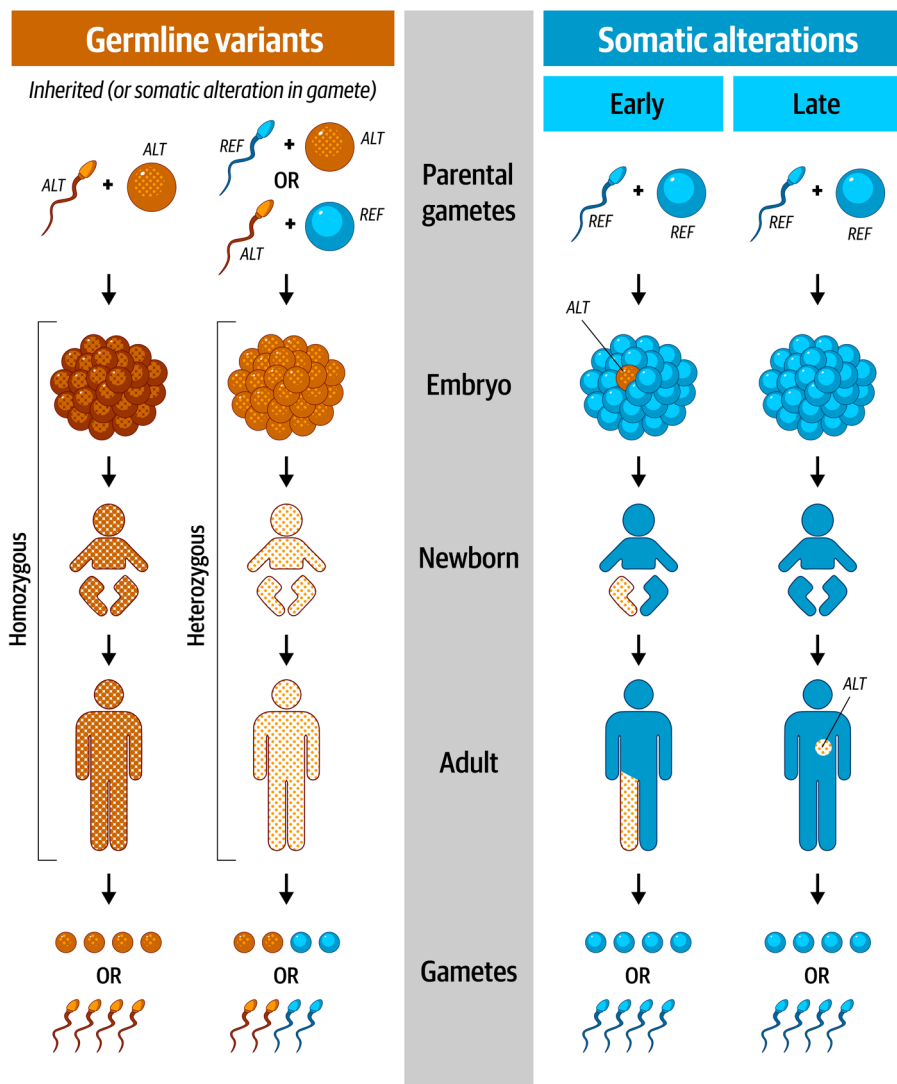
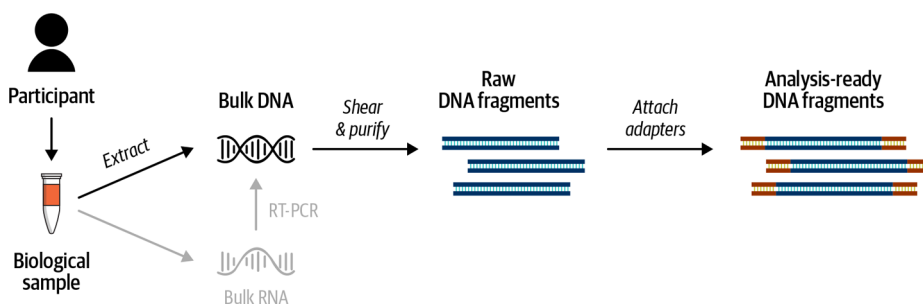


Figure 2.9: Examples of structural variants.



**Figure 2.10:** Germline variants are present in all cells of the body (left) while somatic alterations are present only in a subset of cells (right).



**Figure 2.11:** Library preparation process for bulk DNA (top); alternative pathway for bulk RNA (bottom).

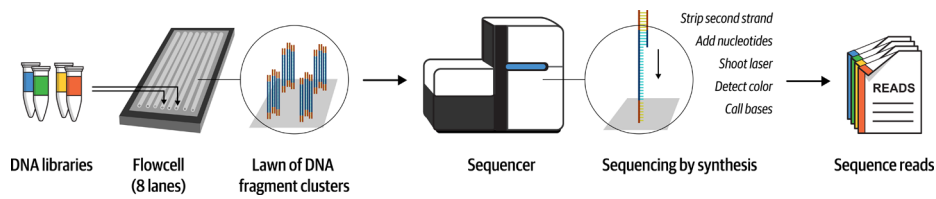


Figure 2.12: Overview of Illumina short read sequencing.

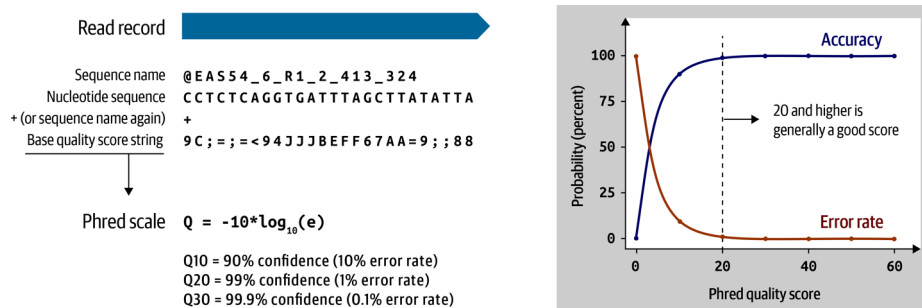
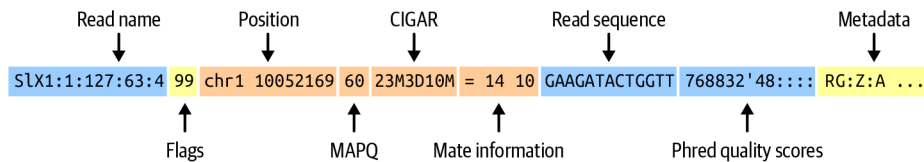


Figure 2.13: FASTQ and Phred scale.

Header lines starting with @ symbol describing various metadata for all reads

```
@HD VN:1.6 SO:coordinate      - BAM header line
@SQ SN:chr1 LN:248956422     - Reference sequence dictionary entries
@SQ SN:chr2 LN:242193529
@RG ID:RG1 SM:SAMPLE_A      - Read group(s)
```

Records containing structured read information (1 line per read/record)



- Mapping information summarizes position, quality, and structure for each read
- Mate information points to the other read in a pair

Figure 2.14: Key elements of the SAM format: file header and read record structure.

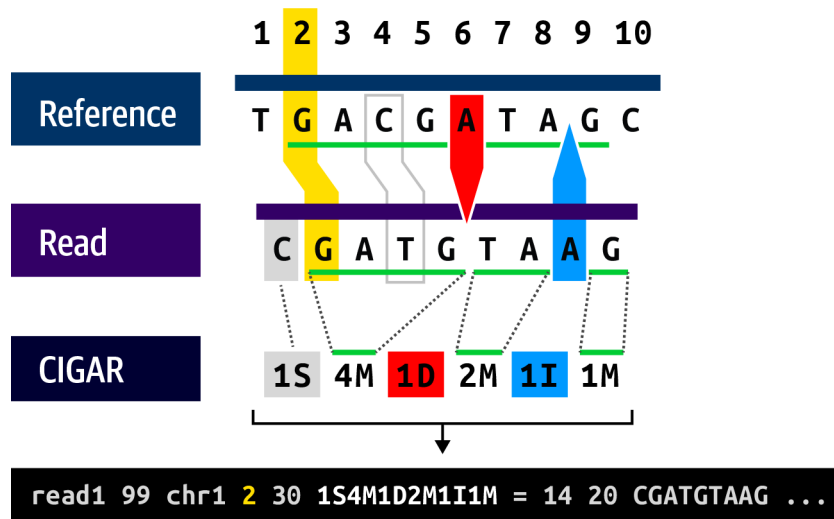


Figure 2.15: The CIGAR string describes the structure of the read alignment.

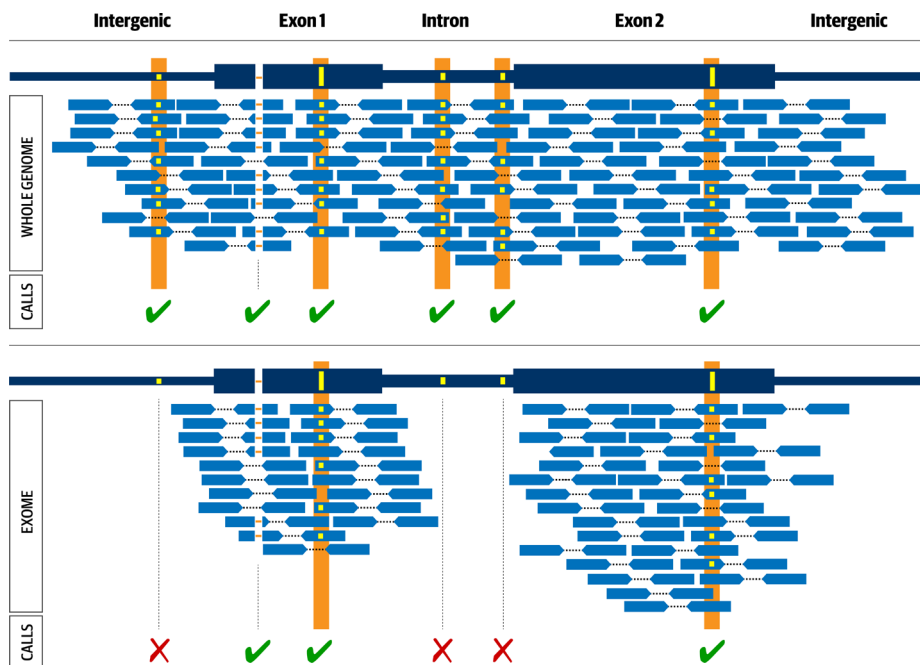
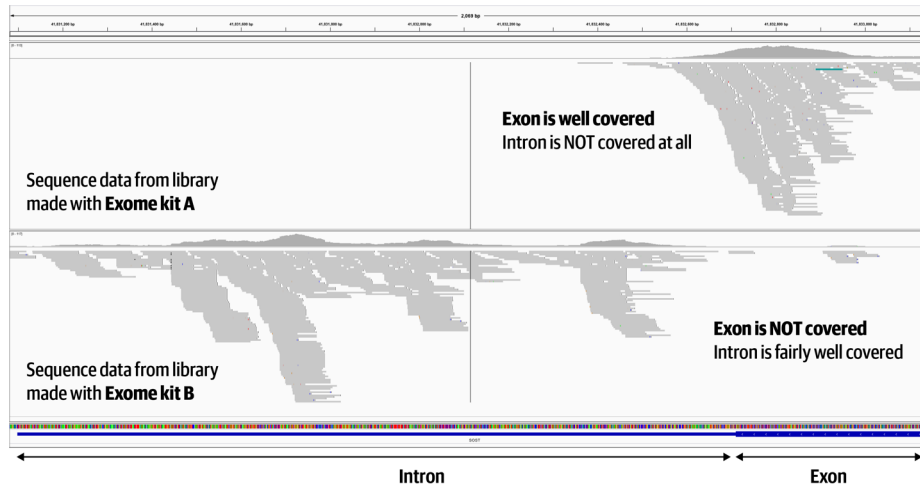
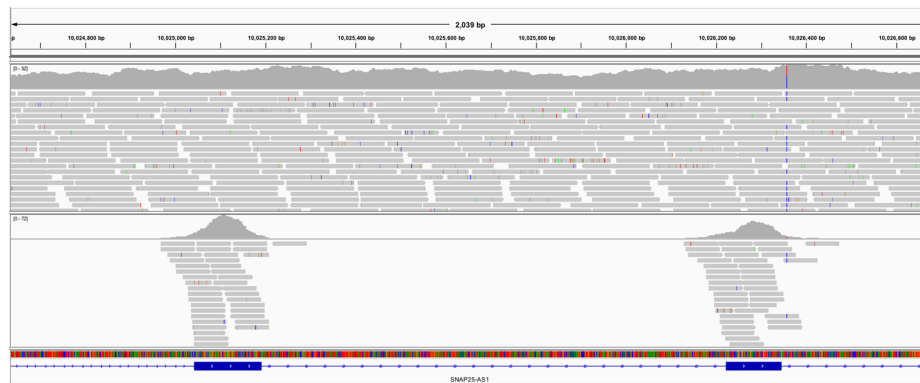


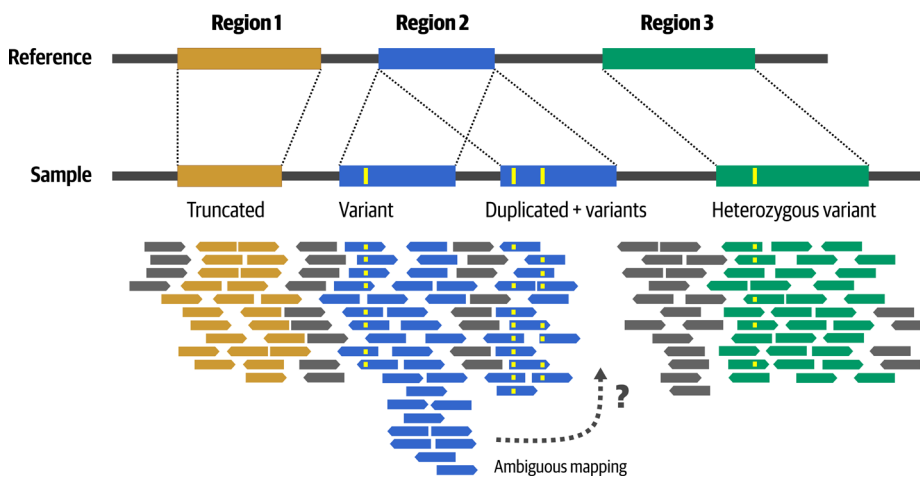
Figure 2.16: Experimental design comparison between whole genome (top) and exome (bottom).



**Figure 2.17:** Different exome preparation kits can lead to important differences in coverage location and quantity.



**Figure 2.18:** Visual appearance of whole genome sequence (WGS, top) and exome sequence (bottom) in a genome browser.



**Figure 2.19:** Sequence divergence introduces mapping challenges and ambiguity.

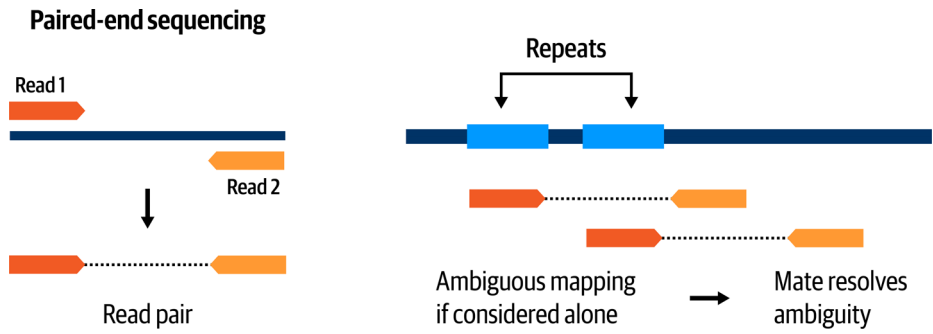


Figure 2.20: Paired-end sequencing helps resolve mapping ambiguity.

Header											Variant records			One sample
<pre>##fileformat=VCFv4.1 ##reference=10000GenomesPilot-NCBI36 ##INFO=&lt;ID=DP,Number1,Type=Integer,Description="Total Depth"&gt; ##INFO=&lt;ID=AF,Number2,Type=Float,Description="Allele Frequency"&gt; ##INFO=&lt;ID=DB,Number0,Type=Flag,Description="dbSNP membership"&gt; ##FILTER=&lt;ID=s50,Description="Less than 50% of samples have data"&gt; ##FORMAT=&lt;ID=GT,Number1,Type=String,Description="Genotype"&gt; ##FORMAT=&lt;ID=GQ,Number1,Type=Integer,Description="Genotype Quality"&gt; ##FORMAT=&lt;ID=DP,Number1,Type=Integer,Description="Read Depth"&gt;</pre>											NA000001	NA000002	NA000003	
#CHROM	POS	ID	REF	ALT	QUAL	FILTER	INFO	FORMAT	NA000001	NA000002	NA000003			
20	14370	rs6054257	G	A	29	PASS	DP=14;AF=0.5	GT:GQ:DP	0/0:48:1	1/0:48:8	1/1:43:5			
20	1230237	.	T	.	47	PASS	DP=13	GT:GQ:DP	0/0:54:7	0/0:48:4	0/0:61:2			
20	1234567	.	GT	G	50	PASS	DP=9	GT:GQ:DP	0/1:35:4	0/2:17:2	1/1:40:3			

Site/population-level annotations                      Sample-level annotations

Figure 2.21: Basic structure of a VCF file.

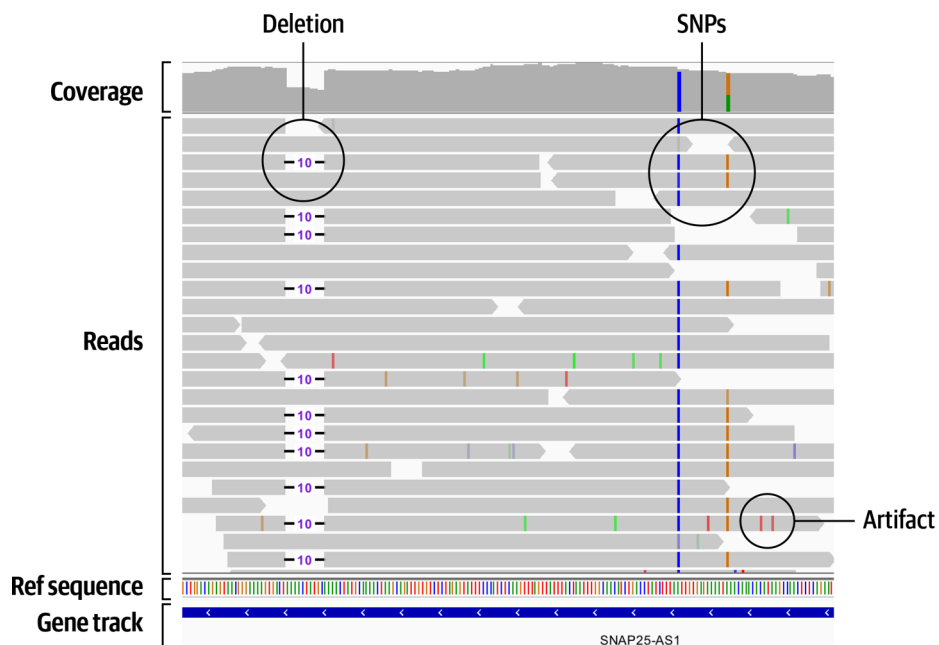


Figure 2.22: Pileup of reads in IGV showing several probable short variants.

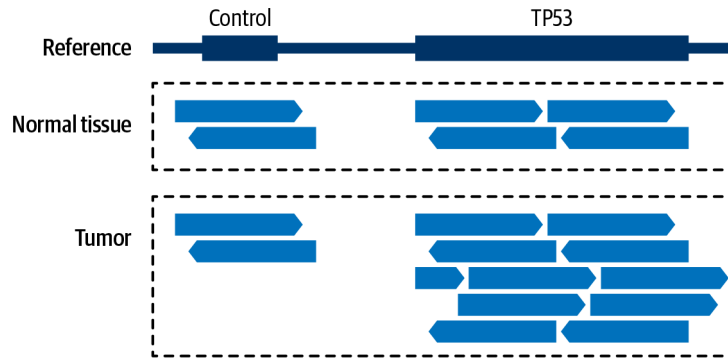
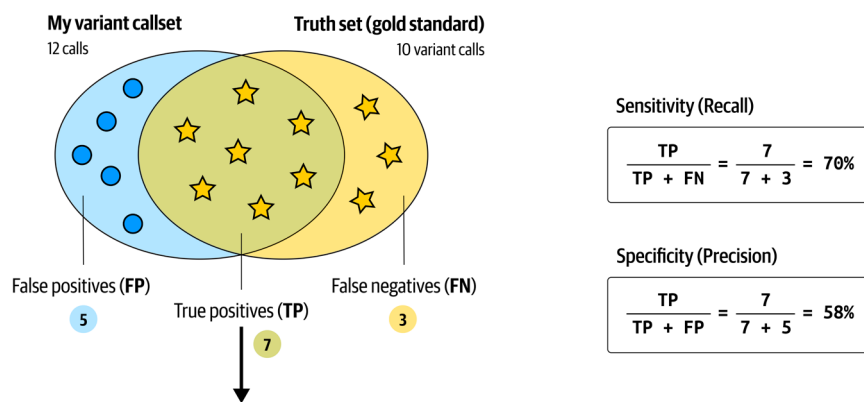


Figure 2.23: Relative amounts of coverage provide evidence for copy-number modeling.

Site-level concordance with a truth set

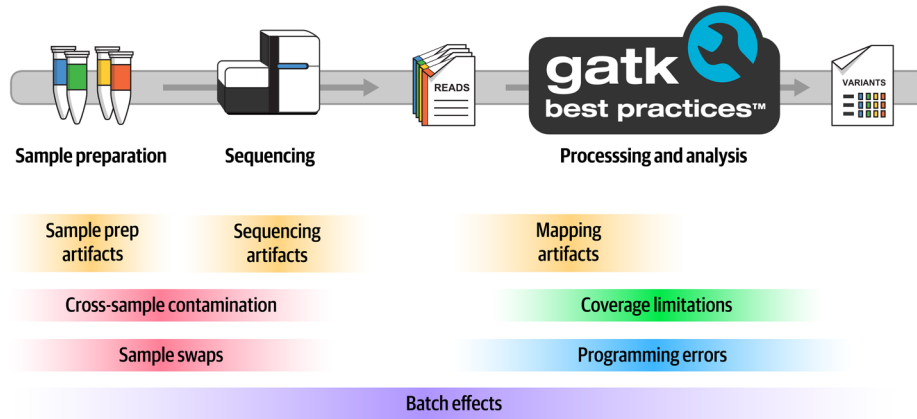


Genotype-level concordance of true positives

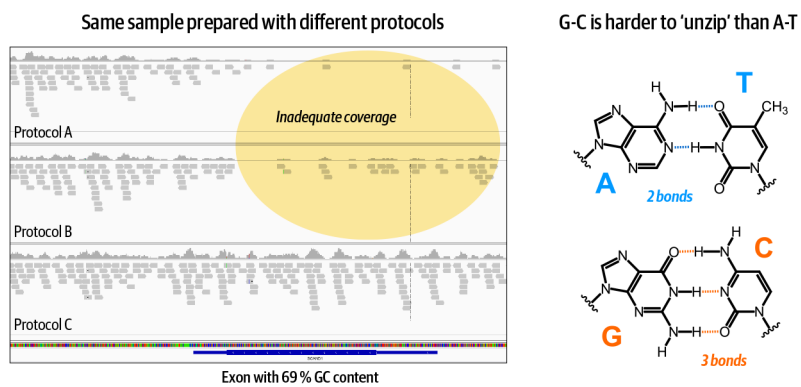


Figure 2.24: Cheat sheet of variant metrics.





**Figure 2.25:** Common sources of error in variant discovery.



**Figure 2.26:** Some biochemical properties of the DNA itself cause biases in certain regions.

## Chapter 3 Computing Technology Basics for Life Scientists

---

CPU, GPU, TPU, FPGA, OMG GTFO – no really, just some basic hardware terminology, plus an introduction to key concepts like parallelism, pipelining, containers and virtual machines in fairly plain language.

### **3.1 Basic Infrastructure Components and Performance Bottlenecks**

**3.1.1** Types of Processor Hardware: CPU, GPU, TPU, FPGA, OMG

**3.1.2** Levels of Compute Organization: Core, Node, Cluster, and Cloud

**3.1.3** Addressing Performance Bottlenecks

### **3.2 Parallel Computing**

**3.2.1** Parallelizing a Simple Analysis

**3.2.2** From Cores to Clusters and Clouds: Many Levels of Parallelism

**3.2.3** Trade-Offs of Parallelism: Speed, Efficiency, and Cost

### **3.3 Pipelining for Parallelization and Automation**

**3.3.1** Workflow Languages

**3.3.2** Popular Pipelining Languages for Genomics

**3.3.3** Workflow Management Systems

### **3.4 Virtualization and the Cloud**

**3.4.1** VMs and Containers

**3.4.2** Introducing the Cloud

**3.4.3** Categories of Research Use Cases for Cloud Services

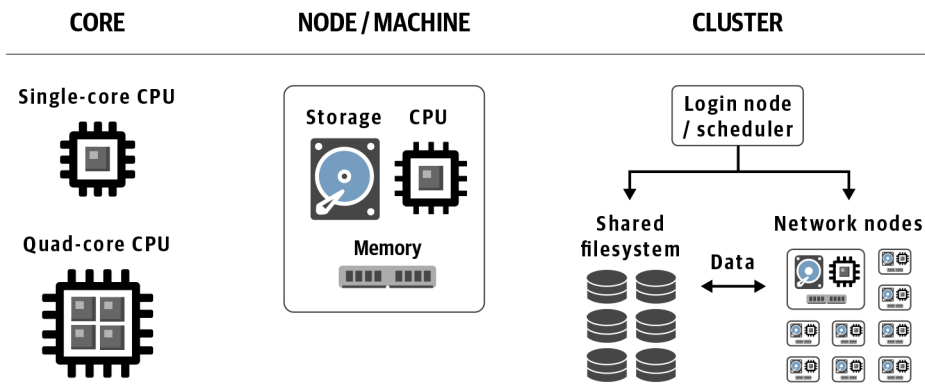


Figure 3.1: Levels of compute organization.

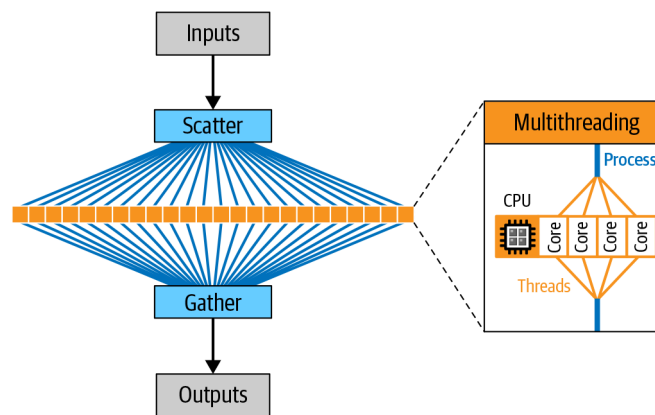


Figure 3.2: Scatter-gather allows parallel execution of tasks on different CPU cores (on a single machine or multiple machines, depending on how it’s implemented).

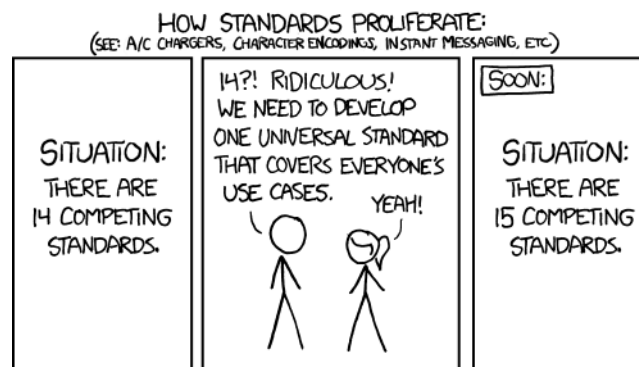
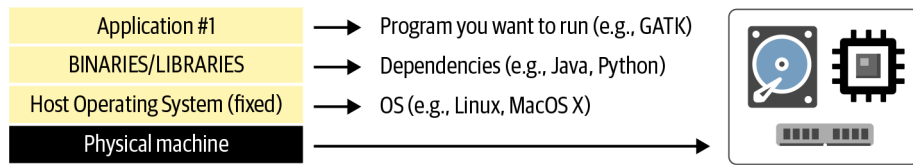
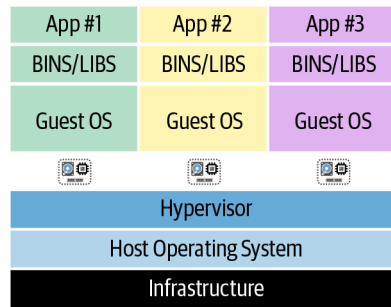


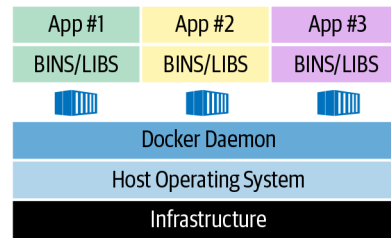
Figure 3.3: XKCD comic on the proliferation of standards (source: <https://xkcd.com/927>).



A. Software stack on physical machine, e.g., your laptop

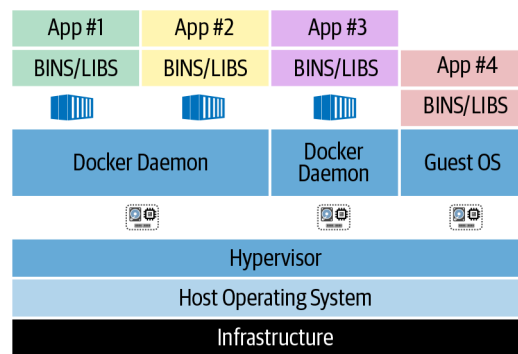


B. Virtual machines

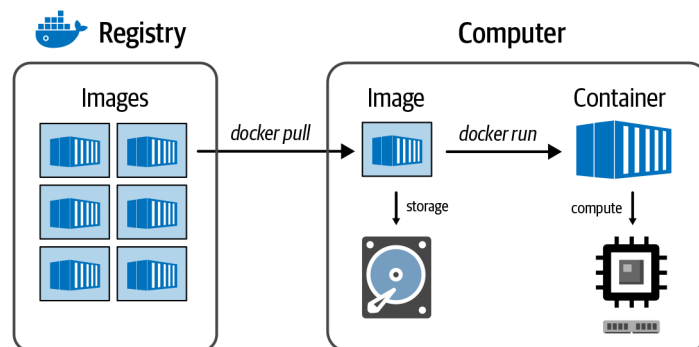


C. Containers

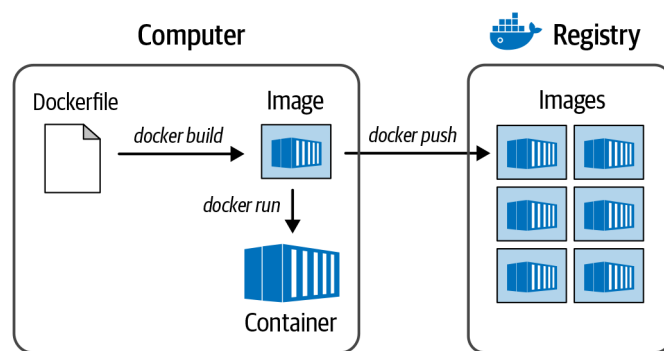
**Figure 3.4:** A) The software stack installed on a physical machine; B) a system hosting multiple VMs; C) a system hosting multiple containers.



**Figure 3.5:** A system with three VMs: the one on the left is running two containers, serving App 1 and App 2; the middle is running a single container, serving App 3; the right is serving App 4 directly (no container).



**Figure 3.6:** The relationship between registry, image, and container.



**Figure 3.7:** The process for creating a Docker image.

## Chapter 4 First Steps in the Cloud

---

Finally we get to do some hands-on work (on Google Cloud). Set up an account, get free credits, practice managing data in storage buckets and interacting with a Docker container, get a nice custom VM set up to do some genomics.

### **4.1 Setting Up Your Google Cloud Account and First Project**

4.1.1 Creating a Project

4.1.2 Checking Your Billing Account and Activating Free Credits

### **4.2 Running Basic Commands in Google Cloud Shell**

4.2.1 Logging in to the Cloud Shell VM

4.2.2 Using gsutil to Access and Manage Files

4.2.3 Pulling a Docker Image and Spinning Up the Container

4.2.4 Mounting a Volume to Access the Filesystem from Within the Container

### **4.3 Setting Up Your Own Custom VM**

4.3.1 Creating and Configuring Your VM Instance

4.3.2 Logging into Your VM by Using SSH

4.3.3 Checking Your Authentication

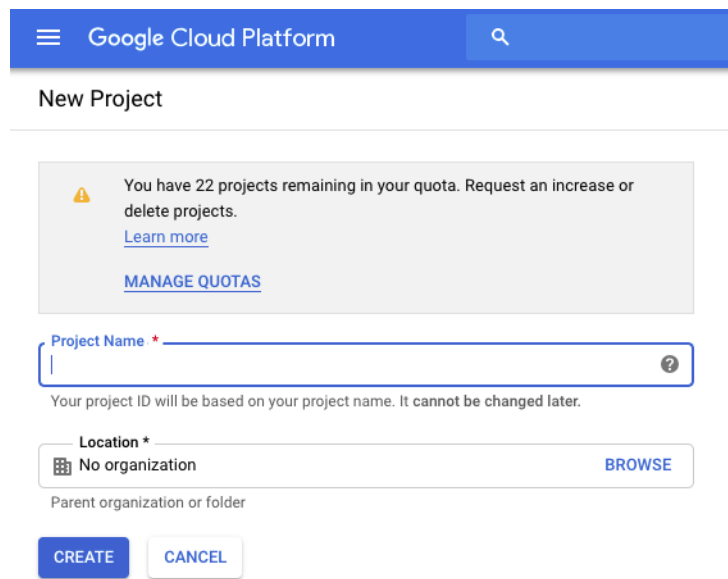
4.3.4 Copying the Book Materials to Your VM

4.3.5 Installing Docker on Your VM

4.3.6 Setting Up the GATK Container Image

4.3.7 Stopping Your VM. . . to Stop It from Costing You Money

### **4.4 Configuring IGV to Read Data from GCS Buckets**



The screenshot shows the 'New Project' page in the Google Cloud Platform console. At the top, there is a blue header with the 'Google Cloud Platform' logo and a search icon. Below the header, the title 'New Project' is displayed. A warning box indicates that the user has 22 projects remaining in their quota and provides a link to 'Learn more' and a button to 'MANAGE QUOTAS'. The main form contains a 'Project Name' field with a red asterisk and a help icon, followed by a note that the project ID will be based on the name and cannot be changed later. Below this is a 'Location' field with a red asterisk, a dropdown menu showing 'No organization', and a 'BROWSE' button. A note below the location field says 'Parent organization or folder'. At the bottom of the form are two buttons: 'CREATE' and 'CANCEL'.

Google Cloud Platform

New Project

You have 22 projects remaining in your quota. Request an increase or delete projects.  
[Learn more](#)  
[MANAGE QUOTAS](#)

Project Name \*

Your project ID will be based on your project name. It cannot be changed later.

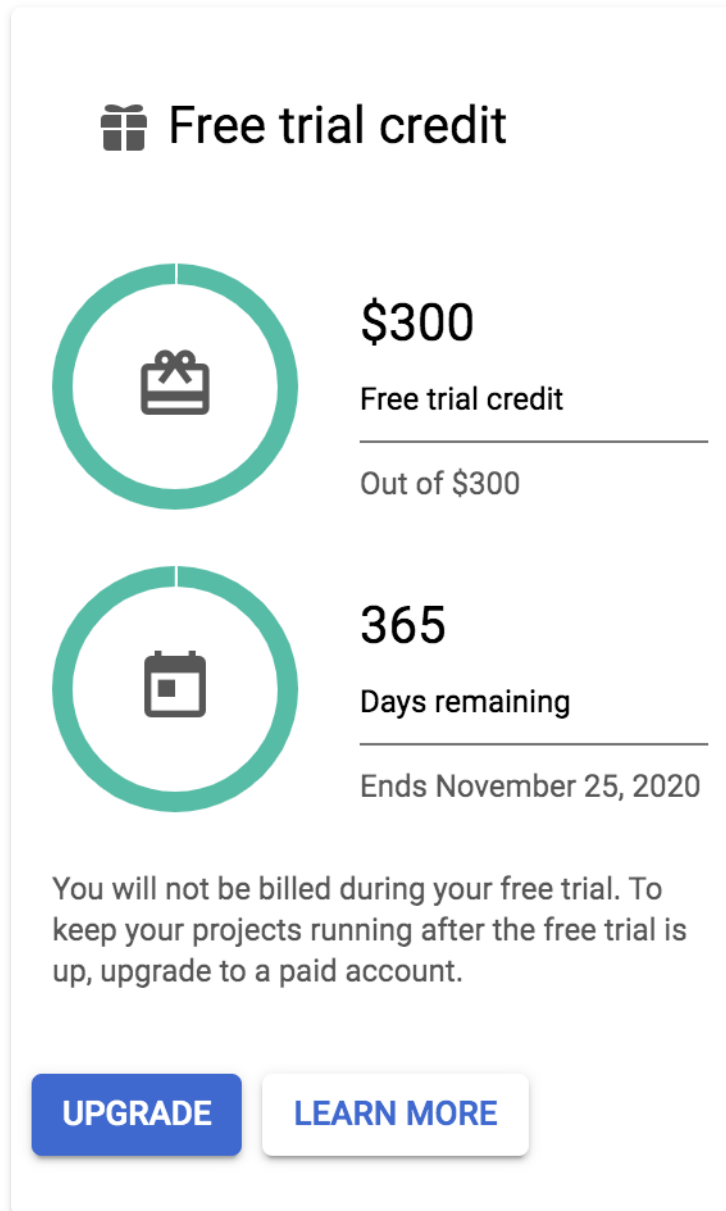
Location \*

No organization [BROWSE](#)

Parent organization or folder

[CREATE](#) [CANCEL](#)

**Figure 4.1:** Creating a new project.



**Figure 4.2:** The panel in the Billing console summarizing free trial credits availability.



Google Cloud Platform

Billing

← Create budget

### Set budget

Your budget can be a specified amount or based on previous spend. Budget spend resets the first day of each month to \$0.00.

**Setting a budget does not cap resource or API consumption. [Learn more.](#)**

**Budget name**  
My Budget

**Project or billing account**  
Select a project or billing account for your budget to track  
My Billing Account 1

**Budget amount**  
Set a budget by entering a specified amount or by selecting last month's spend  
Specified amount \$ 50.00

Cost after credit

### Set alert threshold rules

Send email alert notifications to billing admins and users after the actual or forecasted spend exceeds a percent of the budget or a specified amount. [Learn more.](#)

Percent of budget	Amount	Trigger on
50 %	\$ 25.00	Actual
90 %	\$ 45.00	Actual
100 %	\$ 50.00	Actual

[+ Add item](#)

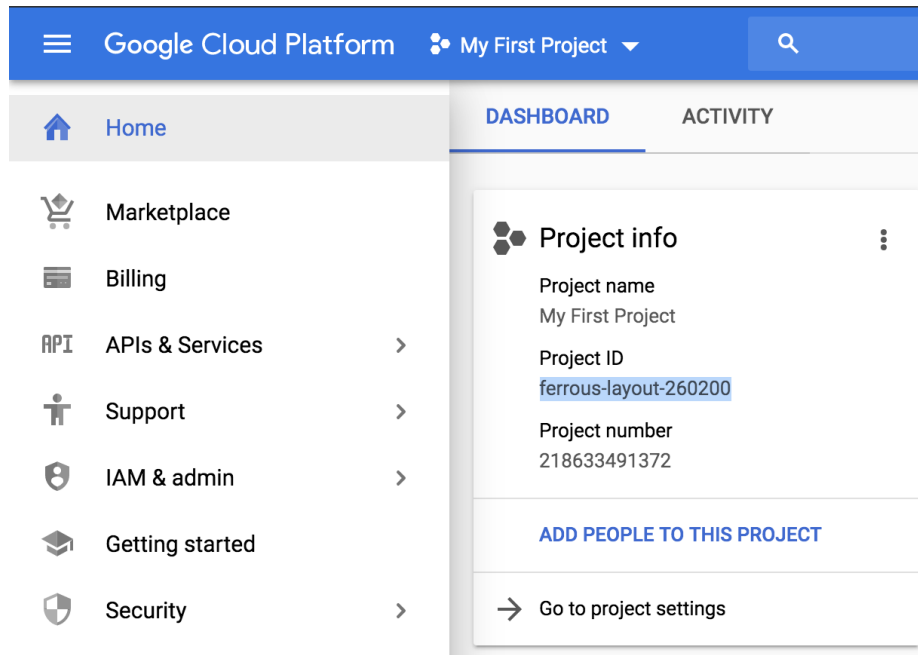
### Manage notifications

You can use Pub/Sub notifications to programmatically receive spend updates on this budget.

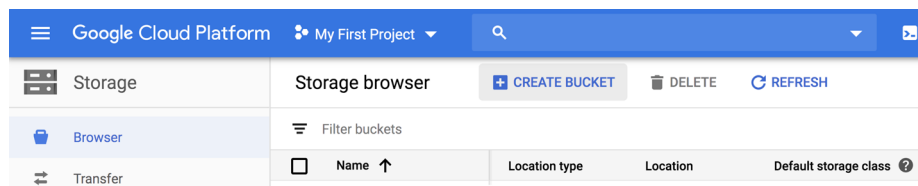
Connect a Pub/Sub topic to this budget

[Save](#) [Cancel](#)

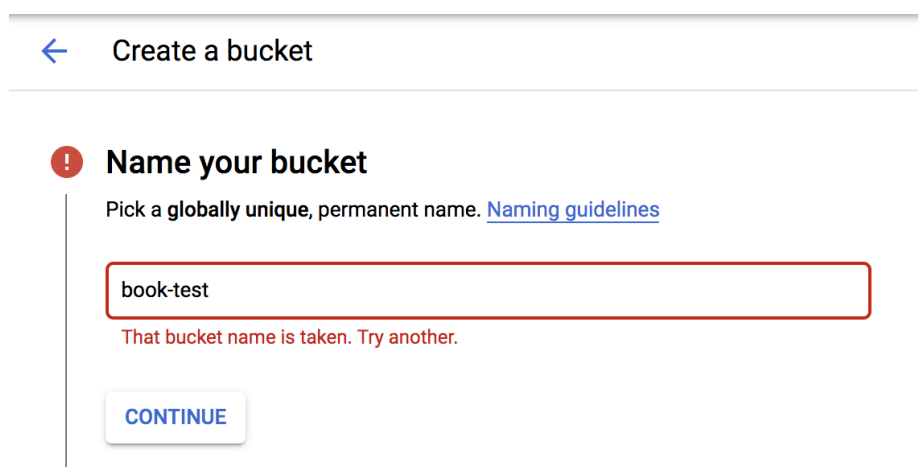
**Figure 4.3:** Budget and alert threshold administration.



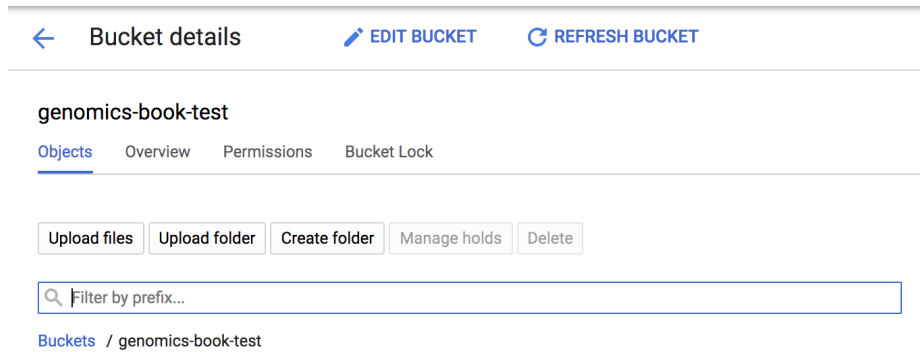
**Figure 4.4:** Location of the Project ID in the GCP console.



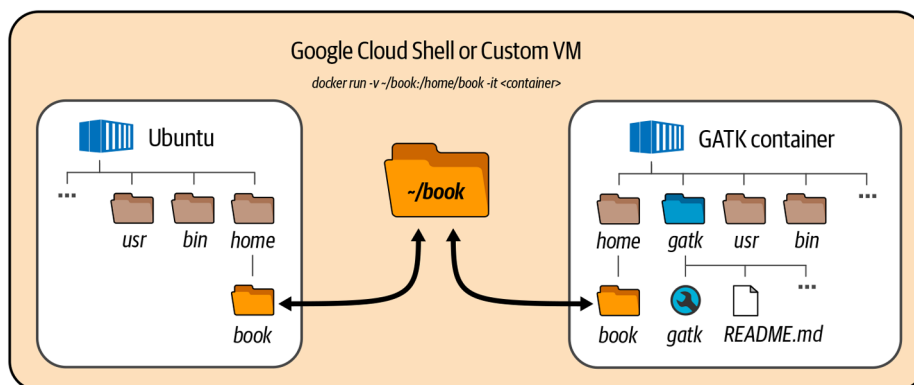
**Figure 4.5:** GCP console storage browser.



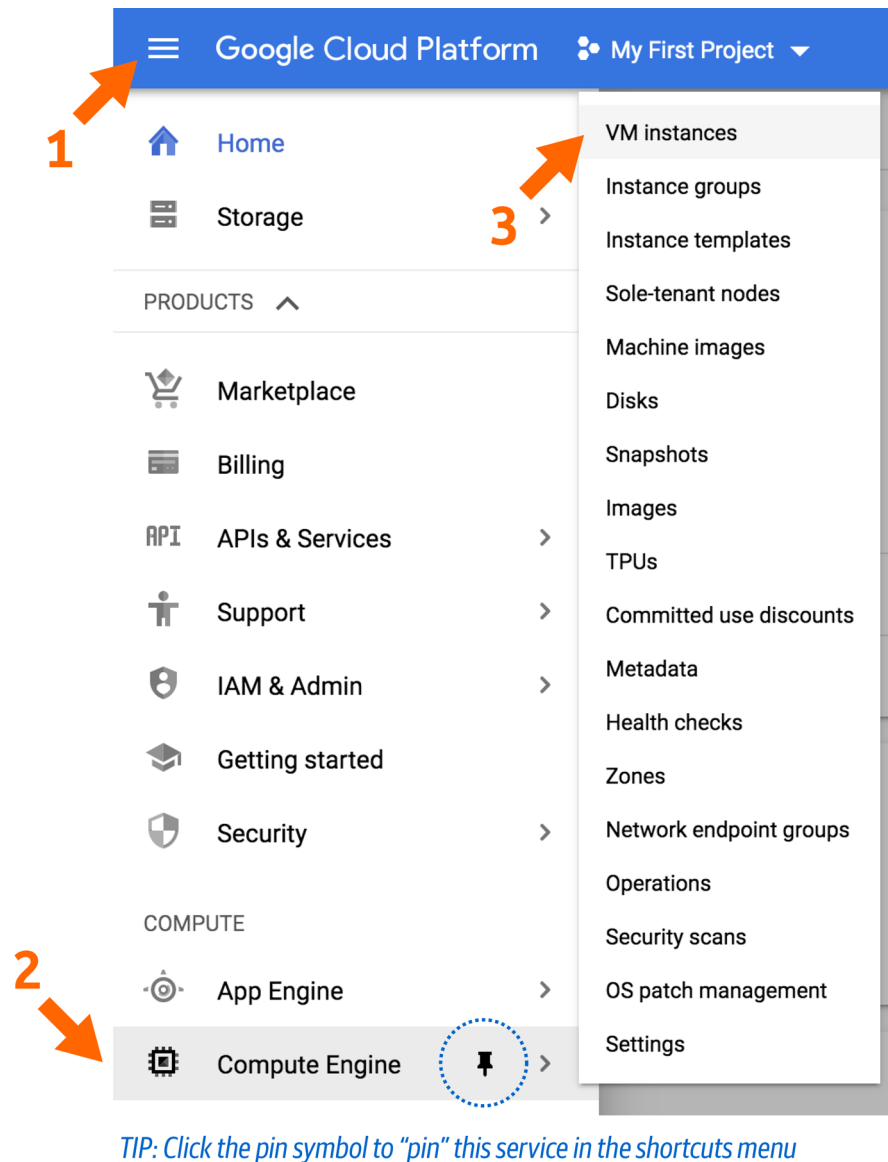
**Figure 4.6:** Naming your bucket.



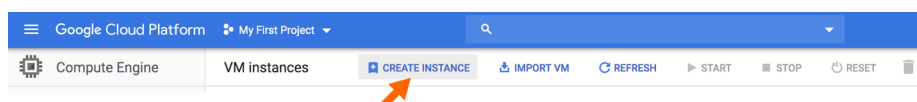
**Figure 4.7:** Viewing the contents of your bucket.



**Figure 4.8:** Mounting a directory from your Google Cloud Shell VM into a Docker container: Ubuntu container used in this chapter (left); GATK container introduced in First Steps with GATK (right).



**Figure 4.9:** Compute Engine menu showing the VM instances menu item.



**Figure 4.10:** Create a VM instance.

← Create an instance

To create a VM instance, select one of the options:

- New VM instance** (Create a single VM instance from scratch)
- New VM instance from template** (Create a single VM instance from an existing template)
- Marketplace** (Deploy a ready-to-go solution onto a VM instance)

**Name** ?  
Name is permanent  
genomics-book

**Region** ? **Zone** ?  
Region is permanent Zone is permanent  
us-east4 (Northern Virginia) us-east4-a

**Machine configuration** ?

**Machine family**  
General-purpose  
Machine types for common workloads, optimized for cost and flexibility

**Series**  
N1  
Powered by Intel Skylake CPU platform or one of its predecessors

**Machine type**  
n1-standard-2 (2 vCPU, 7.5 GB memory)

	vCPU	Memory
	2	7.5 GB

**Container** ?  
 Deploy a container image to this VM instance. [Learn more](#)

**Boot disk** ?  
New 100 GB standard persistent disk  
Image: Ubuntu 18.04 LTS [Change](#)

**Identity and API access** ?

**Service account** ?  
Compute Engine default service account

**Access scopes** ?

- Allow default access
- Allow full access to all Cloud APIs
- Set access for each API

**Firewall** ?  
Add tags and firewall rules to allow specific network traffic from the Internet

- Allow HTTP traffic
- Allow HTTPS traffic

[Management, security, disks, networking, sole tenancy](#)

You will be billed for this instance. [Compute Engine pricing](#)

[Create](#) [Cancel](#)

Equivalent REST or command line

**\$59.08 monthly estimate**  
That's about \$0.081 hourly  
Pay for what you use: No upfront costs and per second billing  
[Details](#)

Figure 4.11: The VM instance configuration panel.

**Name** ?

genomics-book

Figure 4.12: Name your VM instance.

**Machine type**

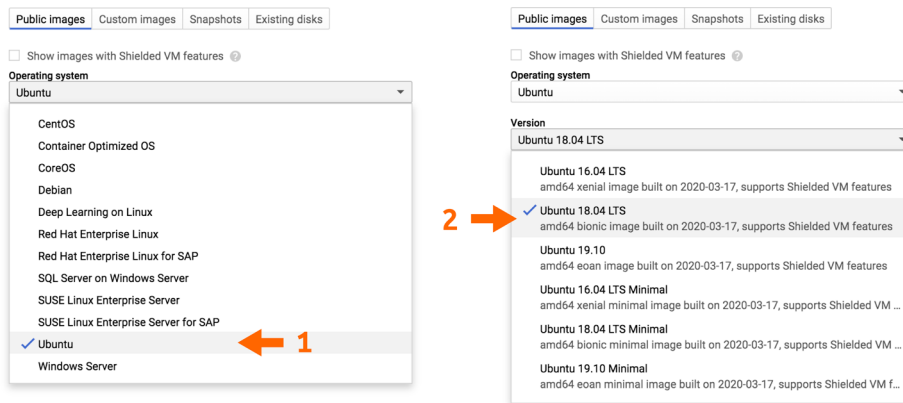
n1-standard-2 (2 vCPU, 7.5 GB memory)

	vCPU	Memory
	2	7.5 GB

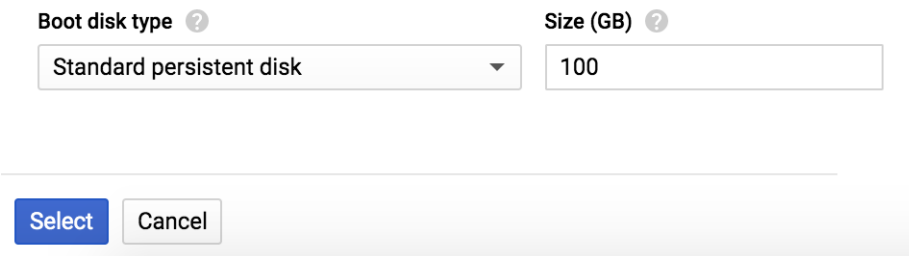
Figure 4.13: Selecting a machine type.



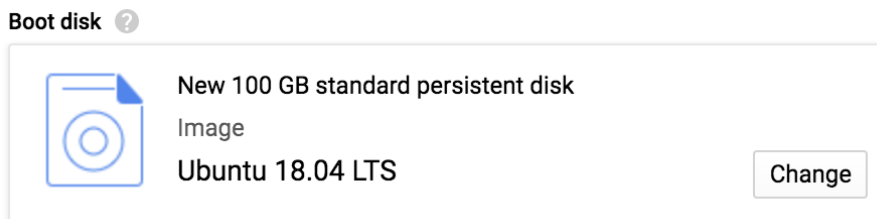
**Figure 4.14:** Choosing a boot disk size and image.



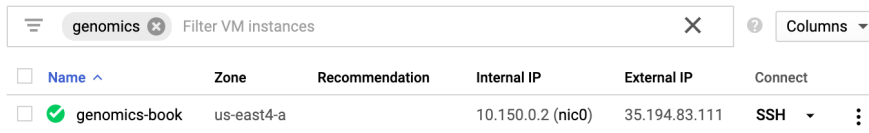
**Figure 4.15:** Selecting a base image.



**Figure 4.16:** Setting the boot disk size.



**Figure 4.17:** The updated boot disk selection.



Name	Zone	Recommendation	Internal IP	External IP	Connect
genomics-book	us-east4-a		10.150.0.2 (nic0)	35.194.83.111	SSH

Figure 4.18: Viewing the VM status.

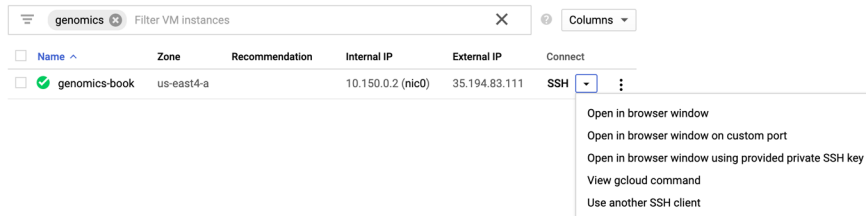
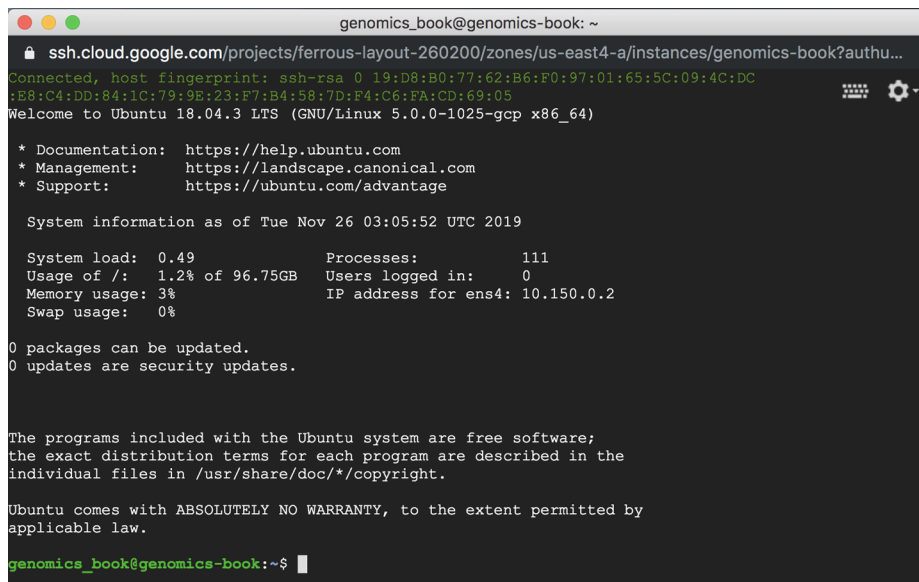


Figure 4.19: Options for SSHing into your VM.



```

genomics_book@genomics-book: ~
ssh.cloud.google.com/projects/ferrous-layout-260200/zones/us-east4-a/instances/genomics-book?authu...
Connected, host fingerprint: ssh-rsa 0 19:D8:B0:77:62:B6:F0:97:01:65:5C:09:4C:DC
:E8:C4:DD:84:1C:79:9E:23:F7:B4:58:7D:F4:C6:FA:CD:69:05
Welcome to Ubuntu 18.04.3 LTS (GNU/Linux 5.0.0-1025-gcp x86_64)

 * Documentation:  https://help.ubuntu.com
 * Management:    https://landscape.canonical.com
 * Support:       https://ubuntu.com/advantage

System information as of Tue Nov 26 03:05:52 UTC 2019

System load:  0.49          Processes:    111
Usage of /:   1.2% of 96.75GB Users logged in:  0
Memory usage: 3%           IP address for ens4: 10.150.0.2
Swap usage:  0%

0 packages can be updated.
0 updates are security updates.

The programs included with the Ubuntu system are free software;
the exact distribution terms for each program are described in the
individual files in /usr/share/doc/*/copyright.

Ubuntu comes with ABSOLUTELY NO WARRANTY, to the extent permitted by
applicable law.

genomics_book@genomics-book:~$

```

Figure 4.20: VM instance terminal.

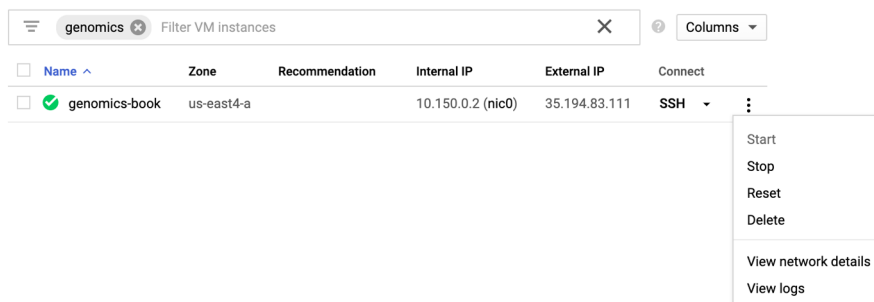


Figure 4.21: Stopping, starting, or deleting your VM instance.

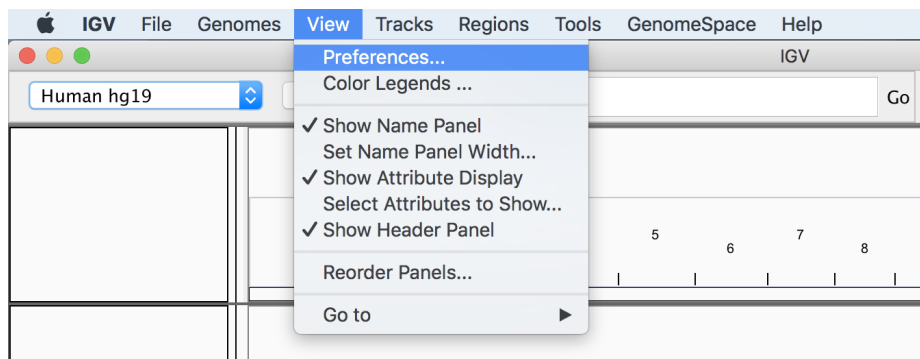


Figure 4.22: Selecting the Preferences menu item.

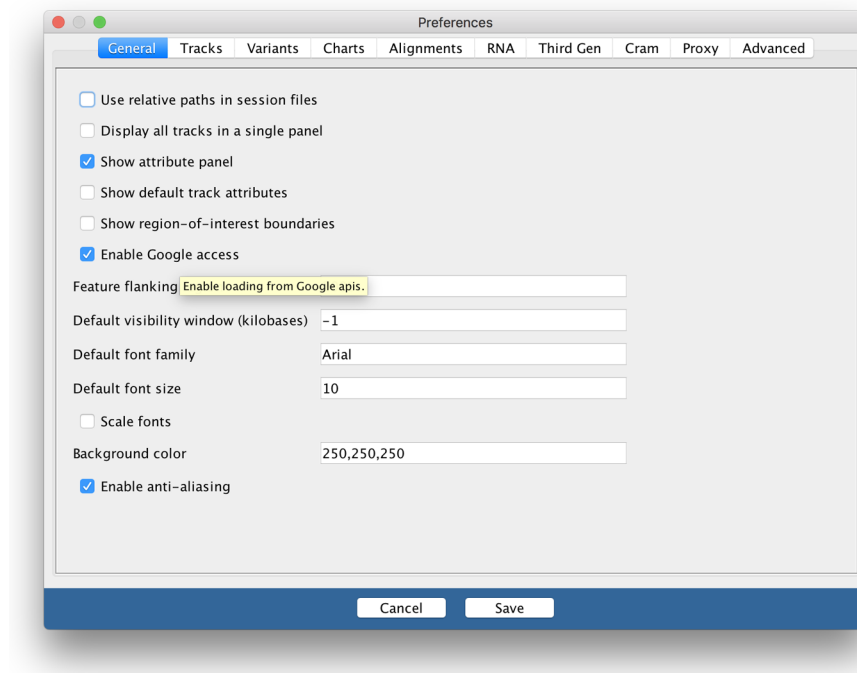


Figure 4.23: The IGV Preferences pane.

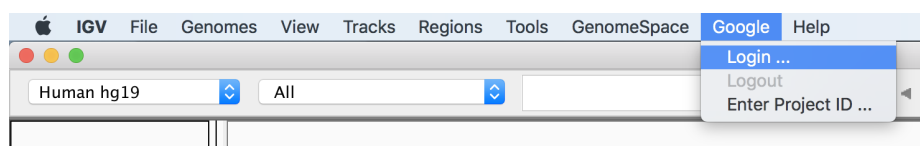


Figure 4.24: Selecting the Google Login menu item.



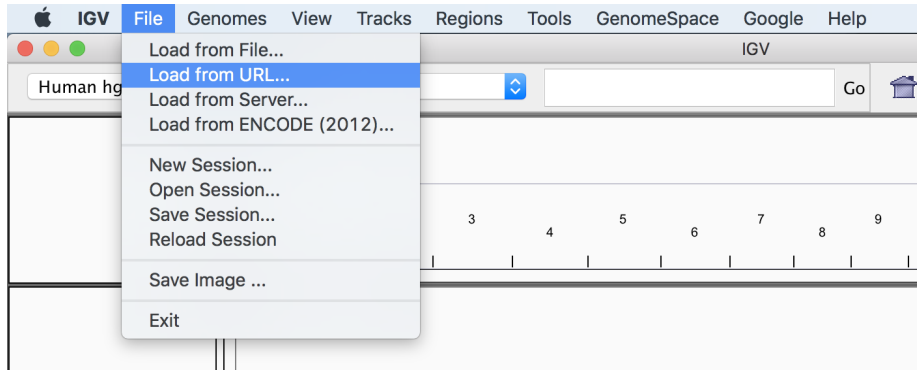


Figure 4.25: The Load from URL menu item.

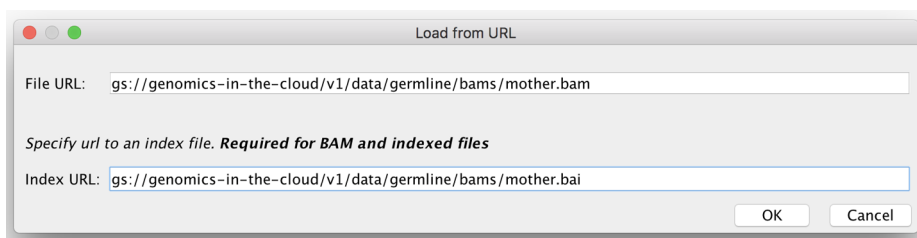


Figure 4.26: The Load from URL dialog box.



Figure 4.27: IGV view of a BAM file located in a GCS bucket.

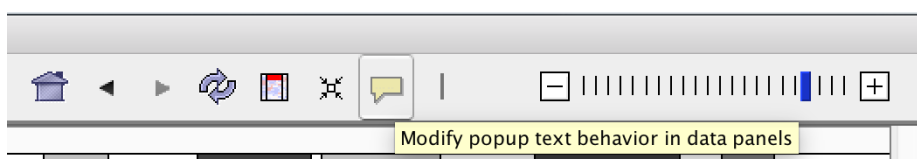


Figure 4.28: Changing the behavior of the detail viewer from "on Hover" to "on Click."

## Chapter 5 First Steps with GATK

---

Let's meet the workhorse of genomics! We start with a general overview, requirements, command line syntax, the usual – then dive into calling variants with HaplotypeCaller, plus some visual troubleshooting and variant filtering concepts.

### **5.1 Getting Started with GATK**

5.1.1 Operating Requirements

5.1.2 Command-Line Syntax

5.1.3 Multithreading with Spark

5.1.4 Running GATK in Practice

### **5.2 Getting Started with Variant Discovery**

5.2.1 Calling Germline SNPs and Indels with HaplotypeCaller

5.2.2 Filtering Based on Variant Context Annotations

### **5.3 Introducing the GATK Best Practices**

5.3.1 Best Practices Workflows Covered in This Book

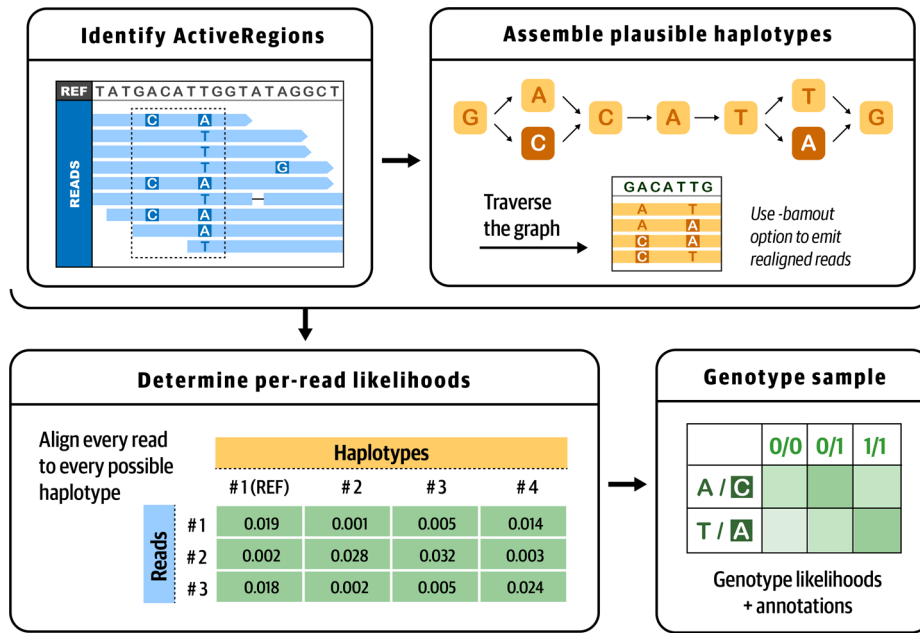


Figure 5.1: The four stages of HaplotypeCaller's operation.



Figure 5.2: The original BAM file and output VCF file loaded in IGV.

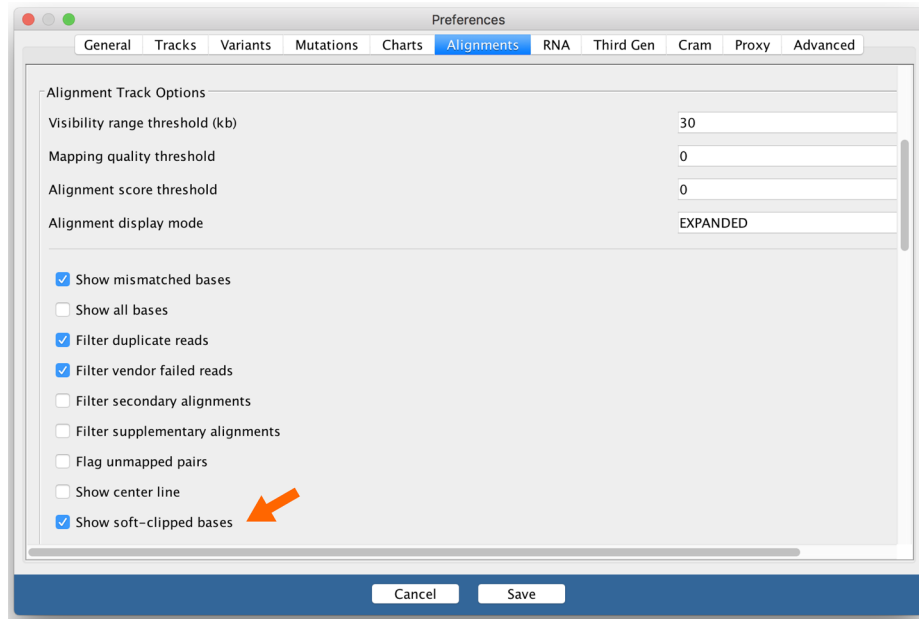


Figure 5.3: IGV alignment settings.

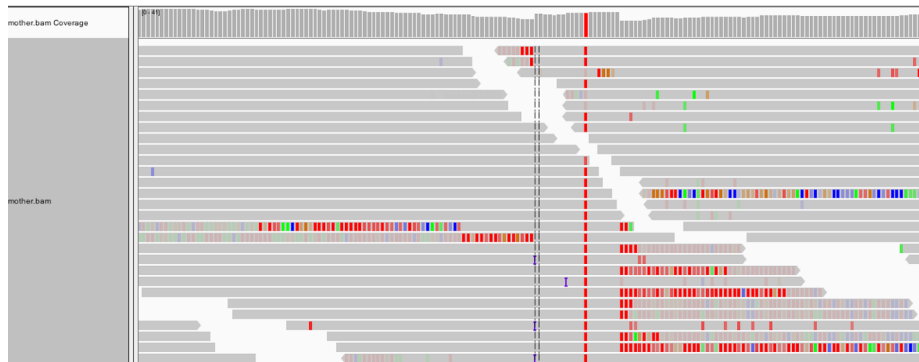


Figure 5.4: Turning on the display of soft clips shows a lot of information that was hidden.

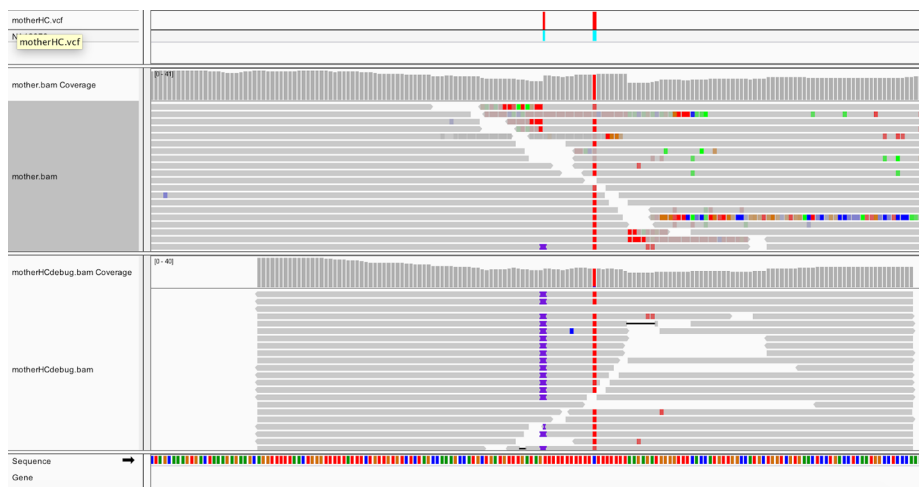
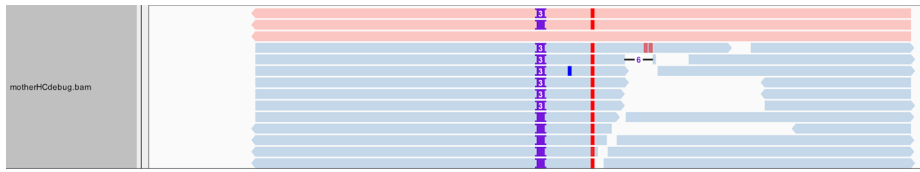
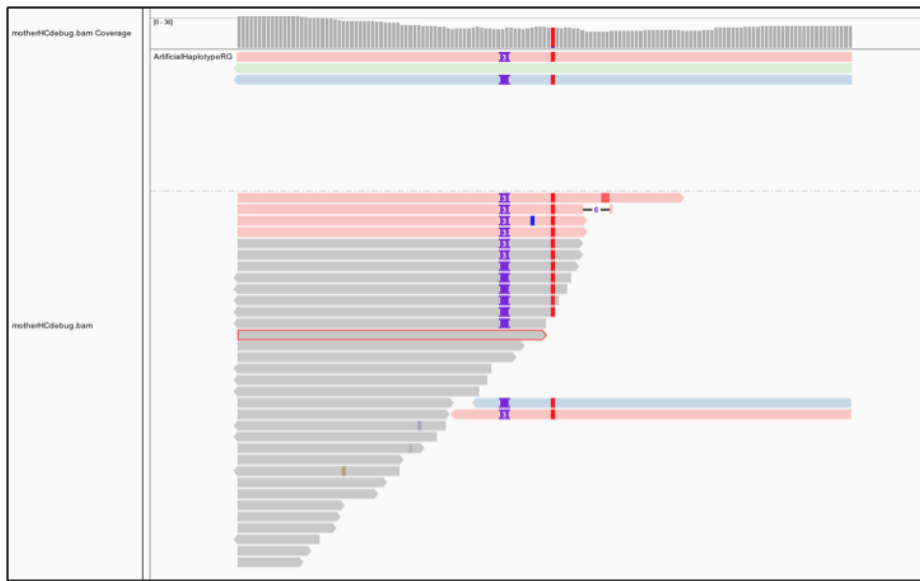


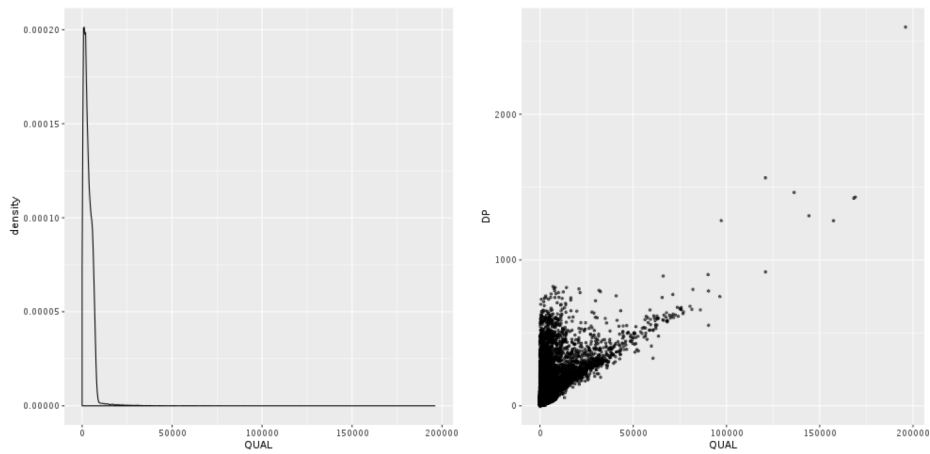
Figure 5.5: Realigned reads in the bamout file (bottom track).



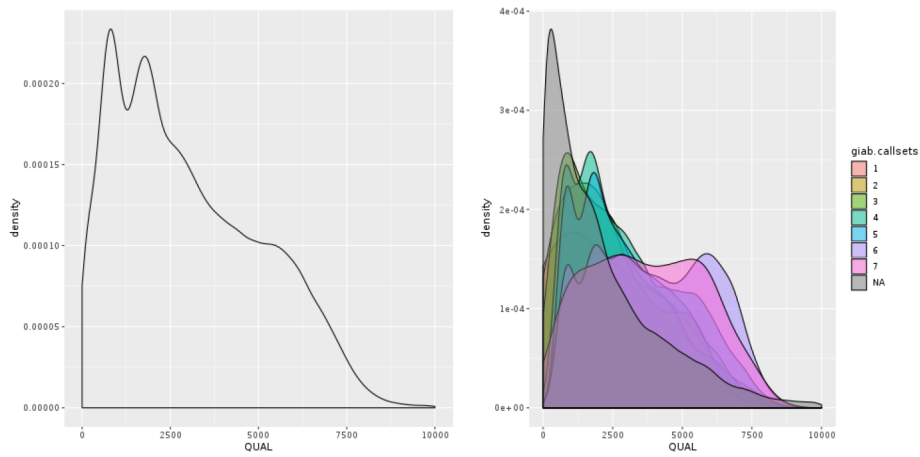
**Figure 5.6:** Bamout shows artificial haplotypes constructed by HaplotypeCaller.



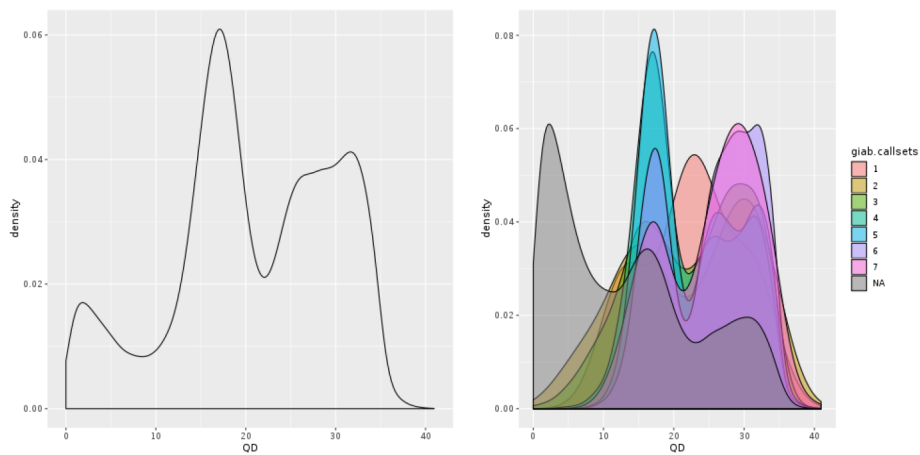
**Figure 5.7:** Bamout shows support per haplotype.



**Figure 5.8:** Density plot of QUAL (left); scatter plot of QUAL versus DP (right).



**Figure 5.9:** Density plot of QUAL: all calls together (left); stratified by callsets annotation (right).



**Figure 5.10:** Density plot of QD: all calls together (left); stratified by callsets annotation (right).

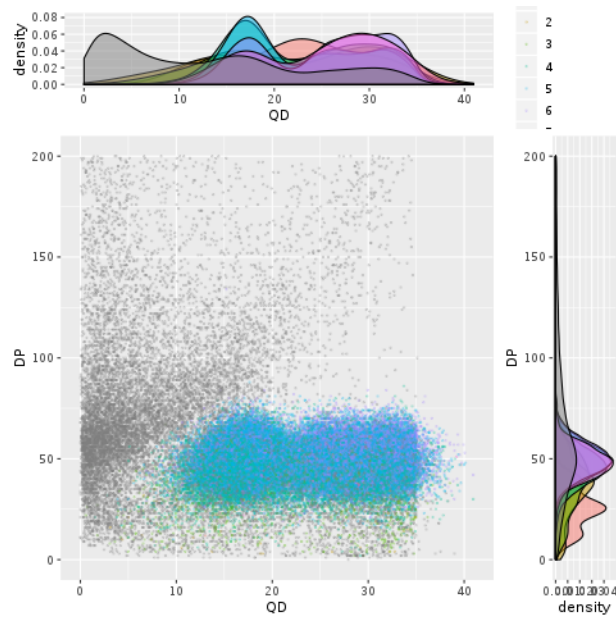


Figure 5.11: A scatter plot with marginal densities of QD versus DP.

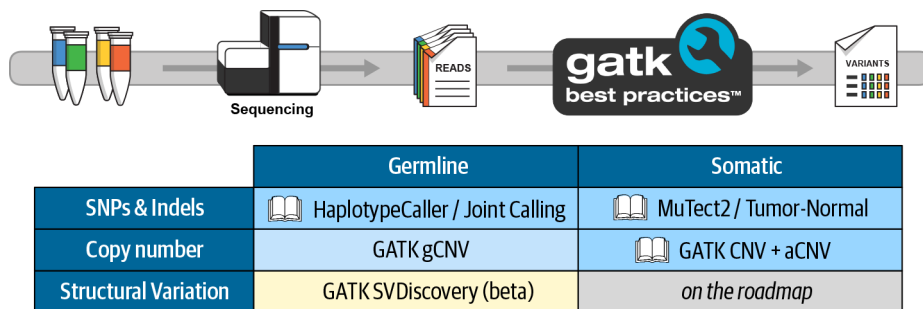


Figure 5.12: Table of standard variant discovery use cases covered by GATK Best Practices.

## Chapter 6 GATK Best Practices for Germline Short Variant Discovery

---

Step by step examination of what may be the most commonly run genomics pipeline in the world, with highlights on joint calling for populations and deep learning for single-sample analysis.

### **6.1 Data Preprocessing**

**6.1.1** Mapping Reads to the Genome Reference

**6.1.2** Marking Duplicates

**6.1.3** Recalibrating Base Quality Scores

### **6.2 Joint Discovery Analysis**

**6.2.1** Overview of the Joint Calling Workflow

**6.2.2** Calling Variants per Sample to Generate GVCFs

**6.2.3** Consolidating GVCFs

**6.2.4** Applying Joint Genotyping to Multiple Samples

**6.2.5** Filtering the Joint Callset with Variant Quality Score Recalibration

**6.2.6** Refining Genotype Assignments and Adjusting Genotype Confidence

**6.2.7** Next Steps and Further Reading

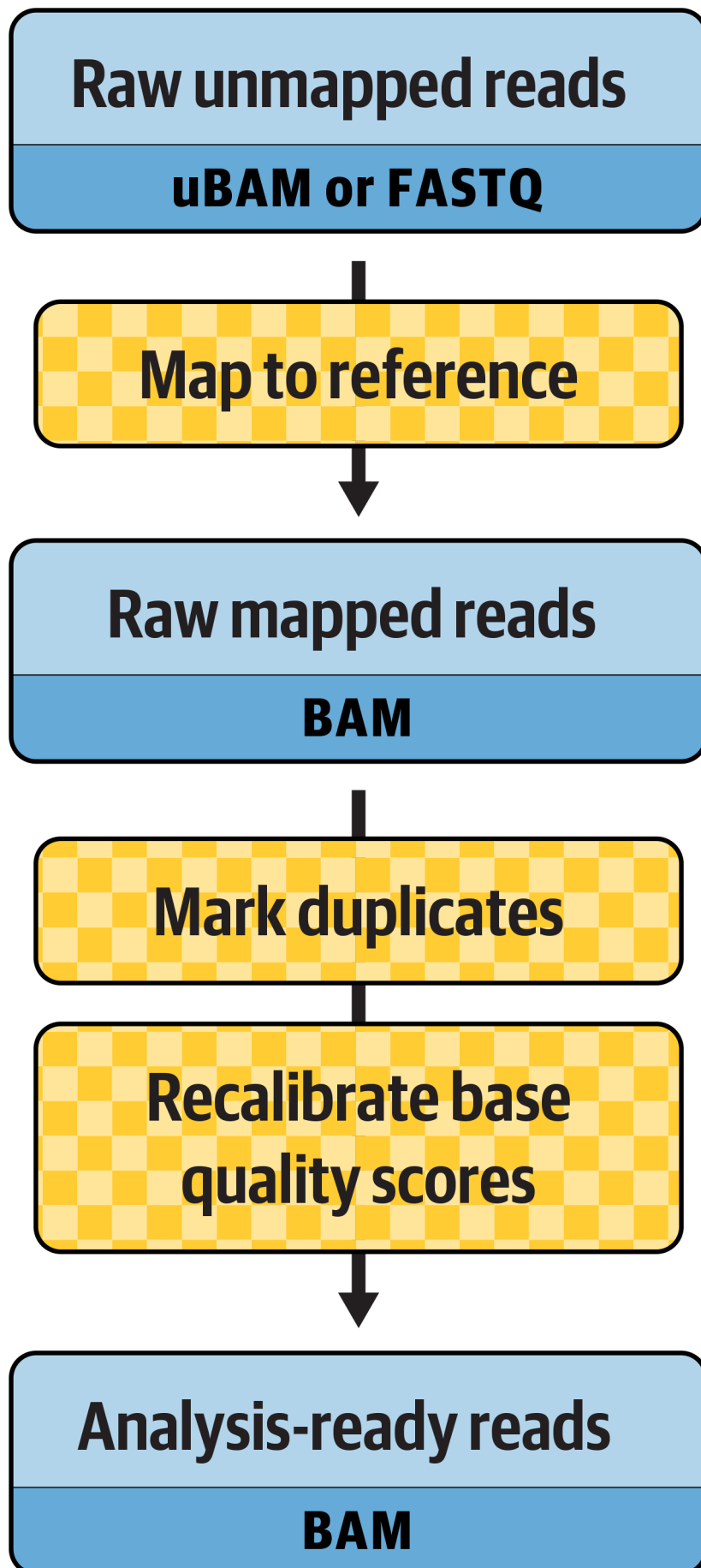
### **6.3 Single-Sample Calling with CNN Filtering**

**6.3.1** Overview of the CNN Single-Sample Workflow

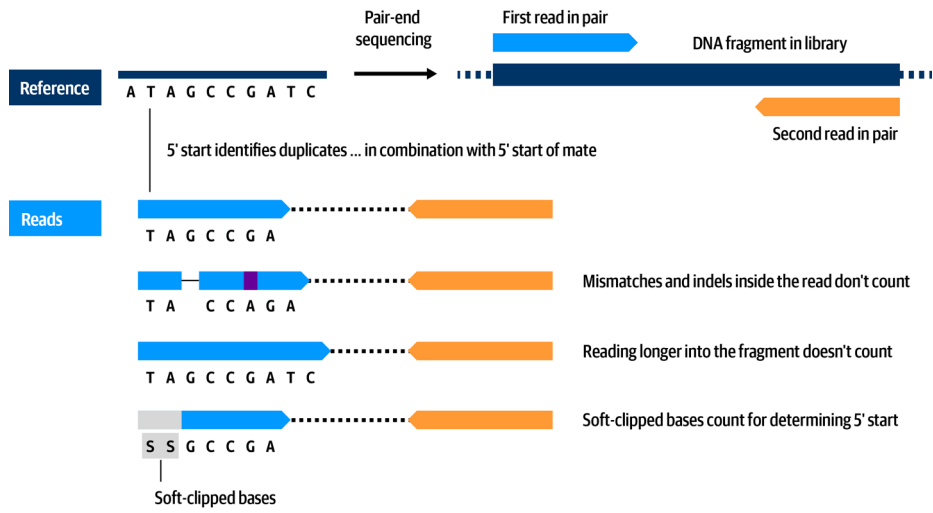
**6.3.2** Applying 1D CNN to Filter a Single-Sample WGS Callset

**6.3.3** Applying 2D CNN to Include Read Data in the Modeling

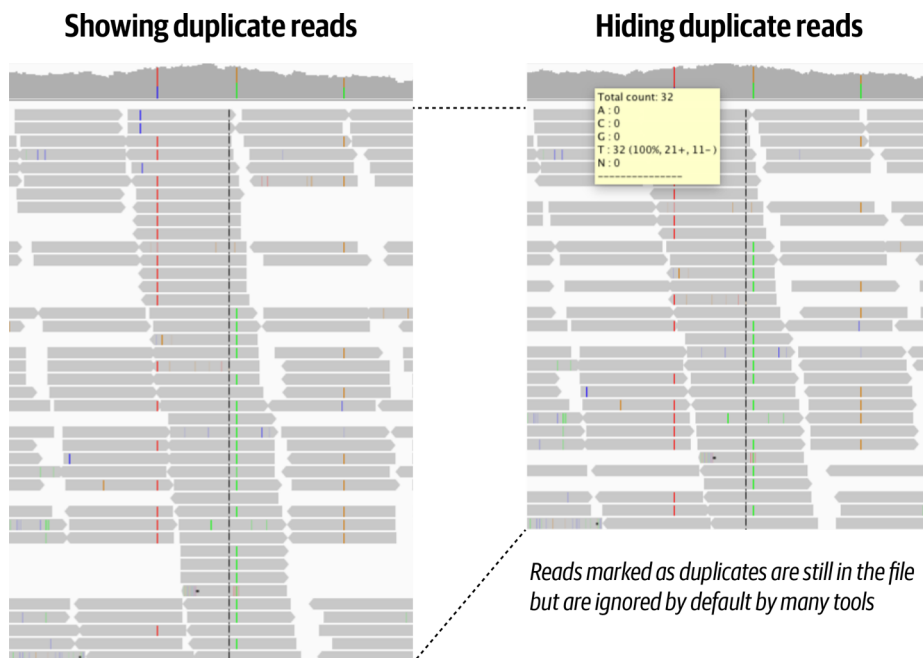




**Figure 6.1:** The main steps in the preprocessing workflow.



**Figure 6.2:** Reads marked as duplicates because they originated from the same DNA fragment in the library.



**Figure 6.3:** The effect of duplicate marking visualized in Integrated Genome Viewer.

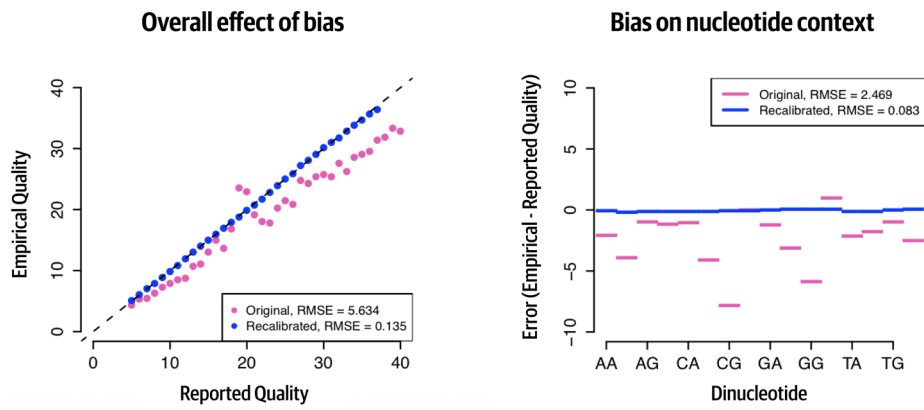
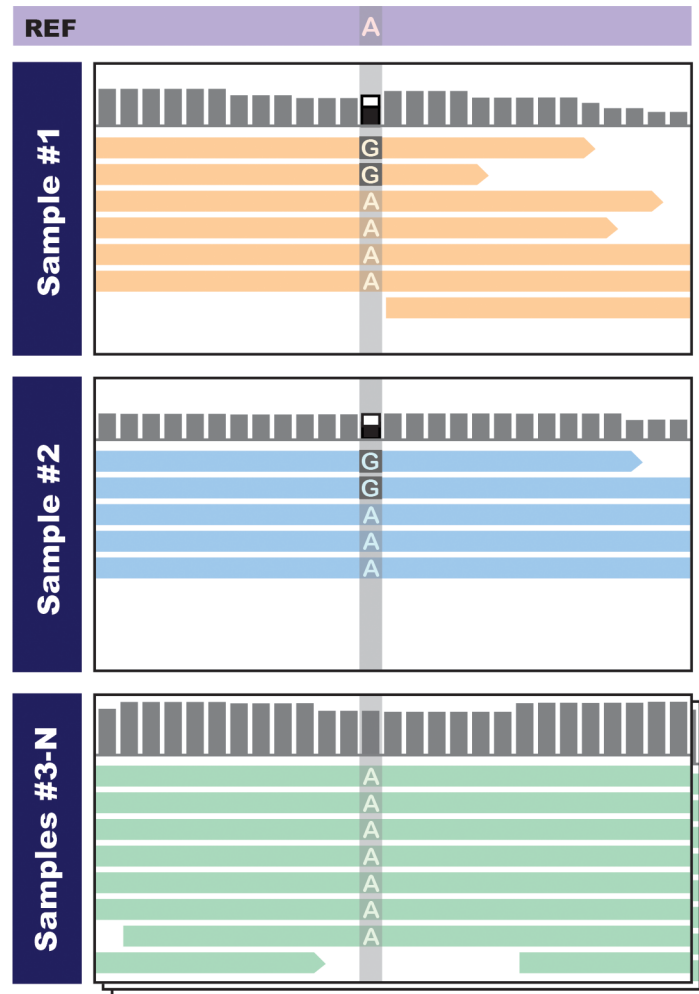


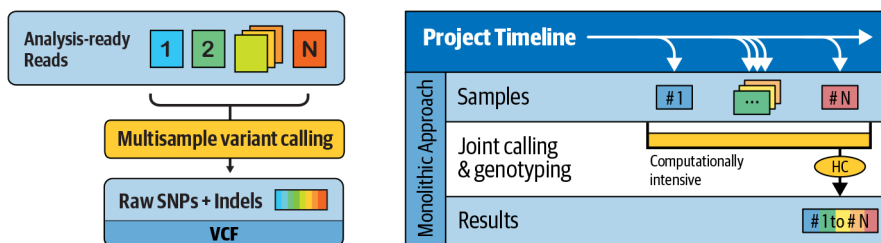
Figure 6.4: Visualizing the effect of BQSR.



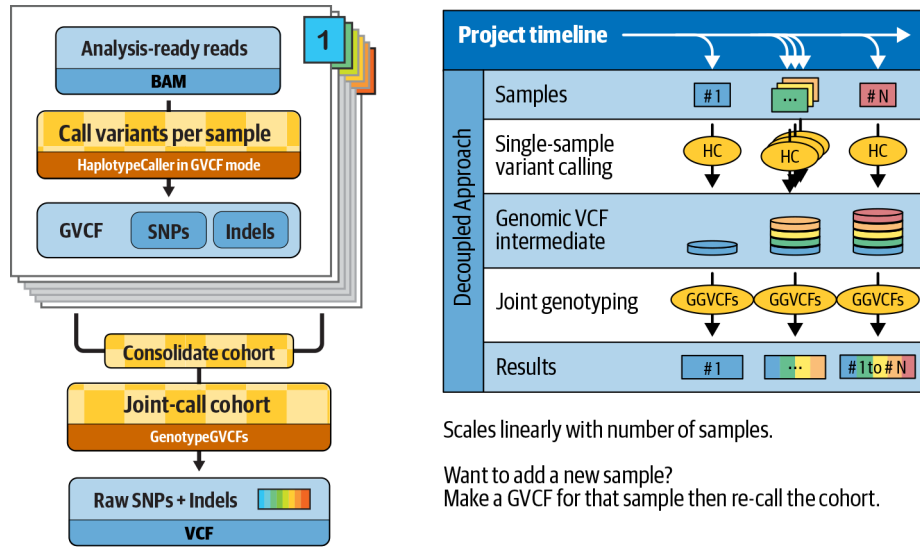
Figure 6.5: Sites that would be omitted from the VCF in a single-sample callset.



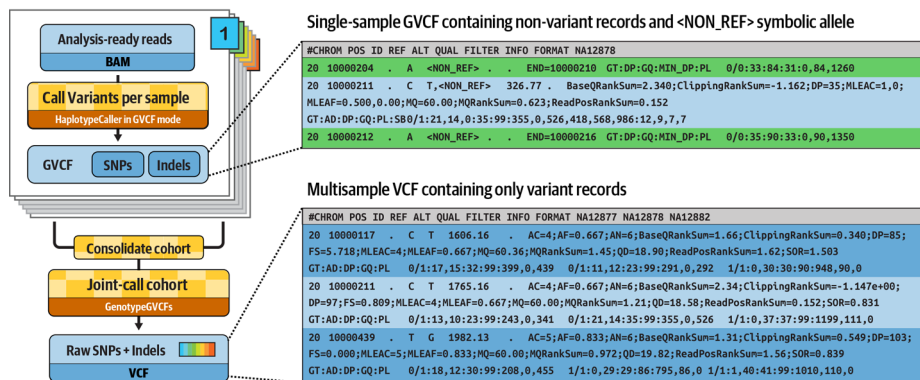
**Figure 6.6:** Seeing concordant evidence in multiple samples boosts our confidence that there is real variation.



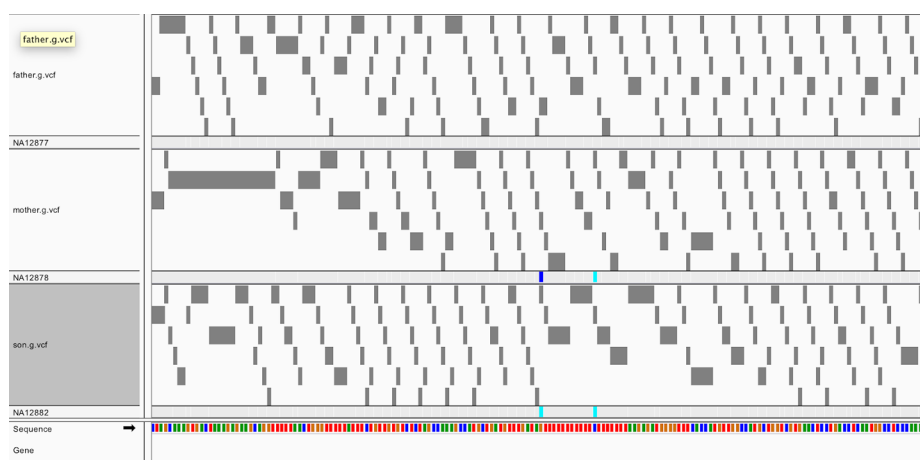
**Figure 6.7:** Traditional multisample analysis scales poorly and causes the  $N + 1$  problem.



**Figure 6.8:** The GVCF workflow improves the scaling of joint calling and solves the  $N + 1$  problem.



**Figure 6.9:** Progression from per-sample GVCFs to final cohort VCF.



**Figure 6.10:** GVCFs viewed in IGV show tiled nonvariant blocks.



Figure 6.11: Variant call with genotype assignment for the three samples.

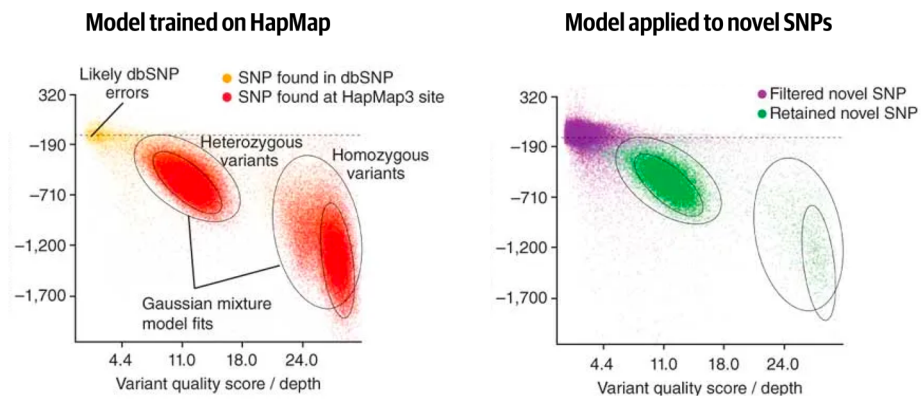


Figure 6.12: Gaussian clusters learned from a training set are applied to novel variant calls.

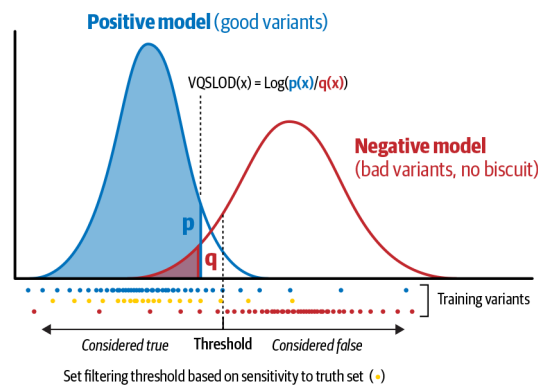


Figure 6.13: How the VQSLOD score is calculated for an individual annotation.

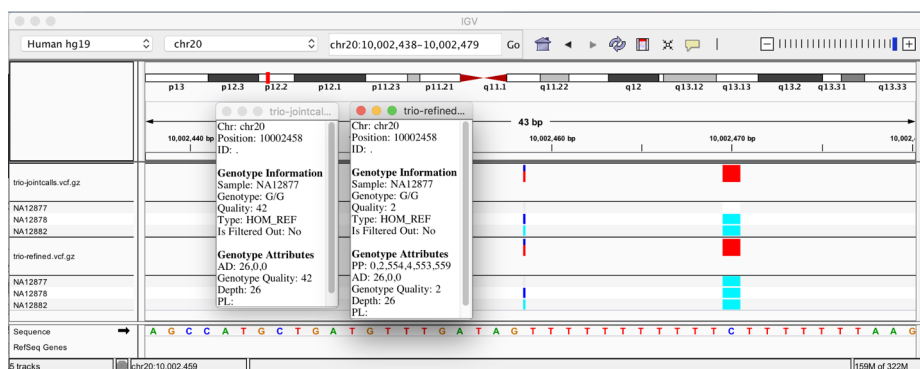


Figure 6.14: Genotype assignments corrected on the basis of pedigree and population priors.



Figure 6.15: Labradoodle or fried chicken? (Source: Karen Zack, @teenybiscuit).



Figure 6.16: Different calls made by 1D and 2D CNN models.

## Chapter 7 GATK Best Practices for Somatic Variant Discovery

---

Switching gears to cancer genomics with a rundown of how somatic calling is different; step by step through the pipelines for somatic short variants (Mutect2) and copy number alterations.

### **7.1 Challenges in Cancer Genomics**

### **7.2 Somatic Short Variants (SNVs and Indels)**

- 7.2.1 Overview of the Tumor-Normal Pair Analysis Workflow
- 7.2.2 Creating a Mutect2 PoN
- 7.2.3 Running Mutect2 on the Tumor-Normal Pair
- 7.2.4 Estimating Cross-Sample Contamination
- 7.2.5 Filtering Mutect2 Calls
- 7.2.6 Annotating Predicted Functional Effects with Funcotator

### **7.3 Somatic Copy-Number Alterations**

- 7.3.1 Overview of the Tumor-Only Analysis Workflow
- 7.3.2 Collecting Coverage Counts
- 7.3.3 Creating a Somatic CNA PoN
- 7.3.4 Applying Denoising
- 7.3.5 Performing Segmentation and Call CNAs
- 7.3.6 Additional Analysis Options



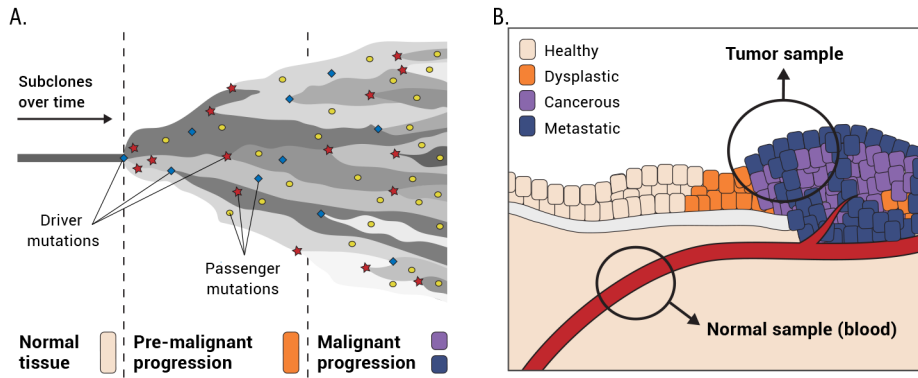


Figure 7.1: Tumor progression leads to heterogeneity (left); sampling is difficult (right).

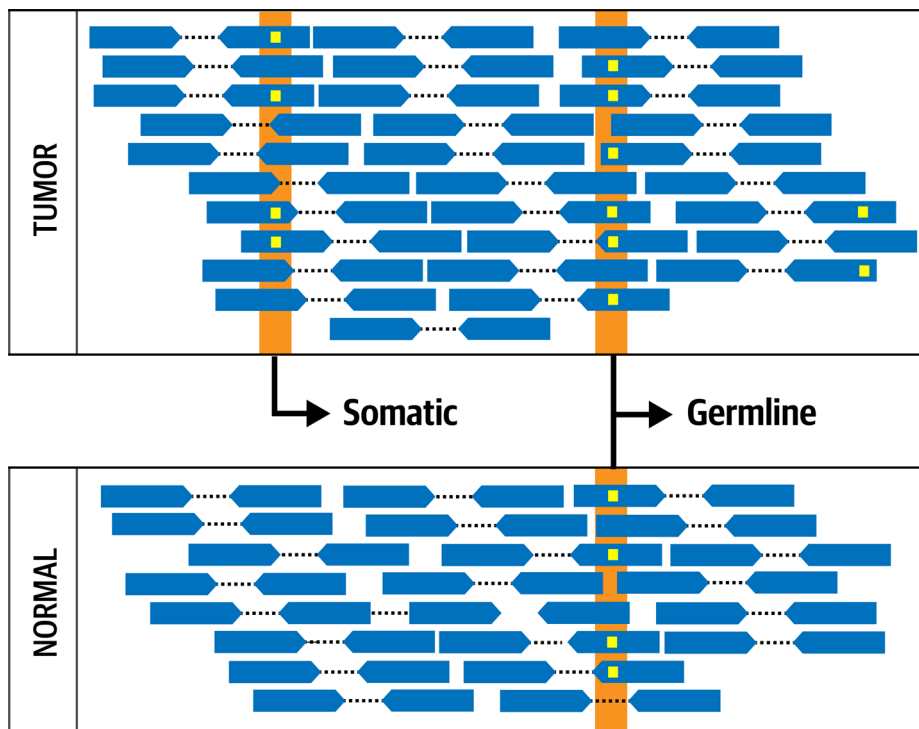


Figure 7.2: The fundamental concept of Tumor-Normal comparison.

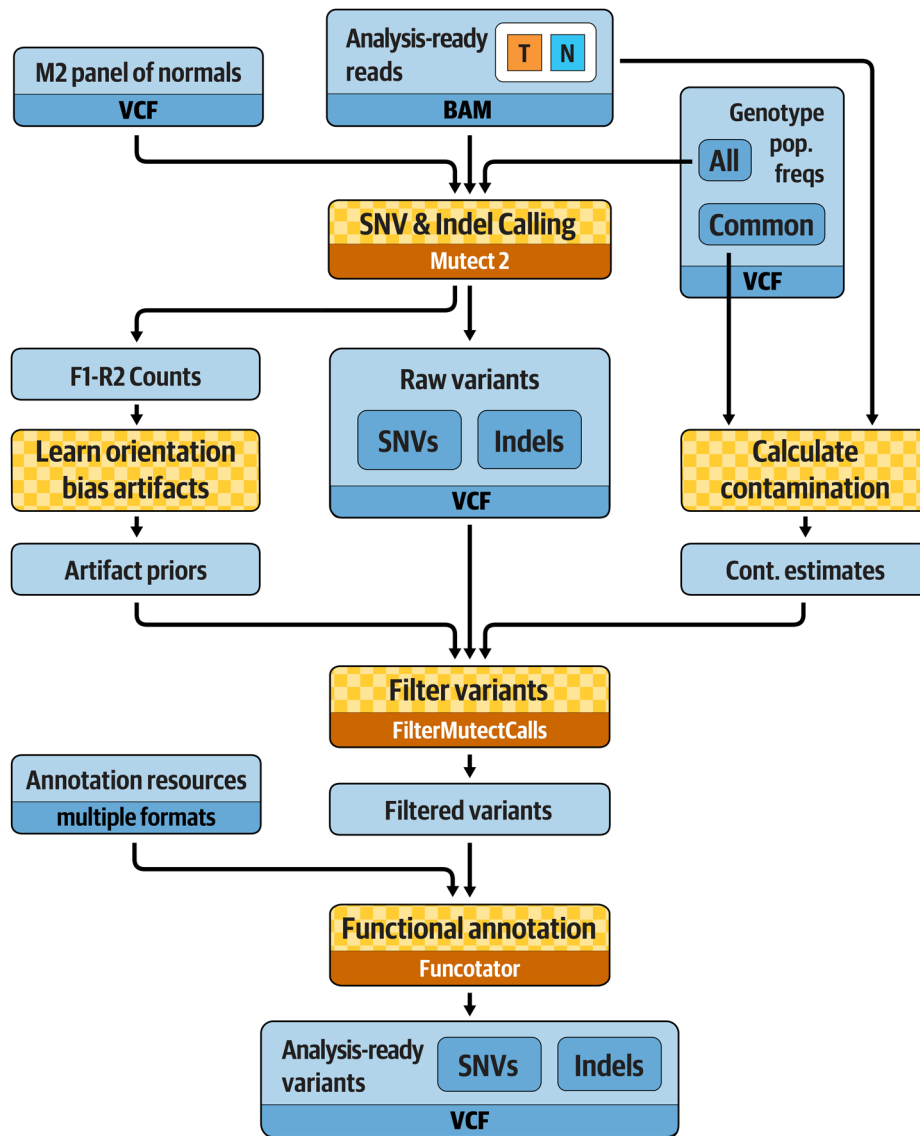


Figure 7.3: Best Practices for somatic short variant discovery.

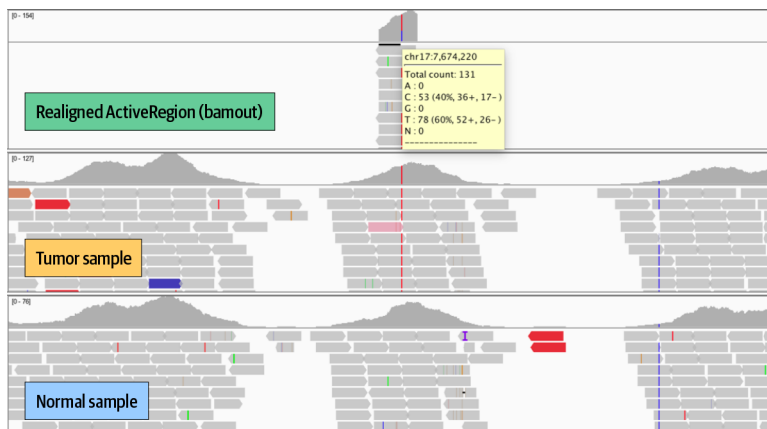
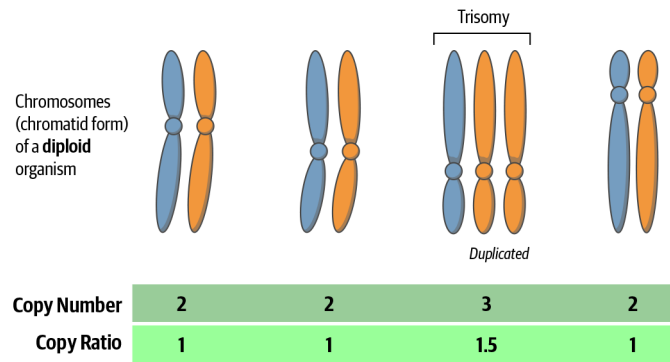
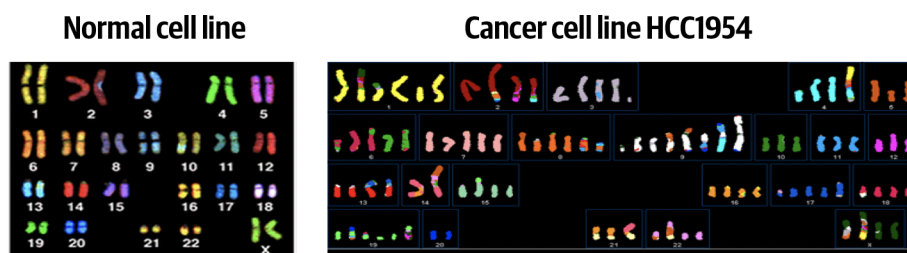


Figure 7.4: Zooming in on TP53 in IGV.



**Figure 7.5:** Difference between copy number and copy ratio.



**Figure 7.6:** Spectral karyotyping paints each chromosome pair with a color, showing various chromosomal segments that are amplified or missing (colors in left and right panels are not expected to match).

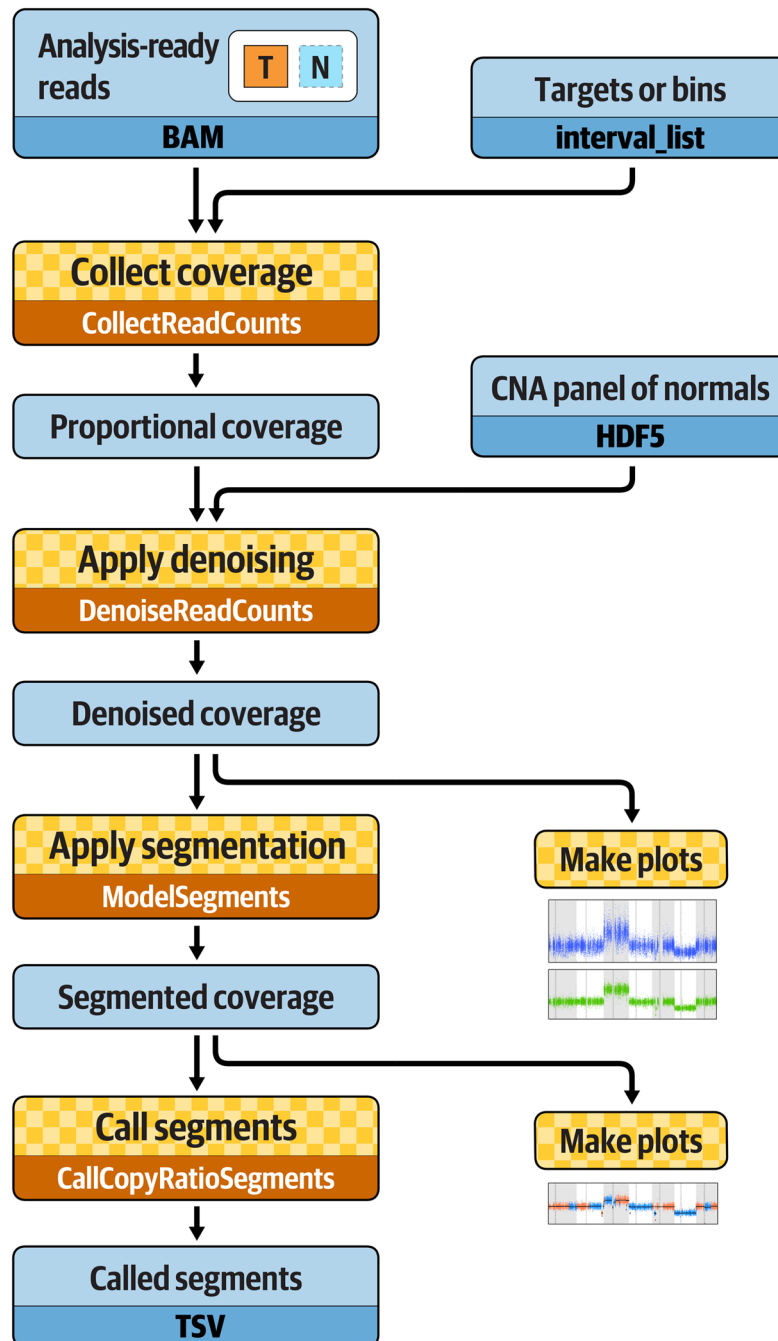
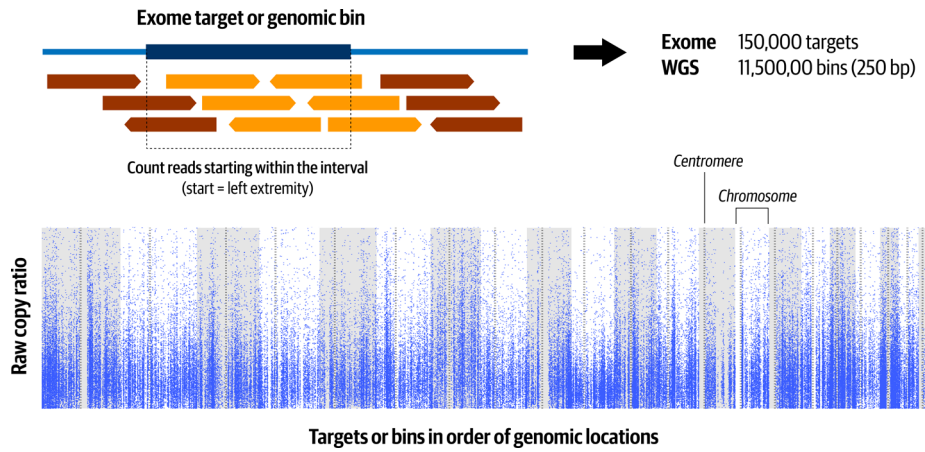
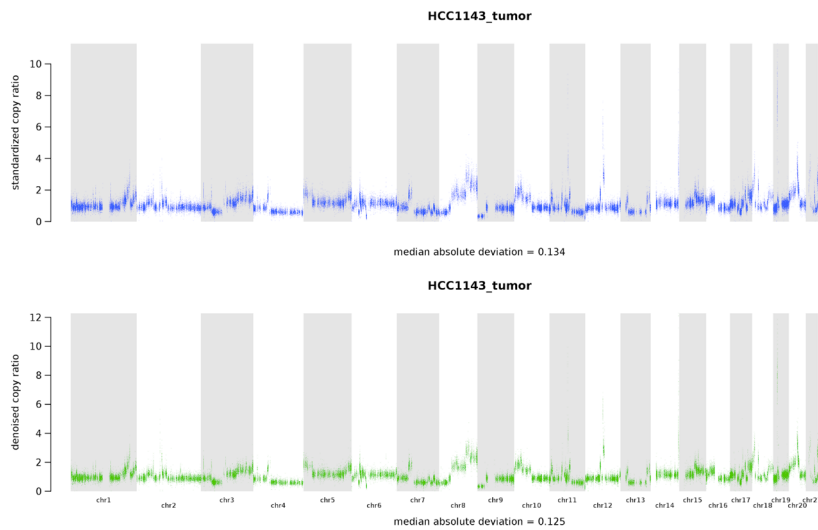


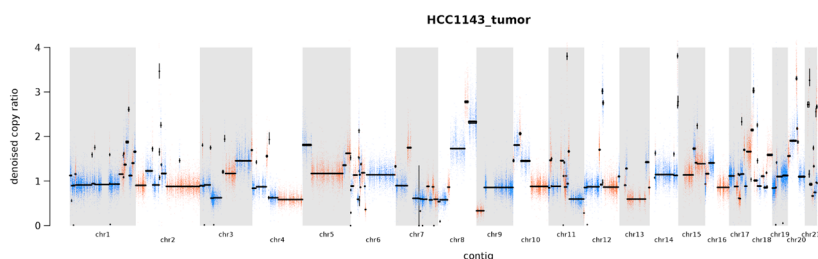
Figure 7.7: Best Practices workflow for somatic copy-number alteration discovery.



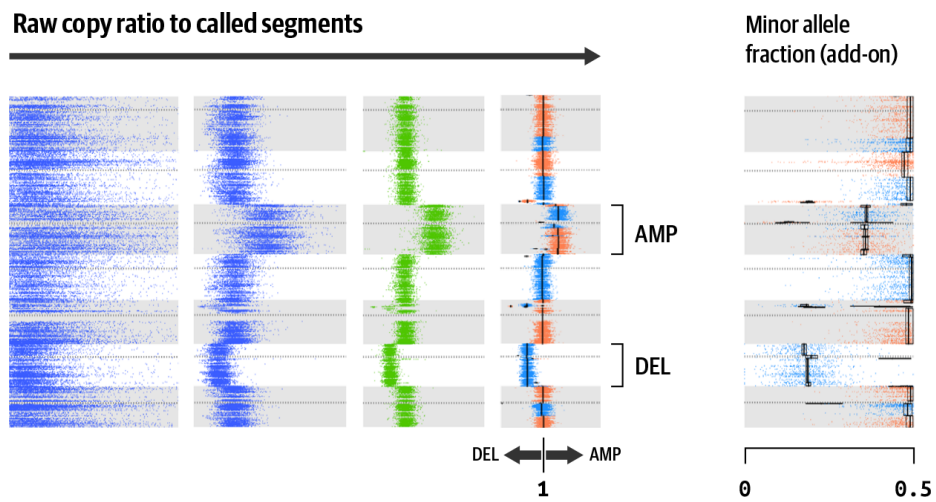
**Figure 7.8:** Read counts in each genomic target or bin form the basis for estimating segmented copy ratio, and each dot is the value for a single target or bin.



**Figure 7.9:** Copy-number alteration analysis plots showing the standardized copy ratios after the first step of denoising (top) and the fully denoised copy ratios after the second round (bottom).



**Figure 7.10:** Plot of segments modeled based on denoised copy ratios.



**Figure 7.11:** Full progression from raw data to results.

## Chapter 8 Automating Analysis Execution with Workflows

---

Halfway point; we pivot to the challenges of automating and scaling up these analyses, introducing the Cromwell workflow system and the portable Workflow Description Language (WDL).

### 8.1 Introducing WDL and Cromwell

### 8.2 Installing and Setting Up Cromwell

### 8.3 Your First WDL: Hello World

8.3.1 Learning Basic WDL Syntax Through a Minimalist Example

8.3.2 Running a Simple WDL with Cromwell on Your Google VM

8.3.3 Interpreting the Important Parts of Cromwell's Logging Output

8.3.4 Adding a Variable and Providing Inputs via JSON

8.3.5 Adding Another Task to Make It a Proper Workflow

### 8.4 Your First GATK Workflow: Hello HaplotypeCaller

8.4.1 Exploring the WDL

8.4.2 Generating the Inputs JSON

8.4.3 Running the Workflow

8.4.4 Breaking the Workflow to Test Syntax Validation and Error Messaging

### 8.5 Introducing Scatter-Gather Parallelism

8.5.1 Exploring the WDL

8.5.2 Generating a Graph Diagram for Visualization

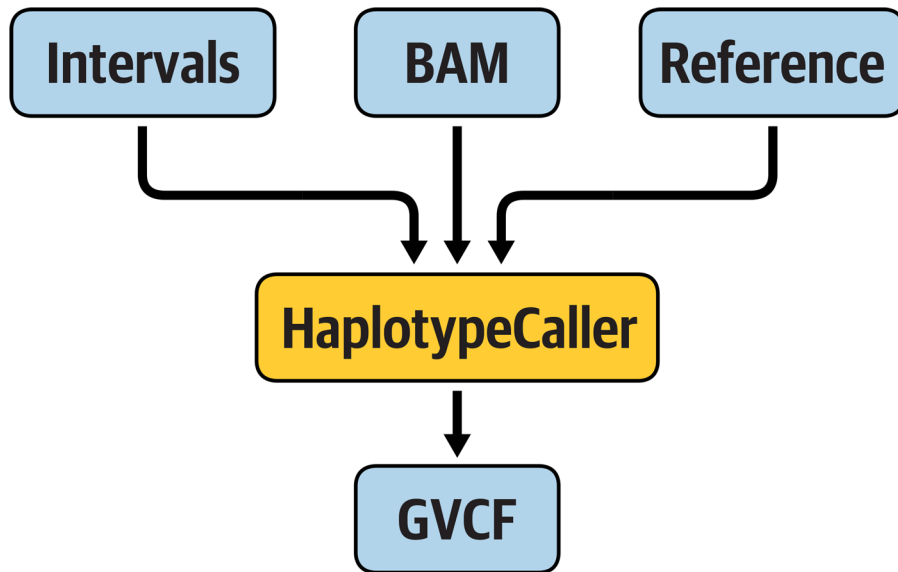


Figure 8.1: A hypothetical workflow that runs HaplotypeCaller.

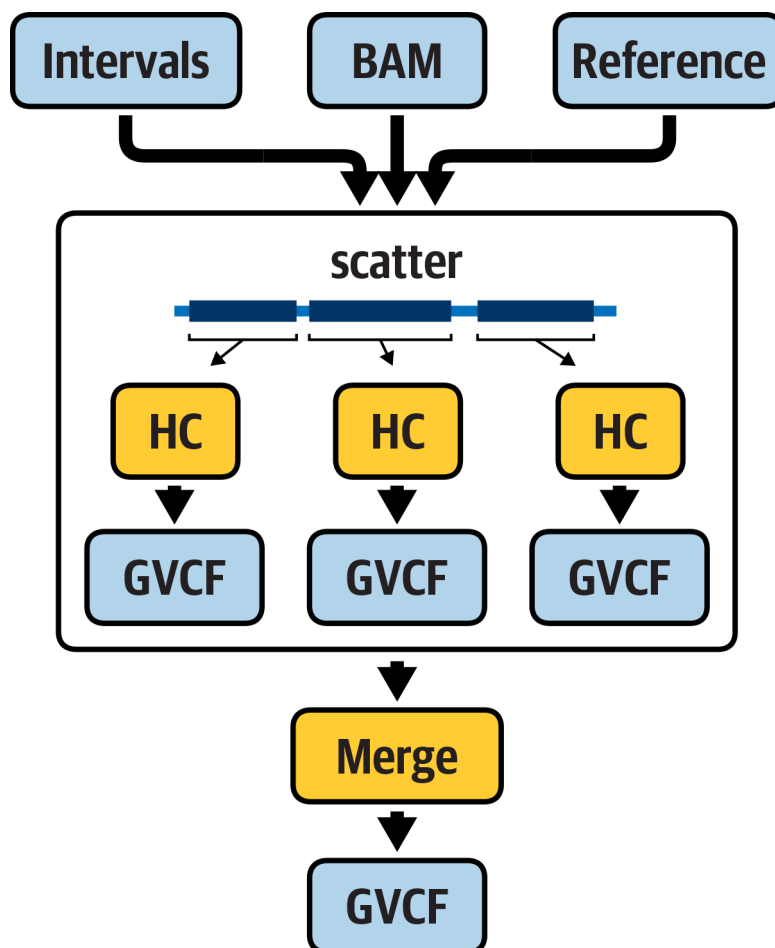


Figure 8.2: A workflow that parallelizes the execution of HaplotypeCaller.





**Figure 8.3:** Visualizing the workflow graph in an online Graphviz application.

## Chapter 9 Deciphering Real Genomics Workflows

---

We pretend to stumble across 2 mystery workflows, go through a systematic process of investigating their content to understand what they do and how they do it, learning useful WDL features along the way.

### **9.1 Mystery Workflow #1: Flexibility Through Conditionals**

**9.1.1** Mapping Out the Workflow

**9.1.2** Reverse Engineering the Conditional Switch

### **9.2 Mystery Workflow #2: Modularity and Code Reuse**

**9.2.1** Mapping Out the Workflow

**9.2.2** Unpacking the Nesting Dolls

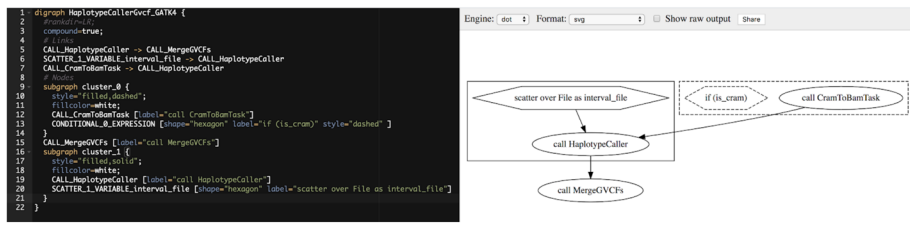


Figure 9.1: Graph description in JSON (left) and visual rendering (right).

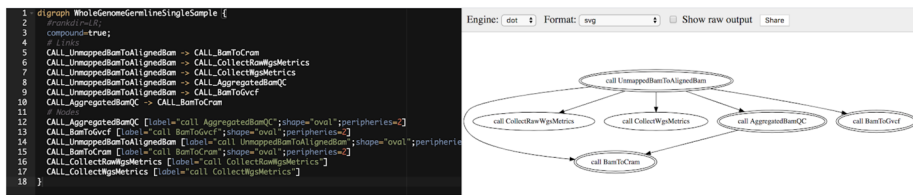


Figure 9.2: Visual rendering of the workflow graph.

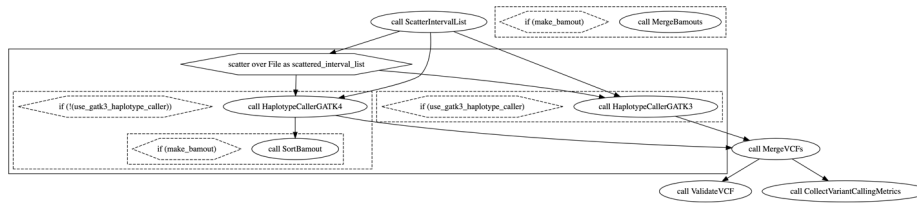


Figure 9.3: Graph diagram of the VariantCalling.wdl workflow.

## Chapter 10 Running Single Workflows at Scale with Pipelines API

---

So far we've been running everything on our little custom VM. Now it's time to unleash the full power of the cloud by dispatching workflow tasks to multiple machines – with surprisingly little effort.

### **10.1 Introducing the GCP Genomics Pipelines API Service**

**10.1.1** Enabling Genomics API and Related APIs in Your Google Cloud Project

### **10.2 Directly Dispatching Cromwell Jobs to PAPI**

**10.2.1** Configuring Cromwell to Communicate with PAPI

**10.2.2** Running Scattered HaplotypeCaller via PAPI

**10.2.3** Monitoring Workflow Execution on Google Compute Engine

### **10.3 Understanding and Optimizing Workflow Efficiency**

**10.3.1** Granularity of Operations

**10.3.2** Balance of Time Versus Money

**10.3.3** Suggested Cost-Saving Optimizations

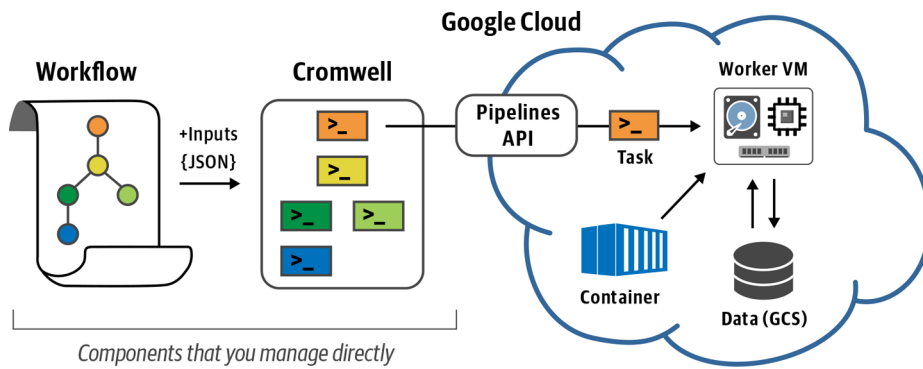
**10.3.4** Platform-Specific Optimization Versus Portability

### **10.4 Wrapping Cromwell and PAPI Execution with WDL Runner**


**10.4.1** Setting Up WDL Runner


**10.4.2** Running the Scattered HaplotypeCaller Workflow with WDL Runner


**10.4.3** Monitoring WDL Runner Execution



**Figure 10.1:** Overview of Cromwell + PAPI operation.

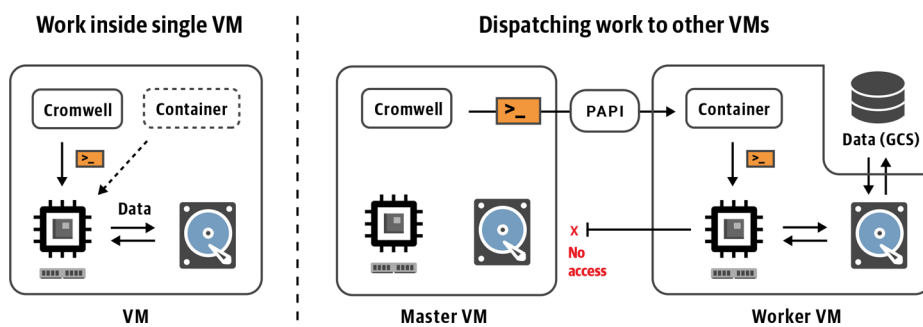
- 

**Compute Engine API**  
 Google  
 Compute Engine API
- 






**Genomics API**  
 Google  
 Uploads, processes, queries, and searches Genomics data in the cloud.
- 

**Google Cloud Storage JSON API**  
 Google  
 Lets you store and retrieve potentially-large, immutable data objects.

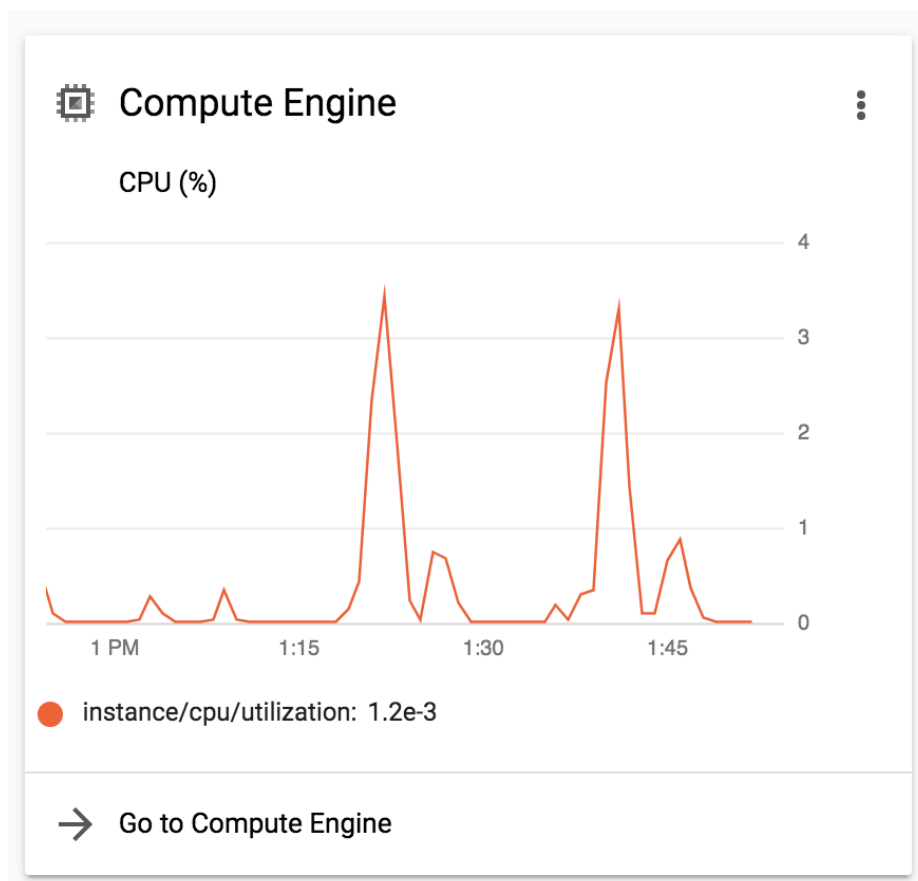
**Figure 10.2:** Logos and descriptions for the three required APIs: Genomics API, Cloud Storage JSON API, and Compute Engine API.



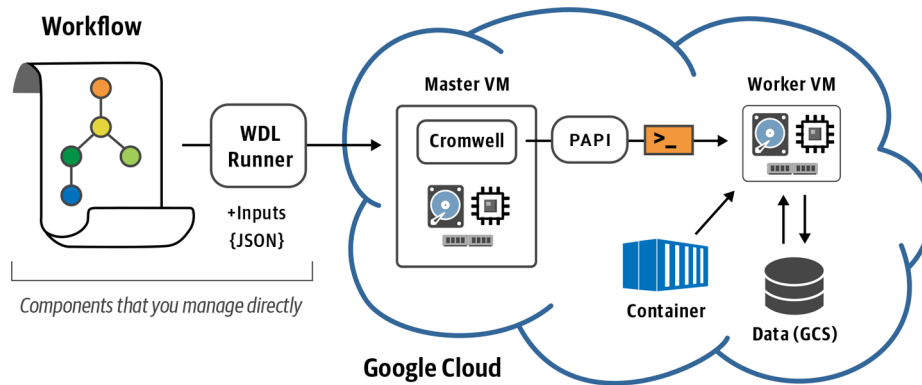
**Figure 10.3:** Side-by-side comparison of local versus PAPI execution.

<input type="checkbox"/> Name ^	Zone
<input type="checkbox"/>  genomics-book	us-east4-a
<input type="checkbox"/>  google-pipelines-worker-5b28edc7721c22b207a3e7e87ebab785	us-central1-b
<input type="checkbox"/>  google-pipelines-worker-663c3ea65769678589c1dd0584dba4dc	us-central1-b
<input type="checkbox"/>  google-pipelines-worker-716eac925cdb3880ae0327a789349724	us-central1-b
<input type="checkbox"/>  google-pipelines-worker-a6f2b73dc9df5bc855b5a74db4bb7448	us-central1-b

**Figure 10.4:** List of active VM instances.



**Figure 10.5:** Overview of Compute Engine activity.



**Figure 10.6:** Overview of WDL Runner operation.

<input type="checkbox"/> Name ^	Zone
<input type="checkbox"/> <input checked="" type="checkbox"/> genomics-book	us-east4-a
<input type="checkbox"/> <input checked="" type="checkbox"/> google-pipelines-worker-49df01d13f4e9a8a425fc9c3d7da91b7	us-central1-b
<input type="checkbox"/> <input checked="" type="checkbox"/> google-pipelines-worker-4dfc38f4ed8642c2e39d3cbd013410fd	us-central1-b
<input type="checkbox"/> <input checked="" type="checkbox"/> google-pipelines-worker-50bf05a598c0bfb64e7c6761b01b030	us-central1-b
<input type="checkbox"/> <input checked="" type="checkbox"/> google-pipelines-worker-f4628e21ce5d31017f0ef3cac27f829c	us-central1-b
<input type="checkbox"/> <input checked="" type="checkbox"/> google-pipelines-worker-f4b02a3582e27f2c215da8d20a7a0371	us-east4-a

**Figure 10.7:** List of active VM instances (WDL Runner submission).

Buckets / [genomics-book](#) / [wdl\\_runner](#) / test

<input type="checkbox"/> Name	Size	Type	Storage class	Last modified
<input type="checkbox"/> <input checked="" type="checkbox"/> logging	110.63 KB	application/octet-stream	Standard	12/15/19, 4:42:05 AM UTC-5
<input type="checkbox"/> <input checked="" type="checkbox"/> output/	–	Folder	–	–
<input type="checkbox"/> <input checked="" type="checkbox"/> work/	–	Folder	–	–

**Figure 10.8:** Output from the WDL Runner submission.

## Chapter 11 Running Many Workflows Conveniently in Terra

---

Now we're scaling up to arbitrary numbers of samples, using the managed Cromwell server in Terra, an open platform for secure data access and analysis.

### **11.1 Getting Started with Terra**

**11.1.1** Creating an Account

**11.1.2** Creating a Billing Project

**11.1.3** Cloning the Preconfigured Workspace

### **11.2 Running Workflows with the Cromwell Server in Terra**

**11.2.1** Running a Workflow on a Single Sample

**11.2.2** Running a Workflow on Multiple Samples in a Data Table

**11.2.3** Monitoring Workflow Execution

**11.2.4** Locating Workflow Outputs in the Data Table

**11.2.5** Running the Same Workflow Again to Demonstrate Call Caching

### **11.3 Running a Real GATK Best Practices Pipeline at Full Scale**

**11.3.1** Finding and Cloning the GATK Best Practices Workspace for Germline Short Variant Discovery

**11.3.2** Examining the Preloaded Data

**11.3.3** Selecting Data and Configuring the Full-Scale Workflow

**11.3.4** Launching the Full-Scale Workflow and Monitoring Execution

**11.3.5** Options for Downloading Output Data—or Not



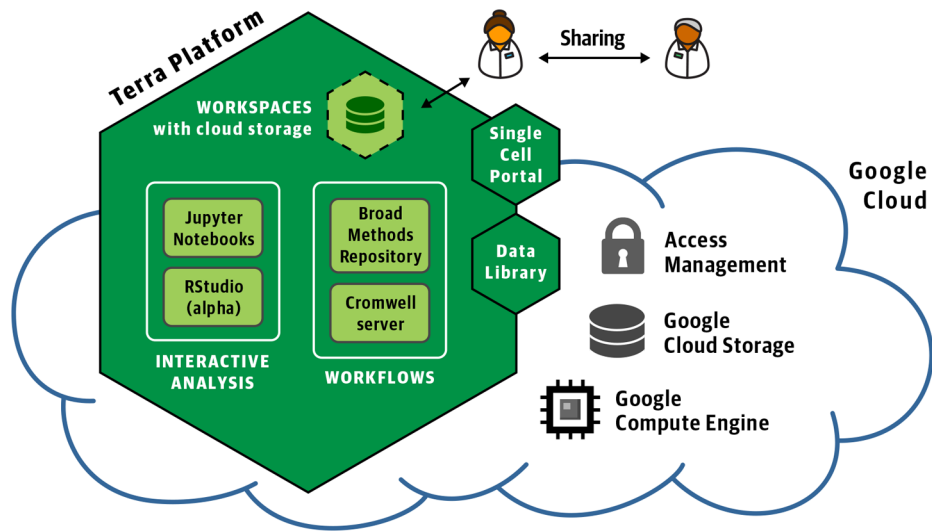
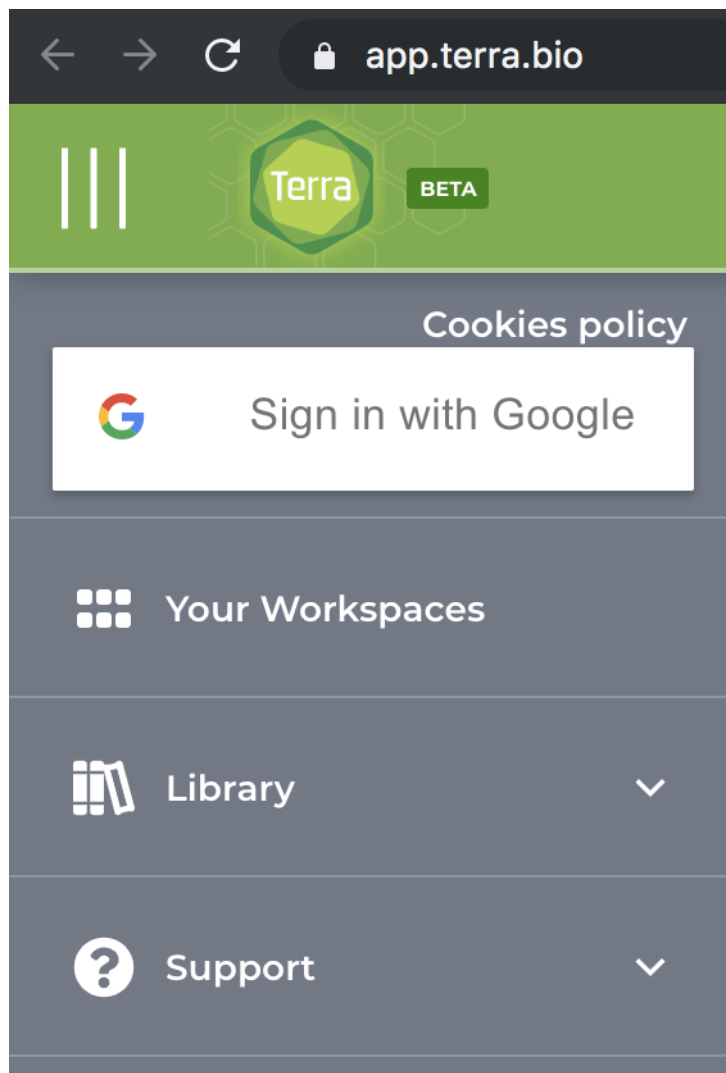



Figure 11.1: Overview of the Terra platform.



**Figure 11.2:** Expanded side menu showing sign-in button.



# TERRA

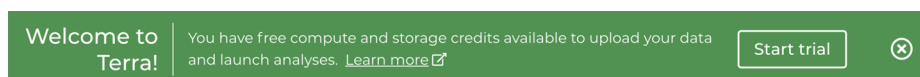
## New User Registration

First Name \*

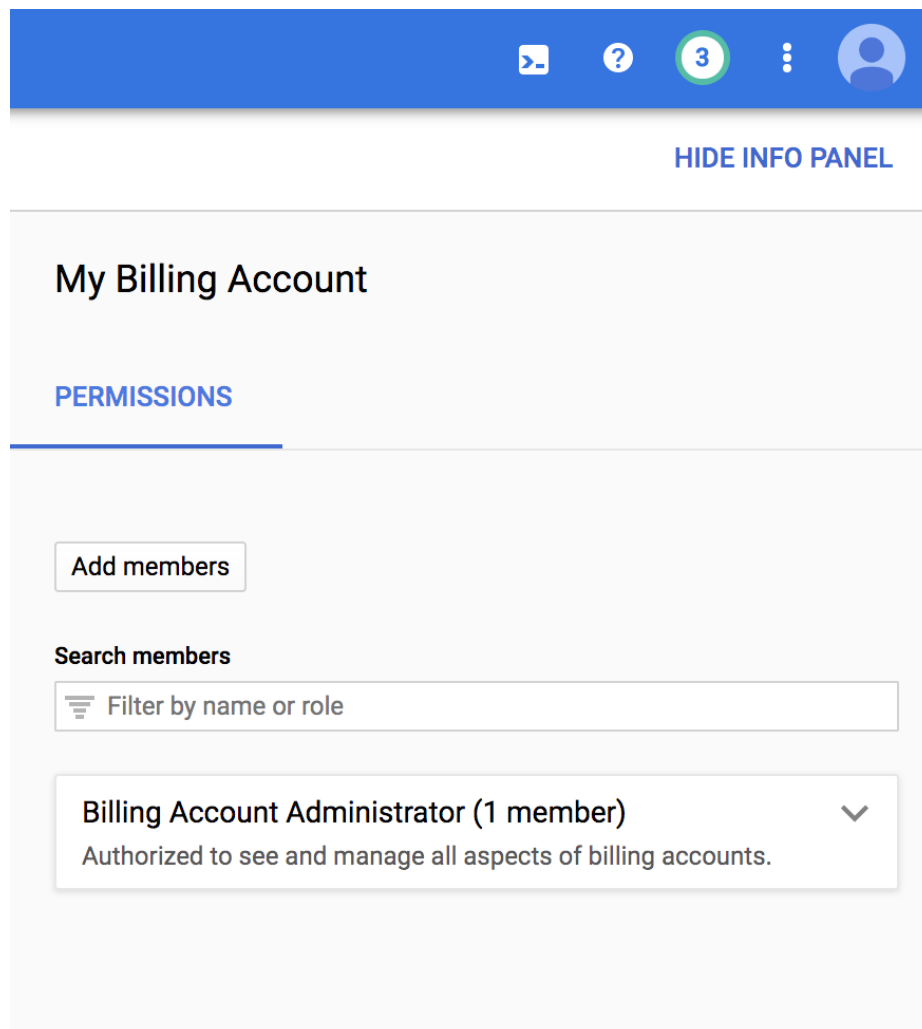
Last Name \*

Contact Email for Notifications \*

**Figure 11.3:** The New User Registration form.



**Figure 11.4:** The GCP console Billing account permissions panel.



**Figure 11.5:** Adding the Terra billing user account as a user on a GCP billing account.

---

## Add members to "My Billing Account"

---

### Add members and roles for "My Billing Account" resource

Enter one or more members below. Then select a role for these members to grant them access to your resources. Multiple roles allowed. [Learn more](#)

New members

terra-billing@terra.bio ✕ ?

Role

Type to filter

Billing	Billing Account Administrator
Cloud Composer	<a href="#">Billing Account User</a>
Dataflow	Billing Account Viewer
Dataproc	
Error Reporting	
Firebase	
IAM	
Logging	

[MANAGE ROLES](#)

**Figure 11.6:** Using an existing billing account to create a billing project in Terra.

## Create Billing Project

Enter name \*

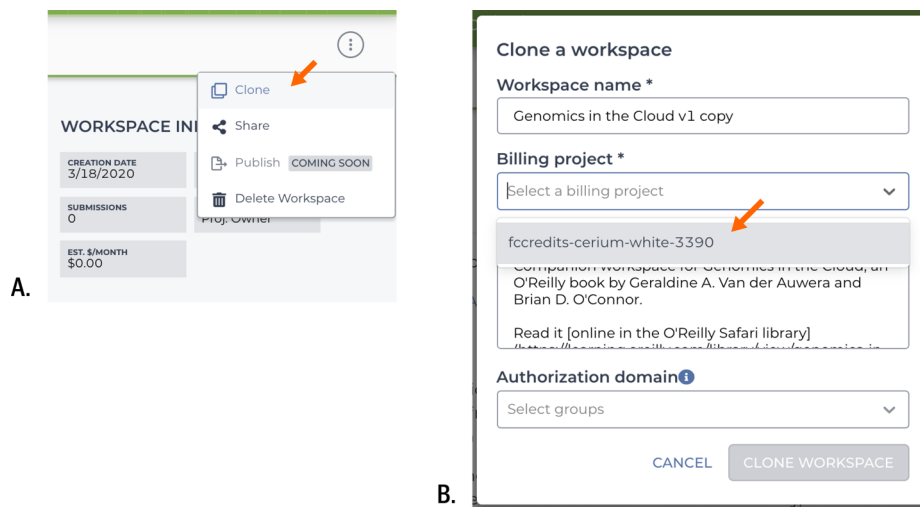
Name must be unique and cannot be changed.

Select billing account \*

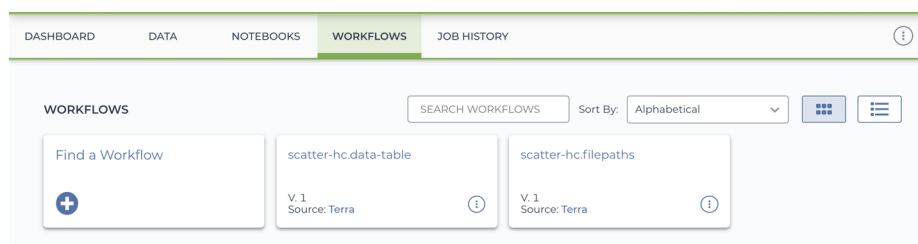
CANCEL

CREATE BILLING PROJECT

**Figure 11.7:** Cloning the preconfigured workspace. A) List of available actions; B) cloning form.



**Figure 11.8:** List of available workflow configurations.



**Figure 11.9:** Viewing the workflow information summary.

scatter-hc.filepaths

Snapshot:

Source: genomics-in-the-cloud/scatter-hc/1

Synopsis: Run GATK4 HaplotypeCaller parallelized by interval

- ✓ This workflow runs the HaplotypeCaller tool from GATK4 in GVCF mode on a single sample in BAM format. The execution of the HaplotypeCaller tool is parallelized using an intervals list file. The per-interval output GVCF files are then merged to produce a single GVCF file for the sample, which can then be used by the joint-discovery workflow according to the GATK Best Practices for germline short variant discovery.
- Run workflow with inputs defined by file paths
- Run workflow(s) with inputs defined by data table

Figure 11.10: Viewing the workflow script.

SCRIPT    INPUTS    OUTPUTS    RUN ANALYSIS

```

1  ## This workflow runs the HaplotypeCaller tool from GATK4 in GVCF mode
2  ## on a single sample in BAM format. The execution of the HaplotypeCaller
3  ## tool is parallelized using an intervals list file. The per-interval
4  ## output GVCF files are then merged to produce a single GVCF file for
5  ## the sample, which can then be used by the joint-discovery workflow
6  ## according to the GATK Best Practices for germline short variant
7  ## discovery.
8
9  version 1.0
10
11 workflow ScatterHaplotypeCallerGVCF {
12
13   input {
14     File input_bam
15     File input_bam_index
16     File intervals_list
17   }
18
19   String output_basename = basename(input_bam, ".bam")
20
21   Array[String] calling_intervals = read_lines(intervals_list)
22
23   scatter(interval in calling_intervals) {
24     call HaplotypeCallerGVCF {

```

Figure 11.11: Viewing the workflow inputs.

SCRIPT    INPUTS    OUTPUTS    RUN ANALYSIS

Download json | Drag or click to upload json    SEARCH INPUTS

Task name	Variable	Type	Attribute
HaplotypeCallerGVCF	docker_image	String	<input type="text" value="us.gcr.io/broad-gatk/gatk4.1.3.0"/> (L)
HaplotypeCallerGVCF	java_opt	String	<input type="text" value="-Xmx8G"/> (L)
HaplotypeCallerGVCF	ref_dict	File	<input type="text" value="gs://genomics-in-the-cloud/v1/data/germline/ref/refdict"/> (L)
HaplotypeCallerGVCF	ref_fasta	File	<input type="text" value="gs://genomics-in-the-cloud/v1/data/germline/ref/reffasta"/> (L)

Figure 11.12: The workflow launch dialog.

### Confirm launch

This analysis will be run by **Cromwell 49**.

This will launch **1** analysis.

Figure 11.13: Overview of workflow submission in Terra.

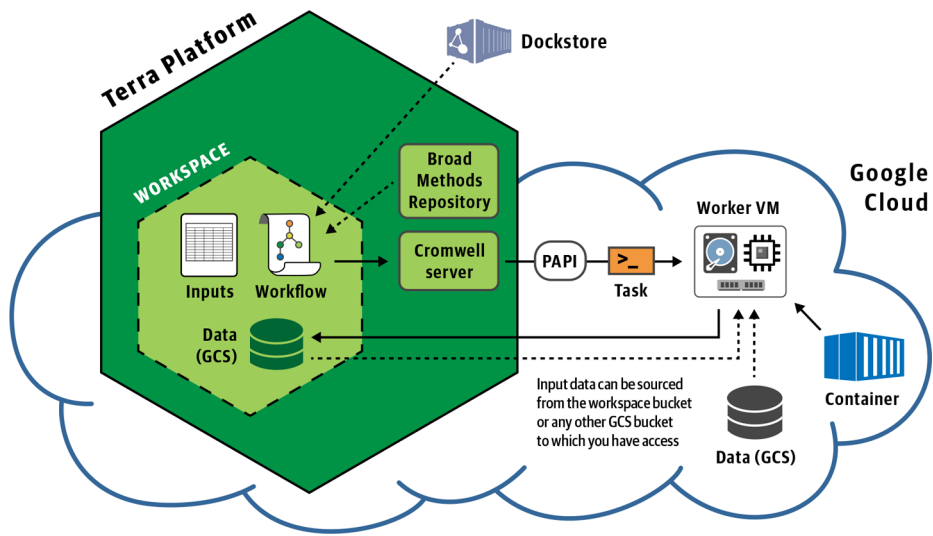


Figure 11.14: The second workflow is set to run on rows in a data table.

Run workflow with inputs defined by file paths  
 Run workflow(s) with inputs defined by data table

**Step 1**  
 Select root entity type:

**Step 2**  
 all 3 book\_samples (will create a new set named "scatter-hc-data-table\_2020-03-19T05-02-55")

Figure 11.15: The workflow input configuration references data tables.

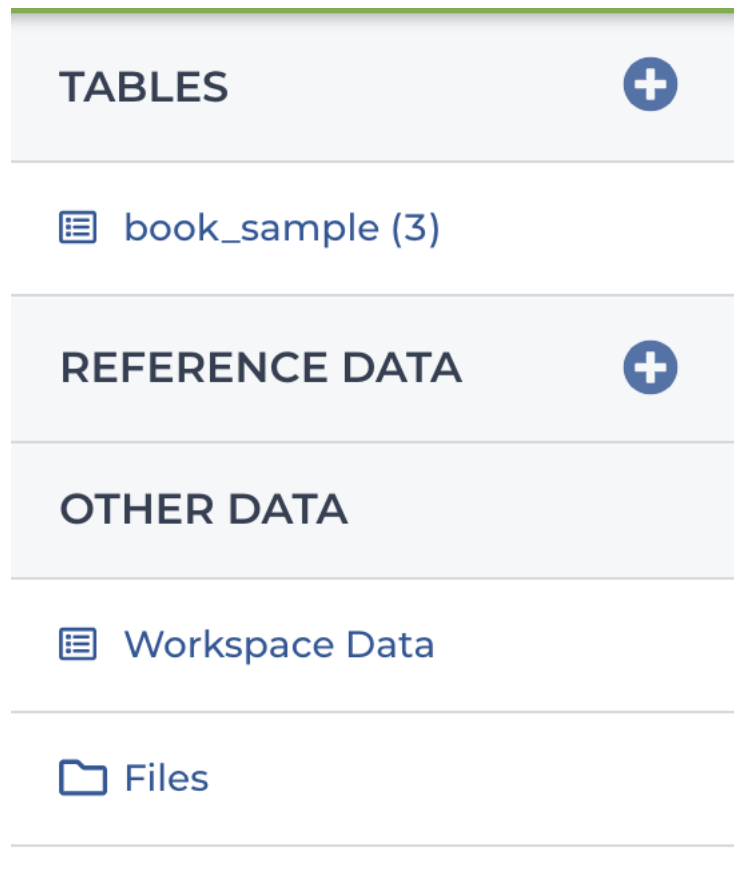
SCRIPT \*\* INPUTS \*\* OUTPUTS \*\* RUN ANALYSIS

Download json | Drag or click to upload json SEARCH INPUTS

Task name	Variable	Type	Attribute
HaplotypeCallerGVCF	docker_image	String	<input type="text" value="workspace.gatk_docker"/> {...}
HaplotypeCallerGVCF	java_opt	String	<input type="text" value="-Xmx8G"/> {...}
HaplotypeCallerGVCF	ref_dict	File	<input type="text" value="workspace.ref_dict"/> {...}
HaplotypeCallerGVCF	ref_fasta	File	<input type="text" value="workspace.ref_fasta"/> {...}
HaplotypeCallerGVCF	ref_index	File	<input type="text" value="workspace.ref_fasta_index"/> {...}
MergeVCFs	docker_image	String	<input type="text" value="workspace.gatk_docker"/> {...}
MergeVCFs	java_opt	String	<input type="text" value="-Xmx8G"/> {...}
ScatterHaplotypeCallerGVCF	input_bam	File	<input type="text" value="this.input_bam"/> {...}
ScatterHaplotypeCallerGVCF	input_bam_index	File	<input type="text" value="this.input_bam_index"/> {...}
ScatterHaplotypeCallerGVCF	intervals_list	File	<input type="text" value="workspace.intervals_list_min"/> {...}

Figure 11.16: Viewing the menu of data tables on the DATA tab.





**Figure 11.17:** The Workspace Data table.

Key	Value
gatk_docker	us.gcr.io/broad-gatk/gatk:4.1.3.0
intervals_list_full	<a href="#">snippet-intervals-full.list</a>
intervals_list_min	<a href="#">snippet-intervals-min.list</a>
ref_dict	<a href="#">ref.dict</a>
ref_fasta	<a href="#">ref.fasta</a>
ref_fasta_index	<a href="#">ref.fasta.fai</a>

**Figure 11.18:** The *book\_sample* table.

<input type="checkbox"/>	book_sample_id ↓	input_bam	input_bam_index
<input type="checkbox"/>	father	<a href="#">father.bam</a>	<a href="#">father.bai</a>
<input type="checkbox"/>	mother	<a href="#">mother.bam</a>	<a href="#">mother.bai</a>
<input type="checkbox"/>	son	<a href="#">son.bam</a>	<a href="#">son.bai</a>

**Figure 11.19:** Initiating an analysis directly on a subset of data.

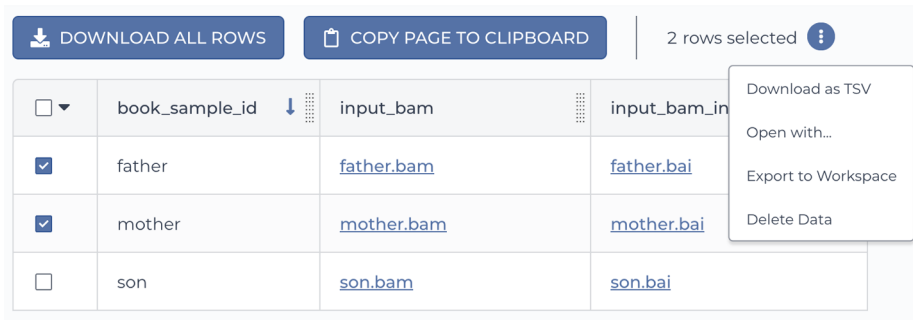


Figure 11.20: Specifying a workflow to run on the selected data.

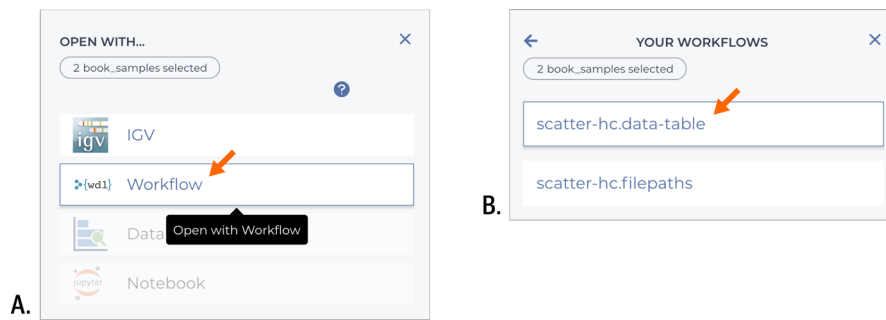


Figure 11.21: Configuration updated with data selection.

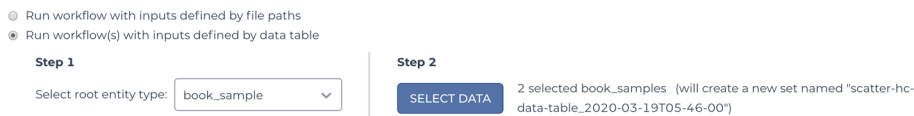


Figure 11.22: List of submissions in the Job History.

Submission (click for details)	Data entity	No. of Workflo...	Status	Actions	Submitted	Submission...
scatter-hc.data-table Submitted by genomics.book@gmail.com	scatter-hc-data-table_2...	2	Submitted	ABORT WORKFLOWS	Today	21dccf11-e...
scatter-hc.filepaths Submitted by genomics.book@gmail.com		1	Done		Today	d48d9fb5-...

Figure 11.23: The workflow submission summary page.

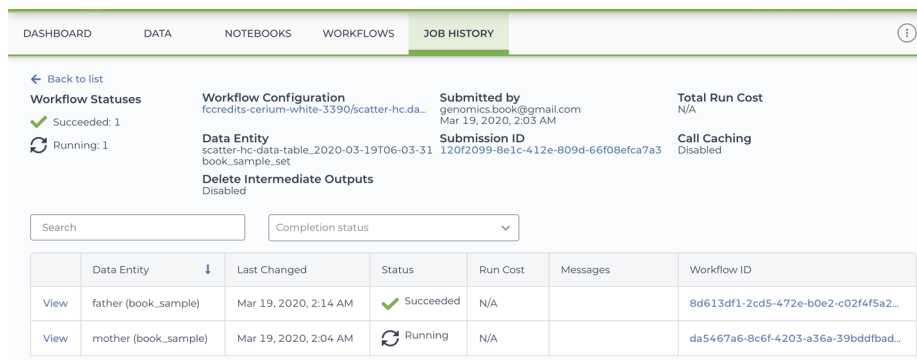
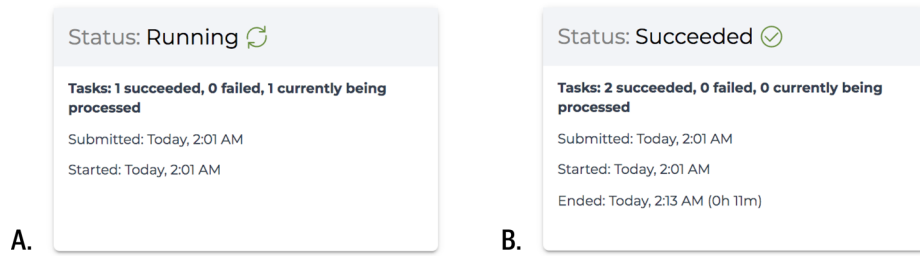
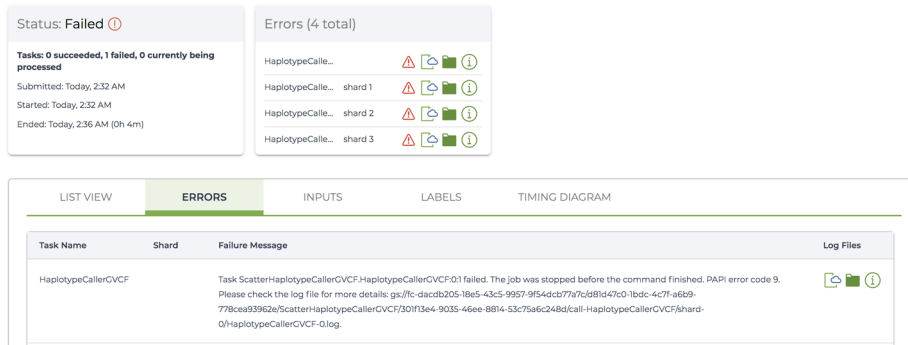


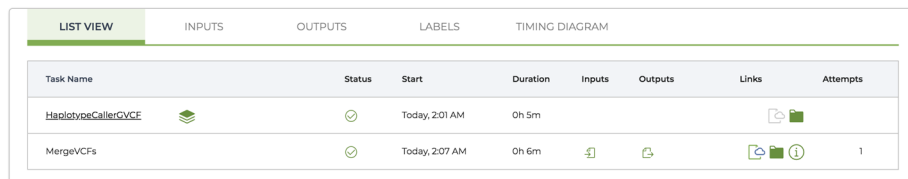
Figure 11.24: Workflow in A) Running state and, B) Succeeded state.



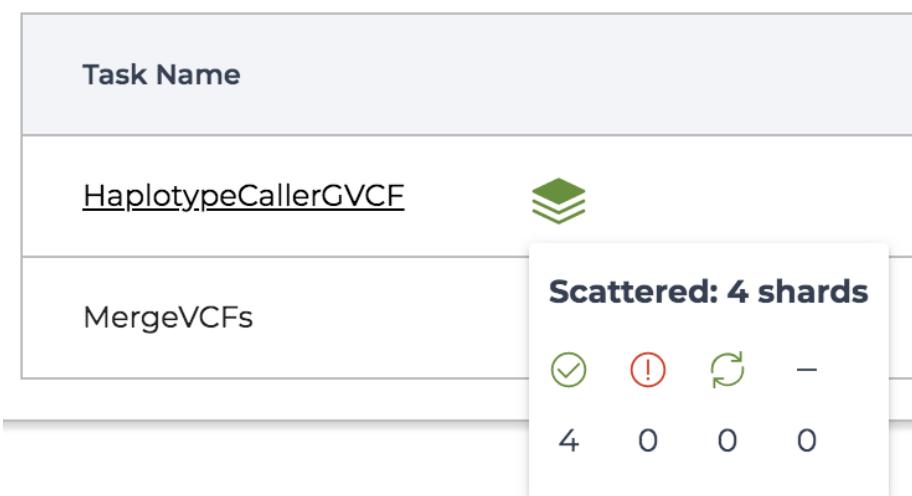
**Figure 11.25:** A workflow in Failed state with ERRORS summary and Failure Message.



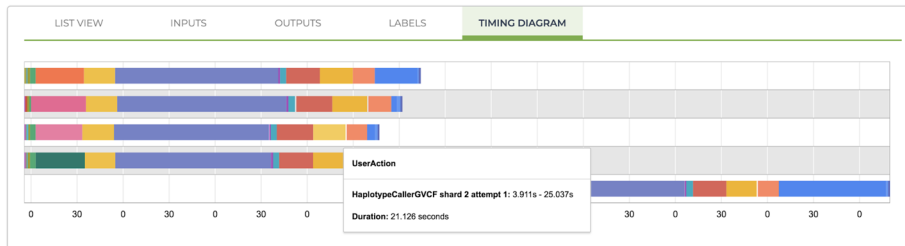
**Figure 11.26:** List of tasks and related resources.



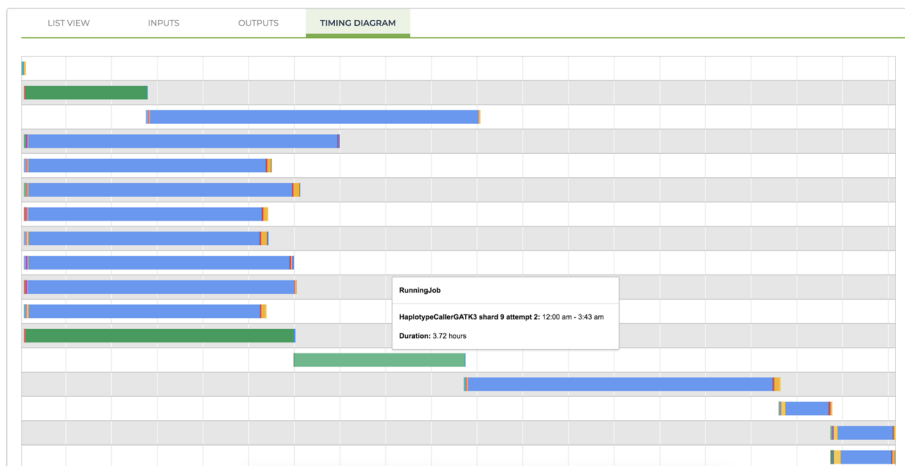
**Figure 11.27:** Viewing the status of shards for a scattered task.



**Figure 11.28:** A timing diagram showing the breakdown of runtime per stage of execution for each task call.



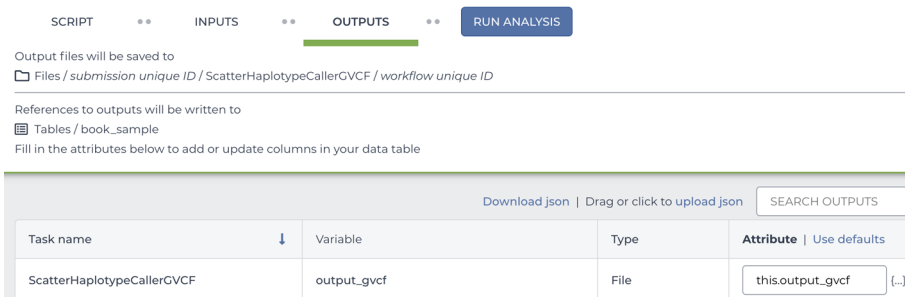
**Figure 11.29:** A timing diagram showing preempted calls (green bars, at lines 2, 12, and 13 from the top).



**Figure 11.30:** The data table showing the newly generated output\_gvcf column.

<input type="checkbox"/>	book_sample_id	input_bam	input_bam_index	output_gvcf
<input type="checkbox"/>	father	<a href="#">father.bam</a>	<a href="#">father.bai</a>	<a href="#">father.merged.gvcf</a>
<input type="checkbox"/>	mother	<a href="#">mother.bam</a>	<a href="#">mother.bai</a>	<a href="#">mother.merged.gvcf</a>
<input type="checkbox"/>	son	<a href="#">son.bam</a>	<a href="#">son.bai</a>	

**Figure 11.31:** The workflow outputs configuration panel.



**Figure 11.32:** The file browser interface showing workflow outputs in the workspace bucket.

Name	Size	Last modified
pipelines-logs/		
HaplotypeCallerGVCF-1.log	11 KB	Today
father.scatter.gvcf	120 KB	Today
gcs_delocalization.sh	2 KB	Today
gcs_localization.sh	2 KB	Today
gcs_transfer.sh	13 KB	Today
rc	2 B	Today
script	1 KB	Today
stderr	7 KB	Today
stdout	0 B	Today

Figure 11.33: A timing diagram showing CallCacheReading stage run time.

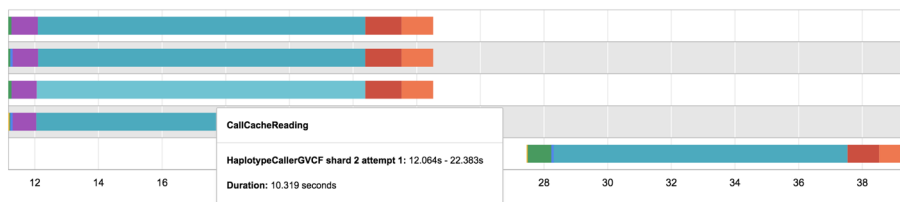


Figure 11.34: Overview of Cromwell's call caching mechanism..

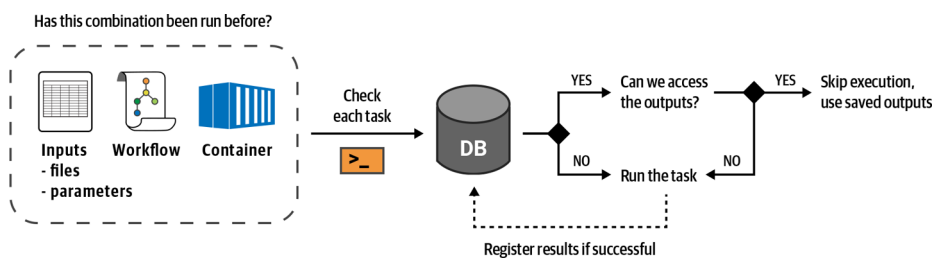


Figure 11.35: Summary information for the Whole-Genome-Analysis-Pipeline workspace.

Workspaces > help-gatk/Whole-Genome-Analysis-Pipeline

DASHBOARD
DATA
NOTEBOOKS
WORKFLOWS
JOB HISTORY

**ABOUT THE WORKSPACE** ✎

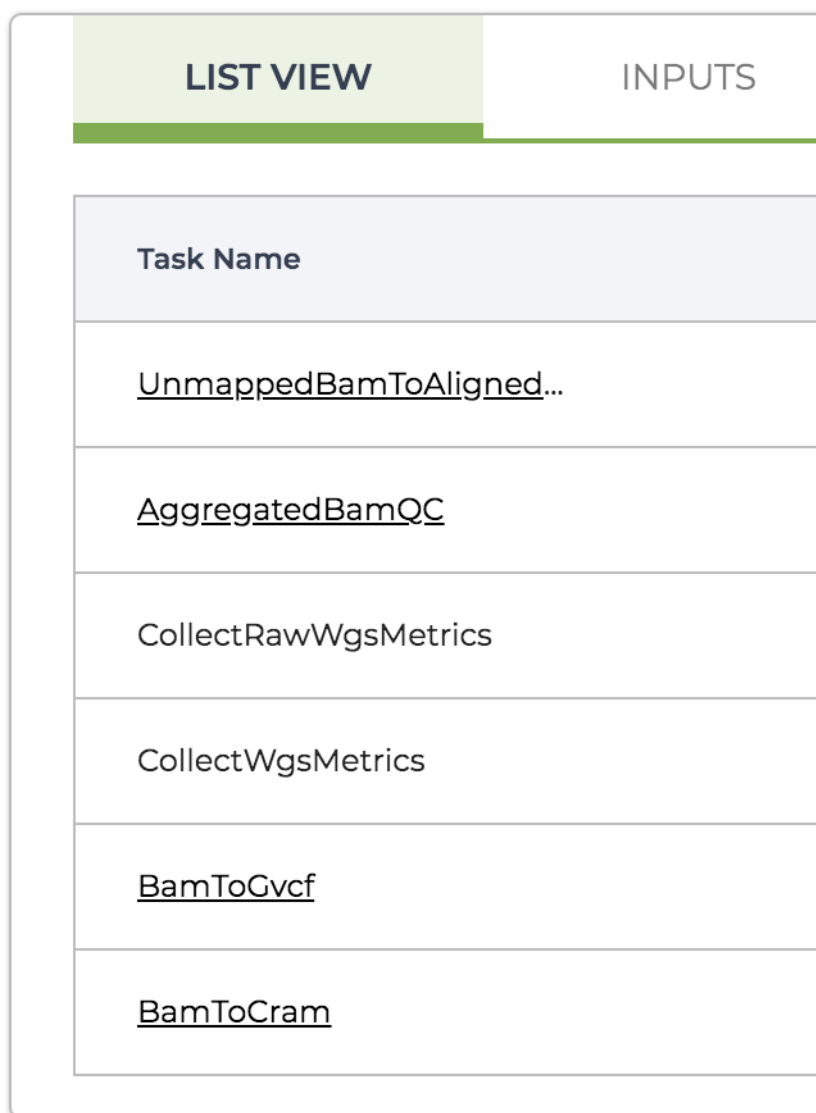
**GATK Best Practices for Germline SNPs and Indels as used at the Broad Institute**

A fully reproducible example of data pre-processing and germline short variant discovery. This is the production version of the pipeline which contains several quality control task within the workflow in addition to the regular data processing. The workflow takes unmapped pair-end sequencing data (unmapped BAM format) and returns a GVCF and other metrics read for joint genotyping.

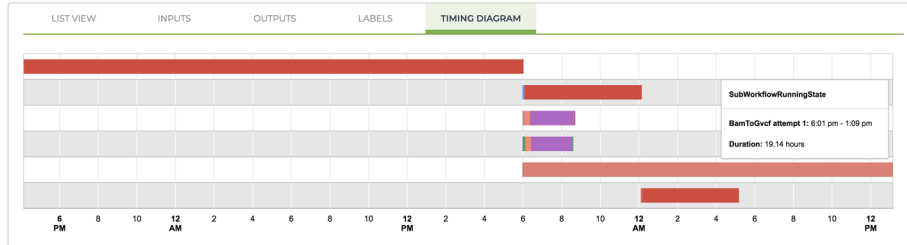
Figure 11.36: A list of tables and detailed view of the sample table.

TABLES		DOWNLOAD ALL ROWS	COPY PAGE TO CLIPBOARD	0 rows selected	
participant (1)					
sample (2)					
REFERENCE DATA					
hg38					
checkbox	sample_id	flowcell_unmapped_bams_list	output_bqsr_reports	output_cram	
<input type="checkbox"/>	NA12878	<a href="#">NA12878.ubams.list</a>	<a href="#">NA12878.recal_data.csv</a>	<a href="#">NA12878.cram</a>	
<input type="checkbox"/>	NA12878_small	<a href="#">NA12878_24RC_small.txt</a>	<a href="#">NA12878_small.recal_data.csv</a>	<a href="#">NA12878_small.cram</a>	

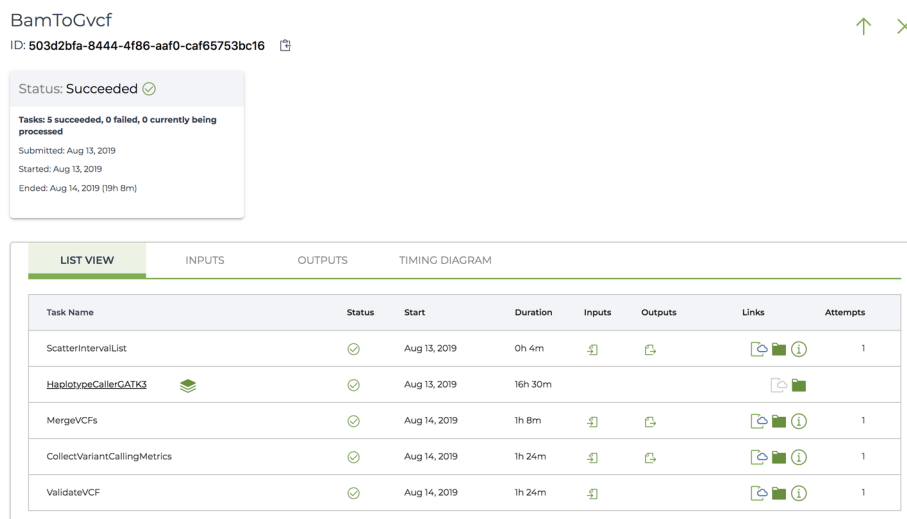
**Figure 11.37:** The List View of the task calls in the master workflow.



**Figure 11.38:** The timing diagram for the master workflow showing subworkflows (solid red bars) and individual tasks that are not bundled into subworkflows (multicolor bars).



**Figure 11.39:** The workflow details page for the BamToGvcf subworkflow.



**Figure 11.40:** File download windows showing A) the list of unmapped BAM files, and B) the final GVCf output.

## Chapter 12 Interactive Analysis in Jupyter Notebook

---

Circling back to the GATK work from earlier chapters, we examine what that would all look like done in Jupyter Notebooks instead of the terminal shell. Between embedded IGV and ggplots galore, it looks good!

### **12.1 Introduction to Jupyter in Terra**

12.1.1 Jupyter Notebooks in General

12.1.2 How Jupyter Notebooks Work in Terra

### **12.2 Getting Started with Jupyter in Terra**

12.2.1 Inspecting and Customizing the Notebook Runtime Configuration

12.2.2 Opening Notebook in Edit Mode and Checking the Kernel

12.2.3 Running the Hello World Cells

12.2.4 Using gsutil to Interact with Google Cloud Storage Buckets

12.2.5 Setting Up a Variable Pointing to the Germline Data in the Book Bucket

12.2.6 Setting Up a Sandbox and Saving Output Files to the Workspace Bucket

### **12.3 Visualizing Genomic Data in an Embedded IGV Window**

12.3.1 Setting Up the Embedded IGV Browser

12.3.2 Adding Data to the IGV Browser

12.3.3 Setting Up an Access Token to View Private Data

### **12.4 Running GATK Commands to Learn, Test, or Troubleshoot**

12.4.1 Running a Basic GATK Command: HaplotypeCaller

12.4.2 Loading the Data (BAM and VCF) into IGV

12.4.3 Troubleshooting a Questionable Variant Call in the Embedded IGV Browser

12.4.4 Visualizing Variant Context Annotation Data

12.4.5 Exporting Annotations of Interest with VariantsToTable

12.4.6 Loading R Script to Make Plotting Functions Available

12.4.7 Making Density Plots for QUAL by Using makeDensityPlot

12.4.8 Making a Scatter Plot of QUAL Versus DP

12.4.9 Making a Scatter Plot Flanked by Marginal Density Plots



## 1.1 Hello Python

Let's try a basic Hello World example in Python.

```
In [1]: print ("Hello World")
```

Hello World

```
In [ ]: # Now you try adding a variable
greeting =
```

Figure 12.1: Doc text, code cell, and execution output in a Jupyter notebook.

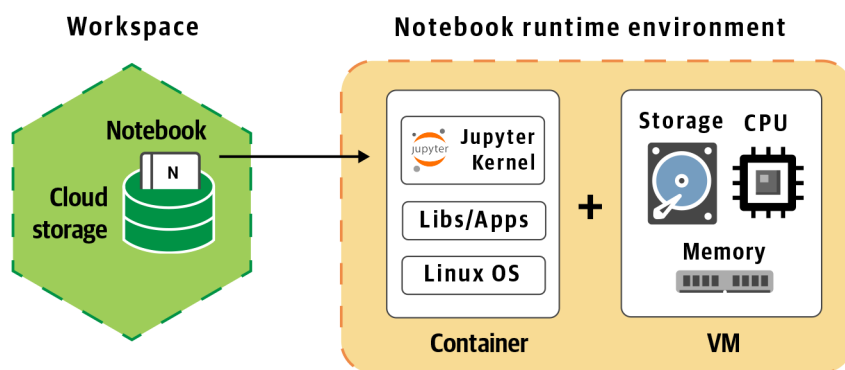


Figure 12.2: An overview of the Jupyter service in Terra.

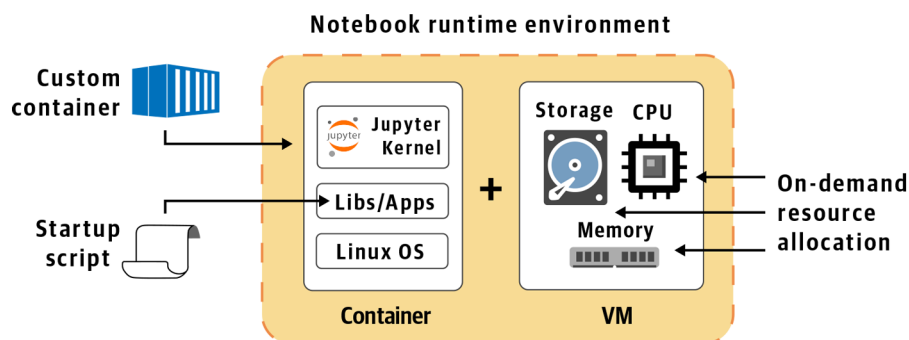
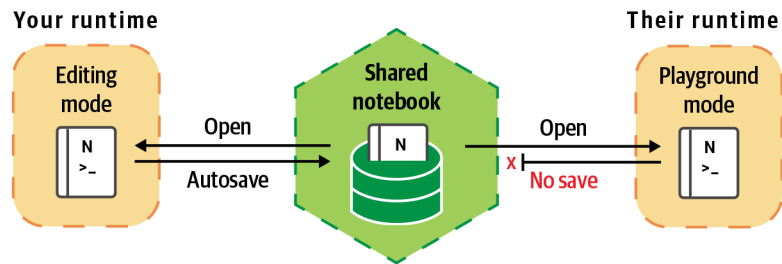
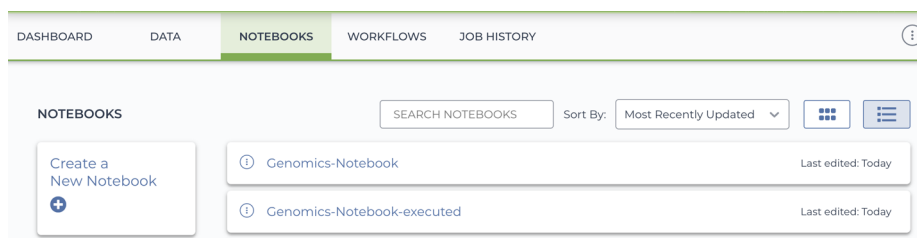


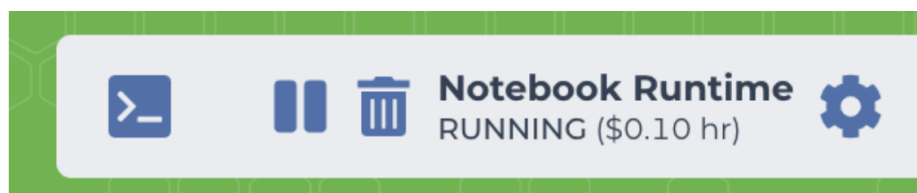
Figure 12.3: Options for customizing the software installed in the notebook runtime.



**Figure 12.4:** Notebooks in shared workspaces are protected from overwriting when two people open them concurrently.



**Figure 12.5:** The Notebooks tab showing two copies of the notebook: one already executed and another without any previous results.



**Figure 12.6:** The Notebook Runtime status widget.

**RUNTIME CONFIGURATION** ✕

Create a cloud compute instance to launch Jupyter Notebooks or a Project-Specific software application.

**ENVIRONMENT** ⓘ

New Default (released on January 14): (GATK 4.1.4.1, Python 3.7.6, R 3.6.2) ▼

What's installed on this environment? Updated: Feb 25, 2020  
Version: 0.0.13

**COMPUTE POWER**

Select from one of the default runtime profiles or define your own

**Profile** Default (Moderate) computer power ▼

**CPUs** 4    **Memory (GB)** 15    **Disk size (GB)** 50

**COST:** \$0.19 per hour

DELETE RUNTIME CANCEL REPLACE

**Figure 12.7:** The default Notebook Runtime configuration settings.

**INSTALLED PACKAGES** ← ✕

New Default (released on January 14): (GATK 4.1.4.1, Python 3.7.6, R 3.6.2) ▼

Updated: Feb 25, 2020  
Version: 0.0.13

**Installed packages** Python ▼

Package	Python	Version
lazy-object-proxy	R	1.4.3
pandocfilters		1.4.2
googleapis-common-protos	Tools	1.51.0
biopython		1.72
tf-estimator-nightly		1.14.0.dev2019030115
ipython-genutils		0.2.0

**Figure 12.8:** Detailed view of the packages installed on the default runtime environment.

**COMPUTE POWER**  
Select from one of the default runtime profiles or define your own

Profile: Custom

CPUs: 4    Memory (GB): 15    Disk size (GB): 50

Startup script: gs://genomics-in-the-cloud/v1/scripts/install\_GATK\_4.130\_with\_igv.

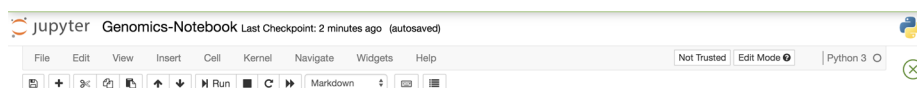
Configure as Spark cluster

COST: \$0.19 per hour

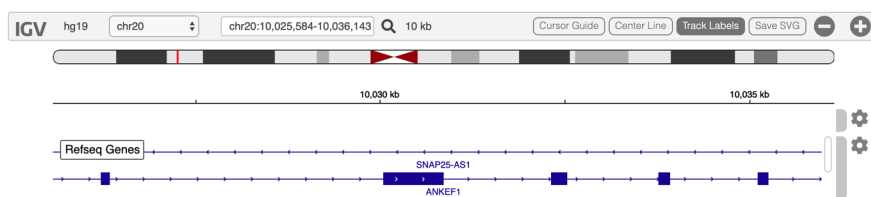
**Figure 12.9:** The Compute Power section allows you to specify a startup script if you choose the Custom profile.



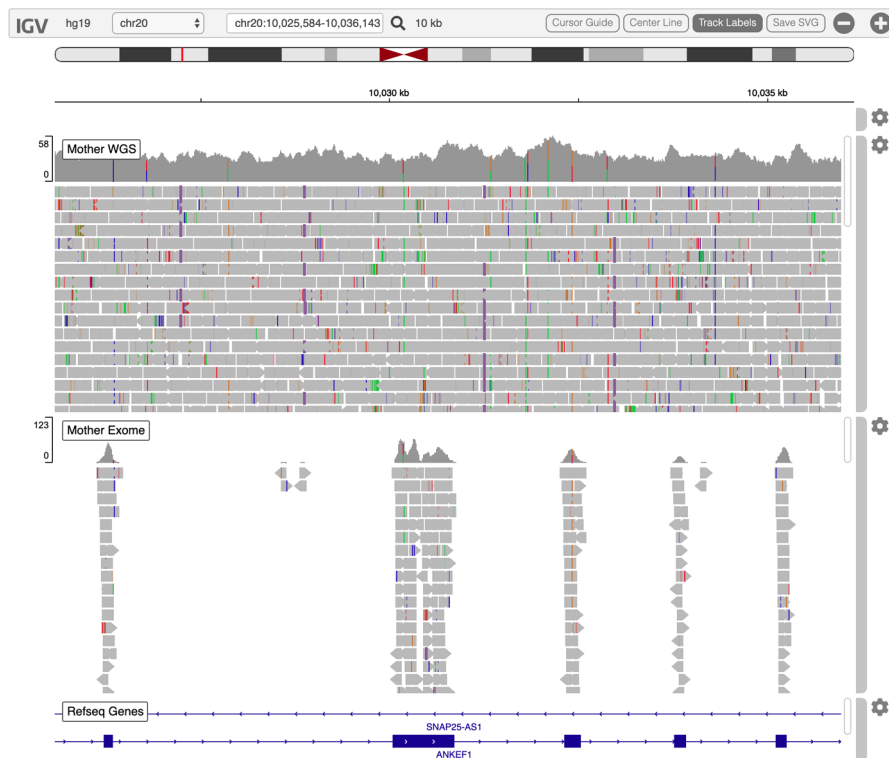
**Figure 12.10:** Menu on the notebook preview page displaying the main options: Preview, Edit, and Playground Mode.



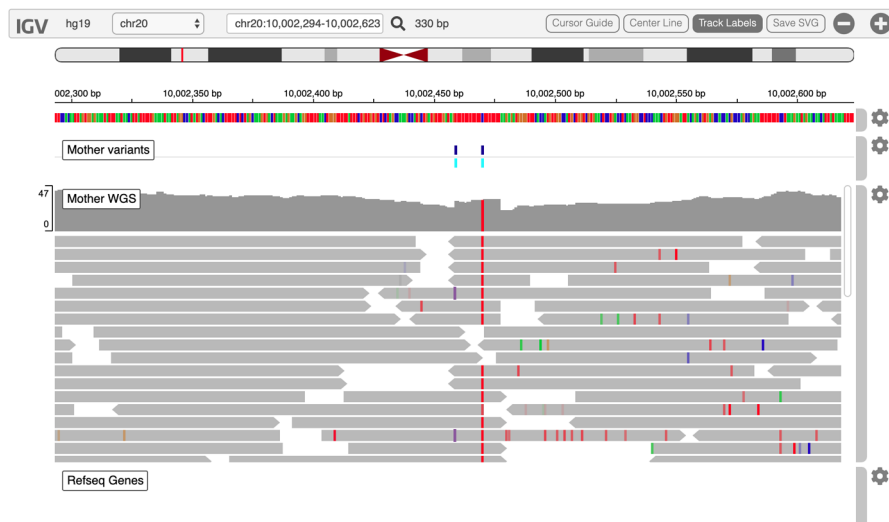
**Figure 12.11:** The standard Jupyter menu bar.



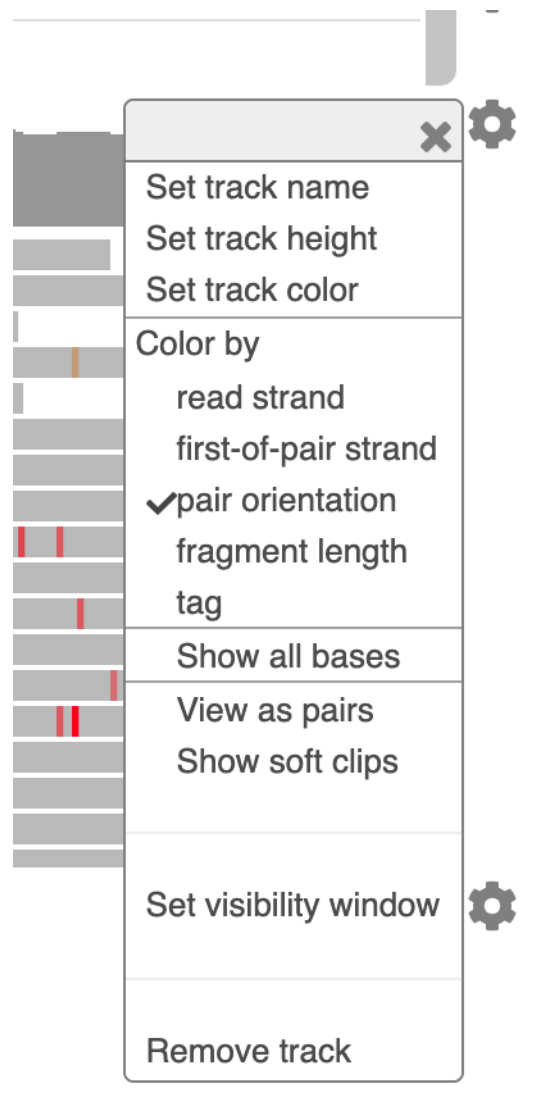
**Figure 12.12:** A newly created IGV browser.



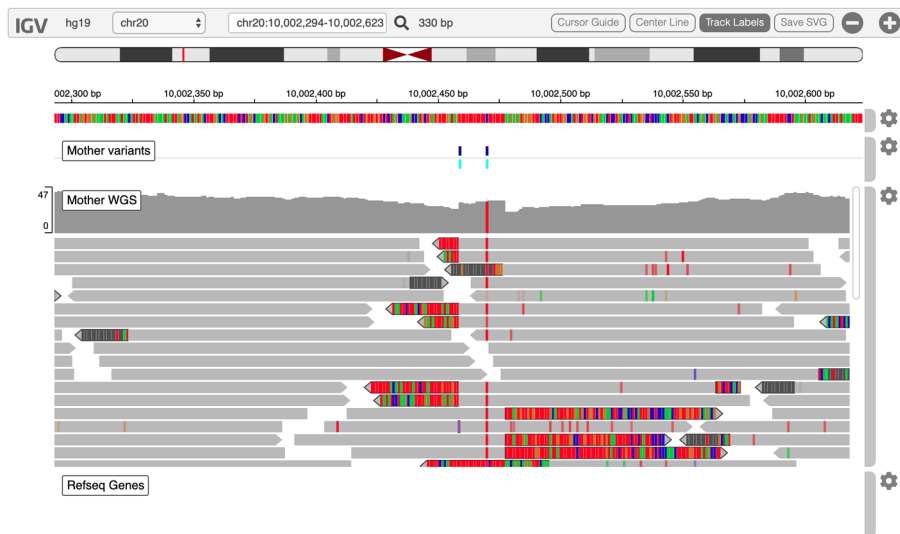
**Figure 12.13:** The IGV browser showing the two sequence data tracks.



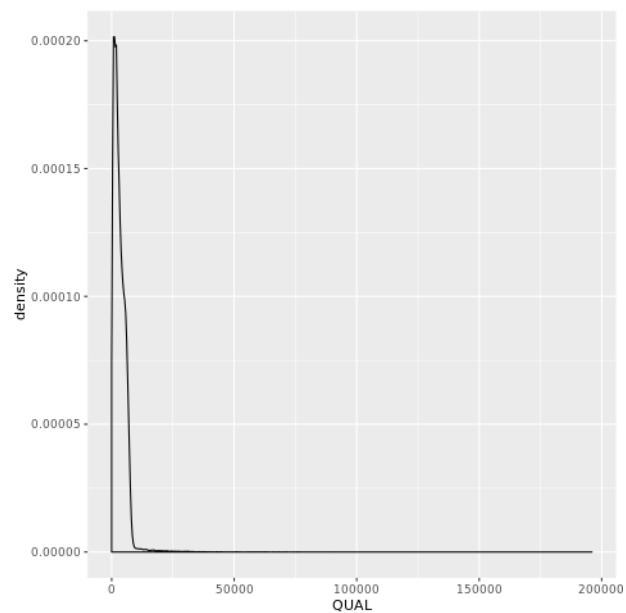
**Figure 12.14:** IGV.js rendering of the sequencing data ("Mother WGS" track) and output variants produced by HaplotypeCaller ("Mother variants" track).



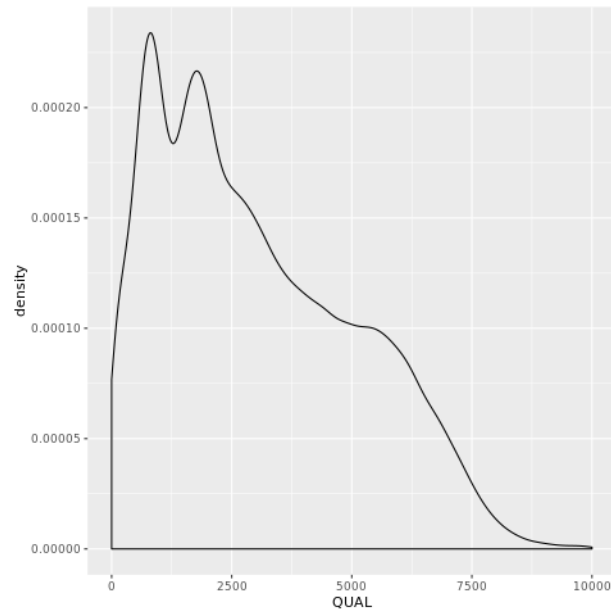
**Figure 12.15:** Menu of display options for the Mother WGS sequence data track.



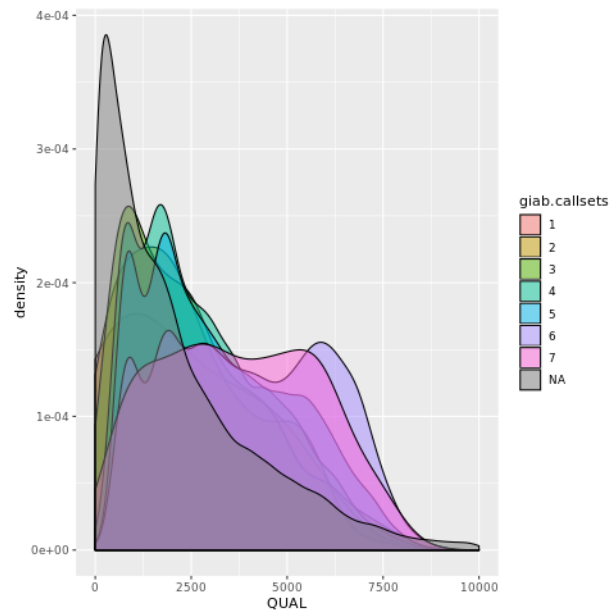
**Figure 12.16:** Display of soft clips.



**Figure 12.17:** QUAL distribution.



**Figure 12.18:** QUAL density plot.



**Figure 12.19:** QUAL density plots by callsets from GiaB.



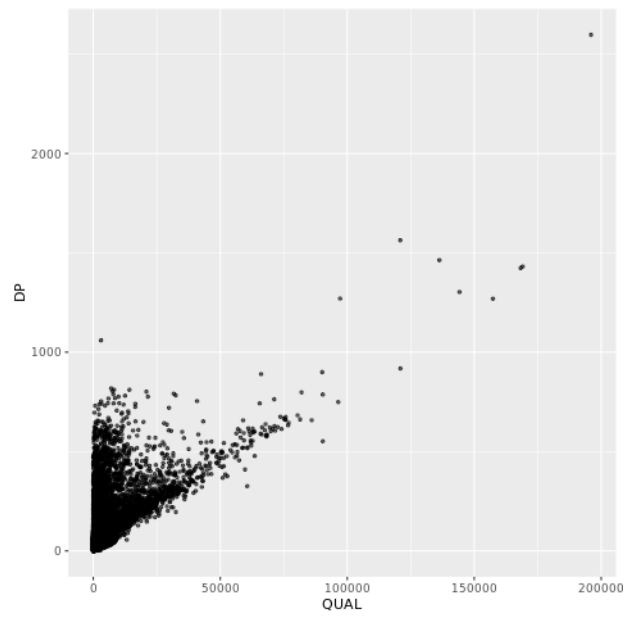


Figure 12.20: Scatter plot QUAL versus DP.

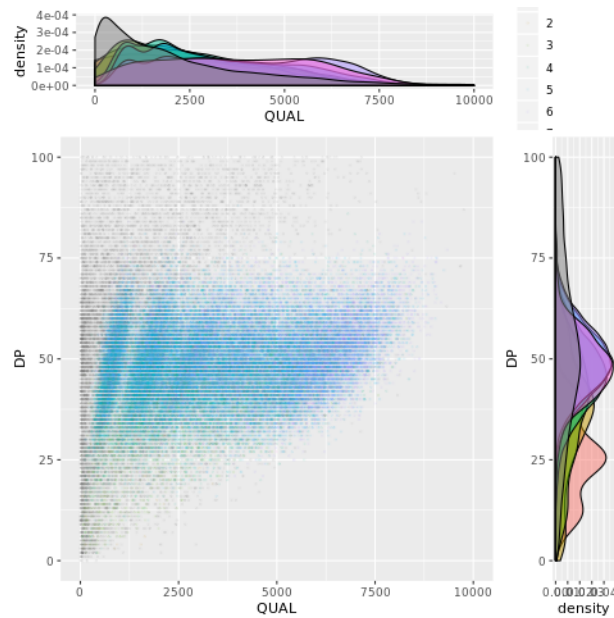


Figure 12.21: A scatter plot along with density plots.

## Chapter 13 Assembling Your Own Workspace in Terra

---

Crossing the bridge from canned examples to importing your own data and methods into Terra in a few different scenarios. Draws on other services in the ecosystem including Dockstore and data repositories.

### **13.1 Managing Data Inside and Outside of Workspaces**

- 13.1.1 The Workspace Bucket as Data Repository
- 13.1.2 Accessing Private Data That You Manage Outside of Terra
- 13.1.3 Accessing Data in the Terra Data Library

### **13.2 Re-Creating the Tutorial Workspace from Base Components**

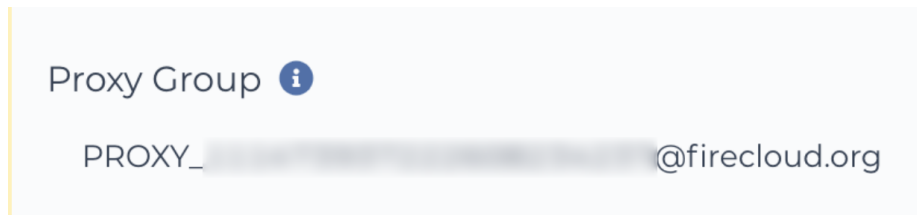
- 13.2.1 Creating a New Workspace
- 13.2.2 Adding the Workflow to the Methods Repository and Importing It into the Workspace
- 13.2.3 Creating a Configuration Quickly with a JSON File
- 13.2.4 Adding the Data Table
- 13.2.5 Filling in the Workspace Resource Data Table
- 13.2.6 Creating a Workflow Configuration That Uses the Data Tables
- 13.2.7 Adding the Notebook and Checking the Runtime Environment
- 13.2.8 Documenting Your Workspace and Sharing It

### **13.3 Starting from a GATK Best Practices Workspace**

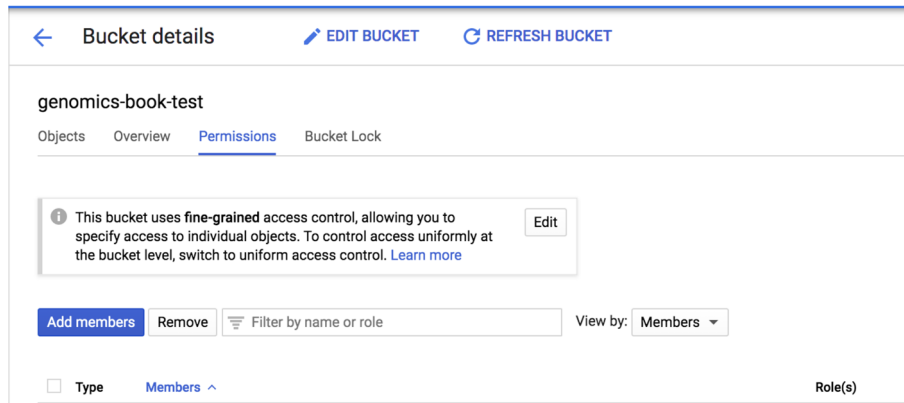
- 13.3.1 Cloning a GATK Best Practices Workspace
- 13.3.2 Examining GATK Workspace Data Tables to Understand How the Data Is Structured
- 13.3.3 Getting to Know the 1000 Genomes High Coverage Dataset
- 13.3.4 Copying Data Tables from the 1000 Genomes Workspace
- 13.3.5 Using TSV Load Files to Import Data from the 1000 Genomes Workspace
- 13.3.6 Running a Joint-Calling Analysis on the Federated Dataset

### **13.4 Building a Workspace Around a Dataset**

- 13.4.1 Cloning the 1000 Genomes Data Workspace
- 13.4.2 Importing a Workflow from Dockstore
- 13.4.3 Configuring the Workflow to Use the Data Tables



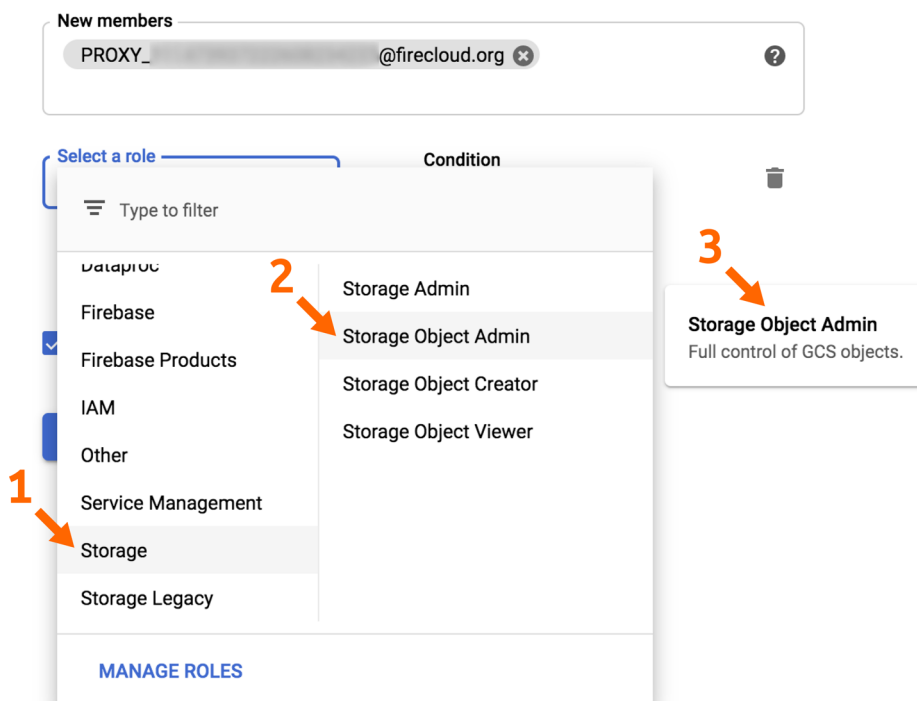
**Figure 13.1:** The proxy group identifier displayed in the user profile.



**Figure 13.2:** The bucket permissions panel showing accounts with access to the bucket.

### Add members and roles for "genomics-book-test" resource

Enter one or more members below. Then select a role for these members to grant them access to your resources. Multiple roles allowed. [Learn more](#)



**Figure 13.3:** Granting access to a bucket to a new member.

## Create a New Workspace

**Workspace name \***

**Billing project \***

**Description**

**Authorization domain i**

**CANCEL** **CREATE WORKSPACE**

**Figure 13.4:** The Create a New Workspace dialog box.

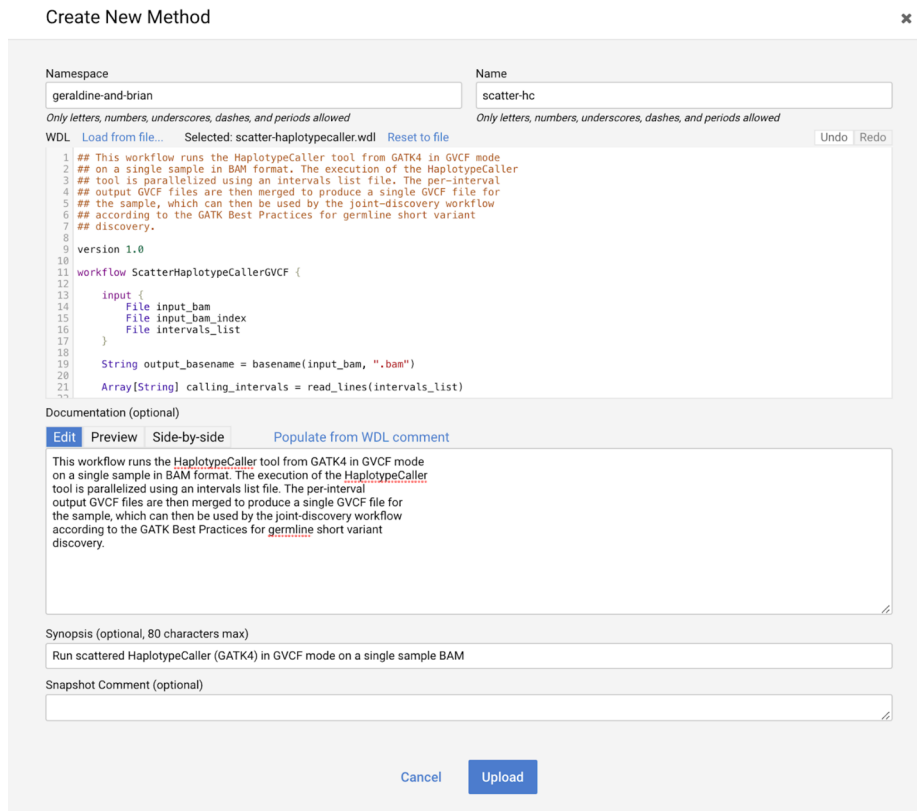


Figure 13.5: The Create New Method page in the Broad Methods Repository.

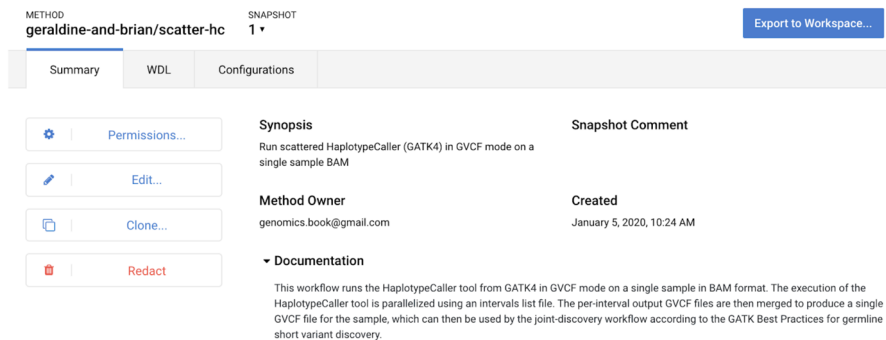


Figure 13.6: Summary page for the newly created workflow.

	A	B	C	D	E	F
1	entity:book_sample_id	input_bam	input_bam_index			
2	mother	gs://genomics-in-tl	gs://genomics-in-the-cloud/v1/data/germline/bams/mother.bai			
3	father	gs://genomics-in-tl	gs://genomics-in-the-cloud/v1/data/germline/bams/father.bai			
4	son	gs://genomics-in-tl	gs://genomics-in-the-cloud/v1/data/germline/bams/son.bai			
5						

Figure 13.7: A sample data table from the tutorial workspace, viewed in Google Sheets.

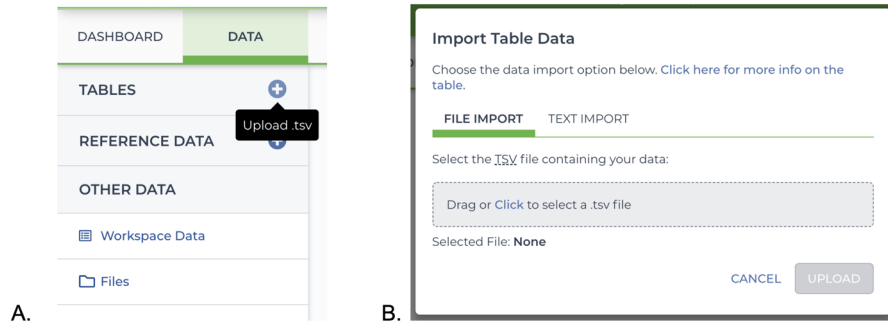


Figure 13.8: TSV load file import A) button, and B) dialog.



Figure 13.9: The data model—the structure of the example dataset.

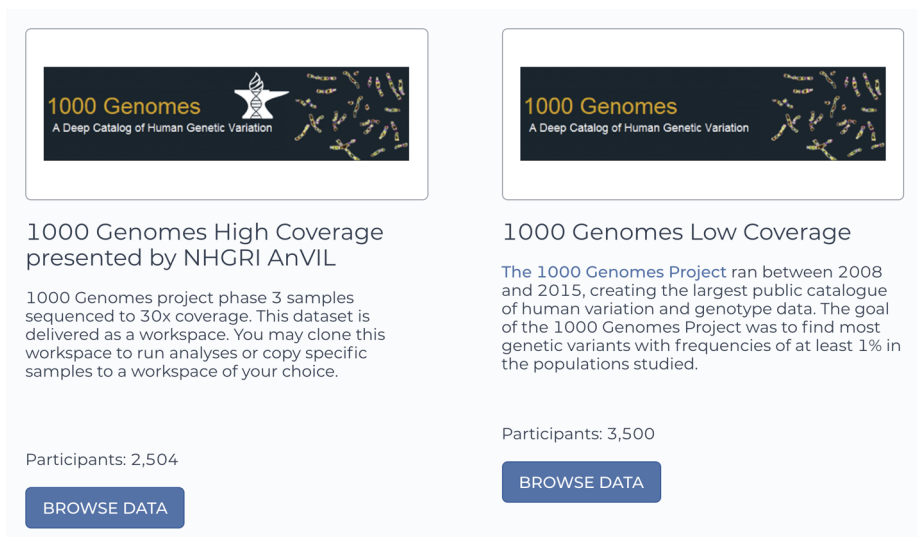


Figure 13.10: The Terra Data Library contains two repositories of data from the 1000 Genomes Project.

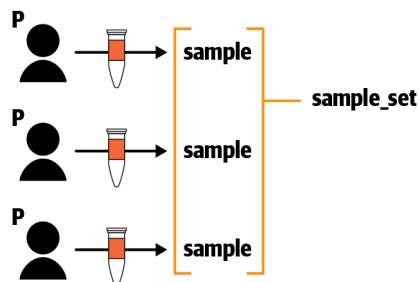


Figure 13.11: The data model for the 1000 Genomes High Coverage dataset.

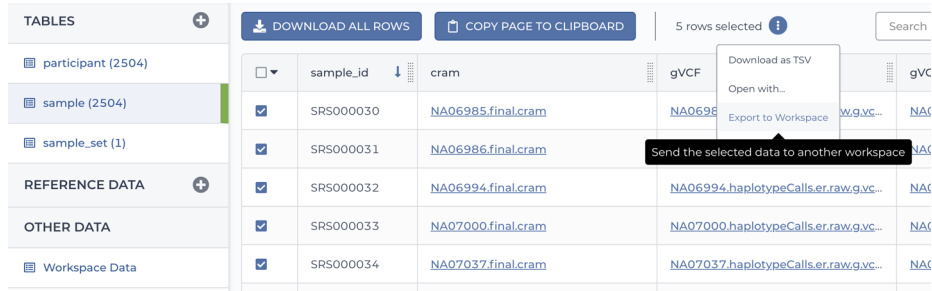


Figure 13.12: The Copy Data to Workspace dialog box.

### Import Table Data

Choose the data import option below. [Click here for more info on the table.](#)

FILE IMPORT    **TEXT IMPORT**

Copy and paste tab separated data here:

Clear

```
entity:sample_set_id
federated-dataset
```

**⚠ Data with the type 'sample\_set' already exists in this workspace. Uploading more data for the same type may overwrite some entries.**

CANCEL    **UPLOAD**

Figure 13.13: Direct text import of TSV-formatted data table content.

	A	B
1	membership:sample_set_id	sample
2	1000G-high-coverage-2019-all	SRS000030
3	1000G-high-coverage-2019-all	SRS000031
...		
2505	1000G-high-coverage-2019-all	SRS000631
2506	one_sample	NA12878

Figure 13.14: Start and end rows of the membership load file `sample_set_membership.tsv`.



	A	B
1	membership:sample_set_id	sample
2	federated-dataset	SRS000030
3	federated-dataset	SRS000031
...		
25	federated-dataset	SRS000055
26	federated-dataset	NA12878

**Figure 13.15:** Updated membership load file `sample_set_membership.tsv` assigning 25 samples to the federated-dataset sample set.

<input type="checkbox"/>	sample_set_id	samples
<input type="checkbox"/>	1000G-high-coverage-2019-all	2504 entities
<input type="checkbox"/>	federated-dataset	25 entities
<input type="checkbox"/>	one_sample	1 entity

**Figure 13.16:** The `sample_set` table showing the three sample sets.

JointGenotyping	input_gcfs	Array[File]	<input type="text" value="this.samples.gcfs"/> {...}
JointGenotyping	input_gcfs_indices	Array[File]	<input type="text" value="this.samples.gcfs_index"/> {...}

**Figure 13.17:** Input configuration details for the `input_gcfs` and `input_gcfs_indices` variables.

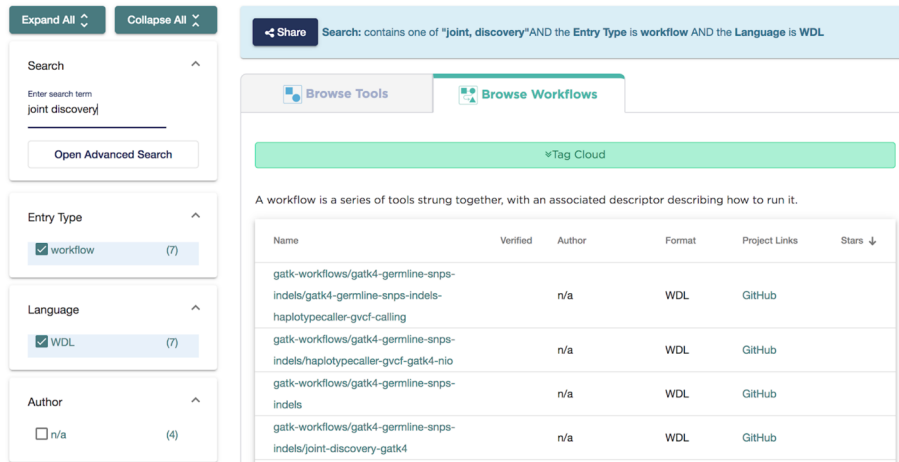


Figure 13.18: Search results for "joint discovery" in Dockstore.

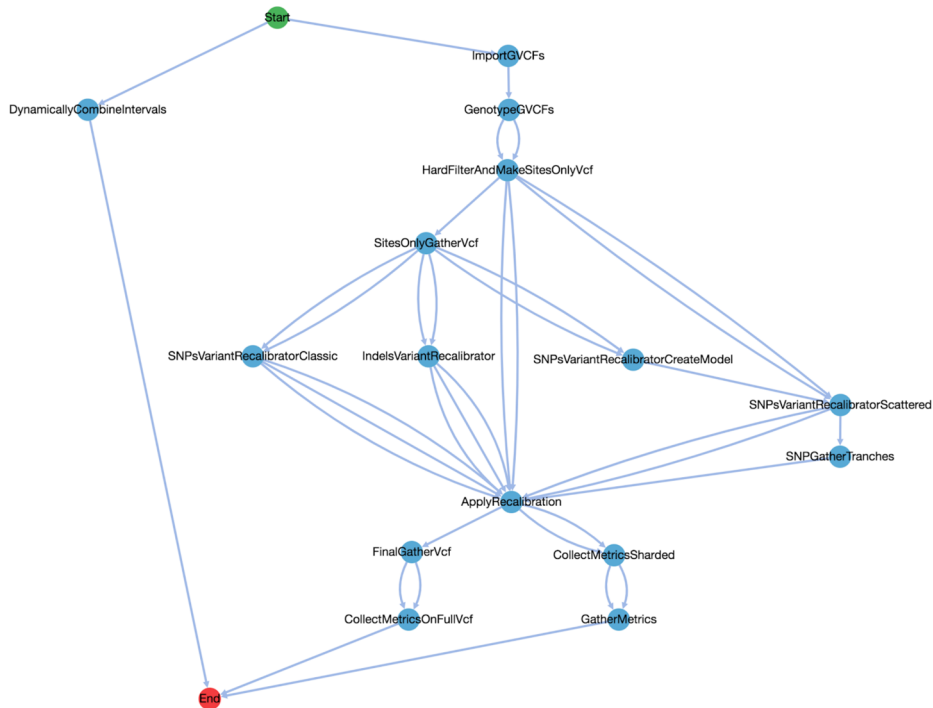


Figure 13.19: The Joint Discovery workflow provided in the DAG tab in Dockstore.

## Chapter 14 Making a Fully Reproducible Paper

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Capstone case study on computational reproducibility involving synthetic data creation, GATK, downstream analysis and real biological findings by Dr. Matthieu Miossec et al.

### **14.1 Overview of the Case Study**

14.1.1 Computational Reproducibility and the FAIR Framework

14.1.2 Original Research Study and History of the Case Study

14.1.3 Assessing the Available Information and Key Challenges

14.1.4 Designing a Reproducible Implementation

### **14.2 Generating a Synthetic Dataset as a Stand-In for the Private Data**

14.2.1 Overall Methodology

14.2.2 Retrieving the Variant Data from 1000 Genomes Participants

14.2.3 Creating Fake Exomes Based on Real People

14.2.4 Mutating the Fake Exomes

14.2.5 Generating the Definitive Dataset

### **14.3 Re-Creating the Data Processing and Analysis Methodology**

14.3.1 Mapping and Variant Discovery

14.3.2 Variant Effect Prediction, Prioritization, and Variant Load Analysis

14.3.3 Analytical Performance of the New Implementation

### **14.4 The Long, Winding Road to FAIRness**

### **14.5 Final Conclusions**

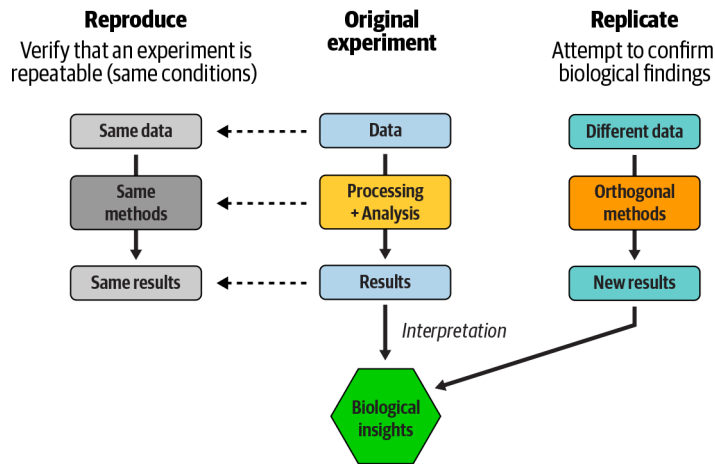


Figure 14.1: Reproducibility of an analysis versus replicability of study findings.

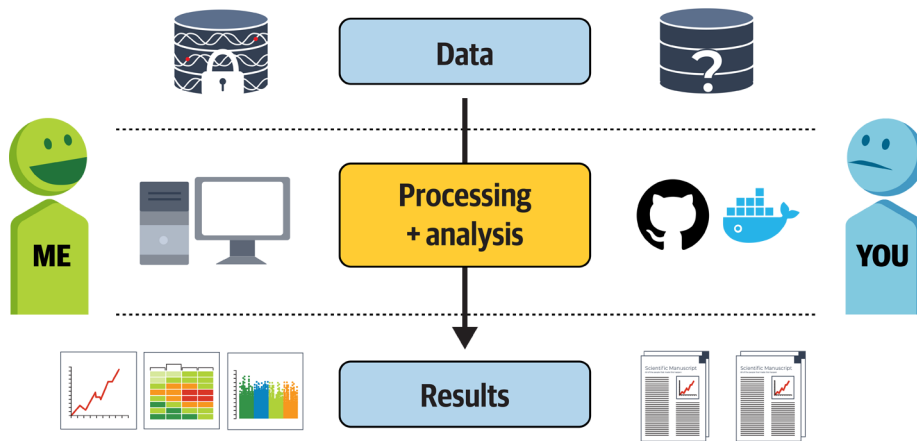
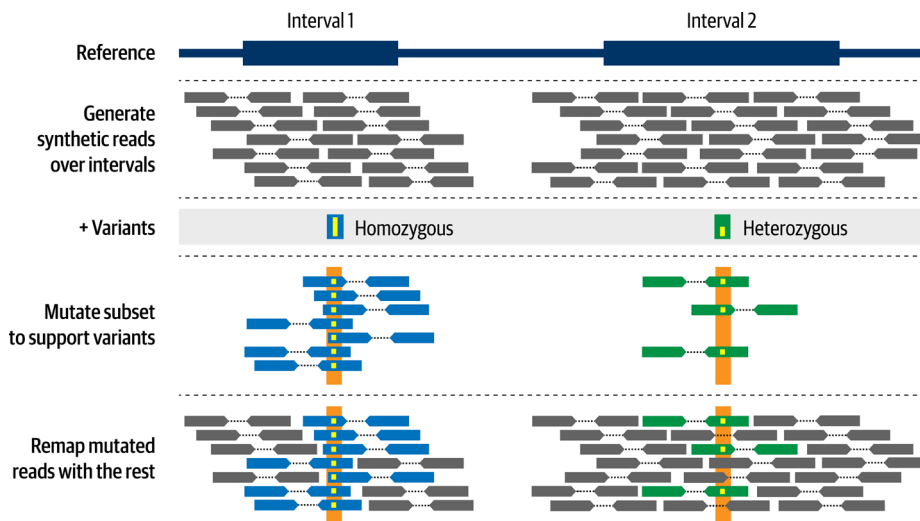


Figure 14.2: Typical asymmetry in the availability of information between author and reader.

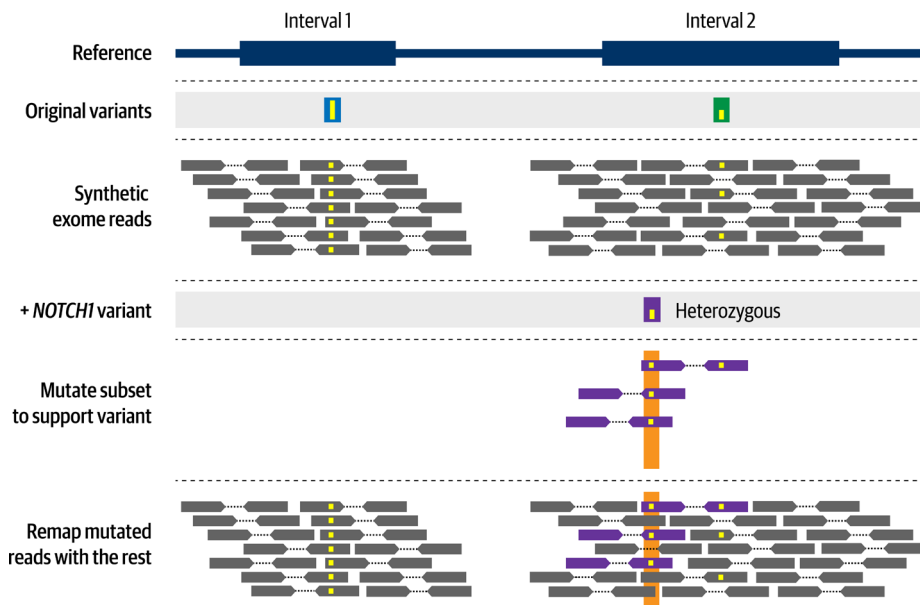
DATA INPUTS	PROCESSING	ANALYSIS	SHARING
<p>Exome sequencing</p> <ul style="list-style-type: none"> <li>• 829 ToF patients (excl. carriers of known deletion)</li> <li>• 1252 healthy controls</li> <li>• Agilent SureSelectXT v4</li> <li>• Illumina HiSeq2000</li> </ul>	<p>Mapping &amp; variant discovery</p> <ul style="list-style-type: none"> <li>• MUGQIC GenPipes DNaseq including:                             <ul style="list-style-type: none"> <li>• Trimmomatic</li> <li>• BWA 0.6.2 (t37/hg19)</li> <li>• GATK 3.2 HaplotypeCaller</li> <li>• QS (QUAL) &gt; 100</li> </ul> </li> </ul>	<p>Effect prediction &amp; clustering analysis</p> <ul style="list-style-type: none"> <li>• SnpEff + Gemini</li> <li>• OMIM, GERP, 1000G, ExAC</li> <li>• MAF ≤ 0.001 in ExAC</li> <li>• CADD ≥ 20</li> <li>• <math>W_0</math> statistic and test</li> </ul>	<p>Preprint in bioRxiv</p> <ul style="list-style-type: none"> <li>• Summary of methods</li> <li>• Table of 49 NOTCH1 variants</li> <li>• Pers. communication with author to translate bash and Perl scripts</li> </ul>

Figure 14.3: Summary of the information provided in the original preprint of the Tetralogy of Fallot paper.

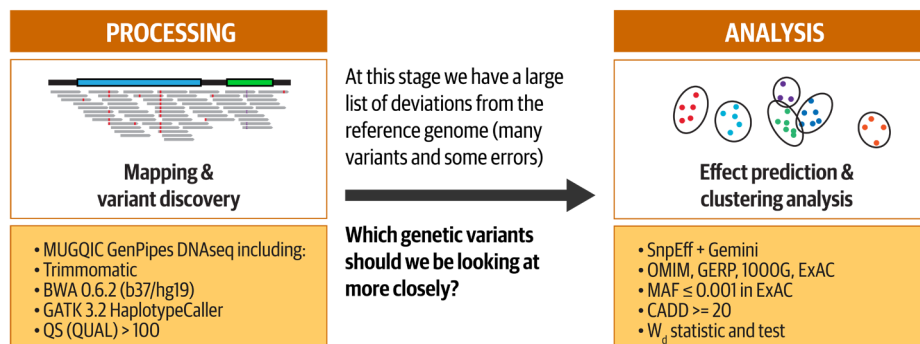




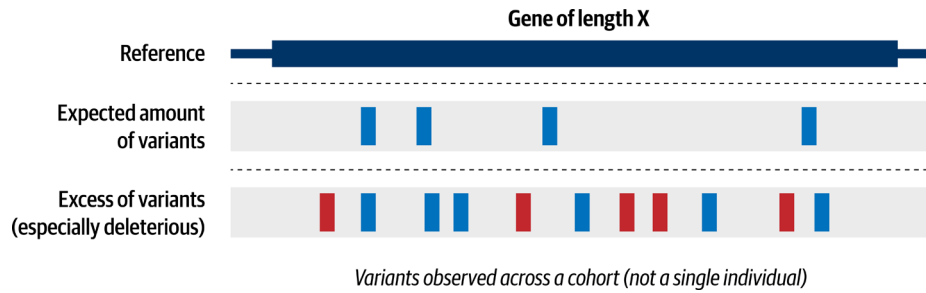
**Figure 14.6:** NEAT-genReads creates simulated read data based on a reference genome and list of variants.



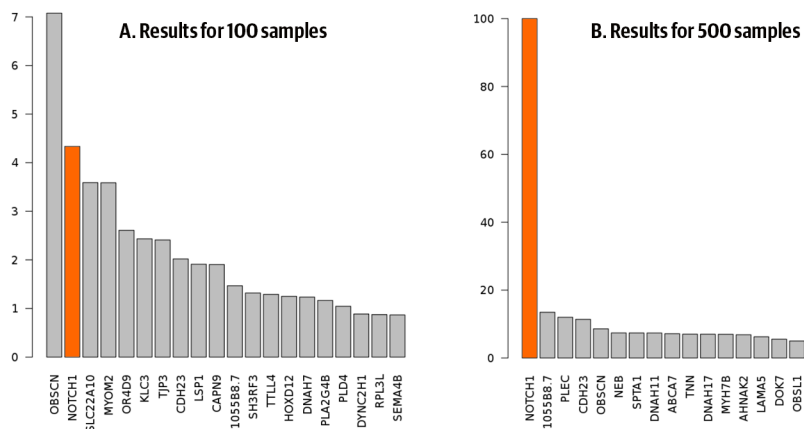
**Figure 14.7:** BAMSurgeon introduces mutations in read data.



**Figure 14.8:** Summary of the two phases of the study: Processing and Analysis.



**Figure 14.9:** Comparing variant load in a gene across multiple samples.



**Figure 14.10:** Ranking from the clustering test for A) 100-participant set, and B) 500-participant set.

## End notes

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2020 has been a rough year.

Let's all work together to make 2021 more safe, equitable and enjoyable for all.

Best wishes and don't hesitate to ask for help!

