

PROPOSAL
SEEKING SHAREHOLDERS' OPINIONS BY WRITTEN BALLOT
HO CHI MINH CITY SECURITIES CORPORATION

Subject: Removal of member of the Board of Supervisors
Election of additional member of the Board of Supervisors

Based on:

- *Law on Enterprises No.59/2020/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020 and the documents guiding the implementation of Law on Enterprise;*
- *Law on Securities No.54/2019/QH14 issued by the National Assembly of the Socialist Republic of Vietnam on November 26, 2019 and some documents guiding the implementation of Securities Law;*
- *HSC's Corporate Charter according to AGM Resolution No.01/2022/NQ-ĐHĐCĐ dated 08 August 2022;*
- *HSC's Internal Regulations on Corporate Governance according to AGM Resolution No.01/2022/NQ-ĐHĐCĐ dated 08 August 2022;*
- *HSC's Regulation on organization & operation of the Board of Supervisors according to AGM Resolution No.01/2022/NQ-ĐHĐCĐ dated 08 August 2022;*
- *The Letter of resignation from Mr. Pham Nghiem Xuan Bac as member and Head of the Board of Supervisors;*
- *The meeting minutes No. 02/2024/BB-BKS dated 03 June 2024 issued by the Board of Supervisors;*
- *HSC's Announcement on nomination and self-nomination as member of the Board of Supervisors for the term V (2021- 2025);*
- *Letter of nomination as member of the Board of Supervisors for the term V (2021- 2025) dated 17 June 2024 issued by the incumbent Supervisory Board;*
- *The Resolution No. 27/2024/NQ-HĐQT dated 18 June 2024 issued by the Board of Directors of Ho Chi Minh City Securities Corporation.*

The Board of Directors ("BOD") of Ho Chi Minh City Securities Corporation (the "Company"/HSC") would like to submit removal and election of an additional member of the Board of Supervisors ("BOS") for the term V (2021 – 2025) for the consideration and approval of the General Meeting of Shareholders ("GMS"). Details are as follows:

I. Removal of member of the Board of Supervisors

HSC received the Resignation Letter of Mr. Pham Nghiem Xuan Bac, in which he resigned from the position of member and Head of the BOS for the term V (2021 – 2025) on 28 May 2024 for personal reasons and current professional work having not enough time to fulfil his duties at HSC.

Pursuant to legal regulations and HSC's Corporate Charter, removal of the BOS member falls under the authorities of the GMS.

The Board of Directors would like to submit the removal of Mr. Pham Nghiem Xuan Bac as the BOS member to the GMS for consideration and approval, effective date from 28 June 2024 ⁽¹⁾.

II. Election of additional member of the Board of Supervisors according to list of the BOS candidates

Ensuring 03 members of the BOS for the term V (2021 – 2025), the Board of Directors announced to Shareholders about self-nomination and nomination as member of the BOS and requested

Shareholders to self-nominate, nominate, or form a group of shareholders to nominate the candidate(s) to participate in the election of additional member of the BOS for the term V (2021 – 2025) to elect one (01) additional member of the BOS for the remaining period of the term V (2021 – 2025).

From 04 June to 3pm, 14 June 2024 ⁽²⁾, the Organizing Committee of seeking shareholders' opinions by written ballot has not received any nomination or self-nomination dossier for the position of BOS member from Shareholders or Shareholder groups, as per the Announcement regarding the nomination and self-nomination for BOS member disclosed on 03 June 2024.

Based on Article 41.3 of HSC's Corporate Charter, on 17 June 2024, the incumbent BOS has introduced one (01) candidate for position of BOS member for the term V (2021 – 2025) to the BOD for presentation to the GSM for approval.

After considering the nomination dossier for the position of BOS member for the term V (2021 – 2025) that the incumbent BOS introduced and comparing it with the related regulations in HSC's Corporate Charter, internal regulations and current legal regulations, the candidate sets out below satisfy all criteria and conditions required by the law as well as have full capacity for acting a member of the BOS (Curriculum Vitae of the candidate attached). Details are as below:

- Ms. Nguyen Thi Xuan Dung – Candidate as a member of the BOS

The Board of Directors would like to submit to the GMS for consideration and approval of Ms. Nguyen Thi Xuan Dung as the BOS candidate and Ms. Nguyen Thi Xuan Dung elected as member of the BOS for the remaining period of the term V (2021 – 2025), effective date from 28 June 2024.

The Board of Directors would like to submit it to the GMS for your respective approval.

**FOR AND BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN**



JOHAN NYVENE

To:

- As above-mentioned
- BOD Office for archiving

Note:

- ⁽¹⁾ Date of 28 June 2024: The date when the Voting – Conting Minutes and Resolution of the General Meeting of Shareholders on removal and election of additional member of the BOS for the term V (2021 – 2025) will be issued.
- ⁽²⁾ From 04 June 2024 to 3pm, 14 June 2024: Time for receiving Application Form of self-nomination and nomination in Announcement of self-nomination and nomination as member of the BOS for the term V (2021 – 2025) published in Information Disclosure No. 40/2024/CV-CBTT dated 03 June 2024.