



# COMPLIANCE CORNER



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## INSIDE THIS ISSUE

.....

How to Report a Violation

Gambling Reminders

HC/Institutional Control

Recruiting Calendar

## COMPLIANCE STAFF

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# FOCUS OF THE MONTH

## HOW TO REPORT A VIOLATION

**Question:** Where can I report NCAA violations?

**Answer:** All actual and potential violations of NCAA rules should be reported to Compliance Office staff, Director of Athletics, Faculty Athletics Representative or the Anonymous Reporting Hotline as soon as you are made aware of the situation.

**Question:** Will my anonymity remain intact after reporting a violation?

**Answer:** The Compliance Office is a confidential place to discuss any questions and concerns. If you have a violation to report or a question to ask about a potential violation, your conversation will be held in confidence unless a threat of harm exists. If you aren't comfortable reporting in person, you are encouraged to do so anonymously through the reporting hotline: <https://www.uc.edu/about/hotline.html>

**Question:** What if I need to submit a Title IX violation?

**Answer:** Potential Title IX violations should be reported to the Title IX Office or athletics liaison, Maggie McKinley. The Interim Title IX Coordinator is Matthew Olovson. He can be reached by phone at 513-556-3349 or by email at [matthew.olvson@uc.edu](mailto:matthew.olvson@uc.edu) or [titleix@uc.edu](mailto:titleix@uc.edu).

## SPORTS WAGERING REMINDERS

- Please be aware that all student-athletes, coaches, staff employed in the athletic department, and staff members that have any influence over the athletic department that they are PROHIBITED from sports wagering such as participating in fantasy football leagues in which there are entry fees, wagering through Draft Kings or Fan Duel, or gambling on college football through legal sportsbooks.
- It is permissible to place wagers on horse racing, NASCAR, boxing/MMA, and any other sport that does not have an NCAA-sponsored championship. It is also permissible to participate in fantasy leagues that do not require buy-ins, as well as free-to-play competitions such as ESPN's March Madness bracket competition.
- The safest rule is if you have a question, do not do anything until you ask your compliance officer! Just simply don't wager money on sports!

# HEAD COACH CONTROL

- Presumed to be responsible for actions of all institutional staff members who report, **directly or indirectly**, to the head coach.
- The head coach shall promote an atmosphere of compliance within his/her program and shall monitor activities of all staff who report, **directly or indirectly**, to the head coach.
- Head coach is presumed to have knowledge of what is occurring in program.
- If a possible violation occurs, seek advice and counsel of the compliance staff.



- Make full and complete disclosures of any relevant information during investigations.
- Cooperate fully with and assist the NCAA enforcement staff, Committee on Infractions, and Infractions Appeals Committee.
- Apply your compliance training to mitigate any rules violations.

## Best Practices for Coaches

- **Communicate** with the AD, president, compliance, and staff members to discuss their expectations, compliance resources, shared responsibility with compliance staff, and plan and schedule meetings.
- **Monitor** by assigning a staff liaison to compliance, assigning staff members to monitor areas of compliance, and evaluate staff members.
- **Document** how you've communicated, and document meetings, program procedures, reports to compliance, and monitoring efforts.

# INSTITUTIONAL CONTROL

- It is the responsibility of each institution to:
- Monitor and control its athletics programs, staff members representatives and student-athletes.
- Make clear, by words and actions, that personnel who willfully violate NCAA rules or who are grossly negligent in apply those rules, will be disciplined and subject to discharge.
- Establish a proper system for compliance and monitoring.
- Take steps to alter the system of compliance if the system is not working.
- Report all breaches of conduct.
- Make clear that individuals involved in program has duty to report any perceived NCAA rules violations.
- Investigate possible significant violations.
- Make NCAA rules applicable to each operation readily available to those persons involved.
- Establish procedures for timely communication among offices.
- Provide meaningful compliance education programs for all offices.
- Have a long history of self-detecting, self-reporting, and self-investigating all potential violations.

# RECRUITING CALENDARS

Contact Period

Evaluation Period

Dead Period

Recruiting Shutdown

## All Sports

Sun	Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

The NCAA adopted Emergency Legislation to establish a temporary recruiting dead period for all NCAA Division I sports, effective immediately, and in effect until at least September 30, 2020.