

SỐ: 16/2021/TT- HĐQT

Ho Chi Minh City, 19 April 2021

THE 2020 ANNUAL GENERAL MEETING PROPOSAL

Subject: Transfer of trading of HCM share to Hanoi Stock Exchange (HNX)

- *In accordance with Law on Enterprises No.59/2020/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020 and the documents guiding the implementation of Law on Enterprise;*
- *In accordance with Law on Securities No.54/2019/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and some documents guiding the implementation of Securities Law;*
- *HSC's Corporate Charter according to AGM Resolution No.01/2020/NQ-ĐHĐCĐ dated June 23, 2020*

During the past few months, there was a phenomenon that some trading orders made through securities companies could not be sent to Ho Chi Minh City Stock Exchange (“HSX”) trading system. The order congestion, suspension and slow processing took place when liquidity suddenly increased, affecting the transactions of investors on the stock market in general as well as HSC's shareholders in particularly. This situation has been seriously affecting HSC's businesses:

- Client's trading orders are congested and cannot be sent to HSX trading system.
- HSC's proprietary investment activities related to hedging and market making of covered warrant, ETF and derivatives are limited.

While waiting for HSX to completely handled the overload system, with the purpose to protect the interests of shareholders and investors, the Board of Directors of HSC respectfully submit to the General Meeting of Shareholders for consideration and approval of the authorization for the Board of Directors to decide appropriate time, organize implementation plan and decide on issues related to the transferring of HCM shares from HSX to trading on Ha HNX and transferring HCM shares from HNX to HSX after the new trading system of HSX comes into operation.

The Board of Directors would like to submit to the AGM for your respective approval.

For and on behalf of the Board of Directors

CHAIRMAN

A red circular stamp from Công ty Cổ phần Chứng khoán TP. Hồ Chí Minh. The stamp contains the text "S. Q. 0133 C.T.C.", "CÔNG TY CỔ PHẦN CHỨNG KHOÁN TP. HỒ CHÍ MINH", and "QUẬN 1 TP. HỒ CHÍ MINH". A blue ink signature is written across the stamp.

To:

- As mentioned above;
- BoD Office for record,

DO HUNG VIET

