COMPLIANCE CORNER

Official newsletter of the UC Compliance office.

March 2010



Meet the Team

Each month, the Compliance Corner will feature a different member of our staff to help everyone get to know us a little better. Last month we featured one our student workers, Brian Berling, this month we bring you a fellow student worker and UC women's soccer player Kendall Loggins:

Kendall hails from Naperville, Illinois, where she played basketball and soccer at Naperville North High School before graduating in 2007. Currently in her third year at UC, Kendall has been an important member



of our women's soccer team, lettering in both of the past two years while working on her Sport Administration major. This quarter Kendall joined the compliance office as intern and has loved her experience. "Its a great environment in to work in," she said, "Everyone is so friendly. I never realized how much work is invovled with working in the compliance office, especially all the behind the scenes stuff."

The Monthly Quiz

Each month the Compliance Corner will hold a short guiz testing knowledge in both compliance and worldly matters. The winning guizzes will be entered into a drawing for a giftcard. Last month's answers can be found on page 3. All answers should be e-mailed to Caitlin at Caitlin.Stoffer@uc.edu

1. To complete this month's compliance quiz, simply e-mail Caitlin at the adress above with the word "flabbergast" somewhere in the body.



Recruiting Dates

Baseball

3/1/10 - 3/31/10 Contact Period

Men's Basketball

3/1/10 - 3/15/10 Evaluation Period 3/16/10 - 3/22/10 Contact Period 3/23/10 - 3/31/10 Evaluation Period

Women's Basketball

2/1/10 - 3/15/10 Contact Period

Football

3/1/10 - 3/31/10 Quiet Period

Lacrosse

3/1/10 - 3/31/10 Contact Period

Track and Cross Country

3/1/10 - 3/11/10 *Contact Period* 3/12/10 - 3/13/10 Dead Period 3/14/10 - 3/31/10 Contact Period

Volleyball

3/1/10 - 3/31/10 Contact Period

All Other Sports

3/1/10 - 3/31/10 **Contact and Evaltuation Period**



Recruiting Violations Lead to Probation

In what could become a common scenario in the near future, the NCAA Division I Committee on Infractions placed a school on probation for sending text messages to recruits, violating NCAA rules.

The target this time was the University of Richmond, which was also in violation of other NCAA violations around inappropriate phone calls.

But it was the text messaging that has the attention of compliance directors around the country, because of the fact that recruits are increasingly choosing text messages as the preferred method of communication.

In Richmond's case, it was determined that representatives of the men's basketball program sent 129 illegal text messages and placed as many as 83 illegal phone calls to recruits. Representatives on the women's side sent another 368 illegal texts. Coaches and officials in other sports — football, baseball, women's lacrosse, women's track and field, women's soccer and women's golf —also sent a combined 19 impermissible text messages to recruits.

The Committee concluded in its report that the university did not properly monitor its athletic programs and that the men's and women's basketball coaches failed to encourage an atmosphere of compliance within their programs.

Men's Coach Carlin Hartman and women's Coach Chris Carroll, which were deemed to be the principal instigators of the text messaging, resigned shortly after they were implicated.

Athletic Director Jim Miller told the Richmond Times-Dispatch that he was "pleased that this matter is resolved. All of the penalties we recommended and already have imposed on ourselves have been accepted by the NCAA. With sanctions that have been imposed on the people who committed the violations and the corrective actions we have taken to enhance our compliance process, we're very comfortable that we've taken the appropriate steps with this. And the NCAA is comfortable that we've taken the appropriate steps."

Among those steps were the hiring of a new assistant director for compliance as well as investing in software to help monitor the phone calls and text messages of the school's coaches.

The impermissible text messages sent during 2007 constituted a major violation, according to the Committee, because they were sent with the knowledge that they were not permissible and that the rules were broken repeatedly. The NCAA and school utilized the summary-disposition process, instead of a formal hearing to make its final determination.

March Betting Reminder!

O: What exactly is the NCAA policy on sports wagering?

A: The NCAA opposes all forms of legal and illegal sports wagering on college sports. NCAA rules prohibit student-ath-letes, athletics department, conference office, and NCAA national office employees from wagering on intercollegiate, amateur, and professional sports in which the Association conducts championships. This includes the Football Bowl Subdivision and emerging sports for women.

O: How does the NCAA define sports wagering?

A: The NCAA defines sports wagering as putting something at risk – such as an entry fee or a wager – in return for the opportunity to win something.

Q: Does the NCAA really oppose the harmless small-dollar bracket office pool for the Men's Final Four?

A: Yes! Office pools of this nature are illegal in most states. The NCAA is aware of pools that involve \$100,000 or more in revenue. Worse yet, the NCAA has learned these types of pools are often the entry point for youth to begin gambling. Fans should enjoy following the tournament and filling out a bracket just for the fun of it, not on the amount of money they could possibly win.

- The FBI estimates more than \$2.5 billion is wagered illegally on March Madness
- The NCAA's 2008 gambling survery reported 30% of male student-athletes and 7% of female student athletes wagered on sporting events of the past year.

Compliance on Facebook!

Our office has created a facebook page to try and keep current student-athletes, former student-athletes, athletic department staff/coaches, boosters and fans up to date on compliance-related issues. Our goal is to make it something that is informative but speaks to everyone on real things that are happening in a language that is easily understandable.

We are asking that you become a fan of the page and strongly encourage others to also become a fan. The primary goal is to utilize the social-networking craze as an education tool and reminder page.

You can view the page here:

http://www.facebook.com/pages/ Cincinnati-OH/Cincinnati-Athletics-Compliance/257385781960?ref=ts

NLI Signing Dates

Sport	Initial Signing	Final Signing
Football (Regular Period)	Feburary 3,	Date April 1, 2010
Soccer, Track and Field, Cross Country	Feburary 3, 2010	August 1, 2010
Basketball (Regular Period)	April 14, 2010	May 19, 2010
All Other Sports (Regu- lar Period)	April 14, 2010	August 1, 2010



Bearcat Spirit!

Look out for your fellow Bearcats in their big events of the month:

MBB- vs. Villanova. March 2nd @ 7 PM LAX- vs. Duquesne March 7th @ 1 PM

TEN- vs. Villanova (Western Tennis and Fitness Club) March 13th @ 5 PM

Baseball- vs. Kentucky. March 30th @ 6:30 PM Track- Early Bird Relays. March 20th all day

This month's compliance education session...

will be held on *March 17th @ 10 AM* in the Athletic Director's conference room. It will be a joint meeting with the business office covering policies (meals, travel, etc.).

The Compliance Staff

Maggie McKinley

Assistant Director of Athletics for Compliance

Assistant Director of Compliance

Deborah Gray

Assistant Director of Compliance

The Compliance Staff publishes the Compliance Corner monthly. All comments or questions should be directed to Caitlin at 556-4835 or Caitlin.Stoffer@uc.edu

Last Month's Quiz Answers and Winners

Last month's winner is Michael Hewitt, who will be receiving a giftcard. Here are the answers to each question and their corresponding bylaw where applicable:

- 1. E. Bylaw 13.4.1.2.1.
- 2. B.
- 3. D. Bylaw 12.5.4.
- 4. C. Bylaw 11.7.1.1.1.1