

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG**  
**EXTRAORDINARY INFORMATION DISCLOSURE**

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*  
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*  
- Sở Giao dịch Chứng khoán TP.Hồ Chí Minh/ *Hochiminh Stock Exchange*  
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*



1. Tên tổ chức: **Công ty Cổ phần Chứng khoán Thành phố Hồ Chí Minh**  
*Name of organization: Ho Chi Minh City Securities Corporation*

- Mã chứng khoán: **HCM**  
*Securities code: HCM*

- Địa chỉ liên lạc: Tầng 2, 3, 5, 6, 7, 11 và 12 Tòa nhà AB, 76A Lê Lai, P. Bến Thành, TP.Hồ Chí Minh  
*Address: Level 2, 3, 5, 6, 7, 11 & 12, AB Tower, 76A Le Lai, Ben Thanh Ward, Ho Chi Minh City*

- Điện thoại: (+84 8) 3823 3299  
*Telephone: (+84 8) 3823 3299*

Fax: (+84 8) 3823 3301  
*Fax: (+84 8) 3823 3301*

- Email: cbtt@hsc.com.vn  
*Email: cbtt@hsc.com.vn*

Website: hsc.com.vn  
*Website: hsc.com.vn*

2. Nội dung thông tin công bố: **Đính chính số lượng cổ phiếu đã được cổ đông hiện hữu đăng ký mua và nộp tiền mua tại Nghị quyết số 33/2025/NQ-HĐQT ngày 24/09/2025 thông qua kết quả chào bán cổ phiếu cho cổ đông hiện hữu và phương án xử lý cổ phiếu chưa phân phối hết của đợt chào bán cổ phiếu ra công chúng.**

*Contents of disclosure: Correction of number of shares registered and paid by the existing shareholders in Resolution No. 33/2025/NQ-HĐQT dated 24 September 2025 approving the results of share offering to existing shareholders and the plan to handle undistributed shares of the public offering.*

Ngày 24/09/2025, Công ty Cổ phần Chứng khoán TP. Hồ Chí Minh (HSC) đã công bố Nghị quyết Hội đồng Quản trị số 33/2025/NQ-HĐQT thông qua kết quả chào bán cổ phiếu cho cổ đông hiện hữu và phương án xử lý cổ phiếu chưa phân phối hết của đợt chào bán cổ phiếu ra công chúng của Công ty tại Công bố thông tin số 66/2025/CV-CBTT, nay HSC đính chính số lượng cổ phiếu đã được cổ đông hiện hữu đăng ký mua và nộp tiền mua tại Nghị quyết. Chi tiết như sau:

*On 24 September 2025, Ho Chi Minh City Securities Corporation (HSC) disclosed the Board Resolution No. 33/2025/NQ-HĐQT approving the results of share offering to existing shareholders and the plan to handle unsubscribed shares of the public offering of the Company under Disclosure No. 66/2025/CV-CBTT, now HSC corrects the number of shares registered and paid by the existing shareholders in the Resolution. Details are as follows:*



Nội dung <i>Content</i>	Trước đính chính <i>Before correction</i>	Sau đính chính <i>After correction</i>
Số lượng cổ phiếu đã được cổ đông đăng ký mua và nộp tiền mua tại Khoản 2 Điều 1 của Nghị quyết số 33/2025/NQ-HĐQT <i>Number of shares registered and paid at Point 2, Article 1 of Resolution No. 33/2025/NQ-HĐQT</i>	357.319.346 cổ phiếu <i>357,319,346 shares</i>	357.319.331 cổ phiếu <i>357,319,331 shares</i>

**Lý do đính chính:** Do lỗi đánh máy nhầm.

**Reason:** Due to a typo error.

Các nội dung khác trong Nghị quyết số 33/2025/NQ-HĐQT ngày 24/09/2025 không thay đổi.

*Other contents in Resolution No. 33/2025/NQ-BOD dated 24 September 2025 remain unchanged.*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 26/09/2025 tại đường dẫn <https://www.hsc.com.vn/cong-bo-thong-tin>

*This information was published on the company's website on 26 September 2025, as in the link <https://www.hsc.com.vn/en/information-disclosure>*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

*We hereby certify that the information provided is true and correct; we bear the full responsible to the law.*

**Tài liệu đính kèm/ Attached documents:**

- Nghị quyết số 33/2025/NQ-HĐQT ngày 24/09/2025.  
*Resolution No. 33/2025/NQ-HĐQT dated 24 Sep 2025.*

**ĐẠI DIỆN TỔ CHỨC**

**ORGANIZATION REPRESENTATIVE**

Người được ủy quyền công bố thông tin

*Person authorized to disclose information*



**Lê Anh Quân**

Giám đốc Điều hành Truyền thông  
*Chief Communications Officer*



**RESOLUTION  
BOARD OF DIRECTORS  
HO CHI MINH CITY SECURITIES CORPORATION**

---

*In accordance with Enterprise Registration Certificate No. 11/GPHĐKD dated 29 April 2003 and Amended License No. 66/GPĐC-UBCK dated 11 August 2025 issued by the State Securities Commission of Vietnam;*

*HSC's Corporate Charter,*

*In accordance with the Resolution No. 03/2024/NQ-ĐHĐCĐ dated 04 December 2024 of the Extraordinary General Meeting of Shareholder 2024;*

*In accordance with Certificate of registration for public offering No. 141/GCN-UBCK issued by the State Securities Commission dated 03 June 2025;*

*In accordance with Resolution No. 21/2025/NQ-HĐQT dated 09 June 2025 of the Board of Directors;*

*In accordance with Resolution No. 24/2025/NQ-HĐQT dated 10 June 2025 of the Board of Directors;*

*In accordance with Decision No. 318/QĐ-UBCK issued by the State Securities Commission dated 23 June 2025 on approval of extending the limit time on share distribution to Certificate of registration for public offering No. 141/GCN-UBCK;*

*In accordance with List of investors of depositary securities registering to purchase No. VNEXRTVSDH002258/VSDCHSCXX dated 19 September 2025 provided by Vietnam Securities Depository and Clearing Corporation;*

*In accordance with List of investors of non-depositary securities registering to purchase;*

*In accordance with the Board Meeting Minutes No. 33/2025/BB-HĐQT dated 24 September 2025.*

**BOARD OF DIRECTORS  
HO CHI MINH CITY SECURITIES CORPORATION  
HEREBY RESOLVED**

**ARTICLE 1**

The Board of Directors approved the results of offering shares to the existing shareholders according to the EGM Resolution No. 03/2024/NQ-ĐHĐCĐ dated 04 December 2024, Certificate of registration for public offering No. 141/GCN-UBCK dated 03 June 2025 issued by the State Securities Commission and Decision No. 318/QĐ-UBCK issued by the State Securities Commission dated 23 June 2025 on approval of extending the limit time on share distribution to Certificate of registration for public offering No. 141/GCN-UBCK. Details are as follows:

After the deadline for subscription and payment to purchase shares (from 14<sup>th</sup> July to 12<sup>th</sup> September 2025), the results of offering share to existing shareholders are as follows:

1. Registered number of shares offered: 359,985,557 shares
2. Number of shares registered and paid: 357,319,331 shares (equivalent to 99.26% of the total registered number of shares offered)



3. Number of unsubscribed shares: 2,666,226 shares (equivalent to 0.74% of the total registered number of shares offered)

In which:

- Number of shares not registered: 2,663,865 shares
- Number of odd shares arises due to be rounded down to unit digit: 2,361 shares

## ARTICLE 2

The Board of Directors approved the plan for handling unsubscribed shares due to the existing shareholders not registering and paying after the deadline for subscription and payment of the offering share to existing shareholders.

Pursuant to the contents authorized by the EGM Resolution No. 03/2024/NQ-ĐHĐCĐ dated 04 December 2024, the Board of Directors decided to re-offer total number of unsubscribed shares, offering price and other conditions no more favorable than conditions for offering to existing shareholders to the following subjects:

1. Number of unsubscribed shares for re-offering: 2,666,226 shares
2. Offering price: VND 10,000/share
3. Eligible subjects:
  - (1) State-owned institutions who are the existing shareholders as of the record date did not register and purchase offering shares in notified timeline;
  - (2) Domestic individuals who are existing shareholders of HSC as of the record date were unable to exercise their rights at the securities firms where shares have been deposited within the notified timeline;
  - (3) Domestic individuals who are existing shareholders of HSC as of the record date and have their securities deposited at the securities firms made payment directly into the escrow account for non-deposited shares within the notified timeline;
  - (4) Domestic individuals who are HSC's selected employees from Band 4 have made effective contributions to the Company's operations and have long-term orientation to work at the Company.

(List of investors in Appendix 01 attached)

4. Timeline for subscription and payment: From 25 September to 30 September 2025
5. Escrow account:
  - Account holder: Ho Chi Minh City Securities Corporation
  - Bank account No.: 8680044200
  - Bank name: Bank for Investment and Development of Vietnam (BIDV) – Nam Ky Khoi Nghia Branch
  - Content: <Full name>\_<Enterprise Registration Certificate /ID/Passport number>\_Buy\_<Number of HCM shares redistributed>  
Example: Nguyen Van A\_123456789101\_Buy\_5,000 HCM shares
6. Transfer restriction: Number of redistributed shares mentioned above will be restricted from transfer for 01 (one) year from the date of completing the share issuance as prescribed in Article 42.2, Decree No.155/2020/NĐ-CP dated 31 December 2020 and section I.20.2 Article 1 of the EGM Resolution No. 03/2024/NQ-ĐHĐCĐ dated 04 December 2024.

7. In case the deadline of redistributing shares is passed, and if there are still shares left unsubscribed, they will be cancelled according to the provisions of section I.20.2 Article 1 of the EGM Resolution No. 03/2024/NQ-ĐHĐCĐ dated 04 December 2024, and the Board of Directors will announce the end of public offering.

### ARTICLE 3

The Board of Directors assigned and authorized Chairman and CEO to announce to investors who are eligible to purchase redistributed shares, to sign related documents and to instruct the relevant departments to implement redistributed shares in according to prevailing regulatory requirements.

### ARTICLE 4

Resolution takes effect from the signature date.

FOR AND ON BEHALF OF  
THE BOARD OF DIRECTORS  
CHAIRMAN



JOHAN NYVENE

**To:**

- + BOD members,
- + BOS members,
- + BOM members,
- + BOD Office for archiving,



## LIST OF INVESTORS TO BE REDISTRIBUTED UNSUBSCRIBED SHARES

No	Full name	Enterprise Registration Certificate/ID No.	Date of issue	Title	Number of shares
<b>The existing shareholders</b>					
1	Vietcombank Neo Limited	0047/GP-NHNN	29/12/1993	The existing shareholder as of the record date 25 June 2025	147,007
2	Lê Anh Tuấn			The existing shareholder as of the record date 25 June 2025	2,887
3	Phạm Đình Tuấn			The existing shareholder as of the record date 25 June 2025	32
	<b>Total (1)</b>				<b>149,926</b>
<b>HSC's employees</b>					
1	Lý Triệu Vân			Executive Assistant To CEO	10,000
2	Thân Thị Thu Dung			Executive Assistant To BOD	20,000
3	Phạm Lê Thu Minh			Director, Head of Internal Audit	20,000
4	Trần Tấn Đạt			Managing Director, Head of Institutional Client Division	40,000
5	Võ Trịnh Xuân Mai			Senior Director, Head of Institutional Sales	30,000
6	Tạ Hạnh Quyên			Institutional Trading Director	20,000
7	Lê Đỗ Quyên			Institutional Trading Director	20,000
8	Vũ Anh Quân			Senior Institutional Sales Manager	10,000
9	Huỳnh Thị Thanh Thảo			Corporate Access Manager	10,000
10	Vũ Nhứt Vương			Senior Institutional Sales Executive	10,000
11	Nguyễn Mai Hà Cẩm Tú			Senior Institutional Trader	10,000
12	Nguyễn Minh Thư			Senior Institutional Trader	10,000
13	Hồ Nhật Nam			Senior Institutional Trader	10,000
14	Phạm Vũ Thăng Long			Senior Research Director, Head of Macroeconomics	114,300
15	Nguyễn Mạnh Dũng			Chief Market Strategist	17,000
16	Võ Thị Ngọc Hân			Senior Research Director, Head of Industrial & Technology Sector	17,000
17	Trần Hương Mỹ			Research Director, Head of Consumer Goods Sector	17,000



No	Full name	Enterprise Registration Certificate/ID No.	Date of issue	Title	Number of shares
18	Phạm Liên Hà			Research Director, Head of Financial Services Sector	17,000
19	Hồ Thị Kiều Trang			Research Director, Head of Property Sector	17,000
20	Nguyễn Hoàng Nam			Research Director, Head of Energy & Utilities Sector	47,000
21	Trương Hồng Kim			Research Manager, Consumer Goods Sector	10,000
22	Bùi Nguyên Cẩm Giang			Research Manager, Consumer Goods Sector	10,000
23	Chế Thị Mai Trang			Research Manager, Industrial Sector	10,000
24	Võ Thị Nhật Minh			ETF Research Manager	10,000
25	Bùi Minh Tuấn			Managing Director, Head of Equity Capital Markets	100,000
26	Trần Thị Thành			Director, Head of Legal & Regulatory Process	20,000
27	Lê Thị Kim Anh			Corporate Finance Director	20,000
28	Nguyễn Thị Cát Trinh			Corporate Finance Director	120,000
29	Nguyễn Hoàng Sơn			Senior Corporate Finance Manager	10,000
30	Đỗ Hoàng Ngọc Phượng			Senior Legal & Regulatory Process Executive	10,000
31	Trần Minh Tuấn			Corporate Finance Manager	10,000
32	Nguyễn Trí Cường			Senior Corporate Finance Analyst	10,000
33	Mai Thị Thùy Tiên			Senior Corporate Finance Analyst	10,000
34	Trần Bảo Trân			Senior Legal & Regulatory Process Executive	10,000
35	Nguyễn Thị Minh Trang			Legal & Regulatory Process Executive	10,000
36	Nguyễn Tấn Thắng			Senior Director, Head of Treasury & Fixed Income Investment	20,000
37	Nguyễn Thị Thúy Hằng			Treasury & Fixed Income Investment Director	20,000
38	Nguyễn Thị Hồng Cẩm			Bond Director	20,000
39	Nguyễn Thị Tâm Hạnh			Senior Director, Head of Margin Lending Risk Management	60,000
40	Hoàng Thị Hải			Margin Lending Risk Management Director	20,000
41	Phạm Minh Hoàng			Margin Lending Risk Management Director	20,000
42	Trần Thị Mỹ Linh			Managing Director, Head of Trading	70,000

No	Full name	Enterprise Registration Certificate/ID No.	Date of issue	Title	Number of shares
43	Mai Quý Sang			Covered Warrant Trading Manager	10,000
44	Nguyễn Hữu Ngọc Sơn			ETF Trading Manager	10,000
45	Lê Đại Dương			Senior Futures Trader	10,000
46	Nguyễn Cảnh Thịnh			Managing Director, Head of Retails Client Division	79,000
47	Trần Thị Kim Oanh			Wealth Director	16,000
48	Nguyễn Vương Quế Phương			Wealth Director	20,000
49	Luân Thị Xuân Đào			Wealth Director	16,000
50	Nguyễn Thành Nhân			Wealth Director	16,000
51	Trần Văn Phước			Wealth Director	18,000
52	Trần Quốc Cường			Wealth Director	20,000
53	Trần Khánh			Wealth Director	16,000
54	Vũ Thị Thu Thủy			Director, Head of Market Information & Advisory	20,000
55	Phạm Đặng Huỳnh Châu			Senior Manager, Head of Sales	14,000
56	Nguyễn Hoàng Phương			Senior Manager, Head of Sales Training & Business Development	14,000
57	Nguyễn Thị Hường			Senior Wealth Manager	18,000
58	Phạm Thị Lan Dung			Senior Wealth Manager	18,000
59	Nguyễn Thị Quế Trân			Wealth Manager	15,000
60	La Kiếm Siêu			Senior Wealth Manager	15,000
61	Nguyễn Duy Phương			Senior Wealth Manager	15,000
62	Phạm Thị Tú			Senior Digital Planner	10,000
63	Cung Nguyễn Thế Anh			Executive Assistant To Head of RCD	10,000
64	Lê Nguyên Bình			Managing Director, Chief Operations Officer	100,000
65	Lê Thị Thanh Phương			Director, Head of Customer Service	20,000
66	Nguyễn Mỹ Hương			Director, Head of Middle Office	20,000
67	Phạm Thị Linh Chi			MO Director Ha Noi Branch	20,000



No	Full name	Enterprise Registration Certificate/ID No.	Date of issue	Title	Number of shares
68	Phạm Thành Văn			Director, Head of Back Office	25,000
69	Cao Hoàng Anh			Director, Head of Transaction Management	20,000
70	Hoàng Hà			Director, Head of Margining Management	25,000
71	Cao Phương Trâm			Director, Head of Data	30,000
72	Trần Việt Bình			Director, Head of Project Management Office	15,000
73	Đoàn Chí Trung			Director, Head of IT	30,000
74	Vương Anh Việt			IT Services Director	20,000
75	Hà Vũ Kiều Phong			Senior Infrastructure Manager	10,000
76	Lương Minh Phú			Infrastructure Manager	10,000
77	Nguyễn Thanh Phong			Digital Transformation Project Director	50,000
78	Tạ Quốc Hưng			Product Development Director	30,000
79	Bùi Duy Quý			Technical Architect	15,000
80	Nguyễn Ngọc Minh Trâm			Business Analysis Manager	10,000
81	Trần Mạnh Thắng			Senior Software Development Manager	10,000
82	Hà Trọng Hiếu			Technical Lead	10,000
83	Tổng Công Cường			Managing Director, Chief Risk Officer	90,000
84	Bùi Thị Ngọc Thảo			Director, Head of Internal Control & Compliance	20,000
85	Nguyễn Thị Xuân Dung			Senior Manager, Head of Legal	10,000
86	Nguyễn Thị Mỹ Linh			Manager, Head of Risk Management	20,000
87	Lâm Hữu Hồ			Managing Director, Chief Financial Officer	100,000
88	Phạm Ngọc Quang			Director, Head of Management Accounting	20,000
89	Hồ Thị Thu Thảo			Director, Head of Financial Accounting	20,000
90	Trần Thị Ngân			Senior Financial Accounting Manager	10,000
91	Lê Thị Thùy Dương			Internal Accounting Manager	10,000
92	Vũ Thị Thúy Vân			Cash Management Manager	10,000



No	Full name	Enterprise Registration Certificate/ID No.	Date of issue	Title	Number of shares
93	Nguyễn Linh Lan			Managing Director, Chief Human Resources Officer	100,000
94	Nguyễn Thị Chánh Kim			Senior Director, Head of Talent Management & HRBP	20,000
95	Cao Thanh Trúc			Manager, Head of HR Services	10,000
96	Nguyễn Kim Thúy Hà			Senior Office Manager	10,000
97	Nguyễn Bích Hạnh			Senior Office Manager	10,000
98	Lê Anh Quân			Managing Director, Chief Communications Officer	100,000
99	Trương Ngọc Quỳnh Tiên			Director, Head of Investor Relation	20,000
100	Nguyễn Thị Bích Nga			Director, Head of Communications	20,000
	<b>Total (2)</b>				<b>2,516,300</b>
	<b>Total (1) + (2)</b>				<b>2,666,226</b>

