

Conflicts of Interest Policy Summary



Gildencrest Capital Limited

Introduction

Gildencrest Capital Limited ("Gildencrest", "the Firm", "we", or "us") is authorised and regulated by the Financial Conduct Authority (FCA) in the UK, with FCA registration number 56474. This summary outlines how Gildencrest identifies and manages conflicts of interest to protect our clients' interests.

Policy Overview

Gildencrest is dedicated to managing conflicts of interest in a fair and transparent manner. Conflicts may occur when the interests of Gildencrest, its employees, or its clients do not align. In such cases, there is a risk that client interests could be compromised or harmed. We strive to identify and address these conflicts promptly to ensure that our clients' best interests remain our top priority.

Identifying Conflicts of Interest

To identify conflicts that may pose a material risk to clients, Gildencrest will consider, among other factors:

- **Financial Gain or Loss:** Whether Gildencrest, its personnel, or affiliated entities are likely to make a financial gain or avoid a financial loss at the expense of the client. This includes scenarios where the firm might gain financially from facilitating a particular transaction or service that may not serve the client's best interests.
- **Distinct Interests:** Whether Gildencrest has an interest in the outcome of services provided or transactions carried out on behalf of the client that differs from the client's interests. This includes scenarios where the Firm may benefit from a particular transaction that may not align with the client's objectives.
- **Incentives for Favouritism:** Whether Gildencrest or its employees have a financial or other incentive to favour the interests of another client or group of clients over those of the current client. This could occur in circumstances where one client's business could be prioritized over another due to potential bonuses or rewards.
- **Competing Business Interests:** Whether Gildencrest or any of its personnel engage in the same business activities as the client. For instance, if Gildencrest offers similar products or services as a client, this could lead to a conflict in interest.
- **Inducements from Third Parties:** Whether Gildencrest receives or will receive inducements from any party other than the client in relation to services provided. This includes benefits in the form of money, goods, or services that exceed standard commissions or fees. Gildencrest will scrutinize any such inducements to ensure they do not compromise the quality of service offered to clients.
- **Potential Relationship Conflicts:** Any existing relationships or arrangements that may create conflicts, such as partnerships or affiliations with other businesses, which could influence the objectivity of the services provided to the client.

Management Arrangements

Gildencrest has established policies and procedures to manage conflicts effectively, including:

- **Segregation of Duties:** Different functions are performed independently to minimize influence.

- **Personal Dealing Declarations:** Employees must declare all personal trading activities.
- **Incentive Structures:** Remuneration policies are designed to prevent conflicts between employee and client interests.
- **Gifts and Inducements Policies:** Employees must disclose any gifts or benefits received.
- **Training:** Ongoing training on regulatory obligations and conflict management.

Disclosure of Conflicts

If Gildencrest determines that a conflict of interest cannot be adequately managed, we will disclose the nature of the conflict to the affected clients prior to undertaking any business. This ensures that clients are fully informed and can make decisions in their best interest.

Contact Information

For any queries about this policy, please contact:

Compliance Department

Email: compliance@gildencrest.co.uk

Phone: +44(0)203 048 4764

Address: Compliance Department,
Gildencrest Capital Limited,
Studio 11, 7th Floor,
One Canada Square,
Canary Wharf,
London, E14 5AA