



No.: 10/2016/TT- HĐQT

Ho Chi Minh City, 12 April 2016

THE 2015 ANNUAL GENERAL MEETING PROPOSAL

Subject: - The dismissal of the members of the BOD and the members of the BOS for the term III (2011 – 2015)

- The list of candidate(s) to nominate to the BOD and to the BOS for the term IV (2016 - 2020)

Pursuant to:

- *The Law on Enterprises No.68/2014/QH13 issued by the National Assembly of the Socialist Republic of Vietnam on 26 November 2014 and the documents guiding the implementation of Law on Enterprise;*
- *The Law on Securities No.70/2006/QH11 issued by the National Assembly of the Socialist Republic of Vietnam on 29 June 2006 and Amendment, Law amending and supplementing a number of articles of Securities Law No.62./2010/QH12 issued by the National Assembly of the Socialist Republic of Vietnam on 24 November 2010 and some documents guiding the implementation of Securities Law;*
- *The ninth amendment of HSC Charter according to the AGM Resolution No.01/2013/NQ-ĐHĐCĐ dated 24 December 2013,*

The Board of Directors (*the “BOD”*) would like to submit to the the Annual General Meeting of Shareholders (*“the AGM”*) for your respective consideration and approval as follows:

1. The election of the members of the Board of Directors and the Board of Supervisor for the term IV (2016 -2020)

- Based on the AGM Resolution No.01/2011/NQ-ĐHĐCĐ dated April 08, 2011, it is expired the Board of Directors (BOD) and the Board of Supervisor (BOS) of HSC for the term III (2011 - 2015).
- Based on The Law on Enterprises and the Company’s Charter, it is elected the members of the BOD and the BOS of HSC for the term IV (2016 - 2020) at the 2015AGM.

2. The number of the members of the Board of Directors and the Board of Supervisor for the term IV (2016 -2020)

Based on the demand and the activity performance of the members of the BOD and the BOS of the term III (2011 - 2015), the BOD would like to submit to the 2015AGM the number of the BOD and BOS as follows:

- The number of members of the BOD is seven (07)
- The number of members of the BOS is three (03)

3. The list of the candidates nominating to the Board of Directors and the Board of Supervisor of the term IV (2016 - 2020)

According to the Company’s Announcement No.02/2016/TB-HĐQT dated April 06, 2016 on Vietnam Investment Review and HSC webpage, the BOD would like to request the

shareholders to nominate/ self- nominate or forming a group of shareholders to nominate the candidate(s) to participate the election of the members of the BOD (07 members) and the BOS (03 members) for the term IV (2016 - 2020).

From the date April 06, 2016 to the date April 12, 2016, the BOD has received the nominee applications of the candidates of the BOD and BOS members of the term IV (2016 - 2020). After considering the stipulated law regulations, all candidates of the below list satisfy all conditions and standards required by the law as well as have full capacity for acting a member of the BOD and the BOS.

▪ **THE CANDIDATES OF THE BOARD OF DIRECTORS OF THE TERM IV (2016 -2020)**

No	Full Name	Nationality	Year of birth	Education level	Position	Company	Permanent address
01	Le Hoang Anh	Vietnam	1968	Doctor of Economics (PhD)	Director	Dragon Capital Ltd.(DC)	Thanh An Hamlet, Trung An Commune, Cu Chi Dist., HCMC
02	Pham Nghiem Xuan Bac	Vietnam	1961	Master of Business Administration, Bachelor of Law	CEO	Vision & Associates Company	No. 33, Alley 6/14, Doi Nhan Str., Ba Dinh Dist., Hanoi
03	Le Thang Can	Vietnam	1976	Bachelor of Economics	Manager - Investment Department	Hochiminh city Finance and Investment state-owned Company (HFIC)	No.60/21/6 4 Street, KP5, Truong Tho, Thu Duc District, HCMC
04	Johan Nyvene	USA	1965	Bachelor of Banking and Finance	CEO	Hochiminh City Securities Coporation (HSC)	No.43, No.2 Str., Nam Phu, Tran Trong Cung, Tan Thuan Dong Ward, Dist. 7, HCMC
05	Nguyen Thanh Liem	Vietnam	1958	Master of Business Administration	Deputy Director	HCM City Finance and	No.684/34 Tran Hung Dao Str., Ward 2, Dist.5, HCMC

No	Full Name	Nationality	Year of birth	Education level	Position	Company	Permanent address
						Investment State – owned Corporation (HFIC)	
06	Le Anh Minh	Vietnam	1969	Master of Business Administration	CEO	Dragon Capital Group (DCG)	No.101/18 Nguyen Chi Thanh Str., Ward 9, Dist.5, HCMC
07	Do Hung Viet	Vietnam	1954	Bachelor of Economics	Chairman	Hochiminh City Securities Corporation (HSC)	No.239 Nguyen Thi Minh Khai Str., Nguyen Cu Trinh Ward, Dist.1, HCMC

▪ **THE CANDIDATES OF THE BOARD OF SUPERVISOR OF THE TERM IV (2016 -2020)**

No	Full Name	Nationality	Year of birth	Education level	Position	Company	Permanent address
01	Vo Van Chau	Vietnam	1953	Bachelor of Economics	BOD member cum CEO	Kienlong Bank	No.18/4 Thich Quang Duc Str., Ward 5, Phu Nhuan Dist., HCMC
02	Doan Van Hinh	Vietnam	1953	Bachelor of Economics	Former CFO	Saigon Co.op Investment and Development JS Company (SCID)	No.136bis/8 Ly Chinh Thang Str., Ward 7, Dist. 3, HCMC
03	Dang Nguyet Minh	Vietnam	1987	Bachelor of Economics	Associate Director	Dragon Capital Markets Limited (DC)	Room 509, Lot B, An Khang Building, An Phu Ward, Dist. 2, HCMC

The BOD would like to submit to the AGM for your respective approval.

**For and on behalf of the Board of Directors
CHAIRMAN**

(signed and seal)

ĐỖ HÙNG VIỆT

To:

- *As mentioned above;*
- *BOD Office for record*