

# The COMPLIANCE CORNER

Official newsletter of the UC Compliance office.

May  
2010



## Meet the Team

Each month, the Compliance Corner features a different member of our staff to help everyone get to know us a little better. Last month we featured our assistant director of compliance, Caitlin Stoffer, this month we bring you our newest intern, Alan Carrol:

Alan hails from Peebles, Ohio, where he attended Peebles High School. While there, he played basketball, baseball, and golf--and was a four year letterman in all three sports.

He then went on to Shawnee State University, where he was a member of the tennis team while earning a bachelor's in education with a concentration in math and social studies. Alan has since gone on to attend Xavier University for graduate school, where he is earning a Master's in Sport Administration.

Alan is currently working in the UC Compliance office to complete the internship requirement for his Master's, and with the hopes that the experience will help lead to a job in intercollegiate athletics.

"I know that experience is extremely important in this field," he said. "And that compliance is especially relevant in modern college athletics. Its been great to get a look inside this area of sports administration".

Compliance is not Alan's only involvement in college athletics. He currently works as a baseball umpire for varsity, legion, and NCAA Division III baseball. He will graduate from his Master's program on June 10th and is extremely excited about the opportunities that await him in college athletics.



## Recruiting Dates

### Baseball

5/1/10 - 5/31/10 *Contact Period*

### Men's Basketball

5/1/10 - 5/19/10 *Quiet Period*

5/20/10 - 5/28/10 *Dead Period*

5/29/10 - 5/31/10 *Quiet Period*

### Women's Basketball

5/1/10 *Quiet Period*

### Football

5/1/10 *Evaluation Period*

5/2/10 *Quiet Period*

5/3/10 - 5/8/10 *Evaluation Period*

5/9/10 *Quiet Period*

5/10/10 - 5/15/10 *Evaluation Period*

5/16/10 *Quiet Period*

5/17/10 - 5/22/10 *Evaluation Period*

5/22/10 - 5/23/10 *Quiet Period*

5/25/10 - 5/29/10 *Evaluation Period*

5/30/10 *Quiet Period*

5/31/10 *Evaluation Period*

### Lacrosse

5/1/10 - 5/27/10 *Contact Period*

5/28/10 - 5/30/10 *Dead Period*

5/31/10 *Contact Period*

### Track and Cross Country

5/1/10 - 5/31/10 *Contact Period*

### Volleyball

5/1/10 - 5/28/10 *Quiet Period*

5/29/10 - 5/31/10 *Contact Period*

### All Other Sports

5/1/10 - 5/31/10 *Contact/Eval. Period*

## The Monthly Shoutout!

In lieu of this month's quiz the compliance office would like to issue an official shoutout to the Bullpen:

Greg, Brad, Lindsey, Leslie, Emily, Meagan, Melissa, and Jaime--

For your unwavering dedication and complete enthusiasm for the Compliance office--We thank you!



# Sun Devils announce sanctions

TEMPE, Ariz. -- Arizona State is vacating 44 of its 49 victories from the 2007 baseball season and will be reducing scholarships as part of self-imposed sanctions for violating NCAA rules.

The sanctions announced Wednesday follow an internal investigation in association with the Pac-10 that began in January 2008. The NCAA has also begun its own investigation into the violations, which include approximately 500 impermissible telephone calls by the baseball coaching staff from January 2004 to June 2009 and the recruitment of a prospective student-athlete by an impermissible recruiter.

According to a release from the university, several other areas of rules infractions were also uncovered.

ASU is to go before the NCAA committee on infractions later this year.

"Arizona State University remains committed to maintaining the highest standards of integrity in its athletics programs," vice president for university athletics Lisa Love said in the statement. "ASU baseball, in particular, based on a remarkable, competitive heritage, has the unique potential to be exemplary on and off the field."

Baseball scholarships will be reduced by two between now and 2011-12, and ASU will vacate postseason honors from 2007, when it advanced to the College World Series.

In addition, ASU will conduct annual audits of telephone calls during the term of probation, prohibit recruiting calls for baseball for the months of July 2010 and July 2011 and reduce the number of official visits by baseball prospects from 25 to nine for the 2010-11 academic year.

The sanctions are in addition to action that ASU previously instituted and completed when its violation report was submitted to the Pac-10 in July 2008. That included a letter of reprimand for former coach Pat Murphy, self-imposed limitations on recruitment and practice activities by coaches, improved record-keeping by the baseball program, increased compliance oversight, rules education and periodic reports to the Pac-10.

ASU officials said they will not comment further until the NCAA enforcement process is concluded.

Murphy announced his resignation in November after the university received a notice from the NCAA of a "lack of institutional control" of the baseball program.

This year's team is 31-4 and ranked No. 1 by Baseball America under coach Tim Esmay.



## External audit positive for Oklahoma

NORMAN, Okla. -- An external audit of Oklahoma's athletic department found no major issues with the school's policies on practices as it tries to move out of an NCAA-imposed probation for major violations by the football and men's basketball programs, including impermissible phone calls to recruits and football players receiving payment for work they did not do.

However, the audit did not extend to the Sooners' football team. According to the documents, Oklahoma's compliance department planned new procedures to monitor the football program's activities starting this spring.

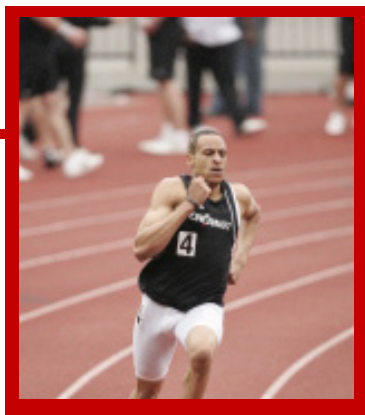
Oklahoma contracted with a law firm to audit the athletic department over a five-year span as the school seeks ways to improve its rules compliance after two black marks in the past five years.



## Thoughts of the Month

"Every decision you make - every decision - is not a decision about What To Do. It's a decision about Who You Are." - **N.D. Walsch**

To Do Today: Keep in mind that -  
"You will be remembered for the rules you break."  
-**Douglas MacArthur**



## NLI Signing Dates

Sport	Initial Signing Date	Final Signing Date
Football (Regular Period)	February 3, 2010	April 1, 2010
Soccer, Track and Field, Cross Country	February 3, 2010	August 1, 2010
Basketball (Regular Period)	April 14, 2010	May 19, 2010
All Other Sports (Regular Period)	April 14, 2010	August 1, 2010

## The Compliance Staff

**Maggie McKinley**

*Assistant Director of Athletics for Compliance*

**Caitlin Stoffer**

*Assistant Director of Compliance*

**Deborah Gray**

*Assistant Director of Compliance*

**Anne Orme**- Compliance Administrative Secretary

The Compliance Staff publishes the Compliance Corner monthly, and is designed by Joey Thomas. All comments or questions should be directed to Caitlin at 556-4835 or [Caitlin.Stoffer@uc.edu](mailto:Caitlin.Stoffer@uc.edu)



## Bearcat Spirit!

Look out for your fellow Bearcats in their big events of the month:

**Baseball**- vs. Miami OH. May 11-12 @ 6:30 PM

**Track**- Georgia Tech Invitational. May 14-15. Atlanta, Georgia.

**Baseball**- Big East Tournament. May 25-30. Clearwater, Florida.

## Attention Coaches!

If you have not done so already, please contact Maggie to schedule your team's check-out meeting. These should be scheduled for sometime during the month of May. They will last approximately 45 minutes, and all returning student-athletes must attend.

