

# A Polynomial-time Approximation Scheme for Planar Multiway Cut

MohammadHossein Bateni\*

MohammadTaghi Hajiaghayi†

Philip N. Klein‡

Claire Mathieu§

## Abstract

Given an undirected graph with edge lengths and a subset of nodes (called the *terminals*), the *multiway cut* (also called the *multi-terminal cut*) problem asks for a subset of edges, with minimum total length, whose removal disconnects each terminal from all others. The problem generalizes *minimum s-t cut*, but is NP-hard for planar graphs and APX-hard for general graphs [11]. In this paper, we present a PTAS for multiway cut on planar graphs.

## 1 Introduction

In the *multiway cut problem* (a.k.a. *multi-terminal cut problem*), given an undirected graph with edge lengths and a subset of nodes called the *terminals*, the goal is to disconnect the terminals from one another using a subset of edges of minimum total length. With  $k$  denoting the cardinality of the set of terminals, the problem is sometimes also called the *k-terminal cut problem* or the *k-way cut problem*.

It is a natural problem: it generalizes the problem of finding a minimum-length *st-cut*. A variant was first proposed in T. C. Hu’s 1969 book [15]. The study of its computational complexity was inaugurated in 1983 by Dahlhaus, Johnson, Papadimitriou, Seymour, and Yannakakis [11]<sup>1</sup>. Their results, already highlighting

the case of planar graphs, have guided the agenda for subsequent research:

1. For general graphs, there is a simple 2-approximation algorithm disconnecting each terminal from the others by a minimum cut, but for any fixed  $k \geq 3$ , the problem is APX-hard, and so no polynomial-time approximation scheme (PTAS) exists if  $P \neq NP$ .
2. For planar graphs, the problem can be solved in polynomial time for fixed  $k$  but is NP-hard when  $k$  is unbounded.

Result 1 led to a sequence of constant-factor approximation algorithms with improved approximation factors; see, e.g., [8, 10, 17]. Result 2 spawned papers giving improved running times for the case of planar graphs and fixed  $k$ ; see, e.g., [3, 9, 14, 16]. In this paper, we provide a result that complements Result 2; we show there is a polynomial-time approximation scheme for multiway cut on planar graphs.

**THEOREM 1.1.** *There is a polynomial-time approximation scheme (PTAS) for the multiway cut problem on planar graphs. Its running time is  $O(f(\epsilon)n^c)$ , where  $f(\epsilon)$  is a function of  $\epsilon$  independent of  $n$  and  $c$  is an absolute constant independent of  $\epsilon$ .*

Theorem 1.1 is in the continuation of a sequence of results designing PTASes for planar graph instances of progressively harder optimization problems with connectivity constraints: TSP [18, 19, 20], Steiner tree [4, 5, 7], and Steiner forest [2]. Our new algorithm builds on the brick decomposition technique from [5] (which in turn builds on [19]) and the prize-collecting-clustering technique from [2], as well as a technique for finding short cycles enclosing prescribed amounts of weight from a paper by Park and Phillips [21].

In [18, 20], Klein stated a strategy in two forms, a primal form and a dual form. In the dual form, which applies here, the strategy is as follows: Step 1: contract edges from the input graph  $G_{in}$  to get a graph

\*Google Research, New York, NY 10011; The work was done while the author was with Department of Computer Science, Princeton University and with Center for Computational Intractability, Princeton, NJ 08540; Email: mbateni@cs.princeton.edu.

†Supported in part by a Google Faculty Research Award, an ONR Young Investigator Award, an NSF CAREER Award and a DARPA BAA grant. Department of Computer Science, University of Maryland at College Park, USA; Email: hajiagha@cs.umd.edu. The author is also with ATT Labs–Research, USA.

‡Computer Science Department, Brown University, Providence, RI 02912; Email: klein@brown.edu. Research supported in part by NSF Grant CCF-0964037

§Computer Science Department, Brown University, Providence, RI 02912; Email: claire@cs.brown.edu. Research supported in part by NSF Grant CCF-0964037

<sup>1</sup>The work was first known in an unpublished but widely circulated extended abstract. Their complete paper was published

in 1994.

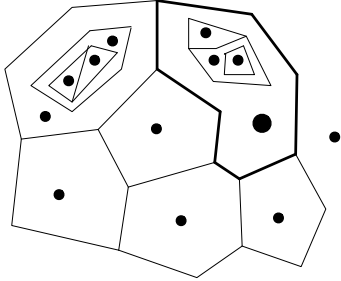


Figure 1: This figure shows a multiway-cut solution in the planar dual. The line segments are the edges of the planar dual that belong to the solution, and the black dots denote the terminals (which are faces in the dual). A feasible solution has the property that each region contains at most one terminal. Note that the solution need not be connected, that there is arbitrary nesting of connected components, and that regions need not be simply connected (i.e. a region’s boundary need not be connected). However, with respect to a designated infinite face, each region except the outermost does have a simple enclosing cycle; for the terminal  $t$  inhabiting the region, this cycle is the minimally enclosing cycle in the solution that encloses  $t$ . For example, for the terminal represented by the oversize dot, the minimally enclosing cycle is indicated with thicker line segments.

$\widehat{G}_{in}$  whose length is  $O(OPT)$  and that approximately preserves  $OPT$ . Step 2: for a given constant  $\zeta$ , find a set of edges of weight at most  $\frac{1}{\zeta} \text{length}(H)$  whose deletion from  $\widehat{G}_{in}$  yields a graph of branchwidth  $O(\zeta)$ . Step 3: Solve the problem in the bounded-branchwidth graph. Step 4: Lift the solution to the original graph, incorporating some of the edges deleted. The value of  $\zeta$  is chosen so that the length of the deleted edges is a small fraction of the length of  $\widehat{G}_{in}$  and therefore an  $\epsilon$  fraction of  $OPT$ .

This strategy has been used for the aforementioned optimization problems. The hardest part for multiterminal cut (as for most problems addressed with the framework) is Step 1. The graph  $\widehat{G}_{in}$  obtained in Step 1 is called a *spanner* by analogy to distance spanners. Our main contribution in this paper is a spanner construction for multiterminal cut.

The starting point for our spanner construction is that, in the planar dual, a multiterminal cut resembles a Steiner tree in structure. This suggests that the techniques used for Steiner tree (*brick decompositions* and *portal-respecting solutions*) could be used here. (These techniques are summarized in Section 3.4.) However, as shown in Figure 1, the solution in the dual need not be connected. In this way, the problem is similar to Steiner forest, in which the solution need not be connected. This suggests we employ a method used for Steiner forest, *prize-collecting clustering*. This method, given a graph with edge-lengths and vertex-potentials,

finds a forest  $F$  whose length is at most twice the sum of potentials and such that any low-cost forest  $L$  does not connect distinct trees in  $F$ , if we disregard a set of vertices whose total potential is at most the length of  $L$ . (This method is summarized in Section 3.3.)

There are two additional difficulties, however. In Steiner tree and Steiner forest, an instance specifies a set of vertices, the terminals, that must be connected up by the edges forming the solution network. In multiterminal cut, an instance specifies terminals, but these must be *separated* by the network. In particular, in the planar dual, where terminals become faces, the terminals can be quite far from the edges forming the solution. To address this problem, we introduce a technique of choosing, for each terminal  $t$ , a set of simple cycles in the dual that enclose  $t$ , such that at least one of these cycles intersects the part of a near-optimal solution that separates  $t$  from the infinite face.

Another difficulty is as follows. (Again, refer to Figure 1.) Consider a multiterminal cut solution in the dual, and consider a connected component  $K$ . This component serves to separate some terminals  $t_1, \dots, t_p$  from each other. However, one of these terminals is not enclosed in  $K$ . It lies outside  $K$ , and so must in turn be separated from other terminals by another connected component. Thus the connected components cannot be handled independently from each other. To address this, we give an algorithm to construct a subgraph, called the *skeleton*. The nesting structure of connected components of the skeleton approximates the nesting structure of connected components of a near-optimal solution. This enables us to construct the spanner.

## 2 Overview

We denote the input graph by  $G_{in}$ . The set of terminals is denoted  $T$ , and the assignment of lengths to edges is  $\text{length}(\cdot)$ . We give an algorithm that, for a given error tolerance  $\epsilon$ , finds a multiterminal cut of length at most  $1 + c\epsilon$  times optimal, where  $c$  is a constant.

For notational simplicity, we consider  $T$ ,  $\text{length}(\cdot)$ , and  $\epsilon$  as global variables so we don’t have to pass them as arguments to the procedures we define. For a graph  $G$  derived from  $G_{in}$  by deletions and contractions, we use the notation  $\text{OPT}(G)$  to refer to the minimum length of a multiterminal cut in  $G$  separating the terminals  $T \cap V(G)$ .

The algorithm for finding an approximate multiway cut follows the strategy discussed in the introduction. In Line 1, the graph  $\widehat{G}_{in}$  is obtained from  $G_{in}$  by edge contractions but still contains all of the terminals. Therefore the edges forming a multiterminal cut in  $\widehat{G}_{in}$  also form a multiterminal cut in  $G_{in}$ . By averaging, in

---

**Algorithm 1** MULTIWAYCUT  $(G_{in}, T_{in}, \text{length}(\cdot))$ 

---

**Input:** planar graph  $G_{in}$ , terminals  $T$ , length assignment  $\text{length}(\cdot)$

**Output:** a  $(1 + (c + 1)\epsilon)$ -approximate multiterminal cut where  $c$  is a constant

- 1:  $\widehat{G}_{in} \leftarrow \text{MAINSPANNER}(G_{in})$
  - 2: **comment:**  $\text{length}(\widehat{G}_{in}) \leq f(\epsilon)\text{OPT}(G_{in})$  and  $\text{OPT}(\widehat{G}_{in}) \leq (1 + c\epsilon)\text{OPT}(G_{in})$
  - 3:  $r \leftarrow$  some vertex of  $\widehat{G}_{in}$
  - 4:  $\zeta = \epsilon^{-1}f(\epsilon)$
  - 5: for  $i = 0, \dots, r - 1$ , let  $E_i$  be the set of edges  $e$  of  $\widehat{G}_{in}$  such that breadth-first search distance from  $r$  to  $e$  is congruent mod  $\zeta$  to  $i$ .
  - 6: let  $E_{i^*}$  be the set of minimum length.
  - 7: construct a branch decomposition of  $\widehat{G}_{in} - E_{i^*}$  of width  $2\zeta$
  - 8:  $M \leftarrow$  optimal multiterminal cut for  $\widehat{G}_{in} - E_{i^*}$
  - 9: **return**  $E_{i^*} \cup M$
- 

Line 6,

$$\begin{aligned} \text{length}(E_{i^*}) &\leq \frac{1}{\zeta} \text{length}(\widehat{G}_{in}) \\ &\leq \epsilon \text{OPT}(G_{in}) \end{aligned}$$

Since

$$\begin{aligned} \text{OPT}(\widehat{G}_{in} - E_{i^*}) &\leq \text{OPT}(\widehat{G}_{in}) \\ &\leq (1 + c'\epsilon)\text{OPT}(G_{in}) \end{aligned}$$

it follows that the solution  $E_{i^*} \cup M$  returned in Line 9 has length at most  $(1 + c'\epsilon)\text{OPT}(G_{in}) + \epsilon \text{OPT}(G_{in})$ .

We briefly review the notion of *branchwidth*. Two sets  $A$  and  $B$  *cross* if  $A - B, B - A, A \cap B$  are nonempty. A carving  $\mathcal{C}$  of a ground set  $S$  is a maximal collection of noncrossing subsets of  $S$ . A *branch decomposition* of a graph is a carving  $\mathcal{C}$  of the edges of the graph. The *boundary* of a set  $A$  of edges is the set of a vertex  $v$  is on the *boundary* of a set  $A$  if the edges in  $A$  that are incident to  $v$  form a nonempty proper subset of the edges incident to  $v$ . The *width* of a branch-decomposition  $\mathcal{C}$  is the maximum size of the boundary of a set  $A \in \mathcal{C}$ .

The argument that, in Line 7,  $\widehat{G}_{in} - E_{i^*}$  has bounded branchwidth (or treewidth) dates back to the work of Baker [1].<sup>2</sup> It is a simple exercise to show that, given an  $m$ -edge graph  $G$  and a branch-decomposition

of width  $w$ , an optimal multiterminal cut can be found in  $2^{O(w)}m$  time.

In order to prove Theorem 1.1, it remains to give the algorithm used in Line 1.

**THEOREM 2.1.** *There is a constant  $d$  such that, for any constant  $\epsilon > 0$ , there is an  $O(n^d)$ -time algorithm that, given an instance  $(G_{in}, T, \text{length}(\cdot))$  of multiterminal cut, constructs a subgraph  $\widehat{G}_{in}$  of  $G_{in}$  such that  $\text{length}(\widehat{G}_{in}) \leq 2^{\text{poly}(1/\epsilon)}\text{OPT}(G_{in})$  and  $\text{OPT}(\widehat{G}_{in}) \leq (1 + c\epsilon)\text{OPT}(G_{in})$*

**Outline of the proof of Theorem 2.1** The rest of the paper is devoted to proving Theorem 2.1. Here we provide an overview of the algorithm.

Each terminal  $t$  is assigned a weight equal to the minimum length of a cut separating  $t$  from all other terminals. The procedure  $\text{MAINSPANNER}(G_{in})$  uses short simple cuts to decompose  $G_{in}$  into graphs  $G$  in which (almost) any cut  $\delta(S)$  has length at least  $\epsilon$  times the total weight of  $S$ . For each such graph  $G$ , a subgraph  $H$  (the *skeleton*) is computed, and a spanner for  $G$  is constructed from  $G$  and its skeleton  $H$ . Finally,  $\text{MAINSPANNER}$  returns the union of these spanners with the short simple cuts used to decompose  $G_{in}$ .

The procedure  $\text{SKELETON}$  for finding the skeleton operates on the planar dual  $G^*$  of  $G$ , as follows.

- For each terminal  $t$ , the algorithm selects several cycles in the planar dual (cuts in the primal) that enclose  $t$ , such that at least one of those cycles intersects the component that immediately encloses  $t$  in a near-optimal solution.
- The algorithm iteratively adds paths we call *ears* to each face of each connected component of the skeleton so far. An ear must separate two terminals each of weight at least  $\epsilon^3$  times the length of the ear.
- The algorithm runs *prize-collecting clustering* (described in Section 3.3) to augment the skeleton so far with some additional edges.

Now we describe the construction of the spanner for  $G$ . It too operates on the planar dual  $G^*$ .

- For each connected component  $K$  of the skeleton, the algorithm constructs a *brick decomposition* (described in Section 3.4) starting from  $K$ . This defines a subgraph  $M$  of  $G$  that includes  $K$ . For each face of  $M$ , the part of  $G$  embedded within the face is called a *brick*.
- For each brick, the algorithm designates as *portals* a constant number of evenly spaced vertices on the boundary of the brick (the face of  $M$ ). The algorithm identifies a constant number of important

---

<sup>2</sup>Baker's work predated the notions of branchwidth or treewidth were formulated; see [12, 20] for arguments using these notions.

terminals in the brick. computes minimum-length *portal-respecting partial solutions*, subgraphs of the brick that separate parts of the brick from each other and from a single important terminal. Because the number of portals is constant and the number of important terminals is constant, only a (large) constant number of partial solutions need to be computed.

- The spanner for  $G$  is defined to be the union of all the min-cuts of their terminals, all the brick decompositions (which include all the skeletons), and all the portal-respecting partial solutions.

### 3 Background

**3.1 Terminology** We use the notation  $G[V']$  for the subgraph of  $G$  induced by a subset  $V'$  of the vertex set of  $G$ .

In a graph  $G$ , the *cut* defined by a set  $S$  of vertices, denoted by  $\delta_G(S)$ , is the set of edges having one endpoint in  $S$  and one endpoint not in  $S$ . It is *simple* if  $G[S]$  and  $G[V - S]$  are both connected graphs.

For every connected planar embedded graph  $G$ , there is another planar embedded graph  $G^*$ , called the *planar dual* of  $G$ . The vertices of  $G^*$  are the faces of  $G$ , and vice versa. For each edge  $e$  of  $G$ , there is an edge in  $G^*$  (which we also call  $e$ ) between the two faces bordering  $e$  in  $G$ . A classical result in graph theory states that, for a planar graph  $G$ , a subset of edges form a simple cut in  $G$  if the same edges form a simple cycle in  $G^*$ . Contracting a (non-self-loop) edge in  $G$  corresponds to deleting the edge in  $G^*$  and vice versa.

We can think of a planar graph being embedded either on a plane or on a sphere. On the plane, there is one face that is infinite. We prefer to think of the graph being embedded on the sphere, so the choice of the “infinite” face is arbitrary. With respect to a designated infinite face, we say a simple cycle  $C$  in  $G^*$  *encloses* a face of  $G^*$  if in  $G$  the edges of  $C$  separate  $f$  from the infinite face. We say  $C$  encloses a vertex or edge if  $C$  encloses some face incident to the vertex or edge. We say  $C$  encloses a subgraph if  $C$  encloses every edge of the subgraph. We say  $C$  *strictly* encloses the subgraph if in addition no vertex of the subgraph lies on  $C$ . We say a subgraph  $H$  encloses a subgraph  $H'$  if some cycle of  $H$  encloses  $H'$ . The *outer boundary* of  $H$  is the set of edges that are part of  $H$  and not strictly enclosed by  $H$ .

**3.2 Finding short cycles and paths** Let  $G$  be an undirected planar embedded graph with edge-lengths and face-weights. Let  $T$  be a spanning tree of  $G$ . Park and Phillips [21] give a technique for turning weight enclosed by a cycle into total weight assigned to the cycle. Root  $T$  at a node  $r$ . Each edge of  $G$  corresponds to two oppositely directed *darts*. Assign weights to

the darts as follows. The weight of a dart belonging to an edge of  $T$  is zero. For a dart  $uv$  not in  $T$ , there is a unique simple cycle consisting of  $uv$  and the  $u$ -to- $v$  path in  $T$ , called the *fundamental cycle* of  $uv$  with respect to  $T$ . If the fundamental cycle of  $uv$  is clockwise, the weight of  $uv$  is defined to be the sum of the weights of the faces enclosed by the elementary cycle, and the weight of  $vu$ , the oppositely directed dart, is the negative of this sum.

It is easy to verify that, for any simple clockwise cycle  $C$ , the weight of the darts forming  $C$  equals the weight enclosed by  $C$  (and the weight of the darts forming the reverse cycle is the negative of the weight enclosed).

**3.3 Prize-collecting clustering** In our algorithm, we use the PC-CLUSTERING algorithm of Bateni et al. [2] as a subroutine. Theorem 3.1 summarizes its guarantees, which we will use in the following way. There is a cost  $\text{weight}(v)$  associated with *ignoring* each vertex  $v$  (vertex  $v$  might be itself a supervertex obtained by contracting a connected subgraph). We let  $\phi(v) = \epsilon^{-2}\text{weight}(v)$  for all vertices  $v$ , invoke the procedure, and apply the theorem on a near-optimal solution  $L$  to obtain  $Q$ . Then, the total cost of  $Q$  is  $\sum_{v \in Q} \text{weight}(v) \leq \epsilon^2 \text{length}(L) \approx \epsilon^2 \text{OPT}$ . Thus, all these vertices are going to be ignored from consideration, paying only a negligible cost.

**THEOREM 3.1. (PRIZE-COLLECTING)** *Let  $G$  be a graph with edge lengths such that each vertex  $v$  has a potential  $\phi(v)$ , and let  $H$  be the subgraph of  $G$  output by the PC-CLUSTERING algorithm executed on  $(G, \phi)$ . Then*

1.  $\text{length}(H) \leq 2 \sum_v \phi(v)$ .
2. For any subgraph  $L$  of  $G$ , there is a set  $Q$  of vertices such that
  - (a)  $\sum_{v \in Q} \phi(v) \leq \text{length}(L)$ ; and
  - (b) If two vertices  $v_1, v_2 \notin Q$  are connected by  $L$ , they are in the same connected component of  $H$ .

*Proof.* (See Figure 4 for an example application). The reader is referred to [2] for details of the algorithm itself.

The PC-CLUSTERING algorithm builds a forest  $F$ , and produces a vector  $y$  satisfying

$$(3.1) \quad \sum_{S:e \in \delta(S)} \sum_{v \in S} y_{S,v} \leq c_e \quad \forall e \in E$$

$$(3.2) \quad \sum_{S \ni v} y_{S,v} = \phi(v) \quad \forall v \in V$$

$$(3.3) \quad y_{S,v} \geq 0 \quad \forall v \in S \subseteq V.$$

The analysis takes advantage of the connection between  $F$  and  $y$ . Consider a topological structure in which vertices of the graph are represented by points, and each edge is a curve connecting its endpoints whose



length is equal to the weight of the edge. We assume that each vertex  $v$  has a unique color. The algorithm paints by color  $v$  a connected portion with length  $y_{S,v}$  of all the edges in  $\delta(S)$ . In particular, each edge  $e$  gets exactly  $\sum_{C:e \in \delta(S)} y_{S,v}$  units of color  $v$ . Property 1 of the statement of the theorem follows directly from the following lemma.

LEMMA 3.1. ([2]) *The length of  $F$  is at most  $2 \sum_v \phi(v)$ .*

In the rest of the proof, we establish the second property of the statement. We say a graph  $G'(V, E')$  *exhausts* a color  $u$  if and only if  $E' \cap \delta(S) \neq \emptyset$  for any  $S : y_{S,u} > 0$ . Note that this does not imply that all edges with color  $u$  are part of  $E'$ .

LEMMA 3.2. ([2]) *If a subgraph  $L$  of  $G$  connects two vertices  $u_1, u_2$  from different components of  $F$ , then  $L$  exhausts the color corresponding to at least one of  $u_1$  and  $u_2$ .*

We can also relate the length of a subgraph to the potential value of the colors it exhausts.

LEMMA 3.3. ([2]) *Let  $X$  be the set of colors exhausted by a subgraph  $L$  of  $G$ . Then  $\text{length}(L)$  is at least  $\sum_{v \in X} \phi(v)$ .*

We add to  $Q$  any vertex whose color is exhausted by  $L$ . Lemma 3.3 gives Property 2a. For Property 2b, suppose  $L$  connects two vertices  $u_1, u_2$  that are in different connected components of  $H$ . By Lemma 3.2,  $L$  exhausts the color of at least one of  $u_1, u_2$ , so it is placed in  $Q$ .  $\square$

**3.4 Brick decomposition** In our algorithm, we use, as a subroutine, the brick decomposition algorithm of [6] based on the spanner construction of [19]. Given a connected subgraph  $K$  of a planar embedded graph  $G$  and given parameters  $\epsilon > 0, \kappa > 1$ , there is an  $O(n \log n)$  algorithm to compute a connected subgraph  $M$  of  $G$ , called the *mortar graph*. For each face  $f$  of  $M$ , the subgraph of  $G$  enclosed by  $\partial f$  (including the boundary) is called a *brick*.

For any  $\epsilon > 0$  and  $\kappa > 1$ , there is an  $O(n \log n)$  algorithm that, given a planar embedded graph  $G$  and a connected subgraph  $K$  of  $G$ , finds a connected subgraph  $M$  of  $G$  that contains  $K$ . For each face of  $M$ , the subgraph of  $G$  enclosed by the boundary of the face is called a *brick*. The brick includes the boundary of the face, which is called the boundary of the brick. The boundary of a brick  $B$  consists of four paths,  $W_B \cup S_B \cup E_B \cup N_B$  (west, south, east, north).

The brick decomposition satisfies two length properties: the length of  $M$  is a constant times the length of  $K$ :

$$(3.4) \quad \text{length}(M) \leq (1 + 1/\epsilon + 1/(\kappa\epsilon^2))\text{length}(K)$$

and the east and west boundaries represent a small fraction of this:

$$(3.5) \quad \sum_B (\text{length}(W_B) + \text{length}(E_B)) \leq \frac{1}{\epsilon\kappa} \text{length}(M)$$

Now we come to the most significant property of the brick decomposition. For a brick  $B$  and a subgraph  $F$  of  $B$ , a *joining vertex* of  $F$  with the boundary of  $B$  is a vertex of the boundary that is the endpoint of an edge of  $F$  not in the boundary. The theorem stated below is a slight refinement of Theorem 10.7 of [7]. The main point is that, given a subgraph  $F$  of a brick, a replacement subgraph (not too much longer than  $F$ ) spans the same boundary vertices but has few joining vertices.

THEOREM 3.2. *Let  $B$  be a brick with boundary  $W \cup S \cup E \cup N$ , let  $F$  be a set of edges in  $B$ , and let  $\mathcal{U} = \{u_0, u_1\}$  be a set of at most two nodes of  $F$ . Then there exists a forest  $F'$  of  $B$  with the following properties:*

- $F'$  has  $O(\epsilon^{-2.5\kappa})$  joining vertices.
- If two vertices of  $\mathcal{U} \cup \{\text{boundary of } B\}$  are connected in  $F$ , then they are also connected in  $F'$ .
- $\text{length}(F') \leq (1 + \epsilon)\text{length}(F) + \text{length}(E \cup W)$
- All edges of  $F'$  are in the subgraph of  $B$  enclosed by  $F \cup N_F \cup S_F$ , where  $N_F$  (resp.  $S_F$ ) denotes the subpath of  $N$  (resp.  $S$ ) spanned by  $F$ .

## 4 Simplifying the problem

In this section, we give the procedure MAINSPANNER( $G_{in}$ ) for finding a spanner for  $G_{in}$ . The procedure computes a *weight* for each vertex, and then uses small simple cuts to decompose  $G_{in}$  into smaller graphs in which there is no simple cut whose length is small compared to the weight on one side of the cut. Combining spanners for these smaller graphs with the small simple cuts used in the decomposition yields a spanner for  $G_{in}$ .

**4.1 Vertex weights** For each terminal  $t \in T$ , the algorithm computes the minimum cut  $\text{mincut}(t)$  separating  $t$  from  $T - \{t\}$  (the rest of the terminals). The algorithm assigns weights to the vertices. For each terminal  $t$ ,  $\text{weight}(t) \leftarrow \text{length}(\text{mincut}(t))$ , and for each nonterminal vertex  $v$ ,  $\text{weight}(v) \leftarrow 0$ . Let  $W_{in}$  denote the sum of weights. For a set  $S$  of vertices,  $\text{weight}(S)$  denotes  $\sum_{v \in S} \text{weight}(v)$ .

LEMMA 4.1. ([11])  $\text{OPT}(G_{in}) \leq W_{in} \leq 2\text{OPT}(G_{in})$

The vertex weights will not change for the duration of the algorithm, and so we consider the weight assignment  $\text{weight}(\cdot)$  as a global.

**4.2 Graphs without short simple cuts** Let  $G$  be a graph with edge-lengths and vertex-weights. Let  $v_\infty$  be a nonterminal vertex. For a vertex subset  $S \subsetneq V - \{v_\infty\}$ , the *length-weight ratio* is defined to be  $\text{length}(\delta_G(S))/\text{weight}(S)$ . We say  $G$  is  $\epsilon$ -short-cut-free with respect to  $v_\infty$  if the length-weight ratio of every  $S \subsetneq V - \{v_\infty\}$  is at least  $\epsilon$ .

The algorithm now essentially reduces the problem of finding a spanner to the  $\epsilon$ -short-cut-free case. To do this, it repeatedly looks for a set  $S$  with a small length-weight ratio, finds a spanner for the  $S$  part of the graph (loosely speaking), and chops  $S$  out of the graph. The overall spanner is the union of these spanners together with the small length-weight-ratio cuts  $\delta(S)$ . The pseudocode below specifies this more precisely, using subroutines `SKELETON` and `SPANNER` described later.

---

**Algorithm 2** MAINSPANNER( $G_{in}$ )

---

**Input:** planar graph  $G_{in}$  with edge-lengths  $\text{length}(\cdot)$ , vertex-weights  $\text{weight}(\cdot)$ , and terminals  $T$

**Output:** a spanner  $\widehat{G_{in}}$  for the MULTIWAY CUT instance

- 1: Initialize  $G_0 \leftarrow G_{in}$ ,  $\widehat{G_{in}} \leftarrow \emptyset$
  - 2:  $v_0 \leftarrow$  some nonterminal vertex.
  - 3: **while** there exists  $S \subset V(G_0) - \{v_0\}$  whose length-weight ratio is less than  $\epsilon$  **do**
  - 4: let  $S$  be a minimal such set such that  $\delta_{G_0}(S)$  is a simple cut
  - 5: Let  $G$  be the graph obtained from  $G_0$  by merging all vertices not in  $S$  to a single vertex  $v_\infty$
  - 6:  $\widehat{G} \leftarrow \text{SPANNER}(G, v_\infty, \text{SKELETON}(G, v_\infty))$
  - 7:  $\widehat{G_{in}} \leftarrow \widehat{G_{in}} \cup \widehat{G} \cup \delta(S)$
  - 8: Delete vertices of  $S$  from  $G_0$
  - 9:  $G \leftarrow G_0$  and  $v_\infty \leftarrow v_0$
  - 10:  $\widehat{G_{in}} \leftarrow \widehat{G} \cup \text{SPANNER}(G, v_\infty, \text{SKELETON}(G, v_\infty))$
  - 11: **return**  $\widehat{G_{in}}$
- 

It is easy to see that the existence of a set  $S \subset V(G_0) - \{v_\infty\}$  with length-weight ratio less than  $\epsilon$  implies the existence of such a set with the additional restriction that  $\delta_{G_0}(S)$  is a simple cut. This justifies imposing the restriction in Line 4. The algorithm for Line 4 uses planarity; we describe it in Section 4.4.

**4.3 Correctness of MainSpanner( $\cdot$ )** Suppose MAINSPANNER runs for  $k$  iterations, and, for  $i = 1, \dots, k$ , in the  $i^{\text{th}}$  iteration,  $S_i$  is the set chosen in Line 4,  $C_i = \delta_G(S_i)$  is the corresponding simple cut, and  $G_i$  and  $v_{i,\infty}$  are the graph  $G$  and the vertex  $v_\infty$  obtained in Line 5. Let  $G_{k+1}$  and  $v_{k+1,\infty}$  be the graph and vertex assigned to  $G$  and  $v_\infty$  in Line 9, after the  $k$  iterations.

LEMMA 4.2.  $\sum_{i=1}^k \text{length}(C_i) \leq \epsilon W_{in}$

*Proof.* In each iteration,  $\text{length}(\delta_{G_0}(S)) < \epsilon \text{weight}(S)$ . At the end of each iteration, the vertices of  $S$  are removed from  $G_0$ , so the sum of lengths of the cuts is less than  $\epsilon$  times  $W_{in}$ .  $\square$

LEMMA 4.3. For  $i = 1, \dots, k+1$ ,  $G_i$  is  $\epsilon$ -short-cut-free with respect to  $v_{i,\infty}$ .

*Proof.* There are two cases. Suppose  $i \neq k+1$ . In this case,  $V(G_i) - \{v_{i,\infty}\} = S_i$ , so the property holds by the minimality of  $S_i$ .

Suppose  $i = k+1$ . In this case, due to the **while** condition, there is no subset  $S$  of  $V(G_{k+1}) - \{v_\infty\}$  whose length-weight ratio is less than  $\epsilon$   $\square$

Having established Lemma 4.3, we avoid undoing it by not recomputing the weights for the terminals in each graph  $G_i$ . Let  $W_i = \sum_{v \in V(G_i)} \text{weight}(v)$ . Since we don't recompute the weights for  $G_i$ ,  $W_i$  is not necessarily a lower bound on  $2\text{OPT}(G_i, \text{length}(\cdot))$ . However, since each terminal appears in only one graph  $G_i$ ,  $\sum_{i=1}^{k+1} W_i$  is a lower bound on  $2\text{OPT}(G_{in}, \text{length}(\cdot))$ . In finding the spanner for each subgraph  $G_i$ , therefore, we can tolerate an error of  $O(\epsilon)W_i$ .

LEMMA 4.4. Assume that the result of calling SPANNER on  $G_i$  is a subgraph  $\widehat{G_i}$  of  $G_i$  such that

- $\text{length}(\widehat{G_i}) \leq 2^{\text{poly}(1/\epsilon)} W_i$ , and
- $\widehat{G_i}$  contains a multiway cut for  $G_i$  whose length is at most  $\text{OPT}(G_i) + c\epsilon W_i$

Then MAINSPANNER returns a subgraph  $\widehat{G_{in}}$  of  $G_{in}$  such that

- $\text{length}(\widehat{G_{in}}) \leq 2^{\text{poly}(1/\epsilon)} \text{OPT}(G_{in})$ , and
- $\widehat{G_{in}}$  contains a multiway cut for  $G_{in}$  whose length is at most  $(1 + c'\epsilon) \text{OPT}(G_{in})$

*Proof.* We have  $\widehat{G_{in}} = \bigcup_{i=1}^k (\widehat{G_i} \cup C_i) \cup \widehat{G_{k+1}}$ . Lemma 4.2 and our assumption on  $\text{length}(\widehat{G_i})$  imply

$$\begin{aligned} \text{length}(\widehat{G_{in}}) &\leq 2^{\text{poly}(1/\epsilon)} W_{in} \\ &\leq 2^{\text{poly}(1/\epsilon)} \text{OPT}(G_{in}) \end{aligned}$$

where the last inequality uses Lemma 4.1.

Let  $L$  be an optimal solution to the original graph  $G_{in}$ . Define  $L_i = (L \cap E(G[S_i])) \cup C_i$ . Clearly  $L_i$  is a feasible solution for  $G_i$ .

Let  $L_{k+1} = L \cap E(G_{k+1})$ . Clearly  $L_{k+1}$  is a feasible solution for  $G_{k+1}$ .

Lemma 4.2 implies

$$(4.6) \quad \sum_i \text{length}(L_i) < \text{length}(L) + \epsilon W_{in}$$

For  $i = 1, \dots, k+1$ , let  $L'_i$  be a solution for  $G_i$  such that  $L'_i \subset \widehat{G}_i$  and  $\text{length}(L'_i) \leq \text{OPT}(G_i) + c\epsilon W_i$ .

Then  $\bigcup_i (L'_i \cup C_i)$  is a solution for  $G_{in}$ , is a subset of  $\widehat{G}_{in}$ , and has length

$$\begin{aligned}
& \sum_i (\text{length}(L'_i) + \text{length}(C_i)) \\
& \leq \sum_i (\text{length}(L_i) + c\epsilon W_i + \text{length}(C_i)) \\
& \leq \text{length}(L) + c\epsilon W_{in} + 2 \sum_i \text{length}(C_i) \\
& \quad \text{by definition of } L_i \\
& \leq \text{OPT}(G_{in}) + c\epsilon 2\text{OPT}(G_{in}) + 4\epsilon \text{OPT}(G_{in}) \\
& \quad \text{by Lemmas 4.2 and 4.1} \\
& \leq (1 + (4 + c)\epsilon) \text{OPT}(G_{in})
\end{aligned}$$

which shows that it is a near-optimal solution.  $\square$

**4.4 Interpretation of cuts  $\delta_{G_0}(S)$  in the dual**  
Recall that the edges of a simple cut  $\delta_{G_0}(S)$  in the planar primal form a simple cycle in the planar dual  $G_0^*$ . Interpreting  $v_0$  as the infinite face of  $G_0^*$ , the vertices in  $S$  are exactly the faces of  $G_0^*$  enclosed by this simple cycle.

This interpretation enables us to efficiently implement Line 4 of MAINSPANNER. Use the transfer-function technique of Section 3.2 to assign weights to the darts of  $G_0^*$  so that the weight of a clockwise simple cycle equals the weight of the enclosed faces. Define the length of a dart to be the length of its edge. For each dart  $d$ , assign cost  $\text{cost}(d) \leftarrow \text{length}(d) - \epsilon \text{weight}(d)$ . With this assignment, the cost of a clockwise cycle is negative iff the corresponding simple cut has length-weight ratio less than  $\epsilon$ . To carry out Line 4, the algorithm therefore needs to find a minimally enclosing negative-cost cycle. This can be computed iteratively with the help of a subroutine for testing for the existence of a negative-cost cycle.

Lemma 4.3 in terms of dual cycles is:

LEMMA 4.5. *For  $i = 1, \dots, k+1$ , interpreting  $v_{i,\infty}$  as the infinite face of the planar dual  $G_i^*$  of  $G_i$ , for any simple cycle  $C$  that is not the boundary of the infinite face, the weight enclosed by  $C$  is at most  $\epsilon^{-1} \text{length}(C)$ .*

## 5 Building a skeleton

We recommend that the reader review Figure 1 to recall the structure of a solution in the dual, because the algorithm SKELETON( $G, v_\infty$ ) and its properties address primarily not  $G$  but its planar dual  $G^*$ . In  $G^*$ ,  $v_\infty$  is considered the infinite face. The terminals are faces as well, so we refer to them as *terminal faces*. We interpret a subset  $L$  of edges as a subgraph of the dual  $G^*$ ; e.g. when we discuss connected components of  $L$ , we mean

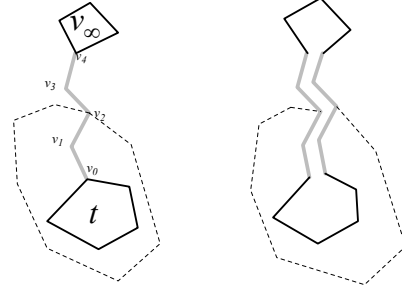


Figure 2: On the left is shown part of  $G^*$ . Shown in thick gray is a shortest path from a vertex of the face  $t$  to a vertex of the face  $v_\infty$ . The dashed edges form a shortest cycle that encloses  $t$  and crosses the path at  $v_2$ . On the right is shown the graph  $G'$  obtained by cutting along the shortest path, duplicating its edges and vertices. This merges the faces  $t$  and  $v_\infty$ . The cycle enclosing  $t$  is now a shortest path between the two copies of  $v_2$ .

connected components of the corresponding subgraph of  $G^*$ .

We present the algorithm in Section 5.1 and we present the correctness properties in Section 5.2.

**5.1 The skeleton algorithm** Refer to the pseudocode on the next page.

**Step 1: Cycles.** For each terminal  $t$ , the algorithm includes in the skeleton some cycles enclosing  $t$ , selected as follows. Find a shortest path  $P = v_0 v_1 \dots v_k$  connecting an arbitrary vertex on the face  $t$  to an arbitrary vertex on the infinite face  $v_\infty$ . For each vertex  $v_i$  of  $P$ , we find a shortest cycle crossing  $P$  only at  $v_i$ , and include some of those cycles in the skeleton.

In order to find these cycles, cut the planar embedded dual graph  $G^*$  along  $P$  by duplicating the edges and vertices of  $P$ . Let  $G'^*$  be the result. For each vertex  $v_i$  of  $P$ , find a shortest path  $P_i$  in  $G'^*$  between the two copies of  $v_i$ . The edges of  $P_i$  form a cycle  $C_i$  in  $G$  that crosses  $P$  exactly one, and encloses  $t$ . The cycles can be chosen so that each (nonstrictly) encloses all the previous cycles.

To decide which of those cycles to add to the skeleton, for each  $\ell = 0, 1, 2, \dots, 3\epsilon^{-2}$ , the algorithm considers the integers  $i$  for which  $\text{length}(C_i) \leq \ell \text{weight}(t)$ . Let these integers be  $i_1 < i_2 < \dots < i_q$ . The algorithm includes cycle  $C_{i_j}$  in the skeleton if  $(w_{i_{j-1}}, w_{i_j}]$  contains an integer multiple of  $\text{weight}(t)\epsilon$  or  $w_{i_{j+1}} - w_{i_j} > \text{weight}(t)\epsilon$ .

**Step 2: Ears.** Let  $H_1$  be the result of Step 1.  $H_1$  has the property that every connected component is 2-edge-connected. Step 2 preserves this property. An *ear*  $E$  of  $G$  with respect to  $H$  is a path in  $G$  that starts and ends on a connected component  $K$  of  $H$  and that does

---

**Algorithm 3** SKELETON ( $G, v_\infty$ )

---

*Input:* graph  $G$  and vertex  $v_\infty$  such that  $G$  is  $\epsilon$ -short-cut-free with respect to  $v_\infty$

*Output:* skeleton  $H$ , a subset of the edges of  $G$ , with each edge of  $H$  marked as either a blob edge or a cluster edge.

*Step 1 (cycles):*

- 1: initialize  $H_1 := \emptyset$
- 2: **for** each terminal face  $t$  in the dual  $G^*$  **do**
- 3:    $v_0 v_1 \dots v_k \leftarrow$  shortest path in  $G^*$  from a vertex  $v_0$  on face  $t$  to a vertex  $v_k$  on face  $v_\infty$ .
- 4:   **for**  $i = 0, \dots, k$  **do**
- 5:      $C_i \leftarrow$  shortest cycle in  $G^*$  that encloses  $t$  and crosses  $v_0 v_1 \dots v_k$  at  $v_i$ , chosen so that  $C_i$  encloses  $C_{i-1}$  and  $C_i \neq$  boundary of  $v_\infty$
- 6:      $w_i \leftarrow$  weight enclosed by  $C_i$
- 7:     **for**  $\ell = 0, 1, \dots, \epsilon^{-2}$  **do**
- 8:       let  $i_1 < i_2 < \dots < i_q$  be the integers  $i$  for which  $\text{length}(C_i) \leq \epsilon \ell \text{weight}(t)$
- 9:       **for** each  $j$  such that  $(w_{i_{j-1}}, w_{i_j}]$  contains an integer multiple of  $\epsilon \text{weight}(t)$  or  $w_{i_{j+1}} - w_{i_j} > \epsilon \text{weight}(t)$  **do**
- 10:        $H_1 \leftarrow H_1 \cup C_{i_j}$

*Step 2 (ears):*

- $H_2 := H_1$
- while** there is a component  $K$  of  $H_2$  and an ear  $\mathcal{E}$  of  $K$  such that
- $\mathcal{E}$  separates terminals  $t, t'$  and  $\text{length}(\mathcal{E}) \leq \epsilon^{-3} \min\{\text{weight}(t), \text{weight}(t')\}$ , or
  - $\mathcal{E}$  separates terminal  $t$  from  $v_\infty$ , and  $\text{length}(\mathcal{E}) \leq \epsilon^{-3} \text{weight}(t)$
- add  $\mathcal{E}$  to  $H_2$

*Step 3 (clustering):*

- let  $G^{*'} := G^*/H_2$ , obtained from  $G^*$  by contracting the edges of  $H_2$
- comment:** each vertex  $v$  of  $G^{*'}$  is the result of merging the vertices of some connected component of  $H_2$  (possibly a one-vertex component)
- for** each vertex  $v$  of  $G^{*'}$  **do**
- $\phi(v) \leftarrow \epsilon^{-2} \text{length}(\text{the connected component merged to form } v)$
  - unless this connected component contains the boundary of the face  $v_\infty$ , in which case  $\phi(v) \leftarrow \epsilon^{-2} \text{length}(G^*)$
- $H'_3 \leftarrow \text{PC-CLUSTERING}(G^{*'}, \phi)$
- $H_3 := H_2 \cup H'_3$ , where the edges of  $H'_3$  are viewed as the corresponding edges of  $G^*$ .
- return**  $H_3$
-



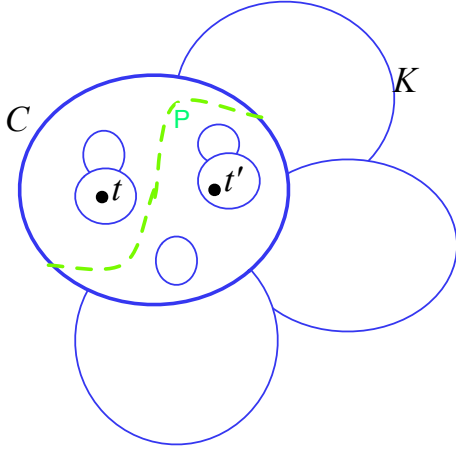


Figure 3: The dashed green path  $P$  is an ear that separates  $t$  from  $t'$ . Note that  $t, t'$  are already separated but not by the connected component  $K$ .

not cross  $H$  (though it can share edges with  $H$ ).<sup>3</sup> We say an ear  $E$  separates a pair of faces  $f_1, f_2$  of  $G$  if the faces are in the same face of  $K$  but not of  $K \cup E$ . Refer to Figure 3 for an illustration.

The algorithm repeatedly adds ears whose lengths are small compared to the weights of terminals they separate<sup>4</sup>. The ear step also tries to separate terminals from the infinite face  $v_\infty$ . The shortest ear separating a given pair of terminals can be found using a simple variant of the transfer-function technique reviewed in Section 3.2.

**Step 3: Clustering.**  $H_2$  is defined to be  $H_1$  together with the ears added in Step 2. A connected component of  $H_2$  is called a *blob*. Note that each connected component is 2-edge-connected.

A blob that contains the boundary of the infinite face is called the *outer blob*, if it exists. Let  $G^{*'} := G^*/H_2$  be the graph obtained from  $G$  by contracting the edges of  $H_2$  (ignoring the planar embedding). The algorithm assigns a potential  $\phi(v)$  to each vertex of  $G^{*'}$ . Each vertex  $v$  of  $G^{*'}$  is either a vertex of  $G^*$  (in which case  $\phi(v) = 0$  or is the result of contracting a blob  $B$ . (in which case  $\phi(v) = \epsilon^{-2}\text{length}(B)$  or, if  $B$  contains the boundary of  $v_\infty$ ,  $\phi(v) = \epsilon^{-2}\text{length}(G^*)$ ).

The algorithm runs the PC-CLUSTERING algorithm on  $(G^{*'}, \phi)$  to obtain a forest  $H'_3$  connecting some of the blobs to each other. The skeleton is then defined as  $H_3 := H_2 \cup H'_3$ , where the edges of  $H'_3$  are viewed as the corresponding edges of  $G$ .

<sup>3</sup>This definition of ears differs from the traditional definition.

<sup>4</sup>Beware that those terminals might already be separated, but the ear is attached to a particular connected component, and “separates” means that those terminals were not separated by that component until the ear was added.

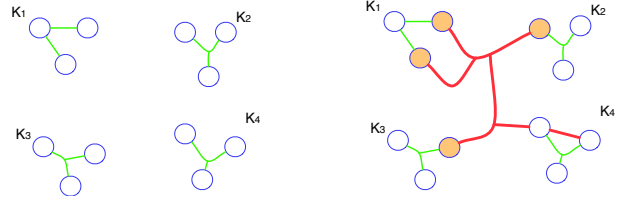


Figure 4: The figure on the left shows the four clusters found by PC-CLUSTERING. The circles represent blobs (resulting from the *cycles* and *ears* steps). The thin green edges connecting the blobs into clusters were added to the skeleton during the *cluster* step. The figure on the right illustrates Property C of Lemma 5.4. The thick red lines form a connected component  $\hat{K}$  of a near-feasible near-optimal solution  $L$ . The circles that are filled in are designated as *special* blobs. Note that the only nonspecial blobs connected by  $\hat{K}$  belong to the same cluster.

A connected component of  $H_3$  after completion of the clustering step is called a *cluster*. The edges selected in this step are called *cluster edges*. We say a blob is *of* a cluster or *belongs* to a cluster if the blob is a subset of the cluster.

## 5.2 Structure of the skeleton and a near-optimum solution

**Definition of  $C(L, t)$  and of  $K(L, t)$**  Let  $L$  be a set of edges of  $G$  (a feasible multiway cut for  $G$  and some subset of terminals). We consider  $L$  as a subset of the edges of the planar dual  $G^*$ . Let  $t$  be a terminal face of  $G^*$ . Among the simple cycles in  $G^*$  that consist of edges of  $L$ , we use  $C(L, t)$  to denote the minimally enclosing cycle that encloses  $t$  (if such exists, see Figure 1).  $C(L, t)$  is called the *cycle of  $t$*  in  $L$ . Among the connected components of  $L$  considered as a subgraph of  $G^*$ , the component that contains  $C(L, t)$  is denoted by  $K(L, t)$ .

**Definition of  $W$ , of  $R$ -feasible, and of near-optimal** Let  $W$  denote the sum of weights of vertices of  $G$ . For a subset  $T'$  of  $T$ , we say that a set of edges of  $G$  is a  *$T'$ -feasible solution* if the edges form a feasible multiway cut for all terminals in  $T' \cap V(G)$ . We say it is a *near-optimal  $T'$ -feasible solution* if in addition  $\text{weight}(T - T') \leq c\epsilon W$  and the set of edges has length at most  $(1 + c\epsilon)OPT + c\epsilon W$  where  $OPT$  refers to the optimal length of a true solution (and, again,  $c$  is a constant).

LEMMA 5.1. *There exists a subset  $R$  of terminals and a near-optimal  $(T - R)$ -feasible solution  $L$  such that,*

**Property A:** *for each terminal  $t \notin R$ , at least one cycle added for  $t$  in the cycles step intersects  $K(L, t)$ .*

*Proof.* We give an algorithm that, given an optimal solution  $L_0$ , computes sets  $L_1$  and  $R_1$  such that  $L_1$

is a near-optimal  $(T - R_1)$ -feasible solution. Initially  $L_1 \leftarrow L_0$  and  $R_1 \leftarrow \emptyset$ . For each terminal  $t$ , we process  $t$  as follows. Consider the cycle  $C(L_1, t)$  minimally enclosing  $t$  in  $L_1$ . Denote this cycle by  $C^t$ .

Assume  $C^t$  does not intersect any of the cycles added to the skeleton when considering  $t$ . In this case, we will modify  $L_1$ . Let  $\ell^*$  be the integer such that  $\epsilon^{-1} \text{length}(C^t) / \text{weight}(t) \in (\ell^* - 1, \ell^*]$ . If  $\ell^* > \epsilon^{-2}$ , we add  $t$  to  $R_1$ . Else, let  $v_{i^*}$  denote a vertex at which  $C^t$  crosses the shortest path  $v_0 v_1 \dots v_k$  in the *cycles* step. It follows that  $\text{length}(C_{i^*}) \leq \epsilon^{-1} \text{weight}(t)$ , so during  $i^* = i_q$  for some  $q$  in Line 8 of the *cycles* step during iteration  $\ell^*$ . Let  $p$  and  $r$  be the integers such that

1.  $p < q < r$ ,
2.  $C_{i_p}$  and  $C_{i_r}$  were added to  $H_1$  in Line 10, and
3. for every integer  $s$  in the interval  $(p, r)$ ,  $C_{i_s}$  was not added.

We modify  $L_1$  by adding all the edges of  $C_{i_r}$  and deleting all the edges of  $C^t$  and deleting any connected components that are strictly enclosed by  $C_{i_k}$  and not enclosed by  $C^t$ . Since  $\text{length}(C_{i_k}) \leq \epsilon^{-1} \ell^* \text{weight}(t)$  and  $\text{length}(C^t) \geq (\ell^* - 1) \epsilon \text{weight}(t)$ , the increase in  $\text{length}(L_1)$  is at most  $\epsilon \text{weight}(t)$ .

After the modification,  $K(L_1, t)$  includes  $C_{i_r}$  so the modification achieves Property A for  $t$ . We show below that it preserves it for terminals that have already been processed.

To preserve the  $(T - R_1)$ -feasibility of  $L_1$ , we add to  $R_1$  all the terminals enclosed by  $C_{i_r}$  but not by  $C^t$ . The weight of these terminals is  $w_{i_r} - w_{i_p}$ .  $C_{i_r}$  encloses  $v_i$  but by assumption does not intersect  $C^t$  so must enclose  $C^t$ . Similarly,  $C_{i_p}$  must be enclosed by  $C^t$ . Hence the weight of all terminals enclosed by  $C_{i_r}$  but not by  $C^t$  is at most  $w_{i_r} - w_{i_p}$ . By Property 3,  $(w_{i_p}, w_{i_{r-1}}]$  contains no integer multiple of  $\epsilon \text{weight}(t)$ , and  $w_{i_r} - w_{i_{r-1}} < \epsilon \text{weight}(t)$ , so  $w_{i_r} - w_{i_p} < 2\epsilon \text{weight}(t)$ . Thus the increase in  $\text{weight}(R_1)$  is at most  $2\epsilon \text{weight}(t)$ .

We must show that Property A continues to hold for each previously processed terminal  $t'$ . If  $t'$  is enclosed by  $C_{i_r}$  but not by  $C^t$  then  $t'$  is added to  $R_1$  so the property holds trivially. Suppose  $t'$  is enclosed by  $C^t$ . Then before the modification  $K(L_1, t')$  is strictly enclosed by  $C^t$ , so the modification does not change  $K(L_1, t')$ . Suppose  $t'$  is not enclosed by  $C_{i_r}$ . Then  $K(L_1$  before modification,  $t)$  cannot be strictly enclosed by  $C_{i_r}$ , so none of it is removed by the modification, and the addition of  $C_{i_r}$  to  $L_1$  only adds to  $K(L_1, t)$ .

Summing over all iterations of the outer for-loop, the total increase in  $\text{length}(L_1)$  is at most  $\epsilon \sum_t \text{weight}(t)$  and  $\text{weight}(R_1)$  is at most  $2\epsilon \sum_t \text{weight}(t)$ .  $\square$

We use  $C(t)$  to refer to the cycle whose existence is asserted in Property A.

LEMMA 5.2. *There exists a subset  $R$  of a terminals and a near-optimal  $(T - R)$ -feasible solution  $L$  that satisfies Property A and also*

**Property B:** *Let  $B$  be a blob and let  $F$  be a finite face of  $B$ . Then there is at most one terminal  $t \notin R$  enclosed by  $F$  such that there is no blob  $B'$  enclosed by  $F$  that intersects  $K(L, t)$ .*

*Proof.* Let  $R_1$  be the set of terminals defined in Lemma 5.1 and let  $L_1$  be the near-optimal  $(T - R_1)$ -feasible solution of that lemma. We define a set  $R_2$  of terminals by the the following algorithm. For each blob  $B$  and each face  $F$  of  $B$  in turn, let  $T_F$  denote the set of terminals  $t$  enclosed by  $F$ , not in  $R$ , and such that there is no blob  $B'$  enclosed by  $F$  that intersects  $K(L_1, t)$ . If  $T_F$  has size 2 or more, add all the terminals of  $T_F$  to  $R_2$ . At the end, we let  $R = R_1 \cup R_2$ .

By construction, the second property holds. It remains to bound the weight of  $R_2$ . Consider a blob  $B$  and a face  $F$  of  $B$  such that  $T_F$  has size at least 2.

Let  $t, t'$  be two distinct terminals of  $T_F$ . Since  $L_1$  is feasible, it separates  $t$  from  $t'$ , and up to exchanging the role of  $t$  and  $t'$  we can assume that  $C(L_1, t)$  separates  $t$  from  $t'$ . Then some edges of  $C(L_1, t)$  must be inside  $F$ . But  $C(L_1, t)$  also intersects  $C(t)$ , which encloses  $F$ , so  $C(L_1, t)$  intersects the boundary of  $F$ , forming an ear  $\mathcal{E}$  that separates  $t$  from  $t'$ . Since this ear was not added in the *ears* step,

$$\min(\text{weight}(t), \text{weight}(t')) < \epsilon^3 \text{length}(\mathcal{E}).$$

Let  $t_{\min}$  be the terminal in  $T_F$  of minimum weight. The cycle  $C(t_{\min})$  encloses  $F$  and therefore encloses all terminals in  $T_F$ , so  $\text{weight}(T_F) \leq \text{weight}$  enclosed by  $C(t_{\min})$ . By Lemma 4.5 (and since  $C(t_{\min})$  is not the boundary of the infinite face),  $\text{weight}$  enclosed by  $(C(t_{\min})) \leq \epsilon^{-1} \text{length}(C(t_{\min}))$ . By definition of the cycle step,  $\text{length}(C(t_{\min})) \leq \epsilon^{-1} \text{weight}(t_{\min})$ . Thus

$$\text{weight}(T_F) \leq \epsilon^{-2} \text{weight}(t_{\min}).$$

Combining,  $\text{weight}(T_F) \leq \epsilon \text{length}(\mathcal{E})$ . By definition of  $T_F$ , no blob in  $F$  encloses any edge of  $\mathcal{E}$ . Thus as we sum over all  $B$  and  $F$ , the ears are all disjoint sets of edges, and we obtain  $\text{weight}(R_2) \leq \epsilon \text{length}(L_1)$ .  $\square$

The algorithm does not know  $L$  or  $R$ , so cannot uniquely identify the one terminal  $t$  mentioned in Property B. However, the following lemma gives us a technique to identify a bounded number of possible candidates.

LEMMA 5.3. *Let  $Y$  be a subgraph. Let  $\tilde{T}_Y$  denote the set of terminals  $t$  enclosed by  $Y$  such that some cycle  $\tilde{C}$  chosen for  $t$  in the cycle step encloses  $Y$ . Then  $|\tilde{T}_Y| \leq \epsilon^{-2}$ .*

*Proof.* Let  $t_{\min}$  be the terminal in  $\tilde{T}_Y$  of minimum weight. Then

$$|\tilde{T}_Y| \leq \text{weight}(\tilde{T}_Y) / \text{weight}(t_{\min}).$$

Let  $C$  be the cycle chosen for  $t_{\min}$  that encloses  $Y$ .  $C$  therefore encloses all terminals in  $\tilde{T}_Y$ , so  $\text{weight}(\tilde{T}_Y)$  is at most the weight enclosed by  $C$ . By Lemma 4.5 (and since  $C$  is not the boundary of the infinite face),  $\text{weight}(C) \leq \epsilon^{-1} \text{length}(C)$ . By definition of the cycle step,  $\text{length}(C) \leq \epsilon^{-1} \text{weight}(t_{\min})$ . Thus

$$\text{weight}(\tilde{T}_Y) \leq \epsilon^{-2} \text{weight}(t_{\min}).$$

□

LEMMA 5.4. *There exists a subset  $\hat{R}$  of terminals and a near-optimal  $(T - \hat{R})$ -feasible solution  $\hat{L}$  that satisfies Properties A and B and also*

**Property C:** *There is a set of special blobs such that (i) if  $\hat{L}$  connects two blobs in different clusters then at least one is special, (ii) no edge of  $\hat{L}$  is properly enclosed by a special blob, and (iii)  $R$  contains every terminal enclosed by a special blob*

*Proof.* Let  $R_2$  be the set of terminals defined in Lemma 5.2, and let  $L_2$  be the near-optimal  $(T - R_2)$ -feasible solution defined in that lemma. Let  $L'_2$  be obtained from  $L_2$  by contracting each blob  $B$  of  $H_2$ . The cluster step runs PC-CLUSTERING on  $(G^{*'}, \phi)$ , obtaining the subgraph  $H'_3$ . We apply part 2 of Theorem 3.1 to the subgraph  $L'_2$  of  $G'$ . This part asserts the existence of a set  $Q$  of vertices of  $G^{*'}$ , which correspond to blobs in  $G^*$ .

We say a blob  $B$  is *outer* if it contains all the edges of the infinite face  $v_\infty$  of  $G^*$ . We designate a blob  $B$  as *special* if the corresponding vertex of  $G^{*'}$  belongs to  $Q$ . Part 2a of Theorem 3.1 implies that no outer blob is special. We define  $R_3$  as the set of all terminals that in  $G^*$  are enclosed by special blobs, and we set  $\hat{R} = R_2 \cup R_3$ . Finally, we define  $\hat{L}$  to include edges in  $L_2$  not strictly enclosed by special blobs, and also all the edges on the outer boundaries of special blobs. This ensures that  $\hat{L}$  is  $(T - \hat{R})$ -feasible, and implies Parts (ii) and (iii) of the present lemma. Part 2b of Theorem 3.1 implies that  $\hat{L}$  satisfies part (i).

Part 2a of Theorem 3.1 implies that

$$\begin{aligned} & \text{length}(L_3) - \text{length}(L_2) \\ & \leq \sum_{B \text{ special}} \text{length}(B) \\ & \leq \epsilon^2 \sum_{v \in Q} \phi(v) \leq \epsilon^2 \text{length}(L_2) \end{aligned}$$

since no outer blob is special.

Additionally, by Lemma 4.5 and since an outer blob is not special,

$$\text{weight}(R_3) \leq \epsilon^{-1} \sum_{B \text{ special}} \text{length}(\partial B) \leq \epsilon \text{length}(L_2).$$

Finally, it is easy to verify that Property A of Lemma 5.1 still holds for  $\hat{L}$ , and Property B of Lemma 5.2 holds as a matter of course. □

The clusters and enclosure relation between clusters naturally induce a nesting forest of clusters. Let  $\text{Enclosed}(K)$  denote the terminals enclosed by cluster  $K$ .

**Definition of structured solution** A *structured solution* with respect to a subset  $R$  of terminals is a multiset of edges  $S$  that can be partitioned into sets,  $S = \cup_K \text{cluster} S_K$ , in such a way that for every  $K$ ,  $\cup\{S_{K'} : K' \text{ enclosed by } K\}$  is a feasible multiway cut for  $\text{Enclosed}(K) - R$ . Moreover, if no cluster properly encloses  $K$ , then in addition  $\cup\{S_{K'} : K' \text{ enclosed by } K\}$  also separates  $\text{Enclosed}(K) - R$  from  $t_\infty$ .

For each cluster  $K$  of the skeleton  $H$ , define

$$\hat{L}_K = \bigcup \{ \hat{K} : \hat{K} \text{ a connected component of } \hat{L} \text{ that intersects some non-special blob of } K \}.$$

THEOREM 5.1.  *$(\hat{L}_K)_K$  is a partition of  $\hat{L}$  that is a structured solution with respect to  $\hat{R}$ . Moreover, for each cluster  $K$  of the skeleton  $H$ , we have:*

1. *Every edge of  $\hat{L}_K$  is reachable from cluster  $K$  without crossing into a blob of any other cluster. (Equivalently:  $\hat{L}_K$  is in a single face of  $H - K$ .)*
2. *If some terminal  $t$  in  $\text{Enclosed}(K) - \hat{R}$  is not separated from  $t_\infty$  by*

$$\bigcup \{ \hat{L}_{K'} : K' \text{ properly enclosed by } K \}$$

*then  $C(t)$  encloses the face  $F$  of  $K$  that encloses  $t$ .*

3. *Let  $\text{Mandate}(\hat{L}_K)$  be the set of pairs  $\{t, t'\}$  of terminals in  $\{t_\infty\} \cup \text{Enclosed}(K) - \hat{R}$  that are separated by  $\hat{L}_K$  and that are not already separated by*

$$\bigcup \{ \hat{L}_{K'} : K' \text{ properly enclosed by } K \}$$

*Then  $\{t : t \text{ appears in } \text{Mandate}(\hat{L}_K)\}$  has at most one terminal per face of  $K$ .*

*Proof.* To prove that we have a structured solution, consider two terminals  $t_1, t_2$  that are not in  $\hat{R}$  and are enclosed by some cluster  $K$ . Since  $\hat{L}$  is feasible for terminals not in  $\hat{R}$ , up to exchanging the roles of  $t_1$  and of  $t_2$  we can assume that  $K_{\hat{L}}(t_1)$  separates  $t_1$  from  $t_2$ . By Property A (Lemma 5.1),  $K(\hat{L}, t_1)$  intersects a cycle  $C(t)$  that is part of a blob of some cluster  $K_1$  of the skeleton  $H$  (a non-special blob, since  $t_1 \notin \hat{R}$  and all terminals enclosed by special blobs are in  $\hat{R}$  by Property C of Lemma 5.4). If cluster  $K_1$  is enclosed by  $K$  then  $K(\hat{L}, t_1)$  is in  $\bigcup\{\hat{L}_{K'} : K' \text{ properly enclosed by } K\}$  and so  $t_1$  and  $t_2$  are separated by that union, as desired. If  $K_1$  encloses  $K$ , then  $K(\hat{L}, t_1)$  simultaneously intersects a non-special blob of  $K_1$  but also separates two terminals enclosed by  $K$ , hence must also intersect a non-special blob of  $K$ : that contradicts Lemma 5.4.

In terms of separation from  $t_\infty$ , we know that  $\hat{L}$  is feasible, so every  $t \notin \hat{R}$  must be separated from  $t_\infty$  by some  $K(\hat{L}, t)$ , that (by Property A) intersects the non-special blob of  $C(t)$ , so if  $K$  denotes the cluster of that blob, then  $K$  encloses  $t$  and  $K(\hat{L}, t)$  is in  $\hat{L}_K$ , hence  $\hat{L}_K$  separates  $t$  from  $t_\infty$ , as desired. This proves that  $\hat{L}$  is a structured solution with respect to  $\hat{R}$ .

To prove Property 1, consider a connected component  $\hat{K}$  of  $\hat{L}_K$ . By definition it intersects a non-special blob of  $K$ . By Lemma 5.4 it does not intersect any other non-special blob and does not enter into any  $L$ -special blob. This implies the desired property.

To prove Property 2, let  $t$  be in  $\text{Enclosed}(K) - \hat{R}$  and not separated from  $t_\infty$  by  $\bigcup\{\hat{L}_{K'} : K' \text{ properly enclosed by } K\}$ . In other words,  $K(\hat{L}, t)$  is not in  $\hat{L}_{K'}$  for  $K'$  enclosed by  $K$ . By Lemma 5.1,  $K(\hat{L}, t)$  intersects cycle  $C(t)$  of the skeleton. This cycle is part of a blob of a cluster, call it  $K_1$ , and by definition of  $\hat{L}_{K_1}$ ,  $K(\hat{L}, t)$  is in  $\hat{L}_{K_1}$ . So  $K_1$  is not any  $K'$  enclosed in  $K$ , and so  $C(t)$  must enclose the face  $F$  of  $K$  that encloses  $t$ .

To prove Property 3, consider a cluster  $K$  and a face  $F$  of  $K$  and study the terminals pairs of  $\text{Mandate}(\hat{L}_K)$  that involve at least one terminals in  $F$ . By Lemma 5.2, every terminal  $t'$  in  $F$  except at most 1 (call that special terminal  $t_F$ ) is in a blob  $B'$  (part of some  $K'$ ) enclosed in  $F$  and that intersects  $K(\hat{L}, t')$ , so  $K(\hat{L}, t')$  is in  $\hat{L}_{K'}$ , and it encloses  $t'$ ; moreover by Lemma 5.4  $K(\hat{L}, t')$  cannot intersect any blob of  $K$ , so it has to stay enclosed by  $F$ , so  $\hat{L}_{K'}$  separates  $t'$  from everyone outside  $F$ , and so  $\text{Mandate}(\hat{L}_K)$  does not contain any pair  $(t', t'')$  with  $t''$  outside  $F$ . Any two terminals  $t'_1, t'_2 \neq t_F$  that are in  $F$  are separated by at least one of  $K(\hat{L}, t'_1)$  and  $K(\hat{L}, t'_2)$ , hence separated by  $\hat{L}_{K'_1} \cup \hat{L}_{K'_2}$ , so that pair also cannot appear in  $\text{Mandate}(\hat{L}_K)$ . For  $t'$  and  $t_F$ , since we already have  $K(\hat{L}, t')$  in  $\hat{L}_{K'}$ , the only way in which  $t_F$  and  $t'$  could be not separated would be if  $K(\hat{L}, t')$  enclosed

both  $t'$  and  $t_F$ , and then  $K(\hat{L}, t_F)$  would have to be enclosed in  $K(\hat{L}, t')$ . But that would contradict the fact that  $K(\hat{L}, t')$  is enclosed in  $F$  whereas  $K(\hat{L}, t_F)$  must intersect a blob by Lemma 5.1, and that has to be  $F$  or outside  $F$ . This proves the theorem.  $\square$

**THEOREM 5.2.** *The length of the output  $H$  of the skeleton algorithm is  $O(\epsilon^{-8})W$ .*

*Proof.* First we analyze  $H_1$ , the result of the cycle step. Consider the iteration for a terminal  $t$ . A cycle  $C_i$  cannot enclose more than  $\text{length}(C_i)/\epsilon$  weight by Lemma 4.5. Since  $\text{length}(C_i) \leq \epsilon \ell \text{weight}(t)$  and  $\ell \leq \epsilon^{-2}$ , the enclosed weight is at most  $\epsilon^{-2} \text{weight}(t)$ . For each condition in Line 9, the enclosed weight changes by at least  $\epsilon \text{weight}(t)$  from one selected cycle to the next, so there are at most  $2\epsilon^{-3}$  cycles added for each value of  $t$  and of  $\ell$ . There are  $\epsilon^{-2}$  values of  $\ell$ , and each cycle has length at most  $\epsilon^{-1} \text{weight}(t)$ , so the total length added for terminal  $t$  is at most  $2\epsilon^6 \text{weight}(t)$ . Summing over  $t$  yields

$$\text{length}(H_1) \leq 2\epsilon^{-6}W.$$

Now we analyze  $H_2$ . Just for for this part of the proof, to avoid the special case of  $\mathcal{E}$  separating a terminal from  $v_\infty$ , we imagine that  $v_\infty$  is a terminal and that it has the largest weight. Whenever the algorithm adds an ear  $\mathcal{E}$  to a component  $K$ , consider the terminals  $t, t'$  separated by  $\mathcal{E}$  that have maximum weight, and charge the length of  $\mathcal{E}$  to whichever of the two terminals has minimum weight, resolving ties in a consistent manner, assuming for example, up to an infinitesimal perturbation, that all weights are distinct.

We claim that each terminal gets charged at most once. To see this, assume, for a contradiction, that  $t_0$  gets charged, first by an ear  $\mathcal{E}$  (in face  $F$  of component  $K$ ) separating  $t_0$  from  $t_1$ , and then later by an ear  $\mathcal{E}'$  (in face  $F'$  of component  $K'$ ) separating  $t_0$  from  $t_2$ . By the definition of charging,  $\text{weight}(t_0) < \text{weight}(t_1)$  and  $\text{weight}(t_0) < \text{weight}(t_2)$ . Ear  $\mathcal{E}$  splits  $F$  into two faces,  $F_0$  and  $F_1$ , containing  $t_0$  and  $t_1$  respectively. Ear  $\mathcal{E}'$  splits  $F'$  into two faces,  $F'_0$  and  $F'_1$ , containing  $t_0$  and  $t_2$  respectively. Face  $F'$  either is enclosed in  $F_0$  (possibly with equality), or encloses  $K$ . In the first case,  $t_2$  is in face  $F_0$ , contradicting the maximality of  $\text{weight}(t_0)$  among terminals in  $F_0$ ; in the second case,  $t_1$  is in face  $F'_0$ , contradicting the maximality of  $\text{weight}(t_0)$  among terminals in  $F'_0$ . Thus the claim holds. Finally, since  $v_\infty$  has the largest weight, it never gets charged, and so the total length of the ears added is at most  $\epsilon^{-3} \sum_{t \neq v} \text{weight}(t) = \epsilon^{-3}W$ , so

$$\text{length}(H_2) \leq \text{length}(H_1) + \epsilon^{-3}W.$$

Finally we analyze  $H_3$ . By construction  $\text{length}(H_3) \leq \text{length}(H_2) + \text{length}(H'_3)$ . Using Theo-



rem 3.1 and the definition of potential:

$$\begin{aligned} \text{length}(H'_3) &\leq 2 \sum_v \phi(v) \\ &\leq 4 \sum \epsilon^{-2} \{\text{length}(K) : K \text{ a blob}\} \\ &= 4\epsilon^{-2} \text{length}(H_2) \end{aligned}$$

where the second inequality follows since each blob  $B$  is counted once for its own potential and possibly once for the outer blob.

Combining,

$$\text{length}(H_3) \leq (4\epsilon^{-2} + 1)(2\epsilon^{-6}W + \epsilon^{-3}W) \leq c\epsilon^{-8}W.$$

for a constant  $c$ .  $\square$

## 6 Building a spanner

Like the skeleton algorithm, the spanner algorithm operates in the planar dual.

**6.1 The spanner algorithm** Algorithm SPANNER outlines the spanner algorithm. We use the brick decomposition algorithm from Section 3.4. For each connected component  $K$  of the skeleton, the spanner algorithm constructs a brick decomposition. Let  $M_K$  be the mortar graph. By Inequality 3.4,  $\text{length}(M_K)$  is  $O(\epsilon^{-1})\text{length}(K)$ . Therefore, by Theorem 5.2, summing over all clusters  $K$ , the total length of all the brick decompositions is  $O(\epsilon^{-c_2})W$  where  $c_2$  is a constant. By Inequality 3.5, we can choose a constant  $c_1$  so that, when we set  $\kappa = \epsilon^{-c_1}$  for every brick decomposition, we ensure that the east and west boundaries have total length  $O(\epsilon)W$ .

For a constant  $c_3$  to be determined at the end of this section, we set  $\theta = \epsilon^{-c_3}$ . For each brick  $B$ , the algorithm selects  $\theta$  portals along the boundary  $\partial B$  of  $B$ . The simple greedy selection rule is described in [7]. It ensures that, for any vertex  $x$  on  $\partial B$ , there is a portal  $y$  such that the  $x$ -to- $y$  subpath of  $\partial B$  has length at most  $\text{length}(\partial B)/\theta$ .

The portals divide  $\partial B$  into a set  $\mathcal{P}_b$  of  $\theta$  subpaths. A *configuration* is a pair  $(\pi, S)$  where  $\pi$  is a partition of the subpaths  $\mathcal{P}_b$  and  $S$  either is one of the parts of  $\pi$  or is  $\emptyset$ .

The following definitions are illustrated by Figures 5 and 6. A set  $\mathcal{E}$  of edges of brick  $B$  is *consistent* with partition  $\pi$  if the following condition holds:

if two edges  $e_1, e_2$  of  $\partial B$  that are in subpaths in different parts of  $\pi$ , then they are separated by  $\mathcal{E}$ .

Given a terminal  $t$ ,  $\mathcal{E}$  is *consistent* with  $(\pi, S)$  if in addition the following condition holds:

if  $e \in \partial B$  is in a subpath not in part  $S$  of  $\pi$ , then  $e$  and  $t$  are separated by  $\mathcal{E}$ .

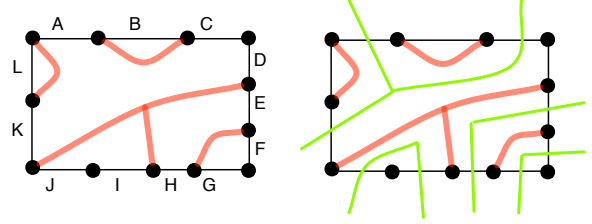


Figure 5: The figure on the left represents a brick  $b$ . The big dots are the portals, and the thick red lines consist of edges in  $E$ . The subpaths of  $\partial B$  are labeled by the letters A through L. The corresponding partition  $\pi$  is  $\{\{A, C, D, K\}, \{B\}, \{E, H\}, \{F, G\}, \{I, J\}, \{L\}\}$ . The figure on the right illustrates the definition of consistency. The thinner green lines represent paths in the dual. (The outgoing edges all lead to the vertex representing the infinite face but that vertex is not shown.)

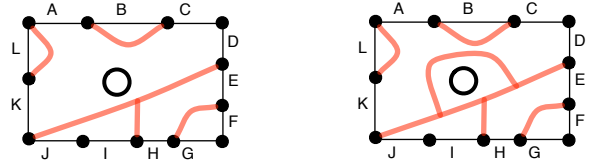


Figure 6: A terminal is indicated by the circle. The figure on the left is consistent with the pair  $(\pi, \{A, C, D, K\})$  where  $\pi$  is the partition of Figure 5. The second element of the pair is the part that corresponds to the region containing the terminal. The figure on the right is consistent with the pair  $(\pi, \emptyset)$  since there is no way to get from an edge of  $\partial B$  to the terminal while avoiding  $E$ .

A *portal-respecting solution* is a multiway cut that, in the planar dual, only crosses brick boundaries at portals. We later show that, in a sense, it is possible to restrict our attention to portal-respecting solutions. The spanner algorithm therefore proceeds as follows. For each brick  $B$ , the algorithm identifies the set  $\tilde{T}_B$  of terminals in  $B$  for which some cycle selected in the *cycle* step encloses  $B$ . By Lemma 5.3,  $|\tilde{T}_B| \leq \epsilon^{-2}$ . For each terminal  $t \in \tilde{T}_B$ , the algorithm enumerates the configurations of  $B$  and  $t$ . For each configuration, the algorithm finds an approximately minimum-length set  $\mathcal{E}$  of edges consistent with the configuration, and includes  $\mathcal{E}$  in the spanner. The spanner consists of all these edge-sets, together with the brick decomposition and the min-cuts of all terminals.

Given a configuration in the brick  $B$  and given a terminal  $t$ , SPANNER uses the following auxiliary algorithm to find an near-optimal set of edges that is consistent with that configuration, and SPANNER adds that set of edges to the spanner. Let  $p$  denote a shortest path from  $\partial B$  to  $t$ . Let  $p_{\text{near}}$  denote the part of  $p$  closest to  $\partial B$ , such that  $\text{length}(p_{\text{near}}) = \min(\text{length}(\partial B), \text{length}(p))$ , and let  $p_{\text{far}}$  denote the rest



---

**Algorithm 4** SPANNER  $(G, v_\infty, H)$ 

---

*Input:* instance  $(G, v_\infty)$  equipped with skeleton  $H$  (a subgraph of the planar dual  $G^*$ )

*Output:* spanner

include in the spanner the min-cuts for all terminals.  
for each connected component  $K$  of the skeleton  $H$ ,  
  define a subgraph  $G_K$  of  $G$  as follows:  
    retain an edge iff it is on a path that starts on  $K$  and that does not cross  $H$ .  
  find a brick decomposition  $M$  of  $G_K$  with respect to  $K$ , with  $\kappa = \epsilon^{-c_1}$   
  include  $M$  in the spanner  
  for each brick  $B$ ,  
    select  $\theta = \epsilon^{-c_3}$  portals on  $\partial B$   
    let  $\tilde{T}_B := \{t \in B : \text{some cycle selected for } t \text{ encloses } B\}$   
    for each configuration of  $B$ ,  
      for each terminal  $t \in \tilde{T}_B$  and for the no-terminal case,  
        include in the spanner a near-optimal solution for that brick  
        that is consistent with the configuration

---

of path  $p$ . The auxiliary algorithm places a collection of  $\theta' = \epsilon^{-c_4}$  equidistant portals along  $p_{\text{near}}$ , thus partitioning  $p$  into subpaths  $\mathcal{P}_{b,t}$ . (The choice of  $c_4$  will be made presently.)

We claim that there exists a near-optimal set of edges that only crosses  $p_{\text{near}}$  at portals. To see this, first observe that the solution has length at most  $\text{length}(\partial B)$ , since otherwise we could always replace it by  $\partial B$ ; thus it can only cross  $p_{\text{near}}$ , not  $p_{\text{far}}$ . We modify the solution by adding detours through portals, to get a solution that only crosses  $p_{\text{near}}$  at portals. Theorem 3.2 ensures that we can restrict our attention to solutions with  $O(\epsilon^{-2.5}\kappa)$  joining vertices (plus possibly one single cycle), so we can restrict our attention to solutions consisting of  $O(\epsilon^{-2.5}\kappa)$  shortest paths. Each crosses  $p_{\text{near}}$  at most once, so the total cost of the detours is  $O(\epsilon^{-2.5}\kappa)\text{length}(\partial B)/\theta'$ . By Theorem 5.2, there is a choice of  $c_4$  so that the total cost of the detours is  $O(\epsilon)W$ .

To find the best solution that crosses  $p_{\text{near}}$  at portals only, we make two copies  $p^{(1)}$  and  $p^{(2)}$  of  $p$ , duplicating every vertex except  $t$ , thus drawing a slit into  $b$ . For each possible extension of partition  $\pi$  into a partition of  $\mathcal{P}_b \cup \mathcal{P}_{b,t}$ , we solve the problem optimally via a dynamic program inside the brick (the details are omitted here since an analogous dynamic program has been described in [7, 13]). The runtime of the dynamic program is  $2^{\text{poly}(1/\epsilon)}n_b \log n_b$ . Among all solutions thus constructed, it only remains to pick the one of minimum cost.

Summing over all bricks and all connected components of the skeleton, the runtime to build a spanner given the skeleton is  $2^{\text{poly}(1/\epsilon)}n^d$  for a constant  $d$ .

## 6.2 Structure of the spanner and a near-optimum solution

We transform the near-optimal solu-

tion  $\hat{L}$  of Lemma 5.4 into a portal-respecting, yet almost as good, solution  $\hat{L}''$ . To do so, for each cluster  $K$ , the subset  $\hat{L}_K$  of  $\hat{L}$  as defined before Theorem 5.1, we construct a new subset  $\hat{L}''_K$  that respects the mandates defined for  $\hat{L}_K$ .

The proof of the theorem relies on the facts that (1) each brick of the decomposition contains at most one terminal of  $\text{Mandate}(\hat{L}_K)$ ; (2) each connected component of  $\hat{L}_K$  is connected to  $K$  (and therefore to brick boundaries: i.e., there is no component floating unattached inside a brick); and (3) the skeleton has length  $O(W)$ . Given these, the subsection is an adaptation of [6].

To analyze the brick decomposition, we use Theorem 3.2 to prove the following theorem.

**THEOREM 6.1.** *For each cluster  $K$ , there exists a set of edges  $\hat{L}''_K$  that*

1. *is portal-respecting,*
2. *has length at most  $(1 + c\epsilon)\text{length}(\hat{L}_K) + \frac{O(\epsilon^{-2.5}\kappa)}{\theta}\text{length}(M_K)$  for some constant  $c$ ,*
3. *still satisfies Properties 1 and 2 of Theorem 5.1,*
4. *still intersects the non-special blobs intersected by  $\hat{L}_K$ , and*
5. *still separates every pair  $\{t, t'\}$  in  $\text{Mandate}(\hat{L}_K)$ .*

*Proof.* We will show how to transform  $\hat{L}_K$  into a solution  $\hat{L}'_K$  that, for each brick  $B$ , crosses  $B$ 's boundary only  $O(\epsilon^{-2.5}\kappa)$  times. To build  $\hat{L}''_K$  from  $\hat{L}'_K$ , we simply take, for each crossing, a detour to the nearest portal and back. A detour along the boundary of brick  $B$

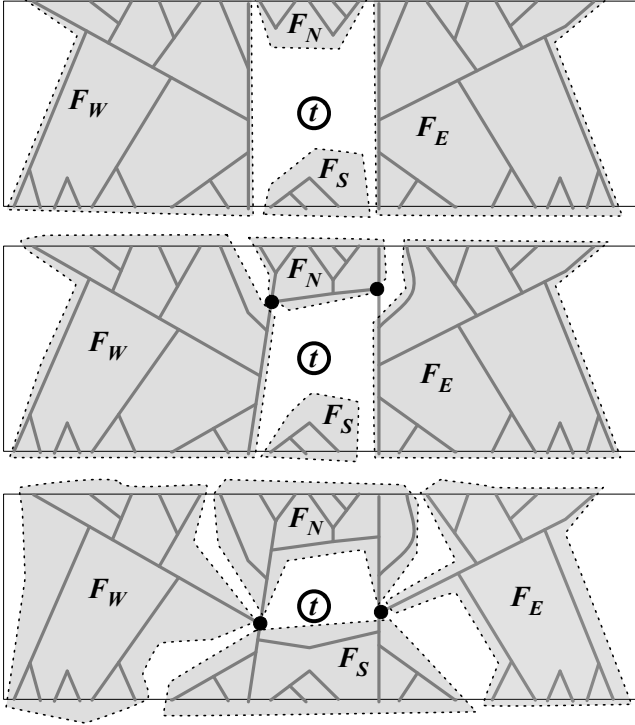


Figure 7: The three subcases in the proof of Theorem 6.1.

has length  $\frac{1}{\theta} \text{length}(\partial B)$ . Thus the total increase due to detours is  $\frac{O(\epsilon^{-2.5} \kappa) \text{length}(M_K)}{\theta}$

In reducing the number of crossings, we consider two cases. In the first case, there is no terminal of  $\text{Mandate}(\hat{L}_K)$  inside the brick. Inside the brick, the near-optimal solution is a forest  $F$ . We apply Theorem 3.2 to  $F$  with  $U = \emptyset$ . Since the new forest  $F'$  preserves connectivity, it also preserves separation: if some interior path from some  $x \in \partial B$  to some  $y \in \partial B$  crosses  $F$ , then it also crosses  $F'$ . Thus, replacing  $F$  by  $F'$  preserves feasibility of our multiway cut solution.

In the second case, there is a single terminal  $t$  of  $\text{Mandate}(\hat{L}_K)$  inside the brick. The proof uses the following definition. Given a forest  $F$  in the brick  $B$ , by  $N_F$  (resp.  $S_F$ ) we denote the subpath of  $N$  (resp.  $S$ ) spanning the vertices of  $F \cap N$  (resp.  $F \cap S$ ). Given a terminal  $t$ , we say that  $t$  is outside  $F$  if  $t$  is not enclosed by  $F \cup N_F \cup S_F$ . We say that  $F$  is *north* of  $t$  (resp. *south* of  $t$ ) if  $t$  is outside  $F$  and  $S_F = \emptyset$ . We say that  $F$  is *east* of  $t$  (resp. *west* of  $t$ ) if  $t$  is outside  $F$  and  $F$  separates  $t$  from  $E$  (resp. from  $W$ ). Then we can decompose  $F$  into at most four forests,  $F_N, F_S, F_W, F_E$ , respectively North, South, West and East of  $t$ . More precisely, there are three subcases. See Figure 7.

In the first subcase, the cell of  $t$  intersects both  $N$  and  $S$ .

In the second subcase, the cell of  $t$  intersects just

one side,  $S$  for example. Then there exist two vertices  $u_0$  and  $u_1$  in  $B$  such that  $u_0$  is a leaf of  $F_W$  and of  $F_N$ , and  $u_1$  is a leaf of  $F_E$  and of  $F_N$ .

In the third subcase, the cell of  $t$  intersects neither  $S$  nor  $N$ , and then there exist two vertices  $u_0$  and  $u_1$  in  $B$  such that  $u_0$  is a leaf of  $F_W, F_N$  and  $F_S$ , and  $u_1$  is a leaf of  $F_E, F_N$  and  $F_S$ .

It only remains to apply Theorem 3.2 to each of the four forests with  $U \subseteq \{u_0, u_1\}$ , defined appropriately for each forest. Thanks to the fourth property of Theorem 3.2, the mandates of our solution are preserved.  $\square$

Consider the set of edges put to the spanner when guessing configuration and terminals correctly. A new problem may arise: that collection still respects the mandates of each  $\hat{L}_K$ , but it is not necessarily a feasible solution overall. The following theorem patches the solution by identifying more terminals as being of negligible weight.

**THEOREM 6.2.** *There exist a set  $\hat{R}'$  of weight at most  $O(\epsilon)W$  such that  $\hat{L}''$  is a  $(T - \hat{R}')$ -feasible solution.*

*Proof.* We start as in the proof of Lemma 5.4. Let  $\tilde{L}$  be obtained from  $\hat{L}''$  by contracting each blob  $B$  of  $H_2$ . The *cluster* step runs PC-clustering on  $(G^{*'}, \phi)$ , obtaining the graph  $H'_3$ . We apply Part 2 of Theorem 3.1 to the subgraph  $\tilde{L}$  of  $G'$ .<sup>5</sup> This part asserts the existence of a set  $\hat{Q}$  of vertices of  $G^{*}'$ , which correspond to blobs in  $G^*$ . We designate a blob  $B$  as *special* if the corresponding vertex of  $G^{*}'$  belongs to  $\hat{Q}$ . We define  $R_1$  as the set of all terminals that in  $G^*$  are enclosed by special blobs.

Part 2a of Theorem 3.1 and Lemma 4.5 imply that

$$\text{weight}(R_1) \leq 2\epsilon W$$

By Part 2b of Theorem 3.1, if two non-special blobs are connected by  $\hat{L}''$ , then they are in the same connected component of the skeleton.

We claim that every terminal pair of  $T - (\hat{R} \cup R_1)$  is separated, except for a set  $R_2$  of small weight. The proof has several cases.

First, consider the case in which one of the two terminals in the pair is  $t_\infty$ . Let  $t_1$  denote the other terminal. By Theorem 5.1,  $\hat{L}$  is  $(T - \hat{R})$ -feasible, so  $t_1$  and  $t_\infty$  are separated in  $\hat{L}$ , and we can consider a cluster  $K$  minimally enclosing  $t_1$ , separating  $t_1$  from  $t_\infty$ . By definition of mandates,  $\{t_1, t_\infty\}$  is in  $\text{Mandate}(K)$ , so Theorem 6.1, Part 5, ensures  $t_1, t_\infty$  are still separated in  $\hat{L}''_K$  and hence in  $\hat{L}''$ .

Second, consider the case in which neither of the two terminals  $t_1, t_2$  is  $t_\infty$ . Let  $B_1$  be the blob enclosing  $t_1$  in the cluster  $K_1$  of the skeleton that (from Lemma 5.1)

<sup>5</sup>Note that we do not need to run PC-CLUSTERING again.

has a cycle intersecting the component of  $S = \hat{L}$  minimally enclosing  $t_1$ . We define  $B_2$  and  $K_2$  similarly.

Consider the subcase where  $K_1 = K_2$ . Then  $\{t_1, t_2\}$  is in  $\text{Mandate}(K_1)$  and so they are separated in  $\hat{L}''$ .

Consider the subcase where  $K_1 \neq K_2$  and  $K_1$  and  $K_2$  do not enclose each other. Since  $\hat{L}_{K_1}$  minimally separates  $t_1$  from  $t_\infty$ , we have  $\{t_1, t_\infty\} \in \text{Mandate}(\hat{L}_{K_1})$ . Hence,  $\hat{L}_{K_1}''$  also separates  $t_1$  from  $t_\infty$  (due to Theorem 6.1, Part 5). By Theorem 6.1 Part 4,  $\hat{L}_{K_1}''$  still intersects  $B_1$ . Similarly  $\hat{L}_{K_2}''$  separates  $t_2$  from  $t_\infty$  and still intersects  $B_2$ . Assume, for a contradiction, that  $t_1$  and  $t_2$  are not separated by  $\hat{L}''$ . Then  $\hat{L}_{K_1}''$  and  $\hat{L}_{K_2}''$  must intersect each other (this observation is the core of the proof). Together they define a path in  $\hat{L}''$  connecting  $B_1$  to  $B_2$ , so (due to Theorem 3.1) one of the two blobs must be special, hence  $t_1$  or  $t_2$  is in  $R_1$ , a contradiction.

Finally, consider the subcase where  $K_1 \neq K_2$  and  $K_1$  contains  $K_2$  in one of its faces  $F$ . Then  $\hat{L}_{K_2}$  is also contained in  $F$  (because it does not cross any skeleton cycle other than  $K_2$ ). Then  $\hat{L}_{K_2}''$  is also contained in  $F$  (by Theorem 6.1, Part 3). The only possibility for it not to separate  $t_1$  from  $t_2$  is if  $t_1$  is in face  $F$  and  $\hat{L}_{K_2}''$  also encloses  $t_1$ . Note that  $\hat{L}_{K_2}''$  still intersects  $B_2$ . Then, we put  $t_1$  in  $R_2$ .

It only remains to bound the weight of  $R_2$ . We use the fact that a candidate ear was not added in the ear step, to infer a bound on the weight of  $t_1$ . No part of  $\hat{L}_{K_2}''$  or  $\hat{L}_{K_2}$  was added in the ear step as a  $K_2$ -to- $K_2$  path separating  $t_1$  from  $t_\infty$ . Yet  $\hat{L}_{K_2}''$  would have given a candidate ear (since by definition of  $K_1$ , we know that the clusters enclosed by  $K_2$  do not separate  $t_1$  from  $t_\infty$ .) Since it was not selected, it must be that  $\text{weight}(t_1) \leq \epsilon^3 \text{length}(\hat{L}_{K_2}'')$ . We bound as follows the number of such terminals added to  $R_2$  for each  $K_2$ . Notice that  $K_1$  is the parent of  $K_2$  in the nesting forest,  $t_1$  is a terminal in the same face  $F$  of  $K_1$  as  $K_2$ , and  $C(t_1)$  encloses  $F$ . All terminals added to  $R_2$  due to  $K_2$  are part of  $\tilde{T}_F$  by definition. Lemma 5.3 bounds their number to be at most  $\epsilon^{-2}$ , hence the total weight of  $R_2$  is at most  $\epsilon \text{length}(\hat{L}'')$ .  $\square$

**THEOREM 6.3.** *The call  $\text{SPANNER}(G, v_\infty, H)$  returns a subgraph  $\hat{G}$  such that*

1.  $\text{length}(\hat{G}) \leq 2^{\text{poly}(1/\epsilon)}W$ , and
2.  $\hat{G}$  contains a multiway cut for  $G$  whose length is at most  $\text{OPT}(G) + c\epsilon W$

*Proof.* Consider the set of edges formed by  $\hat{L}^{(3)} = \hat{L}'' \cup \bigcup \{\text{mincut}(t) : t \in \hat{R}'\}$ . From Theorem 6.2, it follows that  $\hat{L}^{(3)}$  is a feasible solution. Let us prove that it is near-optimal. By Theorem 6.1 and Inequality 3.4, the length of  $\hat{L}''$  is at most  $(1 + O(\epsilon))\text{length}(\hat{L}) +$

$\frac{O(\epsilon^{-3.5})\kappa}{\theta}\text{length}(H)$  where  $H$  is the skeleton. By Theorem 5.4,  $\hat{L}$  is near-optimal. Theorem 5.2 bounds  $\text{length}$  of  $H$  by  $O(\epsilon^{-9}W)$ . By the bound on the weight of  $\hat{R}'$  in Theorem 6.2,  $\sum_{t \in \hat{R}'} \text{mincut}(t) = O(\epsilon)W$ . Therefore, overall  $\hat{L}^{(3)}$  has length  $\text{OPT}(G) + O(\epsilon)W$ .

Finally, we bound the length of the spanner itself. Theorem 5.2 bounds the length of the skeleton  $H$  by  $\epsilon^{-9}W$ . By Inequality 3.4, the total length of mortar graphs is  $O(\epsilon^{-1})\text{length}(H)$ . Fix a brick  $B$ . By Lemma 5.3, there are at most  $\epsilon^{-2}$  terminals considered by the spanner algorithm when dealing with  $B$ . There are  $\theta$  portals, so the number of configurations is bounded by  $2^{\text{poly}(\theta)} = 2^{\text{poly}(1/\epsilon)}$ . Each solution has length at most the length of the boundary of the brick. Therefore, the sum of lengths of all solutions for a brick  $B$  is  $2^{\text{poly}(1/\epsilon)}$  times the length of the brick boundary. Altogether the length of the spanner is  $2^{\text{poly}(1/\epsilon)}W$ .  $\square$

Combining Theorem 6.3 with Lemma 4.4 yields Theorem 2.1.

## References

- [1] B. BAKER, *Approximation algorithms for NP-complete problems on planar graphs*, Journal of the ACM, 41 (1994), pp. 153–180.
- [2] M. BATENI, M. HAJIAGHAYI, AND D. MARX, *Approximation schemes for Steiner forest on planar graphs and graphs of bounded treewidth*, in Proceedings of the Forty-Second Annual ACM Symposium on Theory of Computing (STOC'10), New York, NY, USA, 2010, ACM. to appear.
- [3] C. BENTZ, *A simple algorithm for multicuts in planar graphs with outer terminals*, Discrete Appl. Math., 157 (2009), pp. 1959–1964.
- [4] G. BORRADAILE, C. KENYON-MATHIEU, AND P. N. KLEIN, *A polynomial-time approximation scheme for Steiner tree in planar graphs*, in Proceedings of the Eighteenth Annual ACM-SIAM Symposium on Discrete Algorithms, 2007, pp. 1285–1294.
- [5] G. BORRADAILE, P. N. KLEIN, AND C. MATHIEU, *Steiner tree in planar graphs: An  $O(n \log n)$  approximation scheme with singly exponential dependence on epsilon*, in Proceedings of the 10th Workshop on Algorithms and Data Structures, vol. 4619 of LNCS, 2007, pp. 275–286.
- [6] ———, *A polynomial-time approximation scheme for Euclidean Steiner forest*, in Proceedings of the 49th Annual IEEE Symposium on Foundations of Computer Science, 2008.
- [7] ———, *A polynomial-time approximation scheme for steiner tree in planar graphs*, ACM Transactions on Algorithms, 5 (2009). Special Issue on SODA 2007.
- [8] G. CALINESCU, H. KARLOFF, AND Y. RABANI, *An improved approximation algorithm for multiway cut*, in Proceedings of the 30th Symposium on the Theory of Computing, 1998, pp. 48–52.

- [9] D. Z. CHEN AND X. WU, *Efficient algorithms for  $k$ -terminal cuts on planar graphs*, *Algorithmica*, 38 (2004), pp. 299–316. Twelfth Annual International Symposium of Algorithms and Computation.
- [10] W. CUNNINGHAM AND L. TANG, *Optimal 3-terminal cuts and linear programming*, in Proceedings of the 7th Conference on Integer Programming and Combinatorial Optimization, no. 1610 in LNCS, 1999, pp. 114–125.
- [11] E. DAHLHAUS, D. S. JOHNSON, C. H. PAPADIMITRIOU, P. D. SEYMOUR, AND M. YANNAKAKIS, *The complexity of multiterminal cuts*, *SIAM Journal on Computing*, 23 (1994), pp. 864–894.
- [12] D. EPPSTEIN, *Subgraph isomorphism in planar graphs and related problems*, *Journal of Graph Algorithms and Applications*, 3 (1999), pp. 1–27.
- [13] R. ERICKSON, C. MONMA, AND A. VEINOTT, *Send-and-split method for minimum-concave-cost network flows*, *Mathematics of Operating Research*, 12 (1987), pp. 634–664.
- [14] D. HARTVIGSEN, *The planar multiterminal cut problem*, *Discrete Appl. Math.*, 85 (1998), pp. 203–222.
- [15] T. C. HU, *Integer Programming and Network Flows*, Addison-Wesley, 1969.
- [16] Y. KAMIDOI, N. YOSHIDA, AND H. NAGAMACHI, *A deterministic algorithm for finding all minimum  $k$ -way cuts*, *SIAM J. Comput.*, 36 (2006/07), pp. 1329–1341 (electronic).
- [17] D. R. KARGER, P. N. KLEIN, C. STEIN, M. THORUP, AND N. E. YOUNG, *Rounding algorithms for a geometric embedding of minimum multiway cut*, in Proceedings of the thirty-first annual ACM Symposium on Theory of Computing, ACM, ed., New York, NY, USA, 1999, ACM Press, pp. 668–678.
- [18] P. N. KLEIN, *A linear-time approximation scheme for planar weighted TSP*, in Proceedings of the Forty-Sixth Annual IEEE Symposium on Foundations of Computer Science, 2005, pp. 647–656.
- [19] ———, *A subset spanner for planar graphs, with application to subset TSP*, in Proceedings of the 38th Annual ACM Symposium on Theory of Computing, 2006, pp. 749–756.
- [20] ———, *A linear-time approximation scheme for tsp in undirected planar graphs with edge-weights*, *SIAM J. Comput.*, 37 (2008), pp. 1926–1952.
- [21] J. K. PARK AND C. A. PHILLIPS, *Finding minimum-quotient cuts in planar graphs*, in STOC, 1993, pp. 766–775.