



Compliance Corner

April 2017

-The Official Newsletter of the UC Compliance Office-

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Recruiting Calendars

Quiet
Dead
Contact/Recruiting
Evaluation
Quiet/ Evaluation

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April 6th: Dead Period Ends at Noon and

Recruiting Period Begins at Noon

<i>All Other Sports</i>						
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Quick Hits: Academic Misconduct



A former Southeast Missouri State assistant men's basketball coach acted unethically when he arranged fraudulent academic credit for a prospect, provided false or misleading information to the NCAA enforcement staff and university, and failed to cooperate during the investigation.

The former coach directed a current men's basketball student-athlete to complete three online exams on behalf of the prospect. The former coach approached the student-athlete to complete a fourth exam for the prospect, but the student-athlete reported the conduct before the exam could take place. As a part of the academic misconduct scheme, the former coach also arranged for former university students, a former men's basketball student employee and a former student employee's mother to complete online coursework on behalf of the prospect.

While the former coach denied any involvement in arranging for the individuals to complete coursework on behalf of the prospect, the metadata associated with the coursework contradicted that claim and showed the coursework was completed by individuals in locations where the prospect was not physically present.

Penalties include a two-year extension of the university's probation period from a 2016 infractions case, a \$5,000 fine and a six-year show-cause order for the former assistant coach.

Via NCAA.org



A former California State University, Northridge, director of basketball operations acted unethically when he committed academic misconduct for and provided impermissible academic benefits to a total of 10 men's basketball student-athletes.

In the instance of one student-athlete, a tutor found he did not know how to log in to the system used by the university to submit assignments, but found assignments submitted through the system. The grades student-athletes received for online courses were significantly higher than grades they received in traditional classes.

Metadata collected during the investigation from the computer of the former director of basketball operations contained approximately 3,000 individual actions involving the 10 men's basketball student-athletes. He could not explain why metadata showed logins, submissions and attempts of assignments as quizzes from his computer at an IP address from his parents' house more than 70 miles from the university.

Penalties include three years of probation, a one-year postseason ban for the men's basketball team, a vacation of men's basketball records in which student-athletes participated while ineligible and a five-year show-cause order for the former director basketball of operations.

Via NCAA.org

14.02.1 Academic Misconduct -- Post-Enrollment.

All institutional staff members and student-athletes are expected to act with honesty and integrity in all academic matters. Post-enrollment academic misconduct includes any violation or breach of an institutional policy regarding academic honesty or integrity (e.g., academic offense, academic honor code violation, plagiarism, academic fraud).

14.1.2.1 Pre-Enrollment Academic Misconduct.

A prospective student-athlete, student-athlete or a current or former institutional staff member, which includes any individual who performs work for the institution or the athletics department even if he or she does not receive compensation for such work, shall not engage in the following conduct:

- (a) Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective student-athlete;
- (b) Failure to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or an institution's admissions office regarding an individual's academic record (e.g., schools attended, completion of coursework, grades and test scores); or
- (c) Fraudulence or misconduct in connection with entrance or placement examinations.

How to Spot Academic Misconduct



April 2017 Compliance Tip of the Month Academic Misconduct “Red Flags”



Academic Misconduct Overview

- 🐾 All prospective student-athletes, student-athletes, and institutional staff members are expected to act with honesty and integrity in all academic matters
- 🐾 Pre-Enrollment Academic Misconduct
 - 🐾 A prospective student-athlete, a student-athlete or a current or former institutional staff member shall not knowingly be involved in arranging for fraudulent academic credit or false transcripts for a prospective student-athlete
 - 🐾 A prospective student-athlete, a student-athlete or a current or former institutional staff member who fails to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or an institution's admissions office regarding an individual's academic record has committed academic misconduct
 - 🐾 A prospective student-athlete, a student-athlete or a current or former institutional staff member shall not commit fraudulence or misconduct in connection with entrance or placement examinations
- 🐾 As a condition and obligation of membership, it is the responsibility of a member institution to determine the validity of the information on which the eligibility of a student-athlete is based
- 🐾 It is the responsibility of a member institution to determine whether a transcript is valid for purposes of applying appropriate NCAA legislation to the eligibility of a student-athlete when the institution receives notification, or otherwise has cause to believe, that a student-athlete's high school, preparatory school or two-year college transcript is not valid
- 🐾 If a student-athlete's academic credentials are invalidated after his or her initial eligibility has been certified, the institution must report any violation that may have occurred as a result of the student-athlete's receipt of financial aid or participation in practice or competition and declare the student-athlete ineligible for competition

Best Practices for Recognizing Academic Misconduct

Red Flags for Academic Misconduct

Due to the rise in academic fraud cases, the Enforcement Staff provided some “red flags” Compliance Officers and Academic Advisors should look for when certifying academic eligibility.

- 🐾 Prospective Transfer Student-Athletes (2-year or 4-year)
- 🐾 Utilizing an online course at an institution other than the one they are currently attending to gain eligibility at the certifying institution

Potential Questions to Ask

When a prospective student-athlete or 2-4 transfer becomes eligible by virtue of an online class or distance learning class, Compliance Officers should interview the PSA and ask the following questions after explaining their obligation under 10.1

- 🐾 How did you register for the class?
- 🐾 How did you pay for it?
- 🐾 How much did it cost?
- 🐾 Who was your instructor?
- 🐾 How many assignments/tests did you have in this class?
- 🐾 Did somebody help you with assignments for this class? If so, who?
- 🐾 What was your final grade?

If after the interview, there is suspicion that academic misconduct occurred, the institution should re-evaluate the potential risk of certifying the prospective student-athlete.

Resources:

Bylaw 10.1
Bylaw 14.1.2.2

Bylaw 14.02.1

Bylaw 14.1.2

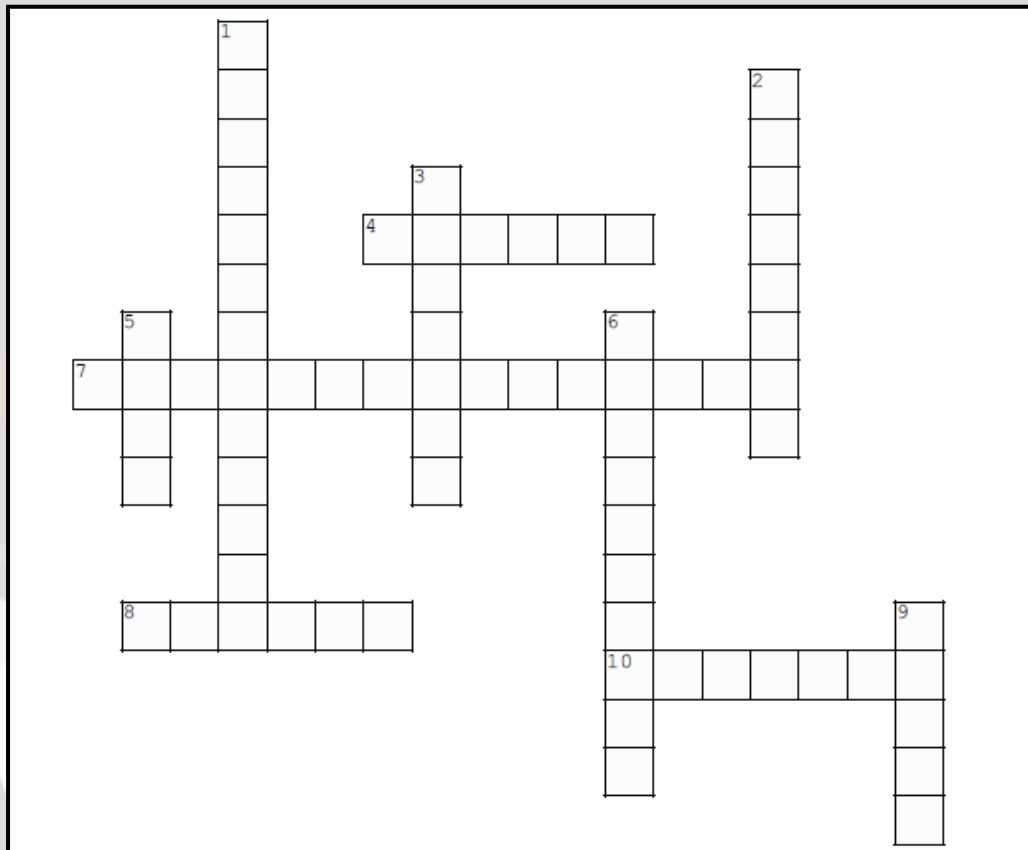
Bylaw 14.1.2.1

NOTE: This tip sheet is meant to provide guidance for common questions, but does not account for all possible scenarios.

Source: American Athletic Conference

Compliance Crossword Puzzle

April 2017



Across

- 4.** Men's and Women's _____ are in a contact/recruiting period during the month of April.
- 7.** A member institution may accept funds donated to its athletic program from a _____ sports organization.
- 8.** Telephone calls to an individual may not be made before September 1 at the beginning of his/her _____ year in high school.
- 10.** A PSA must complete 10 of the required 16 core courses before the start of his/her _____ semester of high school.

Down

- 1.** Underclassmen may receive annual _____ awards at a maximum value of \$225 from his/her institution.
- 2.** _____ is limited to 56 contests in its playing schedule with outside competition.
- 3.** _____ing on a PSA's social media post prior to his/her's commitment to an institution violates social media guidelines.
- 5.** An institution may provide up to _____ complimentary admissions per contest to a SA participating in the sport.
- 6.** An institution may reserve lodging in the locale of a _____ (nonconference) event for family members of participating SAs.
- 9.** Baseball is limited to _____ coaches, student assistant coaches, & volunteer coaches who may be employed & may contact PSAs.

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Please contact the compliance office with any concerns.

*Remember to **ASK BEFORE YOU ACT!***

Cincinnati Athletics Compliance

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