



The University of Cincinnati Bearcat Compliance Corner



*The Official Newsletter of the UC Compliance Office
For coaches and athletic staff*

January 2007

RECRUITING DATES

Baseball –

11/10 – 2/28/07 **Quiet Period**

January exceptions:

1/4 – 1/8 **Dead Period**

Men's Basketball –

10/6 – 3/28/07 **Evaluation Period**

Women's Basketball –

9/16/06 – 4/17/07 **Evaluation Period**

except: Women's basketball staff members shall not exceed 85 recruiting-person days. Days not designated for Evaluation Period: **Quiet Period**

Football –

11/26 – 2/3/07 **Contact Period**

except: specified Quiet and Dead Periods in December and January. Six in-person off-campus contacts per prospective student-athlete shall be permitted during this time period with not more than one permitted in any one calendar week (Sunday through Saturday) or partial calendar week.

Exceptions in January:

1/5 – 1/7 **Quiet Period**

1/8 – 1/11 **Dead Period**

1/12 – 1/13 **Quiet Period**

Volleyball –

1/1 – 7/31 **Contact/Evaluation Period**

Exceptions in January:

1/1 – 1/12 **Quiet Period**

All Other Sports –

4/1/06 – 7/31/2007 **Contact/Evaluation Period**

PHONE LOGS

Coaches, remember that your phone logs need to include ALL calls made even if you did not speak to the PSA. If you called a PSA, that call needs to be included in your phone log and then mark if you talked to the PSA, left a message, or there was no answer.

ATTACHMENTS TO GENERAL CORRESPONDENCE

Attachments to general correspondence may only include information that is not created for recruiting purposes, except for items that are specifically permitted as printed recruiting material (e.g., questionnaires). [References: Division I Bylaws 13.4.1.1 (printed recruiting materials), 13.4.1.2 (electronic transmissions) and 13.4.1.3 (other recruiting materials)].

NATIONAL LETTER OF INTENT SIGNING DATES

The following are the upcoming signing dates for National Letters of Intent. Please have your NLI submittal forms completed and turned in to Rebecca Hinkel at least one week prior to when you need the NLI. Please be sure that the PSA's social security number and birthday are on the form.

Football (midyear JC Transfers)	Dec. 20 – Jan. 15
Football (regular period)	Feb. 7 – April 1
Soccer	Feb. 7 – August 1
Basketball (late period)	April 11 – May 16
All Other Sports (late period)	April 11 – August 1

NLI REMINDERS

NLI Provision 13 explains the 14-day signing window for the NLI, but the early signing period is only seven days long, and all NLIs must be signed [by prospect AND parent/legal guardian] within those seven days to be valid. **If the NLI is not signed within that week, it is not possible to re-issue the NLI.**

Similarly, Provision 14 explains the 21-day filing deadline. If the document is not filed with the conference office within 21 days, the early signing period has ended, and therefore it is not possible to issue a second National Letter of Intent.

NLI Provision 2: Financial Aid Requirement

This NLI must be accompanied by one full academic year's worth of athletic financial aid (three quarters). As such, it is not permissible for a non-scholarship student to sign a valid. NLIs with offers of aid for two quarters should not be validated by the conference office. Furthermore, the financial aid agreement offered at the time of signing must be valid in order for the National Letter of Intent to be valid. It is not appropriate for institutions to issue National Letters of Intent to prospects who will not receive an offer of athletics aid, even when a ceremonial signing is the motive. Institutions which engage in this practice will be considered in violation of the expectations of the NLI program and may be subject to sanctions from the NLI Steering Committee.

NLI Provision 7: Letter Becomes Null and Void

Recruiting Rules Violation- If the institution (or representative of its athletics interests) violated NCAA or conference rules while recruiting a prospect, this NLI shall be declared null and void if the violation results in the need for the prospect's eligibility to be reinstated by the NCAA student-athlete reinstatement staff. If a coach impermissibly calls a PSA as a junior and the violation has been self-reported and eligibility has been reinstated by the time the NLI is signed, the NLI is still valid because the student is eligible at the time of signing. However, the PSA should not sign an NLI if he/she has not yet been reinstated because he/she is not eligible at the time of signing. Any NLI signed with the institution in question while the PSA is ineligible would be null and void. It is the status of the SA which renders the NLI null and void rather than the timing of the violation.

NLI Provision 8: Only One Valid NLI Permitted

Prospect may only sign one valid NLI in a given academic year. This is true even if an NLI becomes null and void based on any section of Provision 7

NLI Provision 13: 14-Day Signing Deadline

If the PSA parent/legal guardian and the PSA fail to sign this NLI within 14 days from the date issued, it will be invalid. In that event, another NLI may be issue within the appropriate signing period.

NLI Provision 14: Institutional Filing Deadline

This NLI must be filed with the Big East by the UC within 21 days after the date of final signature or it will be invalid. The NLI program does permit conference administrators to accept faxed or e-mailed documents to meet this deadline. In accordance with the NLI Administrative Guidelines and Interpretations, it is the responsibility of UC to notify a prospect when his/her National Letter of Intent is not valid. This notification, which must be copied to the conference office and in writing, should be made not more than 5 business days from when the decision is made regarding the status of the NLI.

Faxing NLIs to the conference office should only occur in an emergency situation in conjunction with the 21-day filing deadline.

If an institution elects to send their National Letters of Intent via e-mail, please note the following:

- *It is permissible for the institution to use e-signatures on the Director of Athletics (or designee) line of the NLI
- *A prospect and parent/legal guardian must still print and sign "pen to paper" two copies of the NLI and financial aid agreement. It is NOT permissible for a prospect to use an e-signature to complete the NLI.
- *A prospect that chooses to scan/fax a signed NLI for return via e-mail/fax to the institution should still mail "snail mail" a hard copy of the documents back to the signing institution.

In using e-mail distribution, both UC and the Big East must be acutely aware of all NLI filing deadlines. NLIs must still be received within the 14-day and 21-day deadlines, and 11:59pm is the official time for validity (See NLI Provision 16).

- *NLIs may NOT be hand delivered to a PSA off-campus
- *Institutions may not announce a prospect's signing until they have received a valid copy of the NLI **AND** financial aid agreement.

GAMBLING

With the Superbowl and NCAA basketball tournament occurring in the next few months, please remember that NCAA Bylaw 10.3 strictly precludes **ALL** athletic staff and athletes from placing wagers of any kind on the Superbowl and NCAA Men's or Women's basketball championships (or any other collegiate sporting event). Staff members and athletes are not allowed to complete tournament brackets where the winner receives **ANY** type of prize or item, even if the contest is free to enter.

While certain gambling activities may seem innocent or fun, an individual may lose his/her job in intercollegiate athletics and a student-athlete may lose a season of competition or may lose all remaining regular-season and postseason eligibility in all sports by being involved in such activity.

It is not permissible to bet the shirt, the house, the farm or dinner on an intercollegiate contest.

Specifically, it is not permissible to:

1. Provide information to individuals associated with organized gambling;
2. Solicit a bet on an intercollegiate team;
3. Accept a bet on any team representing an institution;
4. Solicit or accept a bet on any intercollegiate competition for any item (e.g., shirt, cash, dinner) that has tangible value;
5. Participate in any gambling activity that involves intercollegiate athletics **OR** professional athletics by using a **bookmaker, parlay card or any other method employed by organized gambling.**

Gambling activities by those who are employed or participants of intercollegiate athletics are prohibited even if they are considered legal activities under state or federal law (e.g., student-athletes cannot place bets on college or pro games in Las Vegas).

Remember:

1. **No "Dorm Pools", "Office Pools", or "Neighborhood Pools"** that involve an entry fee or tangible benefit
2. **No Internet Sports Wagers**
3. **No "Friendly Wagers"**
4. **No bets through "800" numbers**
5. **No participation in Fantasy Leagues** that involve an entry fee or tangible benefit or payments
6. Do not provide individuals (including those involved with Tout Services) involved with

organized gambling with information about intercollegiate athletic teams

PROMOTIONAL APPEARANCES

Remind your athletes that when they appear at a fundraising event, store, supermarket, etc. they **must** fill out a promotional appearance form in the compliance office **before** the appearance.

BOOK SCHOLARSHIPS

Coaches, please remind your student athletes that are on a book scholarship that they are **not** permitted to purchase extra supplies besides their books. Purchasing extra supplies is a violation and they will have to repay the money.

BEARCAT SPIRIT

1/3	WBB	Georgetown	7pm
1/6	FB	International Bowl (vs. Western Michigan)	Noon
1/7	MBB	Rutgers	2pm
1/12	TN	Morehead State (Queen City Racquet Club)	7pm
1/14	WBB	DePaul	5:30pm
1/16	SWIM	Miami (Ohio) (Keating Aquatics Center)	5pm
1/17	WBB	Xavier	7pm
1/19	TR	Bowling Green Quad (Bowling Green, OH)	All Day
1/20	MBB	West Virginia	Noon
1/20	SWIM	West Virginia (Keating Aquatics Center)	1pm
1/20	WBB	Seton Hall	3:30pm
1/24	MBB	Pittsburgh	8pm
1/26	TR	Gladstein Invitational (Bloomington, IN)	All Day
1/26	SWIM	Kentucky (Keating Aquatics Center)	4pm
1/26	TN	Miami (Ohio) (Queen City Racquet Club)	7pm
1/27	WBB	Marquette	2pm
1/30	WBB	Connecticut	7pm
1/31	MBB	Louisville	8pm



HOUSING APPLICATION TIMELINE

From	To	
Jan 16 at 8am	Jan 19 at 8am	Students wishing to return to the same room may complete housing application & room selection online .
Jan 19 at 5pm	Jan 23 at 8am	Displaced students* may complete housing application & room selection online. <i>* Displaced students are those students who, due to hall or programming changes, are unable to select their current rooms for next year.</i>
Jan 23 at 5pm	Jan 28 at 5pm	Students wishing to return to a different room in the same hall may complete housing application & room selection online.
Jan 29 at 8am	Feb 2 at 5pm	<u>All current residents</u> may select any available room in any hall and submit a housing application & room selection online.
Feb 2 at 5pm	Mar 31 at midnight	All current students (residents and non-residents) may submit a housing application & room selection online.
Apr 1 at 8am	Apr 31 at midnight	Although still welcome to apply for housing, current residents no longer receive preference in room or hall selection.

* This schedule may have some adjustments but this is the most current. Please have you SA start planning where they want to live. Also, by March 23, Rebecca will need estimates for the number of beds you need for your freshman next year.

AROUND THE COUNTRY

Spurrier's Wife Cited for NCAA Violation

It is one thing to reprimand your assistants for committing a violation but it is another thing when it involves your wife. Steve Spurriers' wife, Jerri, unknowingly violated NCAA rules by sending handwritten notes to families of players who had signed with the Gamecocks.

Kansas Receives Penalties for Major Violations

The NCAA Division I Committee on Infractions has determined that the University of Kansas exhibited a "lack of institutional control" associated with rules violations that occurred within the men's basketball and football programs from 2000 to 2005. Among the violations that the committee found were:

- A booster providing more than \$5,000 in benefits to two basketball players and their families;
- A booster providing gifts to outgoing basketball players with the department's approval;
- Improper benefits given to seven transfer football student-athletes before they were admitted; and
- A graduate assistant football coach committing academic fraud by providing answers to test questions for two of these athletes.

The university has been placed on probation for three-years, scholarships have been reduced by a significant amount and one assistant coach was placed on "show cause" for three-years.

HAPPY NEW YEAR!!!

The University Of Cincinnati Compliance Staff

Maggie McKinley
Director of Compliance & Student Services
Kathy Kelley
Coordinator for Continuing Eligibility and Scholarships
Rebecca Hinkel
Assistant Director of Compliance
Ann Orme
Compliance Administrative Secretary
Deborah Rise
Compliance Assistant

Maggie McKinley, Rebecca Hinkel, and Deborah Rise publish the Compliance Corner monthly. All comments, questions, or submissions should be directed to Maggie at 556-3559 or mckinlmf@email.uc.edu, Rebecca at 556-4835 or Rebecca.hinkel@uc.edu, or Deborah at 556-0557 or risedh@ucmail.uc.edu