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**GREEN TIGER MARKETS**  
**PARTICIPANT REGISTRATION**  
**DOCUMENTATION**



## **PARTICIPANT RESOLUTIONS**

The undersigned is a Director, Managing Member, General Partner, or holds a similar position with the Participant, and resolves and confirms that the Participant is authorized and empowered to:

- (i) purchase, invest in, acquire, sell, trade, possess, dispose of, realize upon or deal in and with contracts to buy or sell various commodities listed from time to time on the GTM Marketplace on a forward or spot basis, and options thereon (“GTM Contracts”);
- (ii) enter into any GTM Contract listed for trading on the GTM Marketplace whether by entering orders directly into a central limit order book or by using the services of a Block Trader (which may be GTM or affiliates thereof or others), or otherwise;
- (iii) when using the services of a Block Trader, be bound by the Block Trader’s confirmation that a trade has been concluded, unless Participant has timely notified GTM and the Block Trader of manifest error within the time period provided by the Marketplace Rulebook;
- (iv) if agreed directly with another Participant through a bilateral arrangement (including but not limited to an ISDA Credit Support Annex), secure (through the grant of a security interest over any of its property or otherwise) any of its obligations arising from any of the foregoing activities and deliver any margin or other credit support as contemplated under such bilateral credit arrangement; and
- (v) establish and maintain one or more accounts with Green Tiger Markets Pte Ltd. and/or an affiliate thereof (“GTM”) for the purposes of any of the foregoing (“Account(s)”).

The undersigned also confirms that that any officer, general partner, managing member or manager, as appropriate, of the Participant, or any employee or agent of the Participant designated by any such officer, general partner, managing member or manager, be and hereby is authorized and empowered to act for the Participant in every respect concerning the Participant’s Account(s) with GTM. Such authority hereby granted includes the power to do each of the following acts and actions:

- (i) execute in the name of the Participant, and deliver to GTM, any and all agreements, documents, instruments, confirmations or notices necessary or relating to the opening, maintenance and/or trading of such Account(s), including without limitation the GTM Participant Agreement, GTM Rulebook (to which the Participant is deemed to have adhered, as it may be amended from time to time, by virtue of executing the GTM Participant Agreement), and ISDA Master Agreements, together with related schedules, credit support documents, and confirmations;
- (ii) receive and acquiesce in the correctness of notices of transactions, statements of account and other documents relating to the Participant’s Account(s) with GTM;

- (iii) furnish to GTM a true copy of these resolutions and the documents attached to these resolutions, which are hereby certified to be true and correct; and
- (iv) take other such actions as may be necessary or desirable to carry out the intent of the foregoing and the satisfaction of each and every obligation of the Company in connection with the Account(s) and the transactions effected therein.

The undersigned confirms that any and all past transactions of the kind provided for by these resolutions which have been previously made by GTM on behalf of or with the Participant be and hereby are ratified, confirmed and approved in all respects. Furthermore, the Participant confirms that it is duly organized and existing in its jurisdiction of formation, and that it is wholly and without limit empowered to transact the business authorized by these resolutions.

The undersigned further confirms that Participant and any interested third party may rely upon any certification given in accordance with these resolutions as continuing in full force and effect unless and until the Participant shall actually receive written notice of a change in, or the rescission of, such authority, and that the Participant will indemnify GTM and hold GTM harmless from and against any liability, loss, cost or expense it incurs in continuing to act in reliance upon these resolutions prior to its actual receipt of any such notice. The foregoing resolutions and certificates actually furnished to GTM shall be irrevocable until written notice of the revocation of such resolutions and certificates shall have been received by GTM.

Terms not defined herein shall have the meanings ascribed thereto in the GTM Participant Agreement and the GTM Rulebook. The Participant agrees to adhere to all provisions of the Rulebook, its appendices and attachments, as amended from time to time.

The signature below authorizes GTM, and/or a third-party vendor appointed by GTM, to conduct AML/KYC diligence (including without limitation credit checks and criminal background checks) on the undersigned entity and its affiliated/associated personnel.

This authorization shall continue in force until duly revoked by the Participant by a written notice addressed to GTM and delivered to GTM’s office at 6 Allamanda Grove, Singapore 269965.

	Very truly yours,
Dated:	Entity Name:
	By:  Name: Title:



**Basic Participant Information**

**Name of Participant  
(full legal entity name is required)**

**Tax ID (specify jurisdiction)**

**Is Participant a regulated entity (if  
so list regulator)?**

**Is Participant publicly traded (if so  
list exchange)?**

**Does Participant have a parent  
entity? If so please provide name  
and indicate if regulated/publicly traded**

**Contact Information**

**Registered  
Address:**

	Tel No.	
Email:		

**Operations  
contact:**

	Tel No.	
Email:		

**Internal Trading  
contact:**

	Tel No.	
Email:		

**Settlements  
contact:**

	Tel No.	
Email:		

**OWNERSHIP AND OFFICER/DIRECTOR INFORMATION FOR PARTICIPANTS**

Please list all persons and entities that own more than 25% of the Participant, including all persons and entities that own more than 25% of the Participant indirectly (e.g. if the Participant is 50% owned by an entity and that entity is 50% owned by an individual, that would constitute an indirect holding of 25% of the Participant).

<b><u>OWNER</u></b>	<b><u>PERCENTAGE</u></b>	<b><u>WHICH ENTITY DOES OWNER OWN</u></b>	<b><u>BUSINESS OF ENTITY AND BUSINESS ADDRESS</u></b>

Please list all key executive officers of the Participant:

<b><u>NAME</u></b>	<b><u>TITLE</u></b>	<b><u>CONTACT INFORMATION</u></b>



**PARTICIPANT PERMISSIONING INFORMATION**

<u>Name</u>	<u>Title</u>	<u>Company</u>	<u>Phone</u>	<u>Email</u>	<u>Role</u> [Choose: • <u>Admin</u> , • <u>Risk Admin</u> , • <u>Trading</u> , • <u>Trading</u> <u>Manager</u> ]



<u>Name</u>	<u>Title</u>	<u>Company</u>	<u>Phone</u>	<u>Email</u>	<u>Role</u> [Choose: • <u>Admin</u> , • <u>Risk Admin</u> , • <u>Trading</u> , • <u>Trading Manager</u> ]

**Admin:** authorized to add and edit Users for this company and set User Privileges

**Risk Admin:** authorized to edit the Trading Limits for this company

**Trading:** authorized to execute transactions on the trading screens for this company, subject to trading limits.

**Trading Manager:** Can view and cancel positions for other Traders in the company





### PARTICIPANT AUTHORIZED SIGNATORY LIST

Name of Customer

The following personnel are authorised to sign documents on the Participant’s behalf:

<u>NAME</u>	<u>TITLE/ INSTITUTION</u>	<u>PHONE #</u>	<u>EMAIL</u>	<u>SPECIMEN SIGNATURE</u>

Number of signatures required

This list replaces all previous lists.

The individuals listed above are authorized to executed “wet ink” and “digital” signatures on any documents that bind the Participant



*This section is to be completed by all Participants, regardless of their jurisdiction of formation or principal place of business.*

**Investor Status Certificate (Singapore)**

The undersigned is a Director, Managing Member, General Partner, or holds a similar position with the Participant, and certifies that the Participant is an Accredited Investor.

Where:

“**Accredited Investor**” means a corporation with net assets exceeding SGD\$10 million in value (or its equivalent in a foreign currency) or such other amount as the Authority may prescribe, in place of the first amount, as determined by (a) the most recent audited balance-sheet of the corporation; or where the corporation is not required to prepare audited accounts regularly, a balance-sheet of the corporation certified by the corporation as giving a true and fair view of the state of affairs of the corporation as of the date of the balance-sheet, which date shall be within the preceding 12 months;

In completing, signing, and returning this Participant Registration Documentation to GTM, the Participant represents and warrants that the information and confirmations the Participant has provided in this form, and all information contained in this document and any other documentation that the Participant provides to GTM, are complete, true, and accurate. If there is any change in circumstances relating to the (i) net personal or financial asset holdings or income that may affect any of the declarations and representations set out above or (ii) status as an accredited investor under Singapore law such that the Participant ceases to be an accredited investor at any time, the Participant undertakes to promptly inform GTM of such change in circumstances.

The Participant further confirms that the Participant and any interested third party may rely upon this certificate as continuing in full force and effect and that the Participant will indemnify GTM and hold GTM harmless from and against any liability, loss, cost or expense it incurs in continuing to act in reliance upon this certificate. This certificate shall be irrevocable until written notice of the revocation of such certificate shall have been received by GTM.

Terms used in this certificate but not defined herein shall have the meanings given to them in the Singapore Securities and Futures Act (Cap. 289).

	Very truly yours,
Dated:	Entity Name:
	By:  Name: Title:



**Regulatory and Soundness Questionnaire**

If the answer to any of the following questions is in the affirmative, provide supporting documents and explanations where appropriate.

**Honesty, Integrity and Reputation**

1. Within the past 10 years, has the applicant, or any of its key stakeholders,

- |  | Yes                      | No                       |
|--|--------------------------|--------------------------|
| (a) been refused the right or restricted in its or his/her right to carry on any trade, business or profession for which a specific licence, registration or other authorisation is required by law in any jurisdiction?     | <input type="checkbox"/> | <input type="checkbox"/> |
| (b) been issued a prohibition order or been prohibited from operating in any jurisdiction by any regulatory authority?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (c) been censured, disciplined, suspended or refused membership or registration by any regulatory authority, an operator of an organised market or clearing facility, any professional body or government agency?            | <input type="checkbox"/> | <input type="checkbox"/> |
| (d) been the subject of any complaint made reasonably and in good faith under any law in any jurisdiction?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (e) been the subject of any proceedings of a disciplinary or criminal nature or been notified of any potential proceedings or of any investigation which might lead to those proceedings, under any law in any jurisdiction? | <input type="checkbox"/> | <input type="checkbox"/> |
| (f) been convicted of any offence, served any term of imprisonment or is being subject to any pending proceedings which may lead to a conviction of any offence, under any law in any jurisdiction?                          | <input type="checkbox"/> | <input type="checkbox"/> |
| (g) had any civil penalty enforcement action taken against it or him/her by any regulatory authority under any law in any jurisdiction?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (h) contravened or abetted another person in breach of any laws or regulations, business rules or codes of conduct?  | <input type="checkbox"/> | <input type="checkbox"/> |



- (i) been the subject of any investigations or disciplinary proceedings or been issued a warning or reprimand by any regulatory authority, an operator of an organised market or clearing facility, any professional body or government agency?
  
- (j) been refused a fidelity or surety bond?
  
- (k) been or is a director, partner, substantial shareholder or concerned in the management of a business that has been censured, disciplined, prosecuted or convicted of a criminal offence, or been the subject of any disciplinary or criminal investigation or proceeding in relation to any matter that took place while he/she was a director, partner, substantial shareholder or concerned in the management of the business?
  
- (l) been or is a director, partner, substantial shareholder or concerned in the management of a business that has been suspended or refused membership or registration by any regulatory authority, an operator of an organised market or clearing facility, any professional body or government agency?
  
- (m) been a director, partner, substantial shareholder or concerned in the management of a business that has gone into insolvency, liquidation or administration during the period when, or within a period of one year after, he/she was a director, partner, substantial shareholder or concerned in the management of the business?
  
- (n) been dismissed or asked to resign from office, employment, a position of trust, or a fiduciary appointment or similar position?
  
- (o) been or is subject to disciplinary proceedings by his/her current or former employer(s)?
  
- (p) been disqualified from acting as a director or disqualified from acting in any managerial capacity?
  
- (q) been an officer found liable for an offence committed by a body corporate as a result of the offence having proved to have been committed with the consent or connivance of, or neglect attributable to, the officer?

2. Has the applicant or any of its key stakeholders,

Yes

No



- (a) had any judgment (in particular, that is associated with a finding of fraud, misrepresentation or dishonesty) entered against it or him/her in any civil proceedings or is a party to any pending proceedings which may lead to such a judgment, under any law in any jurisdiction?
- (b) accepted civil liability for fraud, misrepresentation or dishonesty under any law in any jurisdiction?

Financial Soundness

3. Within the past 10 years, has the applicant or any of its key stakeholders,

- |   | Yes                      | No                       |
|---|--------------------------|--------------------------|
| (a) been or is unable to fulfil any of its or his/her financial obligations?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (b) entered into a compromise or scheme of arrangement (including a debt repayment scheme) with its or his/her creditors, or made an assignment for the benefit of its or his/her creditors, being a compromise, scheme of arrangement or assignment that is still in operation?        | <input type="checkbox"/> | <input type="checkbox"/> |
| (c) been or is subject to a judgment debt which is unsatisfied, either in whole or in part?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (d) been or is the subject of a bankruptcy petition?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (e) been adjudicated a bankrupt and the bankruptcy is undischarged?   | <input type="checkbox"/> | <input type="checkbox"/> |
| (f) been or is the subject of a winding-up petition?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (g) been dissolved or is in the course of being wound-up, where the dissolution/winding-up does not relate to members' voluntary winding-up?  | <input type="checkbox"/> | <input type="checkbox"/> |
| (h) been or is a corporation where a receiver, receiver and manager, judicial manager, or such other person having the powers and duties of a receiver, receiver and manager, or judicial manager, has been appointed in relation to, or in respect of any property of the corporation? | <input type="checkbox"/> | <input type="checkbox"/> |



**Required Documentation to be Attached**

**Participant Documentation**

1. Formation documents for Participants (i.e., Certificate of Incorporation or similar)
2. Constitutional Documentation (e.g. Memorandum & Articles of Association or equivalent) for Participants
3. Certificate of good standing or similar document, issued within last 12 months
4. Copies of passports for all individuals designated on Page 6.

**Signature Page**

By its signature below, the undersigned Participant represents, warrants, and covenants as follows:

1. All information provided in this registration documentation, including without limitation all certifications, is true and correct in all material respects, and the Participant will promptly inform GTM if any such information ceases to be true and correct.
2. The Participant is duly authorized to submit this registration documentation and all other associated documentation to participate on the GTM Platform,
3. By its signature below, the Participant affirms that it has received the GTM Marketplace Participant Agreement and the GTM Marketplace System Licence, appended to the Green Tiger Markets Marketplace Rulebook, and related documentation (the “GTM Marketplace Documentation”) and agrees to be bound to the GTM Marketplace Documentation as if it had signed such GTM Marketplace Documentation directly.

	Very truly yours,
Dated:	Entity Name:
	By:  Name: Title: