# THE COMPLIANCE CORNER

OFFICIAL NEWSLETTER OF THE UC COMPLIANCE OFFICE

**MAY 2011** 

# Meet the Team

#### **Brandon Wright**

Welcome new Assistant Director of Compliance Brandon Wright! Brandon's first day was March 21st. He will work with Men's Soccer, Women's Soccer and Lacrosse on all compliance-related issues as well as assist with day-to-day compliance items for Men's and Women's Basketball.

A graduate of Dublin Coffman HS, Brandon was a hurdler on the men's track & field team from 2003-2007 at UC. He attended one year of law school at UC before transferring to and earning his JD from Howard University School of Law in 2010. He served as an intern in the Governance Department at the NCAA before taking the job in the compliance office.



#### Reminders:

This month's compliance education session info – New legislation presented by Brandon. Next month's session will be on summer/vacation period issues.

All new employees must complete a compliance orientation session with compliance. A meeting should be scheduled with Maggie during the first week on the job. This includes volunteer employees.

# Recruiting Dates

Baseball

5/1 – 5/31 Contact Period

Men's Basketball

5/1 - 5/18 Quiet Period

5/19 - 5/27 Dead Period

5/28 - 5/31 Quiet Period

Women's Basketball 5/1 – 5/31 Quiet Period

Football

5/1, 5/8, 5/15, 5/22, 5/29 & 5/30 Ouiet Period

5/2 - 5/7 Evaluation Period

5/9 - 5/14 Evaluation Period

5/16 -5/21 Evaluation Period

5/23 - 5/28 Evaluation Period

5/31 Evaluation Period

Women's Lacrosse 5/27 – 5/29 Dead Period

Track and Field/Cross Country 5/1 - 5/31 Contact Period

Volleyball

5/1 – 5/27 Quiet Period 5/28 - 5/31 Contact Period

All Other Sports 5/1 – 5/31 Contact/Eval. Period

**TICKETS & DEAD PERIODS** 

Reminder: You cannot provide PSAs, their family or friends with complimentary tickets during sports' dead period. To the right are the dead period dates: BASE: April 11-14

MBB: Mar. 31- Apr. 7, Apr. 11-14, May 19-27,

July 16-21

WBB: Apr. 1-7, Apr. 11-14, July 16-21

LAX: , Apr. 11-14, May 27-29 XC/TRK: Mar. 11-12, June 9-11

VB: Apr. 11-14

All Others: Apr. 11-14



## NCAA places California's men's basketball on probation

BERKELEY, Calif. (AP) — The NCAA placed the California men's basketball program on two years probation Friday for making more than 300 impermissible recruiting phone calls.

The Division I Committee on Infractions added only a few small penalties on top of what the school had already self-imposed after quickly reporting the 365 calls made shortly after coach Mike Montgomery and his staff were hired in April 2008.

MEAC Commissioner Dennis Thomas, the chairman of the infractions committee, said it is incumbent on coaches to know and follow the rules and praised Cal for the way it handled the situation.

"Cal self-reported this violation and they caught it," Thomas said. "That's a good thing. When they caught it, then they took the appropriate action of investigating it and determining what violations had occurred." One unidentified assistant coach made 212 impermissible calls and another made 107. Montgomery and a third assistant made a minimal amount of banned calls that the committee ruled were mostly "documentation" violations where no records were kept of continuations of dropped calls or phone messages.

Montgomery and the three assistants have already been sanctioned by the school.

Thomas said the investigation determined the violations were a case of neglect more than "an intentional effort to circumvent the rules."

Montgomery, two assistants and other members of the athletic department met with the NCAA in December as part of the committee's investigation.

Montgomery has had a sterling reputation during his nearly three decades as a college coach and is a former chairman of the Ethics Committee.

Montgomery was banned by Cal from making recruiting calls for one week in October 2009. The only other additional penalty he received Friday was a requirement that he and two assistants must attend a NCAA rules seminar. The NCAA did not rule that he failed to monitor his staff adequately.

The only other additional penalties handed out Friday were the probation that runs through Feb. 24, 2013, a public reprimand and censure, and a limit of five official paid visits for each of the next two academic years. The first assistant had been banned from off-campus recruiting for nearly 10 months from 2008-09, was unable to evaluate potential recruits off campus for two months and was not allowed to place or receive recruiting calls for one year. No further penalties were added by the NCAA.

Details in the infractions report make clear that the first assistant is Jay John, who acknowledged that he had not made many recruiting calls when he was head coach at Oregon State and that the rules regarding phone calls were different than when he was last an assistant in 2002.

The other two assistants are Travis DeCuire and Gregg Gottlieb.

One was not allowed to make phone calls to recruits for two weeks before the early signing period in November 2009. The NCAA ruled he will also not be allowed to make calls for 90 days, beginning March 12. The other was not allowed to make recruiting calls for two weeks in November 2009, and no further sanctions were imposed.

"The committee was very pleased with the self-imposed penalties by the institution because we did only impose a limited amount of additional penalties," Thomas said. "We did feel the university was forthcoming and heading in the right direction in self-imposing penalties upon itself."

#### **NLI SIGNING DAYS**

Sport	Initial Signing Day	Final Signing Day
Basketball and all other sports not listed (Early Period)	Nov. 10, 2010	Nov. 17, 2010
Football (Midyear JC Transfer)	Dec. 15, 2010	Jan. 15, 2011
Football (Regular Period)	Feb. 2, 2011	April 1, 2011
Field Hockey, Soccer, Track & Field, Cross Country, Men's Water Polo	Feb. 2, 2011	Aug. 1, 2011
Basketball (Regular Period)	April 13, 2011	May 18, 2011
All Other Sports Not Listed (Regular Period)	April 13, 2011	Aug. 1, 2011

#### **FOLLOW US ON FACEBOOK!**

The compliance office now has a Facebook page, all athletic departments members should follow the page here:

http://www.facebook.com/pages/ Cincinnati-OH/Cincinnati-Athletics-Compliance/257385781960?ref=ts

Coaches, please provide this link and information to your student-athletes.

REMINDER: You cannot be "friends" with a PSA on Facebook until the 1st permissible date that they can send general correspondence, which in sports other than MBB at UC is Sept. 1st on their junior year.

# Are you logging all of your phone calls?

The University of California-Berkley was placed on two years probation for telephone call violations that were primarily attributed to lack of documentation of calls that would have been non-countable had the coach documented that there was not an answer, a voicemail/message was left, the call was dropped and re-dialed or that the call was placed to the high school coach who also is a parent of a prospect. You MUST log every time a number is dialed that is to a PSA or the parent of a PSA and note if a conversation did not occur.

### The Compliance Staff

Maggie McKinley

**Associate Athletics Director** 

Caitlin Stoffer

**Assistant Director of Compliance** 

Brandon Wrigh

**Assistant Director of Compliance** 

Alan Carroll

**Compliance Assistant** 

The Compliance Staff publishes the Compliance Corner monthly, and is designed by Brian DeCarlo. All comments or questions should be directed to Caitlin at 556-4835 or Caitlin. Stoffer@uc.edu

### **Bearcat Spirit!**

Look out for your fellow Bearcats in their big events of the month:

Baseball - vs. Miami (OH), May 10th @ 6:30 PM Lacrosse - vs. Notre Dame, May 1st @ 12 PM