



ANNOUNCEMENT

OF THE FY2020 ANNUAL GENERAL MEETING OF SHAREHOLDERS

HO CHI MINH CITY SECURITIES CORPORATION

To: HSC Shareholders

The Board of Directors hereby announce The Annual General Meeting FY2020 of Ho Chi Minh City Securities Corporation (HSC) as follows:

AGM FY2020 agenda is as follows:

1. Time and Venue

- Time: **13:00 – Thursday, April 22, 2021**
- Venue: Ben Thanh Ballroom – First Floor – New World Saigon Hotel,
76 Le Lai Street, Dist.1, Ho Chi Minh City

2. Agenda

- Report of 2020 activities of the Board of Directors
- Report of 2020 business performance by the Board of Management
- Business plan 2021
- Report of the Supervisory Board on Company's operation 2020
- Report on the progress of issuing shares to existing shareholders in 2021
- Election of members of the Board of Directors and the Board of Supervisors for the fifth (V) term (2021 – 2025)
- BOD's proposals for approval:
 - o The Audited Financial Statements for the year ended December 31, 2020
 - o FY2020 final cash dividend rate
 - o FY2021 dividend plan
 - o FY2020 profit distribution plan
 - o Appointment of the independent audit firm for the fiscal year 2021
 - o Adjustment foreign investors' ownership
 - o Amendments of HSC's Corporate Charter
 - o Amendments of HSC Internal Regulations on Corporate Governance

- Operation Regulation of the Board of Directors
- Operation Regulation of the Board of Supervisors
- Other proposals to be approved by AGM (if any)
- Discussion on share issuance for HSC Employee Stock Ownership Plan (ESOP)
- Discussion on the transfer of trading of list companies from HOSE to HNX

3. Eligibility for attending Annual General Meeting

All shareholders, whose names are in the list of HSC's shareholders as at the record date (March 17, 2021) issued by Vietnam Securities Depository – HCMC Branch, are entitled to attend the AGM FY2020.

4. Registration for attending Annual General Meeting

Shareholders may register to attend AGM FY2020 by either of the following forms:

Attending directly: Shareholders are kindly requested to register your attendance via webpage: <https://agm.hsc.com.vn> from April 01, 2021. Number of shareholders attending physical meeting will be limited in the event of spread of the Covid -19 pandemic.

Authorizing: Shareholders may authorize another person or one of members of the Board of Directors or the Supervisory Board to attend AGM FY2020 in writing. The filled Confirmation Letter or Proxy Letter must be sent to Organizing Committee of HSC's Annual General Meeting by post, via fax or email **before 16:30, April 20, 2020** at the address hereunder:

Ho Chi Minh City Securities Corporation (HSC)
Level 5 & 6, AB Tower, 76 Le Lai, District 1, HCMC, Viet Nam
Tel: (+84 28) 3823 3299 Fax: (+84 28) 3823 3301
Email: hsc.communication@hsc.com.vn

5. Election of members of the Board of Directors and the Supervisory Board for the fifth (V) term (2021 – 2025)

Members of the Board of Directors and the Supervisory Board for the fifth (V) term (2021 – 2025) will be elected at the AGM FY2020. The shareholders are kindly requested to exercise the right to nominate and self-nominate stipulated in the HSC Regulation on Election. Nominee Application Form must be sent directly to HSC **before 16:30 on April 12, 2021**.



6. Procedures for attending Annual General Meeting

The shareholders are kindly requested to present the Invitation Letter and ID card/ Passport (*for individual shareholders*) or Introduction Letter (*for institutional shareholders*) at the entrance of the AGM FY2020. In the event of authorization, proxy holder should bring Proxy Letter, a copy of the shareholder 's ID card/ Passport and his/ her own ID card/ Passport.

7. Documents

Please access our webpage <https://www.hsc.com.vn/en/investor/shareholder-annual-general-meeting> to download the AGM FY2020 documents for your further information from April 01, 2021.

The Notice is in lieu of an Invitation Letter in the event of that the shareholders who are entitled to attend the meeting have not received the Invitation Letter.

Best regards.

For and on behalf of the Board of Directors
CHAIRMAN



DO HUNG VIET

To:

- As mentioned above;
- BoD Office for record,

